

Flats of a positroid from its decorated permutation

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Abstract. A positroid is a special case of a realizable matroid, that arose from the study of totally nonnegative part of the Grassmannian by Postnikov. Postnikov demonstrated that positroids are in bijection with certain interesting classes of combinatorial objects, such as Grassmann necklaces and decorated permutations. The bases of a positroid can be described directly in terms of the Grassmann necklace and decorated permutation. In this extended abstract, we show how to describe the flats, bases and independent sets directly from the decorated permutation, bypassing the use of the Grassmann necklace.

Keywords: Positroids, flats, bases, flacets, permutation, matroids, non-crossing partitions

1 Introduction

A matrix is totally positive (respectively totally nonnegative) if all its minors are positive (respectively nonnegative) real numbers. These matrices have a number of remarkable properties: for example, an $n \times n$ totally positive matrix has n distinct positive eigenvalues. The space of these matrices can be grouped up into topological cells, with each cell completely parametrized by a certain planar network [4]. The idea of total positivity found numerous applications and was studied from many different angles, including oscillations in mechanical systems, stochastic processes and approximation theory, and planar resistor networks [4].

Now, instead of considering $n \times n$ matrices with nonnegative minors, consider a full-rank $k \times n$ matrix with all maximal minors nonnegative. This arose from the study of the totally nonnegative part of the Grassmannian by Postnikov [15]. The set of nonzero maximal minors of such matrices forms a positroid, which is a matroid used to encode the topological cells inside the nonnegative part of the Grassmannian. Positroids have a number of nice combinatorial properties. In particular, Postnikov demonstrated that positroids are in bijection with certain interesting classes of combinatorial objects, such as Grassmann necklaces and decorated permutations. Recently, positroids have seen increased applications in physics, with use in the study of scattering amplitudes [2] and the study of shallow water waves [8].

The set of bases of a positroid can be described nicely from the Grassmann necklace [13], and the polytope coming from the bases can be described using the cyclic intervals

[10, 1]. Non-crossing partitions were used to construct positroids from their connected components in [1]. They were also used in [9] as an analogue of the bases for electroids. In this extended abstract, we go over the result in [11] providing yet another usage of cyclic intervals and non-crossing partition for positroids.

Given an arbitrary set, the rank (the size of the biggest intersection with a basis) can be obtained by going through all the bases. In this extended abstract, we show a method of obtaining the rank of an arbitrary set directly from the associated decorated permutation without having to go through the bases. In particular, we get a collection of upper bounds of the rank coming from non-crossing partitions, and one of them will be shown to be tight.

Using that result, we can describe the bases without relying on the Grassmann necklace. We also describe the facets of the independent set polytope (the inseparable flats) of the positroid, using the decorated permutation. This gives a way to describe all the independent sets, again without relying on the Grassmann necklace.

This is an extended abstract, combining the results of [11] and [12]. The structure of the extended abstract is as follows. In [Section 2](#), we go over the background materials needed for this paper, including the basics of matroids, positroids, Grassmann necklaces and decorated permutations. In [Section 3](#), we show that the rank of an arbitrary set in a positroid can be obtained directly from the decorated permutation by using non-crossing partitions. In [Section 4](#) we state our main result.

2 Background materials

2.1 Matroids

In this section we review the basics of matroids that we will need. We refer the reader to [14] for a more in-depth introduction to matroid theory.

Definition 1. A **matroid** is a pair (E, \mathcal{B}) consisting of a finite set E , called the **ground set** of the matroid, and a nonempty collection of subsets $\mathcal{B} = \mathcal{B}(\mathcal{M})$ of E , called the **bases** of \mathcal{M} , which satisfy the **basis exchange axiom**:

If $B_1, B_2 \in \mathcal{B}$ and $b_1 \in B_1 \setminus B_2$, then there exists $b_2 \in B_2 \setminus B_1$ such that $B_1 \setminus \{b_1\} \cup \{b_2\} \in \mathcal{B}$.

A subset $F \subseteq E$ is called **independent** if it is contained in some basis. All maximal independent sets contained in a given set $A \subseteq E$ have the same size, called the **rank** $\text{rk}(A)$ of A . The rank of the matroid \mathcal{M} , denoted as $\text{rk}(\mathcal{M})$, is given by $\text{rk}(E)$. A set E is called **separable** in a matroid if one can partition E into E_1 and E_2 such that $\text{rk}(E) = \text{rk}(E_1) + \text{rk}(E_2)$.

An element $e \in E$ is a **loop** if it is not contained in any basis. An element $e \in E$ is a **coloop** if it is contained in all bases. A matroid \mathcal{M} is **loopless** if it does not contain

any loops. The *dual* of \mathcal{M} is a matroid $\mathcal{M}^* = (E, \mathcal{B}')$ where $\mathcal{B}' = \{E \setminus B \mid B \in \mathcal{B}(\mathcal{M})\}$. By using the basis exchange axiom on the dual matroid, we get the following *dual basis exchange axiom*:

If $B_1, B_2 \in \mathcal{B}$ and $b_2 \in B_2 \setminus B_1$, then there exists $b_1 \in B_1 \setminus B_2$ such that $B_1 \setminus \{b_1\} \cup \{b_2\} \in \mathcal{B}$.

Remark 1. In this paper, we will always use $[n] := \{1, \dots, n\}$ as our ground set, reserving the usage of E for subsets of the ground set we analyze. A matroid of rank d will have bases in the set $\binom{[n]}{d}$ which stands for all cardinality d -subsets of $[n]$.

Let E be an arbitrary subset of the ground set $[n]$. For a basis J , if $|J \cap E|$ is maximal among $|B \cap E|$ for all bases B of the matroid \mathcal{M} , we say that J *maximizes* E , or J is *maximal* in E . Similarly, if $|J \cap E|$ is minimal among $|B \cap E|$ for all bases B of \mathcal{M} , we say that J *minimizes* E , or J is *minimal* in E .

The following property of the rank function will be crucial:

Theorem 1. [14] The rank function is semimodular, meaning that $\text{rk}(A \cup B) + \text{rk}(A \cap B) \leq \text{rk}(A) + \text{rk}(B)$ for any subset A and B of the ground set.

Consider a matrix with entries in \mathbb{R} that has n columns and r rows, with $r \leq n$. Column sets that forms a r -by- r submatrix with nonzero determinant forms (the set of bases of) a matroid. Such matroids are called *realizable* matroids. For example, consider the following matrix:

$$A = \begin{pmatrix} 1 & 0 & -3 & -1 \\ 0 & 1 & 4 & 0 \end{pmatrix}$$

The column sets $\{1, 2\}, \{1, 3\}, \{2, 3\}, \{2, 4\}, \{3, 4\}$ give two-by-two submatrices that has nonzero determinant. So the collection $\{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{2, 4\}, \{3, 4\}\}$ is a realizable matroid.

We now go over polytopes related to matroids.

Definition 2. Given a matroid $\mathcal{M} = ([n], \mathcal{B})$, the (basis) matroid polytope $\Gamma_{\mathcal{M}}$ of \mathcal{M} is the convex hull of the indicator vectors of the bases of \mathcal{M} :

$$\Gamma_{\mathcal{M}} = \text{convex}\{e_B \mid B \in \mathcal{B}\} \subset \mathbb{R}^n,$$

where $e_B := \sum_{i \in B} e_i$ and $\{e_1, \dots, e_n\}$ is the standard basis of \mathbb{R}^n .

Definition 3. Given a matroid $\mathcal{M} = ([n], \mathcal{B})$, the independent set polytope $P_{\mathcal{M}}$ of \mathcal{M} is the convex hull of the indicator vectors of the independent sets of \mathcal{M} :

$$P_{\mathcal{M}} = \text{convex}\{e_I \mid I \subset B \in \mathcal{B}\} \subset \mathbb{R}^n,$$

where $e_I := \sum_{i \in I} e_i$ and $\{e_1, \dots, e_n\}$ is the standard basis of \mathbb{R}^n .

There is a nice description for the facets of these polytopes.

Theorem 2 (Proposition 2.6. of [3]). *The following is a minimal system for the matroid polytope of \mathcal{M} :*

- $x_e \geq 0, e \in E,$
- $x_F := \sum_{e \in F} x_e \leq \text{rk}(F), F$ is a **facet** : F is a flat of \mathcal{M} where F is inseparable in \mathcal{M} and F^c is inseparable in the dual of \mathcal{M} .

Theorem 3 (Theorem 40.5. of [16]). *If \mathcal{M} is loopless, the following is a minimal system for the independent set polytope of \mathcal{M} :*

- $x_e \geq 0, e \in E,$
- $x_F := \sum_{e \in F} x_e \leq \text{rk}(F), F$ is a nonempty inseparable flat of $\mathcal{M},$

We will show a method from [12] to read off F 's and their ranks for both of those polytopes for positroids, directly from the associated decorated permutation.

2.2 Positroids

In this section we go over the basics of positroids. Positroids were originally defined in [15] as the column sets coming from nonzero maximal minors in a matrix such that all maximal minors are nonnegative. For example, the matrix we saw in the previous section has nonnegative maximal minors:

$$A = \begin{pmatrix} 1 & 0 & -3 & -1 \\ 0 & 1 & 4 & 0 \end{pmatrix}$$

The nonzero maximal minors come from column sets $\{1, 2\}, \{1, 3\}, \{2, 3\}, \{2, 4\}, \{3, 4\}$. This collection forms a positroid. However in this paper, we will use an equivalent definition using Grassmann necklace and Gale orderings.

Definition 4. *Let $d \leq n$ be positive integers. A **Grassmann necklace** of type (d, n) is a sequence (I_1, \dots, I_n) of d -subsets $I_k \in \binom{[n]}{d}$ such that for any $i \in [n],$*

- *if $i \in I_i$ then $I_{i+1} = I_i \setminus \{i\} \cup \{j\}$ for some $j \in [n],$*
- *if $i \notin I_i$ then $I_{i+1} = I_i,$*

where $I_{n+1} = I_1.$

The *cyclically shifted order* $<_i$ on the set $[n]$ is the total order

$$i <_i i + 1 <_i \cdots <_i n <_i 1 <_i \cdots <_i i - 1.$$

For any rank d matroid \mathcal{M} with ground set $[n]$, let I_k be the lexicographically minimal basis of \mathcal{M} with respect to $<_k$, and denote

$$\mathcal{I}(\mathcal{M}) := (I_1, \dots, I_n),$$

which forms a Grassmann necklace [15].

The *Gale order* on $\binom{[n]}{d}$ (with respect to $<_i$) is the partial order $<_i$ defined as follows: for any two d -subsets $S = \{s_1 <_i \cdots <_i s_d\}$ and $T = \{t_1 <_i \cdots <_i t_d\}$ of $[n]$, we have $S \leq_i T$ if and only if $s_j \leq_i t_j$ for all $j \in [d]$ [5].

Theorem 4 ([15],[13]). *Let $\mathcal{I} = (I_1, \dots, I_n)$ be a Grassmann necklace of type (d, n) . Then the collection*

$$\mathcal{B}(\mathcal{I}) := \left\{ B \in \binom{[n]}{d} \mid B \geq_j I_j, \text{ for all } j \in [n] \right\}$$

is the collection of bases of a rank d positroid $\mathcal{M}(\mathcal{I}) := ([n], \mathcal{B}(\mathcal{I}))$. Moreover, for any positroid \mathcal{M} , we have $\mathcal{M}(\mathcal{I}(\mathcal{M})) = \mathcal{M}$.

In order to check if a set is a basis of a positroid or not, we do not have to check for all the cyclic orderings.

Corollary 1. *Let $\mathcal{M} \subseteq \binom{[n]}{d}$ be a positroid and \mathcal{I} the associated Grassmann necklace. A set $B \in \binom{[n]}{d}$ is a basis of \mathcal{M} if and only if $B \geq_b I_b$ for all $b \in B$.*

Proof. For arbitrary $q \in [n]$, denote the elements of B as $b_1 <_q b_2 <_q \cdots <_q b_d$. If we had $B \geq_{b_1} I_{b_1}$, we would also have $B \geq_q I_{b_1} \geq_q I_q$. \square

Definition 5. *A decorated permutation of the set $[n]$ is a bijection π of $[n]$ whose fixed points are colored either white or black. A weak i -exceedance of a decorated permutation π is an element $j \in [n]$ such that either $j <_i \pi^{-1}(j)$ or j is a fixed point colored black.*

Given a decorated permutation π of $[n]$ we can construct a Grassmann necklace $\mathcal{I} = (I_1, \dots, I_n)$ by letting I_k be the set of weak k -exceedances of π . A graphical way to see this is to cut the circle off between $k - 1$ and k to get a horizontal straight line with leftmost endpoint being k and rightmost endpoint being $k - 1$. Redraw the arrows of the permutation accordingly so that it stays within the line. Endpoints of the leftward arrows are exactly the weak k -exceedances of π , hence the elements of I_k . There is a bijection between Grassmann necklaces and decorated permutations [15].

For example, take a look at the decorated permutation (since it has no fixed points, it is the usual permutation) in Figure 1. It is the permutation $[2, 8, 6, 7, 9, 4, 5, 14, 13, 3, 10, 11, 1, 12]$ under the usual bracket notation. The weak 1-exceedances of the permutation is given by the set $\{1, 3, 4, 5, 10, 11, 12\}$, and this is I_1 of the associated Grassmann necklace.

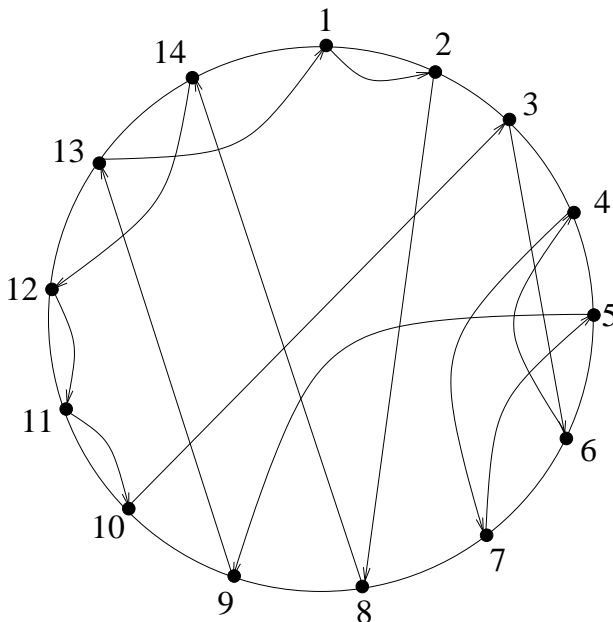


Figure 1: A decorated permutation.

Remark 2. When we are dealing with positroids, we will always envision the ground set $[n]$ to be drawn on a circle. We will say that $a_1, \dots, a_t \in [n]$ are **cyclically ordered** if there exists some $i \in [n]$ such that $a_1 <_i \dots <_i a_t$.

Given $a, b \in [n]$, we define the cyclic interval $[a, b]$ to be the set $\{x \mid x \leq_a b\}$. These cyclic intervals play an important role in the structure of a positroid [7],[10],[1]. All intervals mentioned in this paper will actually be referring to cyclic intervals.

Remark 3. If a positroid \mathcal{M} has loops or coloops, it is enough to study the positroid \mathcal{M}' obtained by deleting the loops and the coloops to study the structural properties of \mathcal{M} . So throughout this paper, we will assume that our positroid has neither loops nor coloops. This means that the associated decorated permutation has no fixed points.

Remark 4. When E is a subset of the ground set $[n]$ and we are trying to write E as a disjoint union of cyclic intervals so that $E = [a_1, b_1] \cup \dots \cup [a_s, b_s]$, we will arrange the a_i 's such that $a_1 < a_2 < \dots < a_s$ unless otherwise stated. The symbol s will always be reserved for the number of disjoint intervals that E has. Here the indices of $[s]$ are considered cyclically, so $a_{s+1} = a_1$.

3 Computing the rank using non-crossing partitions

We will call an interval of form $[x, \pi(x)]$ a *CW-arrow*, and an interval of form $[x, \pi^{-1}(x)]$ a *CCW-arrow* (each standing for clockwise and counterclockwise). Given a cyclic inter-

val T , we use $\text{cw}(T)$ to denote the number of CW-arrows contained in T . Similarly, we will use $\text{ccw}(T)$ for the number of CCW-arrows contained in T . These numbers can easily be read from the associated decorated permutation of \mathcal{M} .

Let E be a subset of the ground set as in [Remark 4](#). We use E_i to denote $[a_i, b_i]$. The rank of E is bounded above by $\text{rk}(\mathcal{M})$ minus the sum of the minimal number of elements that a basis of \mathcal{M} can possibly have in each cyclic interval of the complement of E . So we get $\text{rk}(E) \leq \text{rk}(\mathcal{M}) - \sum_i \text{minelts}(b_i, a_{i+1})$. Here $\text{minelts}(b, a)$ is the minimal number of elements that a basis of \mathcal{M} can have in the interval (b, a) , which turns out to be same as $\text{ccw}((b, a))$. We call this bound the *natural rank bound of E* : $\text{nbd}(E) := \text{rk}(\mathcal{M}) - \sum_i (\text{ccw}(b_i, a_{i+1}))$.

Definition 6. Let Π be a partition $T_1 \sqcup \dots \sqcup T_p$ of $[s]$ into pairwise disjoint non-empty subsets. We say that Π is a **non-crossing partition** if there are no cyclically ordered a, b, c, d such that $a, c \in T_i$ and $b, d \in T_j$ for some $i \neq j$. We will call the T_i 's as the **blocks** of the partition.

To illustrate with a simple example, $\{1, 3\} \sqcup \{2\} \sqcup \{4\}$ is a non-crossing partition of $[4]$, but $\{1, 3\} \sqcup \{2, 4\}$ is not. This can be easily verified by drawing the points 1 to 4 on a circle and trying to cut the circle into distinct regions corresponding to the partitions; this can only be done in the case of non-crossing partitions.

Let Π be an arbitrary non-crossing partition of $[s]$ with T_1, \dots, T_p as its parts. We define $E|_{T_i}$ as the subset of E obtained by taking only the intervals indexed by elements of T_i . For example, $E|_{\{1,3\}}$ would stand for $E_1 \cup E_3$. By submodularity of the rank function, we get another upper bound on the rank of E : $\text{rk}(E) \leq \text{rk}(E|_{T_1}) + \dots + \text{rk}(E|_{T_p}) \leq \text{nbd}(E, \Pi) := \text{nbd}(E|_{T_1}) + \dots + \text{nbd}(E|_{T_p})$. So for each non-crossing partition of $[s]$, we get an upper bound on the rank of E . We show that one of those bounds has to be tight in the theorem below.

Theorem 5. Let $E = [a_1, b_1] \cup \dots \cup [a_s, b_s]$ be a disjoint union of s cyclic intervals, where $a_1, b_1, a_2, b_2, \dots, a_s, b_s$ are cyclically ordered. We have $\text{rk}(E) = \text{nbd}(E, \Pi)$ for some non-crossing partition Π of $[s]$.

For example, take a look at [Figure 2](#) (the positroid is the one associated to [Figure 1](#)). The rank of $E = [1, 3] \cup [8, 10]$ is bounded above by $\text{nbd}(E, \{\{1\}, \{2\}\})$ and $\text{nbd}(E, \{\{1, 2\}\})$. We get $\text{nbd}(E, \{\{1\}, \{2\}\}) = \text{rk}([1, 3]) + \text{rk}([8, 10]) = 2 + 3 = 5$, since rank of an interval $[a, b]$ is given by $|[a, b]| - \text{cw}([a, b])$ (from I_a being given by a -exceedances, and $\text{rk}([a, b]) = |I_a \cap [a, b]|$). We also have $\text{nbd}(E, \{\{1, 2\}\}) = \text{rk}(\mathcal{M}) - \text{ccw}((3, 8)) - \text{ccw}((10, 1)) = 7 - 2 - 2 = 3$. Hence the above theorem tells us that $\text{rk}(E) = 3$.

Therefore for any E , we can obtain $\text{nbd}(E, \Pi)$ by counting CCW-arrows (and CW-arrows for E that is an interval, which is not needed but is usually faster). If E is the disjoint union of s cyclic intervals, we first write all possible non-crossing partitions of

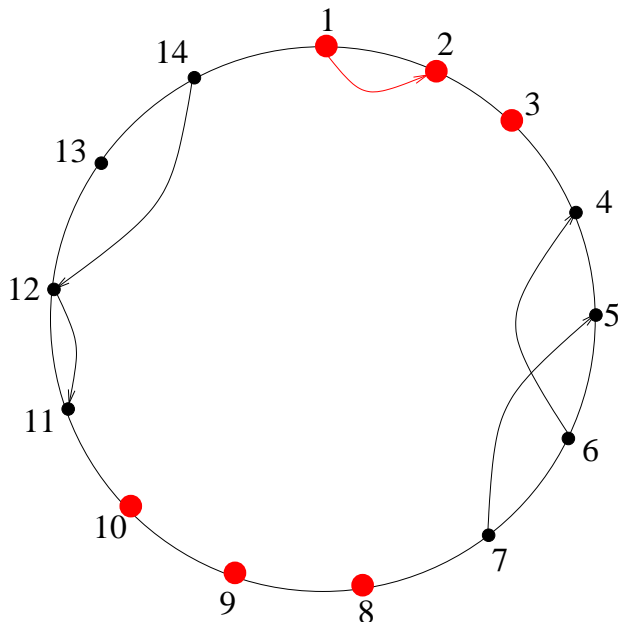


Figure 2: Information needed to compute the rank of $[1, 3] \cup [8, 10]$.

$[s]$. Each one of them gives a sum of $\text{nbid}(E')$'s where E' obtained from E by taking some of the s cyclic intervals of E , and we compute them by counting the CCW-arrows (or CW-arrows for intervals) of the decorated permutation.

Consider the positroid associated with **Figure 1**. Let us try to compute the rank for $E = [1, 2] \cup [7, 10] \cup [13, 13]$. We have 3 disjoint intervals, so the upper bounds of $\text{rk}(E)$ will be coming from the non-crossing partitions of $\{1, 2, 3\}$. The following are the upper bounds for $\text{rk}(E)$ we get:

- $\text{nbid}(E, \{\{1, 2, 3\}\}) = \text{nbid}(E)$
 $= \text{rk}(\mathcal{M}) - \text{ccw}((2, 7)) - \text{ccw}((10, 13)) - \text{ccw}((13, 13))$
 $= 7 - 1 - 1 - 0 = 5$
- $\text{nbid}(E, \{\{1\}, \{2, 3\}\}) = \text{nbid}(E_1) + \text{nbid}(E_2 \cup E_3)$
 $= |[1, 2]| - \text{cw}([1, 2]) + \text{rk}(\mathcal{M}) - \text{ccw}((10, 13)) - \text{ccw}((13, 7))$
 $= 1 + 7 - 1 - 1 = 6$
- $\text{nbid}(E, \{\{1, 2\}, \{3\}\}) = \text{nbid}(E_1 \cup E_2) + \text{nbid}(E_3)$
 $= \text{rk}(\mathcal{M}) - \text{ccw}((2, 7)) - \text{ccw}((10, 1)) + |[13, 13]| - \text{cw}((13, 13))$
 $= 7 - 1 - 2 + 1 - 0 = 5$

- $\text{nbd}(E, \{\{1,3\}, \{2\}\}) = \text{nbd}(E_1 \cup E_3) + \text{nbd}(E_2)$
 $= \text{rk}(\mathcal{M}) - \text{ccw}((2,13)) - \text{ccw}((13,1)) + |[7,10]| - \text{cw}([7,10])$
 $= 7 - 5 - 0 + 4 - 0 = 6$
- $\text{nbd}(E, \{\{1\}, \{2\}, \{3\}\}) = \text{nbd}(E_1) + \text{nbd}(E_2) + \text{nbd}(E_3)$
 $= |[1,2]| - \text{cw}([1,2]) + |[7,10]| - \text{cw}([7,10]) + |[13,13]| - \text{cw}([13,13])$
 $= 2 - 1 + 4 - 0 + 1 - 0 = 6.$

Theorem 5 tells us that $\text{rk}(E) = 5$.

4 Flats from the decorated permutation

Using **Theorem 5**, we get the following result:

Theorem 6 ([12]). *Let \mathcal{M} be a loopless positroid with associated decorated permutation π and let $E \subseteq [n]$ be an inseparable set. Then E is a flat of \mathcal{M} if and only if each element of E^c is contained in some counter-clockwise arrow of π contained in E^c . If this happens, we say that E^c is covered by CCW-arrows.*

In the case E is a cyclic interval, we have $\text{rk}(E) = \text{nbd}(E)$ even when E is separable. So as a corollary, we get:

Theorem 7. *Let \mathcal{M} be a loopless positroid and let $E \subseteq [n]$ be a cyclic interval. Then E is a flat of \mathcal{M} if and only if E^c is covered by CCW-arrows.*

Remark 5. *Beware that we only care about integers of an interval when we discuss the covering of an interval. For example, if there are two CCW-arrows $[7,9]$ and $[10,11]$, we say that $[7,11]$ is covered by CCW-arrows even if there is no CCW-arrow covering the region between 9 and 10.*

For example, take a look at **Figure 3**. The complement of the interval $[1,10]$ is covered by CCW-arrows disjoint from $[1,10]$. So this is a flat. On the other hand, the complement of the interval $[1,3]$, the elements 8 and 9 in particular, are not covered by CCW-arrows outside $[1,3]$. So $[1,3]$ is not a flat (its closure is $[1,3] \cup [8,9]$).

Remark 6. *The study of cyclic intervals that are flats was motivated from the **essential intervals** studied in [7]. We would like to point out that the set of essential intervals and the set of interval flats are incomparable: there are essential intervals that are not flats and there are interval flats that are not essential.*

Although not used for our main result, it is worth noting that arbitrary intersection of interval flats can be described using a similar criterion.

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