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# The minimal excludant and colored partitions

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**Abstract.** The minimal excludant of a partition  $\lambda$ ,  $mex(\lambda)$ , is the smallest positive integer that is not a part of  $\lambda$ . For a positive integer n,  $\sigma mex(n)$  denotes the sum of the minimal excludants of all partitions of n. Recently, Andrews and Newman obtained a new combinatorial interpretation for  $\sigma mex(n)$ . They showed, using generating functions, that  $\sigma mex(n)$  equals the number of partitions of n into distinct parts using two colors. We give a purely combinatorial proof of this result and derive its generalization to the sum of least r-gaps. We introduce several new identities connecting the function  $\sigma mex(n)$  to the number of partitions with colored parts satisfying certain congruences.

Keywords: Partitions, minimal excludant, least gap in partitions, colored partitions.

### 1 Introduction

The minimal excludant or mex-function of a set *S* of positive integers is the least positive integer not in *S*. The history of this notion goes back to at least the 1930s when it was applied to combinatorial game theory [9, 8].

Recently, Andrews and Newman [3] considered the mex-function applied to integer partitions. They defined the minimal excludant of a partition  $\lambda$ , mex( $\lambda$ ), as the smallest positive integer that is not a part of  $\lambda$ . Then, for each positive integer *n*, they defined

$$\sigma \max(n) := \sum_{\lambda \in \mathcal{P}(n)} \max(\lambda),$$

where  $\mathcal{P}(n)$  is the set of all partitions of *n*. Elsewhere in the literature, the minimal excludant of a partition  $\lambda$  is referred to as the least gap or smallest gap of  $\lambda$ . An exact and asymptotic formula for  $\sigma \max(n)$ , as well as its generating function, is given in [7]. In [5] we studied a generalization of  $\sigma \max(n)$  and its connection to polygonal numbers.

Let  $\mathcal{D}_2(n)$  be the set of partitions of n into distinct parts using two colors and let  $D_2(n) = |\mathcal{D}_2(n)|$ . We denote the colors of the parts of partitions in  $\mathcal{D}_2(n)$  by 0 and 1. For example,  $\mathcal{D}_2(4) = \{4_0, 4_1, 3_0 + 1_0, 3_0 + 1_1, 3_1 + 1_0, 3_1 + 1_1, 2_1 + 2_0, 2_1 + 1_1 + 1_0, 2_0 + 1_1 + 1_0\}$ , and thus  $D_2(4) = 9$ . In [3], the authors give two proofs of the following theorem.

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**Theorem 1.1.** *Given an integer*  $n \ge 0$ *, we have*  $\sigma \max(n) = D_2(n)$ *.* 

In Section 2, we provide a bijective proof of Theorem 1.1. We make use of the fact that

$$\sigma \max(n) = \sum_{j \ge 0} p(n - j(j+1)/2), \tag{1.1}$$

where, as usual, p(n) denotes the number of partitions of n. A combinatorial proof of (1.1) is given in [5, Theorem 1.1]. The same argument is also described in the second proof of [3, Theorem 1.1]. In fact, the result proven in [5] is a generalization of (1.1) to  $\sigma_r \max(n)$ , the sum of r-gaps in all partitions of n. The r-gap of a partition  $\lambda$  is the least positive integer that does not appear r times as a part of  $\lambda$ . In Section 3, we give two generalizations of Theorem 1.1 to  $\sigma_r \max(n)$ .

In [1], the authors considered a restricted mex function. They defined  $M_k(n)$  to be the number of partitions  $\lambda$  of n with  $\max(\lambda) = k$  and more parts > k than parts < k. When k = 1,  $M_1(n)$  is the number of partitions of n with smallest part greater than 1. Thus, if n > 0, we have  $M_1(n) = p(n) - p(n-1)$ , and from (1.1), we obtain

$$\sigma \max(n) - \sigma \max(n-1) - \delta(n) = \sum_{j=0}^{\infty} M_1 (n - j(j+1)/2), \quad (1.2)$$

where  $\delta$  is the characteristic function of the set of triangular numbers.

We generalize (1.2) in Section 4 where we give further connections between  $\sigma \max(n)$  and restricted mex functions or partitions and overpartitions. In Section 5 we present connections with partitions with colored odd parts.

## 2 Combinatorial Proof of Theorem 1.1

To prove the theorem, we adapt Sylvester's bijective proof of Jacobi's triple product identity [10]. Given  $\lambda \in D_2(n)$ , let  $\lambda^{(i)}$ , i = 0, 1, be the (uncolored) partition whose parts are the parts of color i in  $\lambda$ . Then,  $\lambda^{(1)}$  and  $\lambda^{(2)}$  are partitions with distinct parts.

**Example 2.1.** If  $\lambda = 4_1 + 3_0 + 3_1 + 2_0 + 1_0 \in \mathcal{D}_2(13)$ , then  $\lambda^{(0)} = 3 + 2 + 1$  and  $\lambda^{(1)} = 4 + 3$ .

Denote by  $\eta(j)$  the staircase partition  $\eta(j) = j + (j-1) + \cdots + 2 + 1$ , with  $\eta(0) = \emptyset$ . We write  $\ell(\lambda)$  for the number of parts in partition  $\lambda$ . The conjugate of a Ferrers diagram  $\nu$  (not necessarily the diagram of a partition) is obtained by reflecting  $\nu$  across the main diagonal. The sum,  $\alpha + \beta$ , of two composition  $\alpha = (a_1, a_2, \ldots)$  and  $\beta = (b_1, b_2, \ldots)$ , is the composition whose parts are  $a_i + b_i$  (appropriately using 0 as parts at the end of the shorter composition). **Definition 2.2.** Given a diagram of left justified rows of boxes (not necessarily the Ferrers diagram of a partition), the *staircase profile* of the diagram is a zig-zag line starting in the upper left corner of the diagram with a right step and continuing in alternating down and right steps until the end of a row of the diagram is reached.

**Example 2.3.** Let  $\alpha$  be the composition  $\alpha = (1, 2, 3, 7, 7, 6, 6, 4, 2)$ .



**Figure 1:** Staircase profile for  $\alpha$  and the conjugate of  $\alpha$ .

The *shifted Ferrers diagram* of a partition  $\lambda$  with distinct parts is the Ferrers diagram (with boxes of unit length) of  $\lambda$  with row *i* shifted *i* – 1 units to the right.

We create a map

$$\varphi: \bigcup_{j \ge 0} \mathcal{P}(n - j(j+1)/2) \to \mathcal{D}_2(n)$$

as follows. Start with  $\lambda \in \mathcal{P}(n - j(j+1)/2)$  for some  $j \ge 0$ . Append a diagram with rows of lengths 1, 2, ... *j* (i.e., the diagram of  $\eta(j)$  rotated by 90° counterclockwise) at the top of the diagram of  $\lambda$ . We obtain a diagram with *n* boxes. Draw the staircase profile of the new diagram. Let  $\alpha$  be the partition whose parts are the length of the columns to the left of the staircase profile and  $\beta$  be the partition whose parts are the length of the rows to the right of the staircase profile. Then  $\alpha$  and  $\beta$  are partitions with distinct parts. Moreover,  $j \le \ell(\alpha) - \ell(\beta) \le j + 1$ . Color the parts of  $\alpha$  with color  $j \pmod{2}$  and the parts of  $\beta$  with color  $j + 1 \pmod{2}$ . Then  $\varphi(\lambda)$  is defined as the 2-color partition of *n* whose parts are the colored parts of  $\alpha$  and  $\beta$ .

Conversely, start with  $\mu \in D_2(n)$ . Let  $\ell_i(\mu)$ , i = 0, 1, be the number of parts of color i in  $\mu$  and set  $r = \ell_0(\mu) - \ell_1(\mu)$ . Let

$$\varepsilon = \begin{cases} 0 & \text{if } r \ge 0\\ 1 & \text{if } r < 0, \end{cases} \quad \text{and} \quad j = |r| + \frac{(-1)^{|r|+\varepsilon} - 1}{2}.$$

Remove the top *j* rows (i.e., the rotated diagram of  $\eta(j)$ ) from the conjugate of the shifted diagram of  $\mu^{(\varepsilon)}$  to obtain a composition  $\gamma$ . Define  $\varphi^{-1}(\mu) = \gamma + \mu^{(s)}$  where  $s \neq \varepsilon$ . Then,  $\varphi^{-1}(\mu) \in \mathcal{P}(n - j(j+1)/2)$ .

**Example 2.4.** Let n = 38, j = 3, and let  $\lambda = 7 + 7 + 6 + 6 + 4 + 2$  be a partition of n - j(j+1)/2 = 32. We add the rotated diagram of  $\eta(3)$  to the top of the diagram of  $\lambda$  and draw the staircase profile (see Figure 1). Then  $\alpha = 9 + 8 + 6 + 5 + 3 + 2$  and  $\beta = 3 + 2$ . Since *j* is odd, we have  $\varphi(\lambda) = 9_1 + 8_1 + 6_1 + 5_1 + 3_1 + 3_0 + 2_1 + 2_0$ .

Conversely, suppose  $\mu = 9_1 + 8_1 + 6_1 + 5_1 + 3_1 + 3_0 + 2_1 + 2_0 \in \mathcal{D}(38)$ . Then  $\ell_0(\mu) = 2$  and  $\ell_1(\mu) = 6$ . We have  $r = \ell_0(\mu) - \ell_1(\mu) = -4$  and j = 3. We remove the first 3 rows from the conjugate of the shifted diagram of  $\mu^{(1)}$  (which is precisely the diagram below the staircase profile in Figure 1) and add the resulting composition  $\gamma$  to  $\mu^{(0)} = (3, 2)$ . We obtain  $\varphi^{-1}(\mu) = 7 + 7 + 6 + 6 + 4 + 2 \in \mathcal{P}(32)$ .

### **3** Generalizations of Theorem **1.1** to r-gaps

Recall that the *r*-gap of a partition  $\lambda$  is the least positive integer that does not appear *r* times as a part of  $\lambda$ . In [5], we proved combinatorially that

$$\sigma_r \max(n) = \sum_{j \ge 0} p(n - rj(j+1)/2).$$
(3.1)

We can employ a transformation similar to that in the combinatorial proof of Theorem 1.1 to prove its generalization to sums of *r*-gaps.

Let  $\widetilde{D}_{3}^{(r)}(n)$  be the number of partitions  $\lambda$  of n into distinct parts using three colors, 0, 1, and 2, such that:

- (i) The set of parts of color 2 is either empty or  $\{t(r-1) \mid 1 \leq t \leq j\}$  for some  $j \geq 1$ .
- (ii)  $\ell_{j \pmod{2}}(\lambda) \ell_{j+1 \pmod{2}}(\lambda) \in \{j, j+1\}$ , where j = 0 if  $\lambda^{(2)} = \emptyset$ .

**Theorem 3.1.** Let n, r be integers with r > 0 and  $n \ge 0$ . Then  $\sigma_r \max(n) = \widetilde{D}_3^{(r)}(n)$ . *Proof.* For a sketch of the proof see [6].

In [5] we give the generating function for  $\sigma_r \max(n)$ , namely

$$\sum_{n=0}^{\infty} \sigma_r \max(n) q^n = \frac{(q^{2r}; q^{2r})_{\infty}}{(q; q)_{\infty} (q^r; q^{2r})_{\infty}},$$
(3.2)

where  $(a;q)_n = \prod_{k=0}^{n-1} (1 - aq^k)$  if n > 0,  $(a;q)_n = 1$  if n = 0, and  $(a;q)_{\infty} = \lim_{n \to \infty} (a;q)_n$ .

Denote  $\widetilde{D}_2^{(r)}(n)$  the number of partitions  $\lambda$  of n using two colors, 0 and 1, such that: (i)  $\lambda^{(0)}$  is a partition into distinct parts divisible by r.

(ii)  $\lambda^{(1)}$  is a partition with parts repeated at most 2r - 1 times.

The following generalization of Theorem 1.1 is immediate from (3.2).

**Theorem 3.2.** Let *n*, *r* be integers with r > 0 and  $n \ge 0$ . Then  $\sigma_r mex(n) = \widetilde{D}_2^{(r)}(n)$ .

### **4** Identities involving restricted mex-functions

In this section we introduce identities relating  $\sigma \max(n)$  and restricted mex functions for partitions and overpartitions.

### **4.1** $\sigma \max(n)$ and $M_k(n)$

We have the following generalization of (1.2).

**Theorem 4.1.** Let k, n be integers with  $k \ge 1$  and  $n \ge 0$ . Then,

$$(-1)^{k-1}\left(\sum_{j=-(k-1)}^{k}(-1)^{j}\sigma\max(n-j(3j-1)/2)-\delta(n)\right)=\sum_{j=0}^{\infty}M_{k}(n-j(j+1)/2).$$

The following infinite family of linear inequalities involving  $\sigma$  mex is immediate.

**Corollary 4.2.** Let k be a positive integer. Given an integer  $n \ge 0$ , we have

$$(-1)^{k-1}\left(\sum_{j=-(k-1)}^{k}(-1)^{j}\sigma\max(n-j(3j-1)/2)-\delta(n)\right) \ge 0,$$

with strict inequality if  $n \ge k(3k+1)/2$ .

*Analytic proof of Theorem* **4**.**1***.* In [1], the authors gave the following truncated Euler's pentagonal number theorem.

$$\frac{(-1)^{k-1}}{(q;q)_{\infty}} \sum_{n=-(k-1)}^{k} (-1)^{j} q^{n(3n-1)/2} = (-1)^{k-1} + \sum_{n=k}^{\infty} \frac{q^{\binom{k}{2}} + (k+1)n}{(q;q)_{n}} \begin{bmatrix} n-1\\k-1 \end{bmatrix}, \quad (4.1)$$

where

$$\begin{bmatrix} n \\ k \end{bmatrix} = \begin{cases} \frac{(q;q)_n}{(q;q)_k(q;q)_{n-k}}, & \text{if } 0 \leq k \leq n, \\ 0, & \text{otherwise.} \end{cases}$$

Multiplying both sides of (4.1) by

$$\frac{(q^2, q^2)_{\infty}}{(q, q^2)_{\infty}} = \sum_{n=0}^{\infty} q^{n(n+1)/2},$$

and using (3.2) with r = 1 and the generating function for  $M_k(n)$  [1],

$$\sum_{n=0}^{\infty} M_k(n) q^n = \sum_{n=k}^{\infty} \frac{q^{\binom{k}{2} + (k+1)n}}{(q;q)_n} \begin{bmatrix} n-1\\ k-1 \end{bmatrix},$$

we obtain

$$(-1)^{k-1} \left( \left( \sum_{n=0}^{\infty} \sigma \max(n) q^n \right) \left( \sum_{n=-(k-1)}^k (-1)^j q^{n(3n-1)/2} \right) - \sum_{n=0}^{\infty} q^{n(n+1)/2} \right) \\ = \left( \sum_{n=0}^{\infty} q^{n(n+1)/2} \right) \left( \sum_{n=0}^{\infty} M_k(n) q^n \right).$$

The proof follows easily using Cauchy's multiplication of two power series.

*Combinatorial proof of Theorem 4.1.* The statement of Theorem 4.1 is equivalent to identity (1.2) together with

$$\sigma \max\left(n - \frac{k(3k+1)}{2}\right) - \sigma \max\left(n - \frac{k(3k+5)}{2} - 1\right)$$
  
=  $\sum_{j=0}^{\infty} \left(M_k \left(n - j(j+1)/2\right) + M_{k+1} \left(n - j(j+1)/2\right)\right).$  (4.2)

Using (1.1), identity (4.2) becomes

$$\sum_{j=0}^{\infty} \left( p \left( n - \frac{j(j+1)}{2} - \frac{k(3k+1)}{2} \right) - p \left( n - \frac{j(j+1)}{2} - \frac{k(3k+5)}{2} - 1 \right) \right)$$
$$= \sum_{j=0}^{\infty} \left( M_k \left( n - j(j+1)/2 \right) + M_{k+1} \left( n - j(j+1)/2 \right) \right).$$
(4.3)

Identity (4.3) was proved combinatorially in [11]. Together with the combinatorial proof of (1.1), this gives a combinatorial proof of Theorem 4.1.  $\Box$ 

Next, we give a combinatorial interpretation for  $\sum_{t=0}^{\infty} M_k (n - t(t+1)/2)$ . For integers k, n such that  $k \ge 1$  and  $n \ge 0$ , we denote by  $D_3^{(k)}(n)$  the number of partitions  $\mu$  of n into

distinct parts using three colors and satisfying the following conditions:

- (i)  $\mu$  has exactly *k* parts of color 2 and, if k > 1, twice the smallest part of color 2 is greater than largest part of color 2.
- (ii) With *r* and *j* as in the combinatorial proof of Theorem 1.1, the largest part of color *j* (mod 2) must equal *j* more that the smallest part of color 2.

**Proposition 4.3.** For integers k, n such that  $k \ge 1$  and  $n \ge 0$ , we have

$$\sum_{k=0}^{\infty} M_k \left( n - t(t+1)/2 \right) = D_3^{(k)}(n).$$
(4.4)

Proof. See [6].

Combining Theorems 1.1 and 4.1, and Proposition 4.3 we obtain the following corollary which, by the discussion above, has both analytic and combinatorial proofs.

**Corollary 4.4.** For integers k, n such that  $k \ge 1$  and  $n \ge 0$ , we have

$$(-1)^{\max(0,k-1)} \left( \sum_{j=-\max(0,k-1)}^{k} (-1)^{j} \sigma \max(n-j(3j-1)/2) - \delta(n) \right) = D_{3}^{(k)}(n).$$

Note that, if k = 0, the statement of the corollary reduces to Theorem 1.1.

### **4.2** $\sigma \max(n)$ and overpartitions

Overpartitions are ordinary partitions with the added condition that the first appearance of any part may be overlined. There are eight overpartitions of 3:

$$3, \overline{3}, 2+1, \overline{2}+1, 2+\overline{1}, \overline{2}+\overline{1}, 1+1+1, \overline{1}+1+1$$

As usual, we denote by  $\overline{p}(n)$  the number of overpartitions of *n*. The generating function for  $\overline{p}(n)$  is

$$\sum_{n=0}^{\infty} \overline{p}(n)q^n = \frac{(-q;q)_{\infty}}{(q;q)_{\infty}}$$

We have the following identity relating  $\sigma \max(n)$ ,  $\overline{p}(n)$  and  $M_k(n)$ .

**Theorem 4.5.** *Let k be a positive integer. Given an integer*  $n \ge 0$ *, we have* 

$$(-1)^{k-1}\left(\sum_{j=-(k-1)}^{k}(-1)^{j}\overline{p}\left(n-j(3j-1)\right)-\sigma\max(n)\right)=\sum_{j=0}^{\lfloor n/2\rfloor}M_{k}(j)\sigma\max(n-2j).$$

*Proof.* By (4.1), with *q* replaced by  $q^2$ , we obtain

$$\frac{(-1)^{k-1}}{(q^2;q^2)_{\infty}} \left( \sum_{n=-(k-1)}^k (-1)^j q^{n(3n-1)} - 1 \right) = \sum_{n=k}^\infty M_k(n) q^{2n}.$$
(4.5)

Multiplying both sides of (4.5) by the generating function for  $\sigma \max(n)$ , we obtain

$$(-1)^{k-1} \left( \left( \sum_{n=0}^{\infty} \overline{p}(n)q^n \right) \left( \sum_{n=-(k-1)}^k (-1)^j q^{n(3n-1)} \right) - \sum_{n=0}^{\infty} \sigma \max(n)q^n \right)$$
$$= \left( \sum_{n=0}^{\infty} \sigma \max(n)q^n \right) \left( \sum_{n=0}^{\infty} M_k(n)q^{2n} \right).$$

The proof follows by equating the coefficients of  $q^n$  in this identity.

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The limiting case  $k \to \infty$  of Theorem 4.5 reads as follows.

**Corollary 4.6.** For 
$$n \ge 0$$
,  $\sigma \max(n) = \sum_{j=-\infty}^{\infty} (-1)^j \overline{p} (n - j(3j - 1))$ .

**Remark 4.7.** Since it is known that  $\overline{p}(n)$  is odd if and only if n = 0, it follows that  $\sigma \max(n)$  is odd if and only if 12n + 1 is a square.

In [2], the authors denoted by  $\overline{M}_k(n)$  the number of overpartitions of n in which the first part larger than k appears at least k + 1 times. We have the following identity.

**Theorem 4.8.** For integers k, n > 0, we have

$$(-1)^{k}\left(\sigma \max(n) + 2\sum_{j=1}^{k} (-1)^{j}\sigma \max(n-j^{2}) - \delta'(n)\right) = \sum_{j=-\infty}^{\infty} (-1)^{j}\overline{M}_{k}(n-j(3j-1)),$$

where  $\delta'(n) = (-1)^m$  if n = m(3m-1),  $m \in \mathbb{Z}$  and  $\delta'(n) = 0$  otherwise.

*Proof.* The proof, given in [6], follows from a truncated theta series identity [2].  $\Box$ 

There is a substantial amount of numerical evidence to conjecture the following inequality.

**Conjecture 4.9.** *For k*, *n* > 0,

$$\sum_{j=-\infty}^{\infty} (-1)^j \overline{M}_k (n-j(3j-1)) \ge 0,$$

with strict inequality if  $n \ge (k+1)^2$ .

A combinatorial interpretation for the sum in this conjecture would be interesting.

#### **4.3** $\sigma \max(n)$ and partitions into distinct parts

To keep notation uniform, let  $D_1(n)$  be the number of partitions of n into distinct parts. Set  $D_1(x) = 0$  if x is not a positive integer. For proof of the next theorem see [6].

**Theorem 4.10.** For any integer  $n \ge 0$ , we have

$$\sum_{j=0}^{\infty} (-1)^{j(j+1)/2} \sigma \max\left(n - j(j+1)/2\right) = \sum_{j=0}^{\infty} D_1\left(\frac{n - j(j+1)/2}{2}\right).$$
(4.6)

Let  $D_2^*(n)$  be the number of partitions of n with distinct parts using two colors such that: (i) parts of color 0 form a gap-free partition (staircase) and (ii) only even parts can have color 1. Then, we have the following identity of Watson type [4] which gives a combinatorial interpretation for the right hand side of (4.6). For its proof see [6].

**Proposition 4.11.** *For*  $n \ge 0$ *,* 

$$\sum_{j=0}^{\infty} D_1\left(\frac{n-j(j+1)/2}{2}\right) = D_2^*(n)$$

In [2], the authors denoted by  $MP_k(n)$  the number of partitions of n in which the first part larger than 2k - 1 is odd and appears exactly k times. All other odd parts appear at most once. We have the following truncated form of Theorem 4.10.

**Theorem 4.12.** For integers n, k > 0,

$$(-1)^{k-1}\left(\sum_{j=0}^{2k-1}(-1)^{j(j+1)/2}\sigma\max(n-j(j+1)/2)-D_2^*(n)\right)=\sum_{j=0}^n MP_k(j)D_2^*(n-j).$$

*Proof.* The proof, given in [6], follows from the truncated theta series identity of [2].  $\Box$ 

A combinatorial interpretation for  $\sum_{j=0}^{n} MP_k(j)D_2^*(n-j)$  would be very welcome.

The following corollary of Theorem 4.12 is immediate.

**Corollary 4.13.** For integers n, k > 0,

$$(-1)^{k-1}\left(\sum_{j=0}^{2k-1}(-1)^{j(j+1)/2}\sigma\max(n-j(j+1)/2)-D_2^*(n)\right) \ge 0$$

with strict inequality if  $n \ge k(2k+1)$ .

A second corollary involves the function pod(n), the number of partitions of n in which odd parts are not repeated, i.e.,

**Corollary 4.14.** For 
$$n \ge 0$$
,  $\sigma \max(n) = \sum_{j=0}^{n} \operatorname{pod}(j) D_{2}^{*}(n-j)$ .

# 5 $\sigma \max(n)$ and partitions with colored odd parts

In this section we present several identities relating  $\sigma \max(n)$  with the number of partitions of *n* in which odd parts are colored in with *j* colors, *j* = 2,3,4. Elsewhere in the literature, colored partitions are referred to as vector partitions. Due to space restrictions, we will present the proofs of all theorems in this section in a future article.

#### 5.1 Three colors for the odd parts

Let  $C_3(n)$  be the number of partitions of n using 3 colors for the odd parts and let  $C'_3(n)$  be the number of partitions of n into parts not congruent to 2 mod 4 using 3 colors for the odd parts. The generating functions for  $C_3(n)$  and  $C'_3(n)$  are respectively

$$\sum_{n=0}^{\infty} C_3(n)q^n = \frac{1}{(q^2; q^2)_{\infty}(q; q^2)_{\infty}^3} \text{ and } \sum_{n=0}^{\infty} C_3'(n)q^n = \frac{1}{(q^4; q^4)_{\infty}(q; q^2)_{\infty}^3}.$$

Using the truncated Euler's pentagonal number theorem [1], we prove the following identity which relates  $C_3(n)$  and the function  $M_k(n)$  defined in Section 1.

**Theorem 5.1.** *Let k be a positive integer. Given an integer*  $n \ge 0$ *, we have* 

$$(-1)^{k-1}\left(\sum_{j=-(k-1)}^{k}(-1)^{j}C_{3}(n-j(3j-1)/2)-\sigma\max(n)\right)=\sum_{j=0}^{n}\sigma\max(j)M_{k}(n-j).$$

A combinatorial interpretation of  $\sum_{j=0}^{n} \sigma \max(j) M_k(n-j)$  would be appealing.

The limiting case  $k \to \infty$  of Theorem 5.1 gives the following decomposition of  $\sigma \max(n)$ .

**Corollary 5.2.** For 
$$n \ge 0$$
, we have  $\sigma \max(n) = \sum_{j=-\infty}^{\infty} (-1)^j C_3 (n - j(3j - 1)/2)$ .

Using the truncated theta series identity of [2], we prove the following identity which relates  $C'_3(n)$  and the function  $MP_k(n)$  of Section 4.3.

**Theorem 5.3.** *Let k be a positive integer. Given an integer*  $n \ge 0$ *, we have* 

$$(-1)^{k-1}\left(\sum_{j=0}^{2k-1}(-1)^{j(j+1)/2}C_3'(n-j(j+1)/2)-\sigma\max(n)\right)=\sum_{j=0}^n\sigma\max(j)MP_k(n-j).$$

**Corollary 5.4.** For  $n \ge 0$ ,  $\sigma \max(n) = \sum_{j=0}^{\infty} (-1)^{j(j+1)/2} C'_3(n-j(j+1)/2)$ .

#### 5.2 Four colors for the odd parts

Let  $C_4(n)$  be the number of partitions of *n* using 4 colors for the odd parts. The generating function for  $C_4(n)$  is

$$\sum_{n=0}^{\infty} C_4(n)q^n = \frac{1}{(q^2;q^2)_{\infty}(q;q^2)_{\infty}^4}.$$

Then,  $C_4(n)$  and the function  $M_k(n)$  of Section 4.2 are related by the next theorem and its corollary.

**Theorem 5.5.** *Let k be a positive integer. Given an integer*  $n \ge 0$ *, we have* 

$$(-1)^k \left( C_4(n) + 2\sum_{j=1}^k (-1)^j C_4(n-j^2) - \sigma \max(n) \right) = \sum_{j=0}^n C_4(j) \overline{M}_k(n-j).$$

**Corollary 5.6.** For  $n \ge 0$ ,  $\sigma \max(n) = C_4(n) + 2\sum_{j=1}^{\infty} (-1)^j C_4(n-j^2)$ .

Note that the partition functions  $\sigma \max(n)$  and  $C_4(n)$  have the same parity.

#### **5.3** Two colors for parts $\not\equiv 0 \mod 4$

Let  $C_2(n)$  be the number of partitions of *n* using two colors for the parts not congruent to 0 mod 4. The generating function for  $C_2(n)$  is

$$\sum_{n=0}^{\infty} C_2(n) q^n = \frac{(q^4; q^4)_{\infty}}{(q; q)_{\infty}^2}$$

The following identity relating  $C_2(n)$  and  $\overline{M}_k(n)$  follows from the truncated theta identity of [2].

**Theorem 5.7.** *Let k be a positive integer. Given an integer*  $n \ge 0$ *, we have* 

$$(-1)^{k} \left( C_{2}(n) + 2\sum_{j=1}^{k} (-1)^{j} C_{2}(n-2j^{2}) - \sigma \max(n) \right) = \sum_{j=0}^{\lfloor n/2 \rfloor} \overline{M}_{k}(j) \sigma \max(n-2j).$$

**Corollary 5.8.** For  $n \ge 0$ ,  $\sigma \max(n) = C_2(n) + 2\sum_{j=1}^{\infty} (-1)^j C_2(n-2j^2)$ .

We see that the partition functions  $\sigma \max(n)$  and  $C_2(n)$  have the same parity.

#### 5.4 Two colors for the odd parts in partitions into parts $\neq 4 \mod 8$

We denote by  $C_2^*(n)$  the number of partitions of *n* into parts not congruent to 4 mod 8 using two colors for the odd parts. The generating function for  $C_2^*(n)$  is given by

$$\sum_{n=0}^{\infty} C_2^*(n) q^n = \frac{1}{(q^2, q^6, q^8; q^8)_{\infty}(q; q^2)_{\infty}^2}$$

The proof of following theorem relating  $C_2^*(n)$  and  $MP_k$  again uses results from [2].

**Theorem 5.9.** *Let k be a positive integer. Given an integer*  $n \ge 0$ *, we have* 

$$(-1)^{k-1}\left(\sum_{j=0}^{2k-1}(-1)^{j(j+1)/2}C_2^*(n-j(j+1)) - \sigma \max(n)\right) = \sum_{j=0}^{\lfloor n/2 \rfloor} MP_k(j)\sigma \max(n-2j).$$

**Corollary 5.10.** For  $n \ge 0$ ,  $\sigma \max(n) = \sum_{j=0}^{\infty} (-1)^{j(j+1)/2} C_2^* (n - j(j+1)).$ 

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