

# Positroids, knots, and $q, t$ -Catalan numbers

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**Abstract.** We relate the mixed Hodge structure on the cohomology of open positroid varieties (in particular, their Betti numbers over  $\mathbb{C}$  and point counts over  $\mathbb{F}_q$ ) to Khovanov–Rozansky homology of the associated links. We deduce that the mixed Hodge polynomials of top-dimensional open positroid varieties are given by rational  $q, t$ -Catalan numbers. Via the curious Lefschetz property, this implies the  $q, t$ -symmetry and unimodality properties of rational  $q, t$ -Catalan numbers. We show that the  $q, t$ -symmetry phenomenon is a manifestation of Koszul duality for category  $\mathcal{O}$ , and discuss relations with equivariant derived categories of flag varieties, and open Richardson varieties.

**Keywords:** Positroid varieties,  $q, t$ -Catalan numbers, HOMFLY polynomial, Khovanov–Rozansky homology, mixed Hodge structure, equivariant cohomology, Koszul duality.

## 1 Introduction

The Poincaré polynomial of the complex Grassmannian  $\text{Gr}(k, n)$  is well known to be given by the Gaussian polynomial  $[n]_q$ . The number of points of  $\text{Gr}(k, n)$  over a finite field  $\mathbb{F}_q$  is given by the same polynomial. The reason these two polynomials coincide is that the mixed Hodge structure on the cohomology of  $\text{Gr}(k, n)$  is pure. The situation is different when one considers the top-dimensional positroid variety  $\Pi_{k,n}^\circ \subset \text{Gr}(k, n)$ , introduced in [18] building on the results of [25]. The space  $\Pi_{k,n}^\circ$  consists of row spans of full rank  $k \times n$  matrices whose cyclically consecutive maximal minors are all nonzero. It turns out that the Poincaré polynomial and the point count of  $\Pi_{k,n}^\circ$  are given by two different  $q$ -analogs of (rational) Catalan numbers: one of our main results is that when  $\gcd(k, n) = 1$ , the Poincaré polynomial of  $\Pi_{k,n}^\circ$  is given by  $\sum_{P \in \text{Dyck}_{k,n-k}} q^{\text{area}(P)}$  while the number of points of  $\Pi_{k,n}^\circ$  over  $\mathbb{F}_q$  equals  $\frac{1}{[n]_q} [n]_q$ , up to a simple factor. The mixed Hodge structure on  $H^\bullet(\Pi_{k,n}^\circ)$  is non-pure, and we show that its bigraded Poincaré polynomial  $\mathcal{P}(\Pi_{k,n}^\circ; q, t)$  coincides with the rational  $q, t$ -Catalan number  $C_{k,n-k}(q, t)$  introduced

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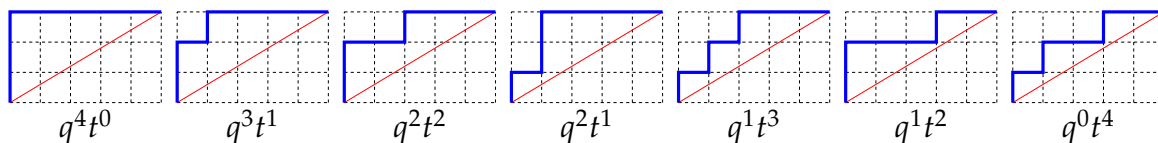


Figure 1: Computing the rational  $q, t$ -Catalan number  $C_{3,5}(q, t)$ .

in [9, 21]. Our proof proceeds via relating both sides to Khovanov–Rozansky knot homology [17]. Our results apply more generally to arbitrary positroid and Richardson varieties.

## 2 Positroid varieties and Catalan numbers

**Rational  $q, t$ -Catalan numbers.** Let  $a$  and  $b$  be coprime positive integers. The *rational  $q, t$ -Catalan number*  $C_{a,b}(q, t) \in \mathbb{N}[q, t]$  was introduced by Loehr–Warrington [21], generalizing the work of Garsia–Haiman [9]. It is defined as follows:

$$C_{a,b}(q, t) := \sum_{P \in \text{Dyck}_{a,b}} q^{\text{area}(P)} t^{\text{dinv}(P)}, \quad (2.1)$$

where  $\text{Dyck}_{a,b}$  is the set of lattice paths  $P$  inside a rectangle of height  $a$  and width  $b$  that stay above the diagonal,  $\text{area}(P)$  is the number of unit squares fully contained between  $P$  and the diagonal, and  $\text{dinv}(P)$  is the number of pairs  $(h, v)$  satisfying the following conditions:  $h$  is a horizontal step of  $P$ ,  $v$  is a vertical step of  $P$  that appears to the right of  $h$ , and there exists a line of slope  $a/b$  (parallel to the diagonal) intersecting both  $h$  and  $v$ . For example, Figure 1 shows that

$$C_{3,5}(q, t) = q^4 + q^3t + q^2t^2 + q^2t + qt^3 + qt^2 + t^4. \quad (2.2)$$

**Positroid varieties in the Grassmannian.** The *Grassmannian*  $\text{Gr}(k, n)$  is the space of linear  $k$ -dimensional subspaces of  $\mathbb{C}^n$ . Alternatively, it can be identified with the space of full rank  $k \times n$  matrices modulo row operations. Building on Postnikov’s cell decomposition [25] of its totally nonnegative part, Knutson–Lam–Speyer [18] constructed a stratification  $\text{Gr}(k, n) = \bigsqcup_{f \in S_{k,n}} \Pi_f^\circ$  of the Grassmannian into (*open*) *positroid varieties*. Roughly speaking,<sup>1</sup> each positroid variety  $\Pi_f^\circ$  corresponds to a permutation  $f \in S_n$  such that  $\#\{1 \leq i \leq n \mid f(i) < i\} = k$ ; the set of such permutations is denoted by  $S_{k,n}$ . For

<sup>1</sup>More precisely, positroid varieties are in bijection with *decorated* permutations, where a decoration of  $f$  is an arbitrary coloring of fixed points of  $f$  into black and white colors. The actual set  $S_{k,n}$  consists of decorated permutations  $f$  satisfying  $\#\{1 \leq i \leq n \mid f(i) < i\} + \#\{\text{black fixed points of } f\} = k$ . The most interesting special case for us occurs when  $f$  is a single cycle, where the decoration is trivial.

each  $f \in S_{k,n}$ , the space  $\Pi_f^\circ$  is a smooth algebraic variety. Two basic questions one can ask about such a space are: what is the number of points in  $\Pi_f^\circ(\mathbb{F}_q)$  over a finite field  $\mathbb{F}_q$  with  $q$  elements, and what is the cohomology of  $\Pi_f^\circ$  considered as a variety over  $\mathbb{C}$ ?

These two questions turn out to be closely related to each other through the work of Deligne [4] on *mixed Hodge structures*, explored in the case of cluster varieties in [19]. Since the work of Scott [27], positroid varieties have been expected to admit a natural *cluster algebra* structure arising from Postnikov diagrams. We recently proved this conjecture building on the results of [20, 23, 28].

**Theorem 2.1** ([7]). *The coordinate ring of each positroid variety  $\Pi_f^\circ$  is isomorphic to the associated cluster algebra.*

This result allows one to study  $\Pi_f^\circ$  as a *cluster variety*, in which case Deligne's mixed Hodge structure can be explored using the machinery developed by Lam–Speyer [19]. The mixed Hodge structure endows the cohomology  $H^\bullet(\Pi_f^\circ)$  of  $\Pi_f^\circ$  with a second grading, and the suitably renormalized Poincaré polynomial  $\mathcal{P}(\Pi_f^\circ; q, t)$  of this bigraded vector space answers both of the above questions simultaneously:

**Theorem 2.2** ([19, 7]). *For each  $f \in S_{k,n}$ , the bigraded Poincaré polynomial  $\mathcal{P}(\Pi_f^\circ; q, t) \in \mathbb{N}[q^{\frac{1}{2}}, t^{\frac{1}{2}}]$  satisfies the following properties:*

- (i)  $q, t$ -symmetry:  $\mathcal{P}(\Pi_f^\circ; q, t) = \mathcal{P}(\Pi_f^\circ; t, q)$ ;
- (ii)  $q, t$ -unimodality: for each  $d$ , the coefficients of  $\mathcal{P}(\Pi_f^\circ; q, t)$  at  $q^d t^0, q^{d-1} t^1, \dots, q^0 t^d$  form a unimodal sequence;
- (iii)  $\mathcal{P}(\Pi_f^\circ; q^2, 1)$  equals the Poincaré polynomial of  $\Pi_f^\circ$  (considered as a variety over  $\mathbb{C}$ );
- (iv)  $q^{\frac{1}{2} \dim \Pi_f^\circ} \cdot \mathcal{P}(\Pi_f^\circ; q, t)|_{t^{\frac{1}{2}} = -q^{-\frac{1}{2}}}$  equals the point count  $\#\Pi_f^\circ(\mathbb{F}_q)$ .

The positroid stratification contains a unique open stratum, the *top-dimensional positroid variety*  $\Pi_{k,n}^\circ := \Pi_{f_{k,n}}^\circ$  corresponding to the permutation  $f_{k,n} \in S_{k,n}$  sending  $i \mapsto i + k$  modulo  $n$  for all  $1 \leq i \leq n$ . It is given by

$$\Pi_{k,n}^\circ := \{\text{RowSpan}(A) \mid A \in \text{Mat}(k, n; \mathbb{C}) : \Delta_{1,2,\dots,k}(A), \Delta_{2,3,\dots,k+1}(A), \dots, \Delta_{n,1,\dots,k-1}(A) \neq 0\}.$$

Here  $\Delta_I(A)$  is the maximal minor of  $A$  with column set  $I \subset \{1, 2, \dots, n\}$ ,  $|I| = k$ . We are ready to state (the most important special case of) our main result.

**Theorem 2.3.** *Assume that  $\gcd(k, n) = 1$ . Then*

$$\mathcal{P}(\Pi_{k,n}^\circ; q, t) = \left(q^{\frac{1}{2}} + t^{\frac{1}{2}}\right)^{n-1} C_{k,n-k}(q, t). \quad (2.3)$$

The equality (2.3) arises as a conjecture from the works [30, 29] and we thank Vivek Shende for drawing our attention to the conjecture. We generalize [Theorem 2.3](#) to all positroid varieties in [Theorem 4.2](#) below.

Our proof of [Theorem 2.3](#) involves a number of ingredients, including Khovanov–Rozansky knot homology and equivariant perverse sheaves. The point count specialization ( $t^{\frac{1}{2}} = -q^{-\frac{1}{2}}$ ) turns out to require less advanced machinery. Namely, let us denote  $[n]_q := 1 + q + \cdots + q^{n-1}$ ,  $[n]_q! := [1]_q [2]_q \cdots [n]_q$ , and  $\begin{bmatrix} n \\ k \end{bmatrix}_q := \frac{[n]_q!}{[k]_q! [n-k]_q!}$ . We give an elementary proof of the following special case of [Theorem 2.3](#).

**Proposition 2.4.** *Assume that  $\gcd(k, n) = 1$ . Then  $\#\Pi_{k,n}^\circ(\mathbb{F}_q) = (q-1)^{n-1} \cdot \frac{1}{[n]_q} \begin{bmatrix} n \\ k \end{bmatrix}_q$ . In other words, the probability that a uniformly random  $k$ -dimensional subspace of  $(\mathbb{F}_q)^n$  belongs to  $\Pi_{k,n}^\circ(\mathbb{F}_q)$  equals  $\frac{(q-1)^n}{q^n-1}$ .*

**Remark 2.5.** Surprisingly, this probability  $\frac{(q-1)^n}{q^n-1}$  does not depend on  $k$ . We do not have a combinatorial explanation for this phenomenon.

Our proof proceeds by associating a link  $\hat{\beta}_f$  to each positroid variety  $\Pi_f^\circ$  ([Section 3](#)) and then comparing the point count  $\#\Pi_f^\circ(\mathbb{F}_q)$  to the HOMFLY polynomial of  $\hat{\beta}_f$ . The HOMFLY polynomial is categorified by Khovanov–Rozansky knot homology, and our proof of [Theorem 2.3](#) may be considered a “categorification” of [Proposition 2.4](#).

**Remark 2.6.** After discovering the proof of [Proposition 2.4](#) via the HOMFLY polynomial, we found that it can also be deduced from the results of [30, 29]. Our proof is new and yields a generalization ([Theorem 3.4](#)) of [Proposition 2.4](#) to arbitrary open positroid varieties.

**Torus action.** The appearance of the extra factor  $(q^{\frac{1}{2}} + t^{\frac{1}{2}})^{n-1}$  in (2.3), as well as the condition  $\gcd(k, n) = 1$  are neatly explained by the *torus action* on  $\text{Gr}(k, n)$ . Let  $T \cong (\mathbb{C}^*)^{n-1}$  be the quotient of the group of diagonal  $n \times n$  matrices by the group of scalar matrices. The group  $T$  acts on  $\text{Gr}(k, n)$  by rescaling the columns of  $k \times n$  matrices. This action leaves each positroid variety  $\Pi_f^\circ$  invariant. We say that  $T$  acts *freely* on  $\Pi_f^\circ$  if all non-identity elements of  $T$  act on  $\Pi_f^\circ$  without fixed points. It is straightforward to check that the action of  $T$  on  $\Pi_f^\circ$  is free if and only if the permutation  $f$  is a single cycle. Note that  $f_{k,n}$  is a single cycle if and only if  $\gcd(k, n) = 1$ . We will see later in [Definition 3.2](#) that  $f$  is a single cycle precisely when the associated link  $\hat{\beta}_f$  has a single component, i.e., is a knot.

Let  $\text{ncyc}(f)$  denote the number of cycles of  $f \in S_n$ , and let  $S_{k,n}^{\text{ncyc}=1} := \{f \in S_{k,n} \mid \text{ncyc}(f) = 1\}$ . For  $f \in S_{k,n}^{\text{ncyc}=1}$ , the quotient  $\Pi_f^\circ/T$  is again a smooth cluster variety, and [Theorem 2.2](#) applies to it. The associated bigraded Poincaré polynomials are related as

$\mathcal{P}(\Pi_f^\circ; q, t) = \left(q^{\frac{1}{2}} + t^{\frac{1}{2}}\right)^{n-1} \cdot \mathcal{P}(\Pi_f^\circ/T; q, t)$ . In particular, in the setting of [Theorem 2.3](#), we find

$$\mathcal{P}(\Pi_{k,n}^\circ/T; q, t) = C_{k,n-k}(q, t). \quad (2.4)$$

Combining this with [Theorem 2.2](#) has consequences for  $q, t$ -Catalan numbers and positroid varieties which can be stated in an elementary way. Let us denote  $d_{k,n} := (k-1)(n-k-1) = \dim(\Pi_{k,n}^\circ/T)$ .

**Corollary 2.7.** *Assume that  $\gcd(k, n) = 1$ . We have:*

- (i)  $q, t$ -symmetry:  $C_{k,n-k}(q, t) = C_{k,n-k}(t, q)$ ;
- (ii)  $q, t$ -unimodality: for each  $d$ , the coefficients of  $C_{k,n-k}(q, t)$  at  $q^d t^0, q^{d-1} t^1, \dots, q^0 t^d$  form a unimodal sequence;
- (iii) the Poincaré polynomial of  $\Pi_{k,n}^\circ/T$  is given by

$$\sum_d q^{\frac{d}{2}} \dim H^{d_{k,n}-d}(\Pi_{k,n}^\circ/T) = C_{k,n-k}(q, 1) = \sum_{P \in \text{Dyck}_{k,n-k}} q^{\text{area}(P)}; \quad (2.5)$$

- (iv) the number of  $\mathbb{F}_q$ -points of  $\Pi_{k,n}^\circ/T$  is given by

$$\#(\Pi_{k,n}^\circ/T)(\mathbb{F}_q) = \frac{1}{[n]_q} \begin{bmatrix} n \\ k \end{bmatrix}_q = q^{\frac{1}{2}d_{k,n}} \cdot C_{k,n-k}(q, 1/q). \quad (2.6)$$

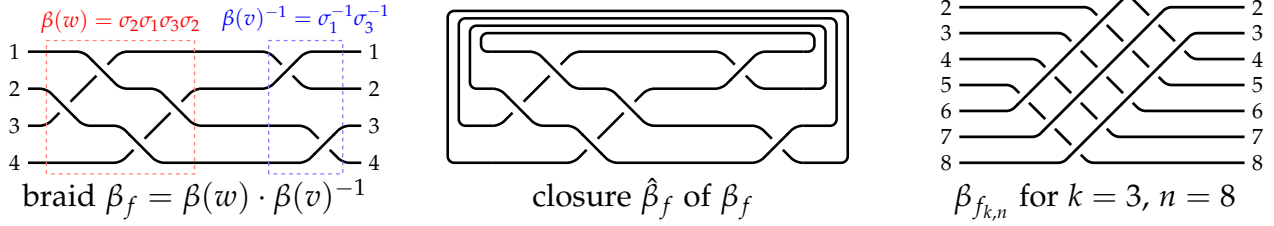
**Remark 2.8.** When  $a = n$  and  $b = n+1$ ,  $C_{a,b}(q, t)$  recovers the famous  $q, t$ -Catalan numbers  $C_n(q, t)$  of Garsia and Haiman [9]. The fact that  $C_n(q, t)$  is  $q, t$ -symmetric and  $q, t$ -unimodal follows from the results of Haiman [14, 13]. For arbitrary  $a, b$ , the  $q, t$ -symmetry property follows from the celebrated recent proof of the rational shuffle conjecture [22]. To our knowledge,  $q, t$ -unimodality of  $C_{k,n-k}(q, t)$  is a new result.

**Example 2.9.** For  $k = 3, n = 8$ , the coordinate ring of  $\Pi_{k,n}^\circ/T$  is a cluster algebra of type  $E_8$  (with no frozen variables). The associated *mixed Hodge table* recording the dimensions of  $H^{k,(p,p)}(\Pi_{3,8}^\circ/T)$  has the following form.

$H^k$	$H^0$	$H^1$	$H^2$	$H^3$	$H^4$	$H^5$	$H^6$	$H^7$	$H^8$
$k-p=0$	1	0	1	0	1	0	1	0	1
$k-p=1$					1	0	1		

See [19, Table 5]. The grading conventions are chosen so that the first row contributes  $q^4 + q^3 t + q^2 t^2 + q t^3 + t^4$  while the second row contributes  $q^2 t + q t^2$  to  $\mathcal{P}(\Pi_{k,n}^\circ; q, t)$ . Comparing the result with (2.2), we find  $\mathcal{P}(\Pi_{k,n}^\circ/T; q, t) = C_{k,n-k}(q, t)$ .

The polynomial  $C_{3,5}(q, t)$  given in (2.2) is indeed  $q, t$ -symmetric and  $q, t$ -unimodal: fixing the total degree of  $q$  and  $t$ , it splits into polynomials  $q^4 + q^3 t + q^2 t^2 + q t^3 + t^4$  and  $q^2 t + q t^2$ . We also have  $C_{3,5}(q, 1) = q^4 + q^3 + 2q^2 + 2q + 1$ ; the coefficient of  $q^{d/2}$  is equal to  $\dim H^{d_{k,n}-d}(\Pi_{k,n}^\circ/T)$  for each  $d$ .



**Figure 2:** Braids and links associated to positroid varieties.

### 3 Links associated to positroid varieties

In order to explain how knot theory comes into play, we need a way to represent  $f \in S_{k,n}$  in a slightly different form. Let us say that a permutation  $w \in S_n$  is  $k$ -Grassmannian if  $w^{-1}(1) < w^{-1}(2) < \dots < w^{-1}(k)$  and  $w^{-1}(k+1) < \dots < w^{-1}(n)$ . We denote by  $\leq$  the (strong) Bruhat order on  $S_n$ . The following result is well known.

**Proposition 3.1** ([18]). *For every  $f \in S_{k,n}$ , there exists a unique<sup>2</sup> pair of permutations  $v, w \in S_n$  such that  $v \leq w$ ,  $w$  is  $k$ -Grassmannian, and  $f = wv^{-1}$ .*

For example, when  $f = f_{k,n}$ , we have  $w = f$  and  $v = \text{id}$ . The dimension of  $\Pi_f^\circ$  equals  $\ell_{v,w} := \ell(w) - \ell(v)$ , where  $\ell(w)$  is the number of inversions of  $w$ .

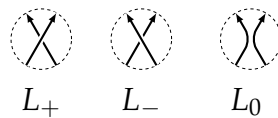
The group  $S_n$  is generated by simple transpositions  $s_i = (i, i+1)$  for  $1 \leq i \leq n-1$ . Similarly, let  $\mathcal{B}_n$  be the braid group on  $n$  strands, generated by  $\sigma_1, \dots, \sigma_{n-1}$  with relations  $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$  and  $\sigma_i \sigma_j = \sigma_j \sigma_i$  for  $|i-j| > 1$ . Connecting the corresponding endpoints of a braid  $\beta$  gives rise to a link called the closure  $\hat{\beta}$  of  $\beta$ ; see Figure 2.

For each element  $u \in S_n$ , let  $\beta(u)$  denote the corresponding braid, obtained by choosing a reduced word  $u = s_{i_1} s_{i_2} \dots s_{i_{\ell(u)}}$  for  $u$  and then replacing each  $s_i$  with  $\sigma_i$ .

**Definition 3.2.** For  $f \in S_{k,n}$  and  $v \leq w \in S_n$  as in Proposition 3.1, let  $\beta_f := \beta(w) \cdot \beta(v)^{-1}$ . We refer to the closure  $\hat{\beta}_f$  as the link associated to  $f$ . See Figure 2 for an example.

Observe that  $\hat{\beta}_f$  is a knot (i.e., has one connected component) if and only if  $f \in S_{k,n}^{\text{nyc}=1}$ . We note that two other (more complicated) ways of assigning a Legendrian or a transverse link to a positroid variety have appeared recently in [29, 6].

The HOMFLY polynomial  $P(L) = P(L; a, z)$  of an (oriented) link  $L$  is defined by a skein relation  $aP(L_+) - a^{-1}P(L_-) = zP(L_0)$  and  $P(\bigcirc) = 1$ , where  $\bigcirc$  denotes the unknot and  $L_+, L_-, L_0$  are three links whose planar diagrams locally differ as follows:



<sup>2</sup>If  $f$  has fixed points, the pair  $(v, w)$  must be compatible with the decoration of  $f$ .



**Example 3.3.** For  $n = 2$ , we may take  $L_+$  to be the closure of  $\sigma_1$ , in which case  $L_-$  is the closure of  $\sigma_1^{-1}$  and  $L_0 = \bigcirc \bigcirc$  is the 2-component unlink. Applying the skein relation, we find  $P(L_0) = \frac{a-a^{-1}}{z}$ .

Surprisingly, the HOMFLY polynomial computes the number of  $\mathbb{F}_q$ -points of any positroid variety.

**Theorem 3.4.** For all  $f \in S_{k,n}$ , let  $P_f^{\text{top}}(q)$  be obtained from the top  $a$ -degree term of  $P(\hat{\beta}_f; a, z)$  by substituting  $a := q^{-\frac{1}{2}}$  and  $z := q^{\frac{1}{2}} - q^{-\frac{1}{2}}$ . Then  $\#\Pi_f^\circ(\mathbb{F}_q) = (q-1)^{n-1} \cdot P_f^{\text{top}}(q)$ .

**Remark 3.5.** When  $\gcd(k, n) = 1$ , we have  $f_{k,n} \in S_{k,n}^{\text{ncyc}=1}$ , and the associated knot  $\hat{\beta}_{f_{k,n}}$  is the  $(k, n-k)$ -torus knot; see [Figure 2\(right\)](#). The value of  $P(\hat{\beta}_{f_{k,n}}; a, z)$  was computed in [\[15\]](#), and its relationship with Catalan numbers was clarified in [\[10\]](#). Thus [Proposition 2.4](#) follows from [Theorem 3.4](#) as a direct corollary.

**Example 3.6.** For  $k = 3, n = 8$ , one calculates (for instance, using Sage<sup>3</sup>) that the top  $a$ -degree term of  $P(\hat{\beta}_{f_{k,n}}; a, z)$  equals  $\frac{z^8 + 8z^6 + 21z^4 + 21z^2 + 7}{a^8}$ . Substituting  $a := q^{-\frac{1}{2}}$  and  $z := q^{\frac{1}{2}} - q^{-\frac{1}{2}}$ , we get

$$P_f^{\text{top}}(q) = q^8 + q^6 + q^5 + q^4 + q^3 + q^2 + 1 = q^4 \cdot C_{3,5}(q, 1/q).$$

This agrees with [\(2.6\)](#) and [Theorem 3.4](#).

**Links associated to Richardson varieties.** By [Proposition 3.1](#), positroid varieties correspond to pairs  $v \leq w$  of permutations such that  $w$  is  $k$ -Grassmannian. There is a more general class of (open) Richardson varieties  $R_{v,w}^\circ$ , indexed by all pairs  $v \leq w \in S_n$ , and the majority of the above story generalizes to this setting. The varieties  $R_{v,w}^\circ$  form a stratification of the complete flag variety  $\text{Fl}_n(\mathbb{C})$ . For a permutation  $f \in S_{k,n}$  corresponding to a pair  $v \leq w \in S_n$  via [Proposition 3.1](#), the projection map  $\text{Fl}_n(\mathbb{C}) \rightarrow \text{Gr}(k, n)$  restricts to an isomorphism  $\Pi_f^\circ \cong R_{v,w}^\circ$ . Thus positroid varieties are special cases of Richardson varieties.

Now, let  $G$  be a complex semisimple algebraic group of adjoint type, and choose a pair  $B, B_- \subset G$  of opposite Borel subgroups. Let  $T := B \cap B_-$  be the maximal torus and  $W := N_G(T)/T$  the associated Weyl group. For the case  $G = \text{SL}_n(\mathbb{C})$ , we have  $W = S_n$ , the subgroups  $B, B_- \subset G$  consist of upper and lower triangular matrices, and  $T \cong (\mathbb{C}^*)^{n-1}$  is the group of diagonal matrices modulo scalar matrices.<sup>4</sup> We have Bruhat decompositions  $G = \bigsqcup_{w \in W} BwB = \bigsqcup_{v \in W} B_-vB$ , and the intersection  $BwB \cap B_-vB$  is

<sup>3</sup><https://doc.sagemath.org/html/en/reference/knots/sage/knots/link.html>

<sup>4</sup>Note that  $G$  is assumed to be of adjoint type, thus in type  $A$  we should have  $G = \text{PGL}_n(\mathbb{C})$ . However, we choose to work with  $G = \text{SL}_n(\mathbb{C})$  for simplicity.

nonempty if and only if  $v \leq w$  in the Bruhat order on  $W$ . For  $v \leq w$ , we denote by  $R_{v,w}^\circ := (BwB \cap B_{-v}B)/B$  an *open Richardson variety* inside the *complete flag variety*  $G/B$ . For  $G = \mathrm{SL}_n(\mathbb{C})$ ,  $R_{v,w}^\circ$  is the subset of  $G/B = \mathrm{Fl}_n(\mathbb{C})$  obtained by specifying the dimensions of the intersections of a given flag with a given coordinate flag and its opposite coordinate flag.

In the case  $G = \mathrm{SL}_n(\mathbb{C})$ , one can similarly associate a braid  $\beta_{v,w} := \beta(w) \cdot \beta(v)^{-1}$  to any pair  $v \leq w$  and consider its closure  $\hat{\beta}_{v,w}$ . We refer to the links of the form  $\hat{\beta}_{v,w}$  as *Richardson links*. The point count  $\#R_{v,w}^\circ(\mathbb{F}_q)$  is given by the *Kazhdan–Lusztig R-polynomial* [16], and both the statement and the proof of [Theorem 3.4](#) generalize to this setting.

## 4 Main results

All of the above results are actually special cases of a single statement which applies to arbitrary Richardson varieties. This includes all positroid varieties  $\Pi_f^\circ$  for  $f \in S_{k,n}$ , where  $\mathrm{ncyc}(f)$  can be arbitrary. As a warm up, we start with the non-equivariant version.

**Ordinary cohomology.** Let  $\mathfrak{h} := \mathrm{Lie}(T)$  be the Cartan subalgebra of  $\mathrm{Lie}(G)$  corresponding to  $T$ , and denote  $R := \mathbb{C}[\mathfrak{h}^*]$ . For  $G = \mathrm{SL}_n(\mathbb{C})$ ,  $R = \mathbb{C}[y_1, \dots, y_{n-1}]$  is the polynomial ring. Since  $W$  is a Coxeter group, we can consider the category  $\mathrm{SBim}$  of *Soergel bimodules*. Each element  $B \in \mathrm{SBim}$  is a graded  $R$ -bimodule, and we will be interested in its  $R$ -invariants, which by definition form the *zeroth Hochschild cohomology*  $HH^0(B)$  of  $B$ . Denote  $HH_{\mathbb{C}}^0(B) := HH^0(B) \otimes_R \mathbb{C}$ , where  $\mathbb{C} = R/(\mathfrak{h}^*)$  is an  $R$ -module on which  $\mathfrak{h}^*$  acts by 0. While the functor  $HH^0$  involves Soergel bimodules, the functor  $HH_{\mathbb{C}}^0$  involves *Soergel modules* instead.

To any element  $w \in W$ , Rouquier [26] associates a cochain complex  $F^\bullet(w)$  of Soergel bimodules. He also associates another complex  $F^\bullet(w)^{-1}$  such that their tensor product  $F^\bullet(w) \otimes_R F^\bullet(w)^{-1}$  is homotopic to the identity. For a braid  $\beta_{v,w} = \beta(w) \cdot \beta(v)^{-1}$ , we set  $F_{v,w}^\bullet := F^\bullet(w) \otimes_R F^\bullet(v)^{-1}$ . Applying the functor  $HH_{\mathbb{C}}^0$  to each term of this complex yields a complex  $HH_{\mathbb{C}}^0(F_{v,w}^\bullet)$  of graded  $R$ -modules. Taking its cohomology  $HHH_{\mathbb{C}}^0(F_{v,w}^\bullet) := H^\bullet(HH_{\mathbb{C}}^0(F_{v,w}^\bullet))$ , we get a bigraded  $R$ -module. We denote by  $H^{k,(p)}(HH_{\mathbb{C}}^0(F_{v,w}^\bullet))$  the polynomial degree  $2p$  part of  $H^k(HH_{\mathbb{C}}^0(F_{v,w}^\bullet))$ . By convention, the elements of  $\mathfrak{h}^* \subset R$  are assumed to have polynomial degree 2. On the other hand, let us denote by  $H^{k,(p,p)}(R_{v,w}^\circ)$  the  $(p, p)$  part of the mixed Hodge structure on  $H^k(R_{v,w}^\circ)$ . See [Example 2.9](#).

**Theorem 4.1.** *For all  $v \leq w \in W$  and  $k, p \in \mathbb{Z}$ , we have*

$$\dim H^{k,(p,p)}(R_{v,w}^\circ) = \dim H^{-k,(p)}(HH_{\mathbb{C}}^0(F_{v,w}^\bullet)). \quad (4.1)$$



**Equivariant cohomology.** The spaces  $HHH^0(F_{v,w}^\bullet)$  and  $HHH_C^0(F_{v,w}^\bullet)$  are closely related. By [Theorem 4.1](#),  $HHH_C^0(F_{v,w}^\bullet)$  yields the cohomology of  $R_{v,w}^\circ$ . It turns out that  $HHH^0(F_{v,w}^\bullet)$  yields the *torus-equivariant* cohomology of  $R_{v,w}^\circ$ .

The algebraic torus  $T$  acts on each Richardson variety  $R_{v,w}^\circ$ , and thus we can consider its  *$T$ -equivariant cohomology with compact support*, denoted  $H_{T,c}^\bullet(R_{v,w}^\circ)$ . It is equipped with an action of the ring  $H_{T,c}^\bullet(\text{pt}) \cong R$ . Similarly to the positroid case,  $H_{T,c}^\bullet(R_{v,w}^\circ)$  admits a second grading via the mixed Hodge structure and is therefore a bigraded  $R$ -module.

**Theorem 4.2.** *For all  $v \leq w \in W$ , we have an isomorphism of bigraded  $R$ -modules*

$$H_{T,c}^\bullet(R_{v,w}^\circ) \cong HHH^0(F_{v,w}^\bullet). \quad (4.2)$$

*It restricts to a vector space isomorphism  $H_{T,c}^{\ell_{v,w}+2p+k,(p,p)}(R_{v,w}^\circ) \cong H^{k,(p)}(HH^0(F_{v,w}^\bullet))$  for each  $k, p \in \mathbb{Z}$ , where  $\ell_{v,w} = \ell(w) - \ell(v) = \dim R_{v,w}^\circ$ .*

**Koszul duality and  $q, t$ -symmetry.** One can encode the dimensions of bigraded components of  $HHH^0(F^\bullet(\beta))$ , resp.,  $HHH_C^0(F^\bullet(\beta))$  in a two-variable polynomial  $\mathcal{P}_{\text{KR}}^{\text{top}}(\beta; q, t)$ , resp.,  $\mathcal{P}_{\text{KR};\mathbb{C}}^{\text{top}}(\beta; q, t)$ .<sup>5</sup> For any  $f \in S_{k,n}^{\text{ncyc}=1}$ , the positroid variety  $\Pi_f^\circ/T$  is a cluster variety [7], so the polynomial  $\mathcal{P}_{\text{KR}}^{\text{top}}(\hat{\beta}_f; q, t)$  satisfies the properties (i)–(iv) listed in [Theorem 2.2](#) by the results of [19]. In particular, it is  $q, t$ -symmetric and  $q, t$ -unimodal.

Richardson varieties are not yet known to admit cluster structures (see [20]), in particular, it does not follow from [Theorem 2.2](#) that  $\mathcal{P}_{\text{KR}}^{\text{top}}(\hat{\beta}_{v,w}; q, t)$  is  $q, t$ -symmetric for arbitrary  $v \leq w \in S_n$ . We show that the  $q, t$ -symmetry phenomenon for such links is a manifestation of *Koszul duality* for mixed perverse sheaves [1, 2].

**Theorem 4.3** (Koszul duality). *For any  $v \leq w \in S_n$ , we have*

$$\mathcal{P}_{\text{KR};\mathbb{C}}^{\text{top}}(\beta_{v,w}; q, t) = \mathcal{P}_{\text{KR};\mathbb{C}}^{\text{top}}(\beta_{v,w}; t, q).$$

If  $\hat{\beta}_{v,w}$  is a knot then it follows that  $\mathcal{P}_{\text{KR}}^{\text{top}}(\hat{\beta}_{v,w}; q, t) = \mathcal{P}_{\text{KR}}^{\text{top}}(\hat{\beta}_{v,w}; t, q)$ . This gives a new proof of the  $q, t$ -symmetry of  $C_{k,n-k}(q, t)$  for  $\gcd(k, n) = 1$ .

## 5 Catalan numbers associated to positroid varieties

An important combinatorial consequence of our results is an embedding of rational  $q, t$ -Catalan numbers  $C_{k,n-k}(q, t)$  into a family of  $q, t$ -polynomials  $\mathcal{P}(\Pi_f^\circ/T; q, t) \in \mathbb{N}[q^{\frac{1}{2}}, t^{\frac{1}{2}}]$  indexed by permutations  $f \in S_{k,n}^{\text{ncyc}=1}$  (all of which are  $q, t$ -symmetric and  $q, t$ -unimodal).

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<sup>5</sup>The polynomial  $\mathcal{P}_{\text{KR}}^{\text{top}}(\beta; q, t)$  the top  $a$ -degree coefficient of *Khovanov–Rozansky homology* [17] of  $\hat{\beta}$ .

**Definition 5.1.** For  $f \in S_{k,n}^{\text{nyc}=1}$ , define the  $f$ -Catalan number  $C_f \in \mathbb{Z}$  as the  $q = 1$  specialization of the point count polynomial  $\#(\Pi_f^\circ/T)(\mathbb{F}_q)$ .

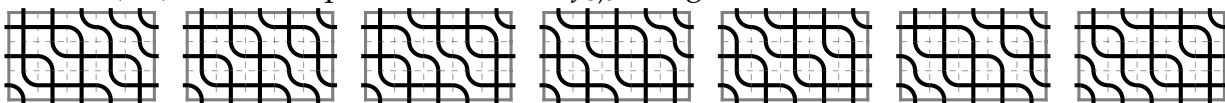
Let us focus on the case  $f = f_{k,n}$  with  $\gcd(k, n) = 1$ . We will show that  $C_{f_{k,n}} = C_{k,n-k}(1, 1) = \#\text{Dyck}_{k,(n-k)}$  counts certain pipe dreams inside a  $k \times (n - k)$  rectangle. This interpretation extends to arbitrary  $f \in S_{k,n}$  in a straightforward fashion.

**Definition 5.2.** Let  $\gcd(k, n) = 1$ . A *maximal  $f_{k,n}$ -Deogram* (short for *Deodhar diagram*) is a way of placing  $n - 1$  elbows in a  $k \times (n - k)$  rectangle and filling the rest with crossings so that (i) the resulting permutation obtained by following the paths is the identity, and (ii) the following *distinguished condition* [5] is satisfied: if any two paths have crossed an odd number of times, they cannot form an elbow.

Denote the set of maximal  $f_{k,n}$ -Deograms by  $\text{Deo}_{f_{k,n}}^{\max}$ . It follows by combining our results with [5] that  $C_{f_{k,n}}$  equals the number of maximal  $f_{k,n}$ -Deograms:

$$C_{f_{k,n}} = \#\text{Deo}_{f_{k,n}}^{\max}. \quad (5.1)$$

An analogous result holds for arbitrary  $f \in S_{k,n}$ . It would be interesting to give a bijective proof of (5.1). For example, the maximal  $f_{3,8}$ -Deograms are shown below.



According to (5.1), these objects are in bijection with Dyck paths in Figure 1.

**Problem 5.3.** Find a bijection between  $\text{Deo}_{f_{k,n}}^{\max}$  and  $\text{Dyck}_{k,(n-k)}$  for the case  $\gcd(k, n) = 1$ .

For the case  $n = 2k + 1$  of the standard Catalan numbers, the maximal  $f_{k,n}$ -Deograms are easily seen (exercise) to be in bijection with *non-crossing alternating trees* on  $n + 1$  vertices. A recursive proof of (5.1) for the case  $n = dk \pm 1$  ( $d \geq 2$ ) was found by David Speyer. We were able to find a recursive proof of (5.1) for arbitrary  $k, n$ . The problem of finding a bijective proof remains open.

**Remark 5.4.** For a class of *repetition-free permutations*, a combinatorial interpretation of the numbers  $C_f$  in terms of Dyck paths avoiding a convex shape was recently given in [8]. We refer to [8, Section 7] for relations between their  $q, t$ -analogs and the results of [3, 11, 12, 24].

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