

## AVOIDING 2-LETTER SIGNED PATTERNS

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ABSTRACT. Let  $B_n$  be the hyperoctahedral group, the set of all signed permutations on  $n$  letters, and let  $B_n(T)$  be the set of all signed permutations in  $B_n$  which avoid a set  $T$  of signed patterns. In this paper, we find all the cardinalities of the sets  $B_n(T)$  where  $T \subseteq B_2$ . Some of the cardinalities encountered involve inverse binomial coefficients, binomial coefficients, Catalan numbers, and Fibonacci numbers.

### 1. INTRODUCTION

Pattern avoidance has proven to be a useful language in a variety of seemingly unrelated problems, from stack sorting [K, T, W] to the theory of Kazhdan–Lusztig polynomials [Br], singularities of Schubert varieties [LS, Bi], Chebyshev polynomials [MV1, and references therein], and rook polynomials [MV2]. On the other hand, signed pattern avoidance has proven to be a useful language in combinatorial statistics defined in type- $B$  noncrossing partitions, enumerative combinatorics, algebraic combinatorics, geometric combinatorics and singularities of Schubert varieties; see [Be, BK, Mo, FK, BS, S, R].

**Restricted permutations.** Let  $S_{\{a_1, \dots, a_n\}}$  be the set of all permutations of the numbers  $a_1, \dots, a_n$ . For simplicity, let us denote by  $S_n$  the set  $S_{\{1, 2, \dots, n\}}$ . Let  $\pi \in S_n$  and  $\tau \in S_k$  be two permutations. An *occurrence* of  $\tau$  in  $\pi$  is a subsequence  $1 \leq i_1 < i_2 < \dots < i_k \leq n$  such that  $(\pi_{i_1}, \dots, \pi_{i_k})$  is order-isomorphic to  $\tau$ ; in such a context  $\tau$  is usually called a *pattern*. We say that  $\pi$  *avoids*  $\tau$ , or is  $\tau$ -*avoiding*, if there is no occurrence of  $\tau$  in  $\pi$ . The set of all  $\tau$ -avoiding permutations in  $S_n$  is denoted  $S_n(\tau)$ . For an arbitrary finite collection of patterns  $T$ , we say that  $\pi$  avoids  $T$  if  $\pi$  avoids any  $\tau \in T$ ; the corresponding subset of  $S_n$  is denoted  $S_n(T)$ .

**Restricted signed permutations.** We will regard the elements of the hyperoctahedral group  $B_n$  as signed permutations written as  $\alpha = \alpha_1 \alpha_2 \dots \alpha_n$  in which each of the symbols  $1, 2, \dots, n$  appears, possibly barred. Clearly, the cardinality of  $B_n$  is  $2^n n!$ . We define the *barring operation* as the one which changes the symbol  $\alpha_i$  to  $\overline{\alpha_i}$  and  $\overline{\alpha_i}$  to  $\alpha_i$ . It is thus an involution, i.e.,  $\overline{\overline{\alpha_i}} = \alpha_i$ . Furthermore, we define the absolute value  $|\alpha_i|$  of  $\alpha_i$  to be  $\alpha_i$  if the symbol  $\alpha_i$  is not barred, and  $\overline{\alpha_i}$  otherwise.

Now let  $\tau \in B_k$  and  $\alpha \in B_n$ ; we say  $\alpha$  contains the signed pattern  $\tau$ , if there is a sequence of  $k$  indices,  $1 \leq i_1 < i_2 < \dots < i_k \leq n$  such that two conditions hold: (1)  $\alpha$  with all bars removed has an occurrence of the pattern  $\tau$  with all bars removed, i.e.,  $|\alpha_{i_p}| > |\alpha_{i_q}|$  if and only if  $|\tau_p| > |\tau_q|$ , for all  $k \geq p > q \geq 1$ ; and (2) in this occurrence,  $\alpha_{i_j}$  is barred if and only if  $\tau_j$  is barred, for all  $1 \leq j \leq k$ . For example,  $\alpha = 21\bar{3}4 \in B_4$  contains the signed patterns  $\bar{1}2$  and  $21$ . If  $\alpha$  does not contain the signed pattern  $\tau$ , then we say that  $\alpha$  avoids the signed pattern  $\tau$  or, alternatively, that  $\alpha$  is a  $\tau$ -avoiding signed permutation. The set of  $\tau$ -avoiding signed permutations in  $B_n$  will be denoted by  $B_n(\tau)$ . More generally we define  $B_n(T) = \bigcap_{\tau \in T} B_n(\tau)$ . We denote the cardinality of  $B_n(T)$  by  $b_n(T)$ .

**Proposition 1.1.** (see [S, Section 3]) *We define three simple operations on signed permutations: the reversal (i.e., reading the permutation right-to-left:  $\alpha_1\alpha_2 \cdots \alpha_n \mapsto \alpha_n\alpha_{n-1} \cdots \alpha_1$ ), the barring (i.e.,  $\alpha_1\alpha_2 \cdots \alpha_n \mapsto \overline{\alpha_1}\overline{\alpha_2} \cdots \overline{\alpha_n}$ ) and the complement (i.e.,  $\alpha_1\alpha_2 \cdots \alpha_n \mapsto \beta_1\beta_2 \cdots \beta_n$  where  $\beta_i = n + 1 - \alpha_i$  if  $\alpha_i$  not barred, otherwise  $\beta_i = n + 1 - |\alpha_i|$ ). Let us denote by  $G_b$  the group which is generated by these three operations. Then every element  $g \in G_b$  is a bijection, which shows that if  $T$  and  $T'$  are both sets of signed patterns in  $B_n$  such that  $T' = g(T) = \{g(\alpha) \mid \alpha \in T\}$ , then  $b_n(T) = b_n(T')$ .*

In the symmetric group  $S_n$ , for every 2-letter pattern  $\tau$  the number of  $\tau$ -avoiding permutations is 1, and for every pattern  $\tau \in S_3$  the number of  $\tau$ -avoiding permutations is given by the Catalan numbers [K]. Simion [S, Section 3] proved that there are similar results for the hyperoctahedral group  $B_n$  (generalized by Mansour [M]): for every 2-letter signed pattern  $\tau$  the number of  $\tau$ -avoiding signed permutations is given by  $\sum_{j=0}^n \binom{n}{j}^2 j!$ . In the present note, we find all the cardinalities  $b_n(T)$  where  $T \subseteq B_2$ . (This exhaustive treatment of cases was suggested by the influential paper of Simion and Schmidt [SS], which followed a similar program for the cardinalities  $s_n(T)$  where  $T \subseteq S_3$ ).

The paper is organized as follows. In Section 2 we find the cardinalities  $b_n(T)$  where  $T \subseteq B_2$  and  $|T| \leq 2$ . In Section 3 we deal with the case that  $|T| = 3$ ; in Section 4 we deal with the case that  $|T| = 4$ ; and, finally, in Section 5 we deal with the case that  $5 \leq |T| \leq 8$ .

## 2. TWO SIGNED PATTERNS

By taking advantage of Proposition 1.1, the question of determining the values  $b_n(\tau)$  for the 8 choices of one 2-letter signed pattern, can be reduced to 2 cases, which are  $\tau = 12$  and  $\tau = \bar{1}2$ . Simion [S, Proposition 3.2] proved for any  $n \geq 0$  that

$$(2.1) \quad b_n(12) = b_n(\bar{1}2) = \sum_{k=0}^n \binom{n}{k}^2 k!.$$

Similarly, the second question of determining the values  $b_n(\tau, \tau')$  for the 28 choices of two 2-letter signed patterns reduces to 8 cases.

**Remark 2.1.** [S, Proposition 3.4] asserted that  $b_n(\{12, 21\}) = 2n!$  and  $b_n(\{1\bar{2}, \bar{1}2\}) = (n+1)!$ . However, in fact,  $b_2(\{12, 21\}) = 6$  and  $b_3(\{1\bar{2}, \bar{1}2\}) = 22$ . Below we present the correction of Simion's assertions.

**Theorem 2.2.** Given two 2-letter signed patterns  $\tau, \tau'$ , the value  $b_n(\tau, \tau')$  for  $n \geq 1$  can be determined from one of the following relations, depending on the orbit (under reversal, barring, complementation) to which the pair  $\tau, \tau'$  belongs:

$$(2.2) \quad b_n(\{12, 21\}) = b_n(\{12, \bar{1}\bar{2}\}) = b_n(\{2\bar{1}, \bar{1}\bar{2}\}) = b_n(\{2\bar{1}, \bar{1}2\}) = (n+1)!;$$

$$(2.3) \quad b_n(\{12, \bar{1}\bar{2}\}) = b_n(\{12, \bar{2}\bar{1}\}) = \binom{2n}{n};$$

$$(2.4) \quad b_n(\{12, \bar{2}\bar{1}\}) = n! + n! \sum_{i=1}^n \left( \frac{1}{i} \sum_{j=0}^{i-1} \frac{1}{j!} \right);$$

$$(2.5) \quad b_n(\{1\bar{2}, \bar{1}2\}) = 2 \sum_{l=1}^n \sum_{i_1+i_2+\dots+i_l=n, i_j \geq 1} \prod_{j=1}^l i_j!.$$

*Proof.* The assertion that  $b_n(\{12, 21\}) = (n+1)!$  follows immediately from [M, Theorem 1]. The other results in (2.2) follow from [S, Proposition 3.4]. By [M, Example 4.8] we get (2.3).

To verify (2.4), let us consider the number of signed permutations  $\alpha \in B_n(\{12, \bar{2}\bar{1}\})$ . If  $\alpha_n$  is barred then  $\alpha$  avoids  $\{12, \bar{2}\bar{1}\}$  if and only if  $(\alpha_1, \dots, \alpha_{n-1})$  avoids  $\{12, \bar{2}\bar{1}\}$ . Hence, there are  $nb_{n-1}(\{12, \bar{2}\bar{1}\})$  such signed permutations. If  $\alpha_n = i$  is unbarred then the smaller symbols  $1, \dots, i-1$  must be barred in  $\alpha$  and the larger symbols  $i+1, \dots, n$  must be unbarred, hence the smaller symbols can be permuted and placed in any of the positions  $1, 2, \dots, n-1$ . This gives  $b_n(\{12, \bar{2}\bar{1}\}) = nb_{n-1}(\{12, \bar{2}\bar{1}\}) + \sum_{i=0}^{n-1} \binom{n-1}{i} i!$  for  $n \geq 1$ . With the base case  $b_0(T) = 1$ , Equation (2.4) follows by induction on  $n$ .

To verify (2.5), let us consider  $\alpha \in B_n(1\bar{2}, \bar{1}2)$ . By induction on  $n$ , it is easy to prove there exists a partition  $\alpha = (\alpha^1, \dots, \alpha^l)$  such that the following conditions hold:

- (1) Every absolute symbol in  $\alpha^j$  is greater than every absolute symbol in  $\alpha^{j+1}$  for all  $j = 1, \dots, l-1$ ;
- (2) Either all the symbols of  $\alpha^j$  are barred, or else all are unbarred;
- (3) The symbols in  $\alpha^j$  are barred if and only if the symbols in  $\alpha^{j+1}$  are unbarred.

Equation (2.5) follows now immediately. □

### 3. THREE SIGNED PATTERNS

By taking advantage of Proposition 1.1, the question of determining the values  $b_n(T)$  where  $T \subset B_2$  and  $|T| = 3$ , for the 56 choices of three 2-letter signed patterns, can be reduced to the following 10 cases:

$$\begin{aligned} T_1 &= \{12, 1\bar{2}, \bar{1}2\}; & T_2 &= \{12, 1\bar{2}, \bar{2}\bar{1}\}; & T_3 &= \{12, 1\bar{2}, 21\}; & T_4 &= \{12, 1\bar{2}, 2\bar{1}\}; \\ T_5 &= \{12, 1\bar{2}, \bar{2}\bar{1}\}; & T_6 &= \{12, 1\bar{2}, 2\bar{1}\}; & T_7 &= \{12, \bar{1}\bar{2}, 21\}; & T_8 &= \{12, \bar{1}\bar{2}, 2\bar{1}\}; \\ & & & & T_9 &= \{12, 2\bar{1}, \bar{2}\bar{1}\}; & T_{10} &= \{1\bar{2}, \bar{1}2, 2\bar{1}\}. \end{aligned}$$

**Theorem 3.1.** *Given a set  $T$  of three 2-letter signed patterns, the value  $b_n(T)$  for  $n \geq 1$  can be determined from one of the following relations, depending on the orbit (under reversal, barring, complementation) to which  $T$  belongs:*

$$(3.1) \quad b_n(T_1) = \sum_{d=0}^n \sum_{i_0+i_1+\dots+i_d=n-d} \prod_{j=0}^d i_d!;$$

$$(3.2) \quad b_n(T_2) = C_{n+1};$$

$$(3.3) \quad b_n(T_3) = n! + n! \sum_{j=1}^n \frac{1}{j};$$

$$(3.4) \quad b_n(T_4) = b_n(T_5) = n! \sum_{j=0}^n \frac{1}{j!};$$

$$(3.5) \quad b_n(T_6) = F_{2n+1};$$

$$(3.6) \quad b_n(T_7) = n^2 + 1;$$

$$(3.7) \quad b_n(T_8) = 2^{n+1} - (n + 1);$$

$$(3.8) \quad b_n(T_9) = b_n(T_{10}) = n! \sum_{j=0}^n \binom{n}{j}^{-1},$$

where  $C_m$  and  $F_m$  are the  $m$ th Catalan and Fibonacci numbers, respectively.

*Proof of Equation (3.1).* Let  $\alpha \in B_n(T_1)$ , and let  $m_0$  be the first unbarred symbol, reading  $\alpha$  from left-to-right. Since  $\alpha \in B_n(T_1)$  we see that  $\alpha = (\alpha^0, m_0, \beta)$ , where  $\beta \in B_{m_0-1}(T_1)$  and  $\alpha^0$  is a permutation of the symbols  $\overline{m_0+1}, \dots, \overline{n}$ . By induction it follows that for any  $\alpha \in B_n(T_1)$  there exist  $0 \leq d \leq n$  and permutations  $\alpha^j$  of the symbols  $\overline{m_j+1}, \overline{m_j+2}, \dots, \overline{m_{j-1}-1}$  for all  $0 \leq j \leq d+1$ , where  $m_{-1} = n+1$ ,  $m_{d+1} = 0$ ,  $0 \leq m_d < m_{d-1} < \dots < m_0 \leq n$  such that  $\alpha = (\alpha^0, m_0, \alpha^1, m_1, \dots, \alpha^d, m_d, \alpha^{d+1})$ . Equation (3.1) follows now immediately.  $\square$

**Corollary 3.2.** *We have that  $b_n(T_1 \cup \{\overline{12}\}) = 2^n$  for all  $n \geq 0$ , and  $b_n(T_1 \cup \{\overline{21}\}) = 1 + \binom{n+1}{2}$  for all  $n \geq 2$ .*

*Proof.* This follows immediately, following the argument of the proof of (3.1).  $\square$

*Proof of Equation (3.2).* A *split permutation* is a permutation  $\pi = (\pi', \pi'') \in S_n$ , where  $\pi'$  and  $\pi''$  are nonempty such that every entry of  $\pi'$  is greater than every entry of  $\pi''$ . For example, 231, 312, and 321 are the split permutations in  $S_3$ .

We first check that the number of non-split 123-avoiding permutations in  $S_n$  (which we denote by  $N_n$ ) is the  $(n-1)$ th Catalan number,  $C_{n-1}$ . We do this by using induction on  $n$ .

It is easy to check the base case. Now, suppose that  $N_j = C_{j-1}$  for  $j < n$ . Take the  $C_n$  123-avoiding permutation (see [K]) and classify them according to the first place where they split. Since each permutation in  $S_n$  thus decomposes into a direct sum of

a non-split 123-avoiding permutation and an arbitrary 123-avoiding permutation, we have that

$$C_n = \sum_{j=1}^n N_j C_{n-j} = N_n + \sum_{j=0}^{n-2} C_j C_{n-1-j}.$$

By the well-known standard Catalan recurrence  $C_n = \sum_{j=0}^{n-1} C_j C_{n-1-j}$ , it follows that  $N_n = C_{n-1}$ , which completes the induction step.

Now, suppose we have a signed permutation  $\pi$  which avoids  $T_2$ . Then the permutation  $|\pi|$  must avoid 123.

Thus it remains to consider all 123-avoiding permutations and assign signs to their elements, respecting the condition that if one element precedes and is smaller than a second element, then the first one must be barred while the second one is unbarred.

Take a 123-avoiding permutation  $\pi$ , and suppose that one of its elements can be coloured freely, either barred or not. Then this element cannot be both to the left of and smaller than any other element; neither can it be both to the right of and larger than any other element. That is, if our freely-colourable element is denoted by  $s$ , we have  $\pi = (\pi', s, \pi'')$  where each element of  $\pi'$  is greater than  $s$ , and each element of  $\pi''$  is less than  $s$ . But this means that each element of the block  $\pi'$  is greater than each element of the block  $s, \pi''$ , which means that the permutation splits at  $s$ .

Since the existence of a freely-colourable element leads to a split of the permutation, it follows that any permutation which is non-split is also uniquely colourable. There is one exception, which is that the single 1-permutation can be coloured in two ways, i.e., either barred or unbarred.

Now we are ready to find  $b_n(T_2)$  by induction on  $n$ . For the induction step we assume that  $b_j(T_2) = C_{j+1}$  for  $j < n$ .

We count  $b_n(T_2)$  according to the position of the first split. Let  $a_n$  be the number of non-split signed permutations which avoid  $T_2$ ; so,  $a_1 = 2$ , while  $a_j = N_j = C_{j-1}$  for  $j > 1$ .

Note that if such a signed permutation splits, then each ‘‘half’’ can be assigned signs independently according to the pattern-avoidance conditions. This implies that

$$b_n(T_2) = \sum_{j=1}^{n-1} a_j b_{n-j} + a_n = 2C_n + \sum_{j=1}^{n-2} C_j C_{n-j} + C_{n-1} = \sum_{j=0}^n C_j C_{n-j} = C_{n+1}.$$

□

*Proof of Equation (3.3).* Let  $\alpha \in B_n(T_3)$ ; if the symbol  $n$  is unbarred in  $\alpha$ , then since  $\alpha$  avoids 12 and 21 we get that all other symbols of  $\alpha$  are barred. Thus there are  $n!$  such signed permutations. Now let  $\alpha_j = \bar{n}$ . Since  $\alpha$  avoids  $1\bar{2}$ , the symbol  $\alpha_i$  is barred for  $i \leq j$ . Thus, in this case there are  $\sum_{j=1}^n \binom{n-1}{j-1} (j-1)! b_{n-j}(T_3)$  such signed permutations. Hence, we have

$$b_n(T_3) = n! + (n-1)! \sum_{j=1}^n \frac{b_{n-j}(T_3)}{(n-j)!}.$$

Now let  $b'_n = b_n(T_3)/n!$ . Thus we have  $b'_n = 1 + \frac{1}{n} \sum_{j=0}^{n-1} b'_j$ , which implies that  $b'_n - b'_{n-1} = \frac{1}{n}$ . Because of  $b'_1 = 2$ , we infer  $b'_n = 1 + \sum_{j=1}^n \frac{1}{j}$ , hence (3.3) follows.  $\square$

**Corollary 3.3.** *We have that  $b_n(T_3 \cup \{\overline{12}\}) = 1 + \binom{n+1}{2}$  for all  $n \geq 0$ .*

*Proof.* This is immediate if one uses the argument of the proof of (3.3).  $\square$

*Proof of Equation (3.4), first case.* Let  $\alpha \in B_n(T_4)$ . Since  $\alpha$  avoids 12 and  $\overline{12}$  we have  $\alpha_1 = n$  or  $\alpha_1 = \bar{i}$  for some  $i$ . In the first case, since  $\alpha$  also avoids  $\overline{21}$ , we must have  $\alpha = (n, n-1, \dots, 1)$ . In the second case there are  $b_{n-1}(T_4)$  such signed permutations. Therefore,  $b_n(T_4) = 1 + nb_{n-1}(T_4)$  for all  $n \geq 3$ , with  $b_2(T_4) = 5$ . Hence, by induction on  $n$ , we obtain the formula for  $b_n(T_4)$ .  $\square$

**Corollary 3.4.** *We have that  $b_n(T_4 \cup \{\overline{12}\}) = b_n(T_4 \cup \{\overline{21}\}) = 2^n$  and  $b_n(T_4 \cup \{21\}) = 2 \cdot n!$  for all  $n \geq 1$ .*

*Proof.* By the above argument (proof of the formula for  $b_n(T_4)$ ) we obtain the following:

- (1)  $b_n(T_4 \cup \{21\}) = nb_{n-1}(T_4 \cup \{21\})$  for all  $n \geq 2$ , with  $b_1(T_4 \cup \{21\}) = 2$ . Hence,  $b_n(T_4 \cup \{21\}) = 2 \cdot n!$  for  $n \geq 1$ .
- (2) Suppose  $\alpha$  avoids  $T_4$  and  $\{\overline{12}\}$ . Again there are two cases. In the first case  $\alpha = (n, \dots, 2, 1)$ . In the second case, we have that  $\alpha = (\bar{i}, \beta, n, \dots, i+1, \gamma)$ , where all symbols of  $\beta$  are barred and decreasing, and all symbols of  $\gamma$  are unbarred and decreasing. So  $b_n(T_4 \cup \{\overline{12}\}) = 1 + \sum_{i=1}^n 2^{i-1}$ , which implies that  $b_n(T_4 \cup \{\overline{12}\}) = 2^n$ .
- (3) In a manner similar to the second case, we get that  $b_n(T_4 \cup \{\overline{21}\}) = 2^n$ .

$\square$

*Proof of Equation (3.4), second case.* Let  $\alpha \in B_n(T_5)$ . As in the proof of the first case of (3.4), we obtain that either  $\alpha_1 = n$  or  $\alpha_1 = \bar{i}$  for some  $i$ . In the first case there are  $b_{n-1}(T_5)$  such signed permutations. In the second case, since  $\alpha$  avoids  $\overline{21}$ , all the symbols  $1, 2, \dots, i-1$  are barred, so that there are  $\sum_{i=1}^n \binom{n-1}{i-1} (i-1)! b_{n-i}(T_5)$  such signed permutations. Hence

$$b_n(T_5) = b_{n-1}(T_5) + (n-1)! \sum_{i=0}^{n-1} \frac{b_i(T_5)}{i!}$$

for  $n \geq 1$ . Let  $b'_n = b_n(T_5)/n!$ , so that  $n(b'_n - b'_{n-1}) = b'_{n-1} - b'_{n-2}$  for all  $n \geq 2$ . Because of  $b'_1 = 2$  and  $b'_0 = 1$ , we obtain that  $b'_n = \sum_{j=0}^n \frac{1}{j!}$ , as claimed in the second part of (3.4).  $\square$

**Corollary 3.5.** *We have that  $b_n(T_5 \cup \{\overline{21}\}) = 2^n$  for all  $n \geq 0$ .*

*Proof.* By using the argument in the proof of the formula for  $b_n(T_5)$ , we get that

$$b_n(T_5 \cup \{\overline{21}\}) = b_{n-1}(T_5 \cup \{\overline{21}\}) + b_{n-1}(T_5 \cup \{\overline{21}\}).$$

If this is combined with the initial condition  $b_1(T_5 \cup \{\overline{21}\}) = 2$ , the corollary follows immediately.  $\square$

*Proof of Equation (3.5).* Let  $\alpha \in B_n(T_6)$ . It is easy to see that  $\alpha_1 = n$  or  $\alpha_1 = \bar{i}$  for some  $i$ . In the first case there are  $b_{n-1}(T_6)$  such signed permutations. In the second case, since  $\alpha$  avoids  $\bar{2}\bar{1}$ , all the symbols  $1, \dots, i-1$  must be unbarred, and since  $\alpha$  avoids  $12$ ,  $\alpha$  contains  $(i-1, i-2, \dots, 1)$ . Furthermore, since  $\alpha$  avoids  $12$  and  $1\bar{2}$ , we get that  $\alpha = (\bar{i}, \beta, i-1, \dots, 1)$ . Hence there are  $b_{n-i}(T_6)$  such signed permutations for  $1 \leq i \leq n$ . Therefore, for all  $n \geq 3$ , we have

$$b_n(T_6) = b_{n-1}(T_6) + b_{n-1}(T_6) + b_{n-2}(T_6) + \dots + b_0(T_6).$$

This implies that  $b_n(T_6) = 3b_{n-1}(T_6) - b_{n-2}(T_6)$ . If this is combined with the initial condition  $b_2(T_6) = 5$ , Equation (3.5) follows immediately.  $\square$

*Proof of Equation (3.6).* This follows from [M, Theorem 4.4].  $\square$

*Proof of Equation (3.7).* Let  $\alpha \in B_n(T_8)$ . If  $\alpha_1$  unbarred, then it is easy to see that  $(\alpha_2, \dots, \alpha_n)$  decomposes into two decreasing subsequences such that all the symbols  $|\alpha_1| + 1, \dots, n$  are barred and the other symbols are unbarred. Hence, there are  $2^{n-1}$  such signed permutations. If  $|\alpha_1| < n$  and  $\alpha_1$  is barred, then (similarly) there are  $\sum_{i=1}^{n-1} 2^{i-1}$  such signed permutations. Finally, if  $\alpha_1 = \bar{n}$  then by definition there are  $b_{n-1}(T_8)$  such signed permutations. Everything combined, we have  $b_n(T_8) = b_{n-1}(T_8) + 2 \cdot 2^{n-1} - 1$ . The initial conditions are  $b_2(T_8) = 5$ ,  $b_1(T_8) = 2$ , and  $b_0(T_8) = 1$ , hence (3.7) follows.  $\square$

**Corollary 3.6.** *Let  $\tau \in \{21, \bar{2}\bar{1}\}$ . Then  $b_n(T_8 \cup \{\tau\}) = 2n$  for all  $n \geq 1$ .*

*Proof.* This is immediate if one uses the argument in the proof of (3.7).  $\square$

*Proof of Equation (3.8), first case.* Let  $\alpha \in B_n(T_9)$ . Let  $\alpha_i$  be the first unbarred entry in  $\alpha$  ( $i$  minimal), and let  $\alpha_j$  be the last unbarred entry in  $\alpha$  ( $j$  maximal). Since  $\alpha$  avoids  $12$ , all the symbols which are not barred are decreasing, and since  $\alpha$  avoids  $\bar{2}\bar{1}$  and  $\bar{2}\bar{1}$ , we can write  $\alpha = (\beta, \gamma, \delta)$ , where  $\beta$  is a permutation of the numbers  $\bar{1}, \dots, \bar{i}$ ,  $\gamma = (n-j, n-1-j, \dots, i+1)$ , and  $\delta$  is a permutation of the numbers  $\overline{n-j+1}, \dots, \bar{n}$ . Hence  $b_n(T_9) = \sum_{j=0}^n (n-j)!j!$ , as claimed in the first part of (3.8).  $\square$

*Proof of Equation (3.8), second case.* Let  $\alpha \in B_n(T_{10})$ . Let  $j$  be maximal such that  $\alpha_j$  is barred. Since  $\alpha$  avoids  $T_{10}$ , we can write  $\alpha = (\beta, \gamma)$ , where all symbols of  $\beta$  are barred, all symbols of  $\gamma$  are unbarred, and  $|\beta_j| > |\gamma_i|$  for all  $i$  and  $j$ . Hence  $b_n(T_{10}) = \sum_{j=0}^n (n-j)!j!$ , as claimed in the second part of (3.8).  $\square$

#### 4. FOUR SIGNED PATTERNS

The 70 choices of four 2-letter signed patterns reduce to the following 16 cases:

$$\begin{aligned} U_1 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, \bar{1}\bar{2}\}; & U_2 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 21\}; & U_3 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 2\bar{1}\}; \\ U_4 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 2\bar{1}\}; & U_5 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 21\}; & U_6 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 2\bar{1}\}; \\ U_7 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 2\bar{1}\}; & U_8 &= \{12, \bar{1}\bar{2}, 21, 2\bar{1}\}; & U_9 &= \{12, \bar{1}\bar{2}, 21, 2\bar{1}\}; \\ U_{10} &= \{12, 1\bar{2}, 21, 2\bar{1}\}; & U_{11} &= \{12, 1\bar{2}, 2\bar{1}, 21\}; & U_{12} &= \{12, 1\bar{2}, 2\bar{1}, 2\bar{1}\}; \\ U_{13} &= \{12, \bar{1}\bar{2}, 2\bar{1}, 2\bar{1}\}; & U_{14} &= \{12, \bar{1}\bar{2}, 21, 2\bar{1}\}; & U_{15} &= \{12, \bar{1}\bar{2}, 2\bar{1}, 2\bar{1}\}; \\ U_{16} &= \{\bar{1}\bar{2}, \bar{1}\bar{2}, 2\bar{1}, 2\bar{1}\}. \end{aligned}$$

**Theorem 4.1.** *Given  $n$  and a set  $T$  of 2-letter signed patterns such that  $|T| = 4$ , the value  $b_n(T)$  for  $n \geq 3$  can be determined from one of the following relations, depending on the orbit (under reversal, barring, complementation) to which  $T$  belongs:*

$$\begin{aligned}
(4.1) \quad & b_n(U_{14}) = 0; \\
(4.2) \quad & b_n(U_{10}) = b_n(U_{15}) = 2n; \\
(4.3) \quad & b_n(U_4) = b_n(U_5) = b_n(U_7) = 1 + \binom{n+1}{2}; \\
(4.4) \quad & b_n(U_1) = b_n(U_6) = b_n(U_{12}) = b_n(U_{13}) = 2^n; \\
(4.5) \quad & b_n(U_8) = b_n(U_9) = b_n(U_{16}) = 2n!; \\
(4.6) \quad & b_n(U_3) = b_n(U_{11}) = \sum_{j=0}^n j!; \\
(4.7) \quad & b_n(U_2) = n! \left( 1 + \sum_{j=1}^n \frac{1}{j} \binom{n}{j}^{-1} \right).
\end{aligned}$$

*Proof.* Equation (4.1) is obvious, while Equation (4.2) follows by Corollary 3.6, and Equation (4.4) holds by Corollaries 3.4 and 3.5.

To verify (4.3), by Corollaries 3.2 and 3.3 it is sufficient to prove  $b_n(U_7) = 1 + \binom{n+1}{2}$ . Let  $\alpha \in B_n(U_7)$ . If  $\alpha_1$  is unbarred, then since  $\alpha$  avoids  $\{12, \bar{1}\bar{2}\}$  we have  $\alpha_1 = n$ , and in this case there are  $b_{n-1}(U_7)$  such signed permutations. If  $\alpha_1$  is barred, then since  $\alpha$  avoids  $\bar{1}\bar{2}$ , all the symbols  $|\alpha_1| + 1, \dots, n$  are unbarred and decreasing (since  $\alpha$  avoids  $12$ ), and since  $\alpha$  avoids  $\bar{2}1$  all the symbols  $|\alpha_1| - 1, \dots, 1$  are barred and decreasing (since  $\alpha$  avoids  $\bar{1}\bar{2}$ ). Therefore, since  $\alpha$  avoids  $\bar{1}\bar{2}$  and  $\bar{2}1$ , we have  $\alpha = (\bar{i}, n, \dots, i+1, \bar{i}-1, \dots, \bar{1})$ . Thus there are  $n$  such signed permutations. This implies the recurrence  $b_n(U_7) = b_{n-1}(U_7) + n$  for  $n \geq 1$ . If this is combined with the initial conditions  $b_0(U_7) = 1$  and  $b_1(U_7) = 2$ , Equation (4.3) follows.

To find  $b_n(U_9)$ , note that  $\alpha \in B_n(U_9)$  has two cases. The first case occurs when the symbol  $n$  is unbarred in  $\alpha$ ; since  $\alpha$  avoids  $\{12, 21\}$  all symbols of  $\alpha$  are barred, so there are  $n!$  signed permutations. The second case occurs when the symbol  $n$  is barred in  $\alpha$ ; since  $\alpha$  avoids  $\{\bar{2}1, \bar{1}\bar{2}\}$ , all other symbols are barred, so there are  $n!$  signed permutations. Therefore  $b_n(U_9) = 2n!$  for  $n \geq 1$ . Similarly  $b_n(U_{16}) = 2n!$  for  $n \geq 1$ . By Corollary 3.4  $b_n(U_8) = 2n!$  for  $n \geq 1$ , yielding (4.5).

To find  $b_n(U_3)$ , we distinguish again between two cases. Let  $\alpha \in B_n(U_3)$ . The first case arises if  $\alpha_n$  is unbarred. Then, since  $\alpha$  avoids  $\{12, \bar{1}\bar{2}\}$ , we must have  $\alpha_n = 1$ . Thus, there are  $b_{n-1}(U_3)$  such signed permutations. The second case arises if  $\alpha_n$  is barred. Since  $\alpha$  avoids  $\{1\bar{2}, 2\bar{1}\}$ , all symbols of  $\alpha$  are barred, which implies that there are  $n!$  such signed permutations. Accordingly,  $b_n(U_3) = b_{n-1}(U_3) + n!$  for  $n \geq 1$ . Since  $b_0(U_3) = 1$ , it follows that  $b_n(U_3) = \sum_{j=0}^n j!$ .

To find  $b_n(U_{11})$ , note that for any  $\alpha \in B_n(U_{11})$  we can write  $\alpha = (\beta, \gamma)$ , where  $\gamma = (n, \dots, n-j+1)$  and  $\beta$  is a permutation of  $\bar{1}, \bar{2}, \dots, \bar{n-j}$ . Thus  $b_n(U_{11}) = 0! + 1! + \dots + n!$  for all  $n \geq 0$ , as claimed in (4.6).

To verify (4.7), we distinguish again between two cases. Let  $\alpha \in B_n(U_2)$ . Either  $\alpha$  contains one unbarred symbol, or all the symbols of  $\alpha$  are barred. In the second case there are  $n!$  such signed permutations. In the first case, let  $\alpha = (\beta, i, \gamma)$ , where  $\beta$  and  $\gamma$  are permutations of subsets of  $\bar{1}, \bar{2}, \dots, \bar{n}$ . By definition, we get that  $|\beta_p| > i > |\gamma_j|$ , hence there are

$$\sum_{i=1}^n (n-i)!(i-1)! = n! \sum_{i=1}^n \frac{1}{i} \binom{n}{i}^{-1}$$

such signed permutations. Thus, Equation (4.7) is established.  $\square$

**Corollary 4.2.** *We have that  $b_n(U_7 \cup \{21\}) = b_n(U_7 \cup \{2\bar{1}\}) = n + 1$  for all  $n \geq 0$ .*

*Proof.* Let  $\tau \in \{21, 2\bar{1}\}$ . By the proof of Theorem 4.1 (Case  $b_n(U_7)$ ), we obtain  $b_n(U_7 \cup \{\tau\}) = b_{n-1}(U_7 \cup \{\tau\}) + 1$ . Furthermore,  $b_n(U_7 \cup \{\tau\}) = n + 1$  for  $n = 0, 1, 2$ , hence the corollary follows.  $\square$

## 5. MORE THAN FOUR SIGNED PATTERNS

The 56 choices of five 2-letter signed patterns reduce to the following 10 cases:

$$\begin{aligned} W_1 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 21\}; & W_2 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 2\bar{1}\}; & W_3 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, 2\bar{1}\}; \\ W_4 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, 2\bar{1}\}; & W_5 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 2\bar{1}, 2\bar{1}\}; & W_6 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 2\bar{1}, 2\bar{1}\}; \\ W_7 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, 2\bar{1}\}; & W_8 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, 2\bar{1}\}; & W_9 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, 2\bar{1}\}; \\ W_{10} &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 2\bar{1}, 2\bar{1}\}. \end{aligned}$$

**Theorem 5.1.** *Given  $n$  and a set  $T$  of 2-letter signed patterns such that  $|T| = 5$ , the value  $b_n(T)$  can be determined from one of the following relations, depending on the orbit (under reversal, barring, complementation) to which  $T$  belongs:*

$$\begin{aligned} (5.1) \quad & b_n(W_9) = 0 \text{ for } n > 2; \\ (5.2) \quad & b_n(W_4) = 3; \\ (5.3) \quad & b_n(W_1) = b_n(W_2) = b_n(W_6) = b_n(W_7) = b_n(W_8) = b_n(W_{10}) = n + 1; \\ (5.4) \quad & b_n(W_5) = 1 + n!; \\ (5.5) \quad & b_n(W_3) = (n + 1)(n - 1)!. \end{aligned}$$

*Proof.* Equation (5.1) follows from the simple observation that no signed permutation containing more than one unbarred symbol can avoid both 12 and 21, and similarly no signed permutation containing more than one barred symbol can avoid both  $\bar{1}\bar{2}$  and  $2\bar{1}$ .

Equation (5.2) can be established by checking that

$$B_n(W_4) = \{(\bar{1}, \bar{2}, \dots, \bar{n}), (\bar{2}, \dots, \bar{n}, 1), (\bar{1}, \bar{2}, \dots, \overline{n-1}, n)\}.$$

Now we address Equation (5.3). We found  $b_n(W_8)$  and  $b_n(W_{10})$  already in Corollary 4.2. A permutation in  $B_n(W_1)$  can only contain one unbarred symbol, while *all* the symbols must decrease in absolute value from left to right in order to avoid 12,  $\bar{1}\bar{2}$ ,  $\bar{1}\bar{2}$  and  $\bar{1}\bar{2}$ . There are  $n + 1$  ways to put at most one bar on the all-decreasing permutation. The remaining three cases are similarly easy to check.

Next we address Equation (5.4). The set  $W_5$  contains all four patterns combining one barred symbol with one unbarred symbol. This means that any permutation avoiding  $W_5$  must be entirely barred or entirely unbarred. The restriction 12 reduces the possibilities on the “unbarred side” to just one, the all-descending permutation; while on the “barred side” there is no restriction. Thus we have  $n!$  possibilities.

To verify Equation (5.5), let  $\alpha \in B_n(W_3)$  such that  $|\alpha_j| = 1$ . If  $\alpha_j = 1$ , then, since  $\alpha$  avoids  $\{12, 21\}$ , all symbols of  $\alpha$  except 1 are barred, and since  $\alpha$  avoids  $\bar{1}\bar{2}$ , we get  $j = n$ . Thus there are  $(n - 1)!$  such signed permutations. If  $\alpha_j = \bar{1}$ , then, since  $\alpha$  avoids  $\{\bar{1}\bar{2}, 2\bar{1}\}$ , all symbols of  $\alpha$  are barred. Thus there are  $n!$  such signed permutations. Hence,  $b_n(W_3) = (n + 1)(n - 1)!$ .  $\square$

The question of determining the values  $b_n(T)$  where  $T \subset B_2$  and  $|T| = 6$ , with 28 possible choices for  $T$ , reduces to the following 8 cases:

$$\begin{aligned} V_1 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, 2\bar{1}\}; & V_2 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, \bar{2}\bar{1}\}; & V_3 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, \bar{1}\bar{2}, 2\bar{1}, \bar{2}\bar{1}\}; \\ V_4 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, 2\bar{1}, \bar{2}\bar{1}\}; & V_5 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, 2\bar{1}, \bar{2}\bar{1}\}; & V_6 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 2\bar{1}, \bar{2}\bar{1}, \bar{2}\bar{1}\}; \\ V_7 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, 2\bar{1}, \bar{2}\bar{1}\}; & V_8 &= \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, \bar{2}\bar{1}, \bar{2}\bar{1}\}. \end{aligned}$$

**Theorem 5.2.** *Given  $n$  and set  $T$  of 2-letter signed patterns such that  $|T| = 6$ , the value  $b_n(T)$  for  $n \geq 2$  can be determined from one of the following relations, depending on the orbit (under reversal, barring, complementation) to which  $T$  belongs:*

$$\begin{aligned} (5.6) \quad & b_n(V_2) = b_n(V_7) = b_n(V_8) = 0; \\ (5.7) \quad & b_n(V_1) = b_n(V_3) = b_n(V_5) = b_n(V_6) = 2; \\ (5.8) \quad & b_n(V_4) = n! \text{ for all } n \geq 2. \end{aligned}$$

*Proof.* Equation (5.6) is an immediate consequence of Equation (5.1) in Theorem 5.1.

In order to prove Equation (5.7), we verify that

$$\begin{aligned} B_n(V_1) &= \{(\bar{n}, \dots, \bar{2}, \bar{1}), (\bar{n}, \dots, \bar{2}, 1)\}, \\ B_n(V_3) &= \{(\bar{n}, \dots, \bar{2}, \bar{1}), (n, \dots, 2, 1)\}, \\ B_n(V_5) &= \{(\bar{1}, \bar{2}, \dots, \bar{n}), (\bar{2}, \dots, \bar{n}, 1)\}, \\ B_n(V_6) &= \{(n, \dots, 2, 1), (\bar{1}, \bar{2}, \dots, \bar{n})\}, \end{aligned}$$

which is easy to do.

Finally, Equation (5.8) follows from the argument given in the proof of Theorem 5.1, Equation (5.4).  $\square$

Finally, the 8 choices of seven patterns reduce to 2, which we present together with the final case, in which we forbid all eight patterns:

$$U_1 = B_2, \quad U_2 = \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, 2\bar{1}, \bar{2}\bar{1}\}, \quad U_3 = \{12, \bar{1}\bar{2}, \bar{1}\bar{2}, \bar{1}\bar{2}, 21, 2\bar{1}, \bar{2}\bar{1}\}.$$

**Theorem 5.3.** *Given  $n$  and set  $T$  of 2-letter signed patterns such that  $|T| = 7, 8$ , the value  $b_n(T)$  for  $n \geq 3$  can be determined from one of the following relations, depending on the orbit (under reversal, barring, complementation) to which  $T$  belongs:*

$$\begin{aligned} (5.9) \quad & b_n(U_1) = b_n(U_2) = 0; \\ (5.10) \quad & b_n(U_3) = 1. \end{aligned}$$

*Proof.* Equation (5.9) follows from the same arguments as above. Equation (5.10) follows easily from the fact that  $B_n(U_3) = \{\bar{n}, \dots, \bar{2}, \bar{1}\}$ .  $\square$

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