

# Enumeration of Shi regions with a fixed separating wall

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SLC-67

## The extended Shi Arrangement

- $\{\varepsilon_1, \dots, \varepsilon_{n+1}\}$  standard basis of  $\mathbb{R}^{n+1}$
- $\langle | \rangle$  standard inner product
- $\Pi = \{\alpha_1, \dots, \alpha_n\}$ , where  $\alpha_i = \varepsilon_i - \varepsilon_{i+1}$ , for  $i = 1, \dots, n$ , is a basis of

$$V = \{(x_1, x_2, \dots, x_{n+1}) \in \mathbb{R}^{n+1} : x_1 + x_2 + \dots + x_{n+1} = 0\}.$$

- $\alpha_{ij} = \alpha_i + \dots + \alpha_j = \varepsilon_i - \varepsilon_{j+1}$ , with  $\alpha_{ii} = \alpha_i$ , and  $\theta = \alpha_{1,n}$
- The elements of  $\Delta = \{\varepsilon_i - \varepsilon_j : i \neq j\}$  are called roots  
A root  $\alpha$  is positive ( $\alpha > 0$ ) if  $\alpha \in \Delta^+ = \{\varepsilon_i - \varepsilon_j : i < j\}$ .

# The extended Shi Arrangement

- For each  $\alpha \in \Delta^+$  we define its reflecting hyperplane

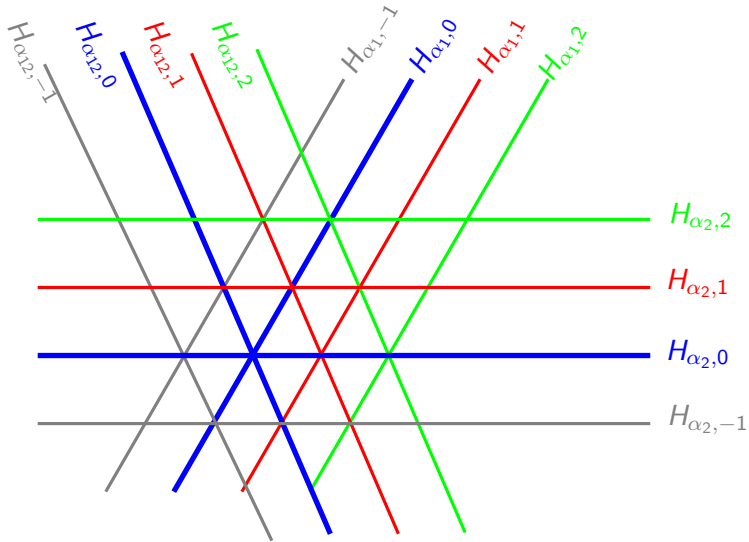
$$H_{\alpha,0} = \{v \in V : \langle v|\alpha \rangle = 0\},$$

and for  $k \in \mathbb{Z}$ , the  $H_{\alpha,0}$ 's translate

$$H_{\alpha,k} = \{v \in V : \langle v|\alpha \rangle = k\}.$$

- The extended Shi arrangement, here called the  $m$ -Shi arrangement, is

$$\mathcal{H}_m = \{H_{\alpha,k} : \alpha \in \Delta^+, -m < k \leq m\}.$$

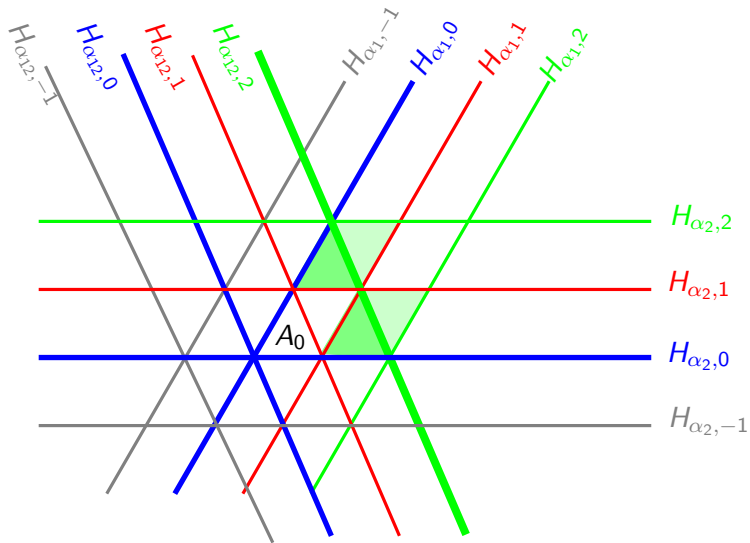


The 2-Shi arrangement  $\mathcal{H}_2 = \{H_{\alpha, k} : \alpha \in \Delta^+, -2 < k \leq 2\}$ .

- A region is a connected component of  $V \setminus \bigcup_{H \in \mathcal{H}_m} H$
- For  $\alpha \in \Delta^+$  and  $k \in \mathbb{Z}$ ,

$$H_{\alpha,k}^+ = \{v \in V : \langle v | \alpha \rangle \geq k\}.$$

- The dominant chamber of  $V$  is  $V \cap \bigcap_{i=1}^n H_{\alpha_i,0}^+$ .
- A dominant region is a region contained in the dominant chamber.
- A wall of a region  $R$  is an hyperplane which contains a facet of  $R$ .
- A separating wall for a region  $R$  is a wall of  $R$  which separates  $R$  from the region  $A_0 := H_{\theta,1}^- \cap \bigcap_{i=1}^n H_{\alpha_i,0}^+$ .

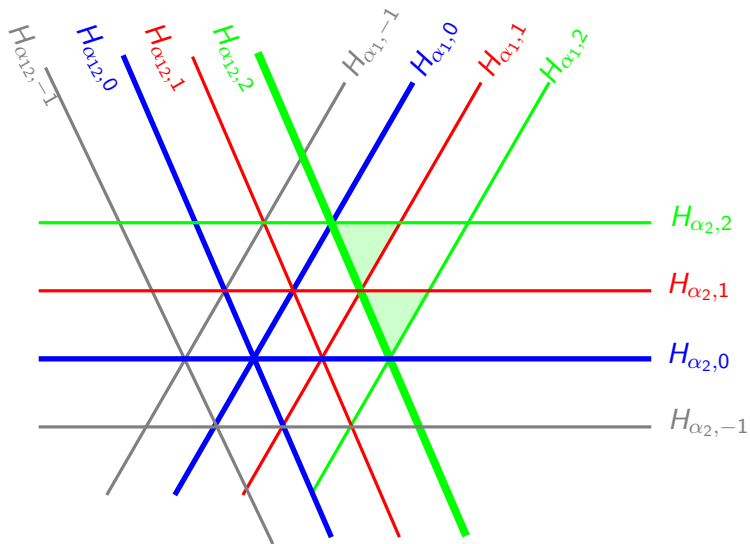


## Enumerative results - Motivation

- The number of dominant regions in the  $m$ -Shi arrangement is  $\frac{1}{mn+1} \binom{(m+1)n}{n}$ .
- The number of dominant regions having  $k$  separating walls of type  $H_{\alpha_{ij}, m}$  is equal to the  $k$ th  $m$ -Narayana number

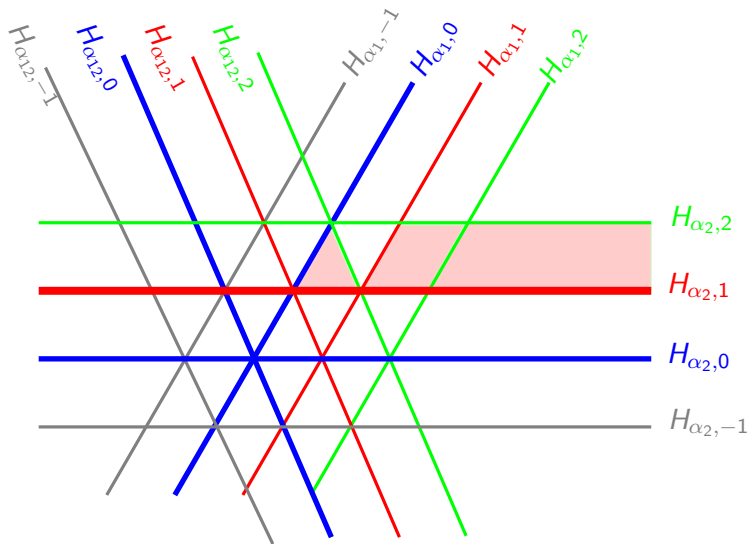
$$\frac{1}{mn+1} \binom{n-1}{n-k-1} \binom{mn+1}{n-k}.$$

**Problem:** Fix  $\alpha_{ij} \in \Delta^+$  and an integer  $0 < k \leq m$ . Find the number of dominant regions having separating wall  $H_{\alpha_{ij},k}$ .



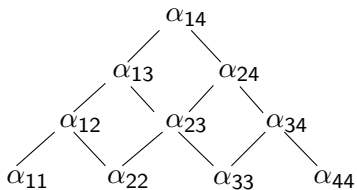


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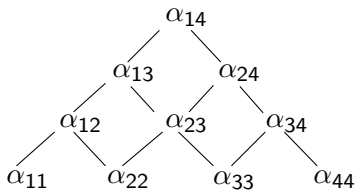
## The root poset and m-Shi tableaux

- Root order on  $\Delta^+$ :  $\alpha \leq \beta$  if  $\beta - \alpha$  is in the integer span of  $\Pi$ .



## The root poset and m-Shi tableaux

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$\alpha_{14}$	$\alpha_{13}$	$\alpha_{12}$	$\alpha_{11}$
$\alpha_{24}$	$\alpha_{23}$	$\alpha_{22}$	
$\alpha_{34}$	$\alpha_{33}$		
$\alpha_{44}$			

- Arrange the roots  $\alpha_{ij}$ ,  $1 \leq i < j \leq n$  in a  $n$ -staircase diagram such that  $\alpha_{ij}$  is in box  $(i, n - i + 1)$ .

## The root poset and m-Shi tableaux

- To each root  $\alpha_{ij}$  we associate an integer  $0 \leq k_{ij} \leq m$

$k_{14}$	$k_{13}$	$k_{12}$	$k_{11}$
$k_{24}$	$k_{23}$	$k_{22}$	
$k_{34}$	$k_{33}$		
$k_{44}$			

- The filling of this  $n$ -staircase diagram is called an **m-Shi tableau** if the following two conditions hold:

$$k_{ij} = \begin{cases} k_{i\ell} + k_{\ell+1,j} \text{ or } k_{i\ell} + k_{\ell+1,j} + 1, & \text{if } k_{i\ell} + k_{\ell+1,j} \leq m - 1 \\ & \text{and } i \leq \ell < j \\ m, & \text{otherwise.} \end{cases}$$

## Example of a $m$ -Shi tableau

3	2	1	1
2	1	0	
2	1		
1			

- For each  $k_{ij} \leq m - 1$  we check if the sum of the values of the endpoints of each hook on  $k_{ij}$  of length  $j - i + 2$  sum up to  $k_{ij}$  or  $k_{ij} - 1$ .
- For each  $k_{ij} = m$  we check if the sum of the values of the endpoints of each hook on  $k_{ij}$  of length  $j - i + 2$  sum up to a value  $\geq m$ .

## Example of a m-Shi tableau

Checking if the entries of the first row are valid:

3	2	1	1
2	1	0	
2	1		
1			

3	2	1	1
2	1	0	
2	1		
1			

3	2	1	1
2	1	0	
2	1		
1			

## Theorem (Athanasiadis)

The m-Shi tableaux are in bijection with the dominant regions in the m-Shi arrangement.

The bijection:

- Given a dominant region  $R$  in the m-Shi arrangement,  $k_{ij}$  is the number of integer translates of  $H_{\alpha_{ij},0}$  that separates  $R$  from the origin.
- Given an m-Shi tableau, the corresponding region  $R$  consists of those points  $x$  such that  $\langle \alpha_{ij}, x \rangle \geq k_{ij}$ , for all  $1 \leq i \leq j \leq n$ .

$H_{\alpha_{12}, -1}$  $H_{\alpha_{12}, 0}$  $H_{\alpha_{12}, 1}$  $H_{\alpha_{12}, 2}$  $H_{\alpha_{1}, -1}$  $H_{\alpha_{1}, 0}$  $H_{\alpha_{1}, 1}$  $H_{\alpha_{1}, 2}$ 

$\alpha_{12}$	$\alpha_{11}$
$\alpha_{22}$	

 $H_{\alpha_2, 2}$  $H_{\alpha_2, 1}$  $H_{\alpha_2, 0}$  $H_{\alpha_2, -1}$ 

1	0
1	

2	1
1	

1	0
0	

2	1
0	

0	0
0	

1	1
0	

2	2
0	



## m-Shi tableaux and separating walls

### Theorem

Let  $T_R$  be the m-Shi tableau for the region R. The hyperplane  $H_{\alpha_{ij},m}$  is a separating wall for the region R if and only if  $k_{ij} = m$  and  $k_{i\ell} + k_{\ell+1,j} = m - 1$  for all  $i \leq \ell < j$ .

Problem:

- Count the number of regions having  $H_{\alpha_{ij},m}$  as separating wall.
- Count the number of m-Shi tableaux with  $k_{ij} = m$  and  $k_{i\ell} + k_{\ell+1,j} = m - 1$  for all  $i \leq \ell < j$ .

## Base Case

### **Theorem (Fishel, Tzanaki, Vazirani)**

The number of regions in the  $m$ -Shi arrangement  $\mathcal{H}_m$  with separating wall  $H_{\theta,m}$  is equal to  $m^{n-1}$ .

- Let  $w = w_1 \cdots w_{n-1}$  be a word over the alphabet  $\{0, 1, \dots, m-1\}$ .
- Order  $w$  decreasingly and place it on the first row of  $T$ , placing also the correspondent entries on the first column.
- For all  $i = 2, \dots, n-1$  and all  $j = i, \dots, n-1$  we set

$$k_{i,j} = \begin{cases} k_{1,i-1} - k_{1,j} & \text{if } k_{1,i-1} \text{ is on the left of } k_{1,j} \text{ on } w \\ k_{1,i-1} - k_{1,j} - 1 & \text{otherwise} \end{cases}$$

- This filling produce a m-Shi tableaux and this operation is invertible.



Ex:  $w = 61513 \in \{0, 1, 2, 3, 4, 5, 6\}^*$ , the corresponding 7-Shi tableau is

7					

7	6	5	3	1	1
5					
5					
3					
1					
0					

→

Ex:  $w = 61513 \in \{0, 1, 2, 3, 4, 5, 6\}^*$ , the corresponding 7-Shi tableau is

7					

→

7	6	5	3	1	1
5					
5					
3					
1					
0					

→

7	6	5	3	1	1
5	5	4	1	0	
5					
3					
1					
0					

Ex:  $w = 61513 \in \{0, 1, 2, 3, 4, 5, 6\}^*$ , the corresponding 7-Shi tableau is

7					

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7	6	5	3	1	1
5					
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1					
0					

→

7	6	5	3	1	1
5	5	4	1	0	
5					
3					
1					
0					

7	6	5	3	1	1
5	5	4	1	0	
5	5	3	1		
3	3	2			
1	1				
0					

## General case

- Let  $h_{\alpha,k}^n$  be the set of dominant regions in the  $m$ -Shi arrangement having  $H_{\alpha,k}$  as a separating wall.
- Given a fundamental region  $R$ , let

$$r(R) = \#\{(j, k) : R \in h_{\alpha_{1j}k}^n \text{ and } 1 \leq k \leq m\}$$

$$c(R) = \#\{(i, k) : R \in h_{\alpha_{i,n-1}k}^n \text{ and } 1 \leq k \leq m\}$$

- The generating function is

$$f_{\alpha_{ij}m}^n(p, q) = \sum_{R \in h_{\alpha_{ij}k}^n} p^{c(R)} q^{r(R)}.$$



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