

Quadruply-Refined Enumeration of Alternating Sign Matrices and Doubly-Refined Enumeration of Descending Plane Partitions

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References

- RB, P Di Francesco and P Zinn-Justin *On the weighted enumeration of alternating sign matrices and descending plane partitions*
J. Combin. Theory Ser. A **119** (2012) 331–363 **arXiv: 1103.1176**
- RB, P Di Francesco and P Zinn-Justin *The doubly-refined enumeration of alternating sign matrices and descending plane partitions*
arXiv: 1202.1520
- RB *The quadruply-refined enumeration of alternating sign matrices*
arXiv: 1203.3187

Plan

- Define alternating sign matrices (ASMs) & descending plane partitions (DPPs)
- Define certain bulk & boundary statistics for ASMs & DPPs
- Show that unrefined, singly-refined & doubly-refined enumerations (where order of refinement refers to number of boundary statistics) are the same for ASMs & DPPs
- Show that generating functions associated with these enumerations are given by explicit determinant formulae
- Show that quadruply-refined ASM generating function satisfies Desnanot–Jacobi-type identity & can be computed explicitly in terms of determinants

Alternating Sign Matrices (ASMs)

$$\text{ASM}(n) := \left\{ \begin{array}{l} n \times n \text{ matrices} \\ \left. \begin{array}{l} \bullet \text{ each entry } 0, 1 \text{ or } -1 \\ \bullet \text{ along each row \& column, nonzero entries} \\ \text{alternate in sign \& add up to } 1 \end{array} \right\} \end{array} \right\}$$

- Arose during study of Dodgson condensation algorithm for determinant evaluation (*Mills, Robbins, Rumsey 1982; Robbins, Rumsey 1986*)
- Many subsequent appearances in combinatorics, algebra, mathematical physics, ...
- Any permutation matrix is an ASM
- Any ASM contains a single 1 & no -1 's in first & last row & column

- e.g. $\text{ASM}(3) =$

$$\left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{pmatrix} \right\}$$

- e.g. $\begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 1 & 0 \\ 1 & -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix} \in \text{ASM}(6)$

Descending Plane Partitions (DPPs)

DPP(n) :=

$$\left\{ \begin{array}{l} \text{arrays } D_{11} \ D_{12} \ D_{13} \ \dots \ D_{1,\lambda_1} \\ \quad \quad \quad D_{22} \ D_{23} \ \dots \ D_{2,\lambda_2+1} \\ \quad \quad \quad \quad \quad D_{33} \ \dots \ D_{3,\lambda_3+2} \\ \quad \quad \quad \quad \quad \quad \quad \ddots \\ \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad D_{tt} \ \dots \ D_{t,\lambda_t+t-1} \end{array} \right\} \begin{array}{l} \bullet \text{ each part (entry) a positive integer} \\ \bullet \text{ parts decrease weakly along rows} \\ \bullet \text{ parts decrease strictly down columns} \\ \bullet n \geq D_{11} > \lambda_1 \geq D_{22} > \dots \geq D_{tt} > \lambda_t \end{array}$$

- Arose during study of cyclically symmetric plane partitions (*Andrews 1979*)

- e.g. $\text{DPP}(3) = \left\{ \emptyset, \begin{array}{c} 3 \ 3 \\ 2 \end{array}, 2, 3 \ 3, 3, 3 \ 2, 3 \ 1 \right\}$

- e.g. $\begin{array}{cccc} 6 & 6 & 6 & 5 & 2 \\ & 4 & 4 & 1 & \\ & & & 3 & \end{array} \in \text{DPP}(6)$

ASM Statistics

For $A \in \text{ASM}(n)$

Bulk statistics:

- $\nu(A) := \sum_{\substack{1 \leq i < i' \leq n \\ 1 \leq j' \leq j \leq n}} A_{ij} A_{i'j'} = \#$ of 'inversions' in A
- $\mu(A) := \#$ of -1 's in A

Boundary statistics:

- $\rho_T(A) := \#$ of 0's left of the 1 in top row of A
- $\rho_R(A) := \#$ of 0's below the 1 in right-most column of A
- $\rho_B(A) := \#$ of 0's right of the 1 in bottom row of A
- $\rho_L(A) := \#$ of 0's above the 1 in left-most column of A

• e.g. $A = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 1 & 0 \\ 1 & -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$

$$\Rightarrow \nu(A) = 5, \quad \mu(A) = 3, \quad \rho_T(A) = 3, \quad \rho_R(A) = 1, \quad \rho_B(A) = 2, \quad \rho_L(A) = 2$$

DPP Statistics

For $D \in \text{DPP}(n)$

Bulk statistics:

- $\nu(D) := \#$ of parts of D for which $D_{ij} > j - i$
= $\#$ of 'nonspecial' parts in D
- $\mu(D) := \#$ of parts of D for which $D_{ij} \leq j - i$
= $\#$ of 'special' parts in D

Boundary statistics:

- $\rho_{\top}(D) := \#$ of n 's in D
- $\rho_{\text{B}}(D) := (\# \text{ of } (n-1)\text{'s in } D) +$
($\#$ of rows of D of length $n-1$)

- Only row 1 can contain n 's
- Only rows 1 & 2 can contain $(n-1)$'s
- Only row 1 can have length $n-1$

- e.g. $D = \begin{array}{ccccc} 6 & 6 & 6 & 5 & 2 \\ & 4 & 4 & 1 & \\ & & & & 3 \end{array} \in \text{DPP}(6)$ (special parts: 2 & 1)

$$\Rightarrow \nu(D) = 7, \mu(D) = 2, \rho_{\top}(D) = 3, \rho_{\text{B}}(D) = 2$$

ASM & DPP Unrefined Generating Functions

$$\bullet Z_n^{\text{ASM}}(x, y) := \sum_{A \in \text{ASM}(n)} x^{\nu(A)} y^{\mu(A)}$$

$$\bullet Z_n^{\text{DPP}}(x, y) := \sum_{D \in \text{DPP}(n)} x^{\nu(D)} y^{\mu(D)}$$

• e.g. $\text{ASM}(3) =$

$$\left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{pmatrix} \right\}$$

$$\& \text{DPP}(3) = \left\{ \emptyset, \begin{matrix} 3 & 3 \\ & 2 \end{matrix}, 2, 33, 3, 32, 31 \right\}$$

$$\Rightarrow Z_3^{\text{ASM}}(x, y) = Z_3^{\text{DPP}}(x, y) = 1 + 2x + 2x^2 + x^3 + xy$$

Unrefined Enumeration (2 Bulk, 0 Boundary Statistics)

Theorem $Z_n^{\text{ASM}}(x, y) = Z_n^{\text{DPP}}(x, y)$

Equivalently (# of $n \times n$ ASMs with p 'inversions' & m -1 's) =
 (# of order n DPPs with p nonspecial parts & m special parts)

- Conjectured *Mills, Robbins, Rumsey 1983*
- Previously-known special case: $x = y = 1$, $|\text{ASM}(n)| = |\text{DPP}(n)| \left(= \prod_{i=0}^{n-1} \frac{(3i+1)!}{(n+i)!} \right)$

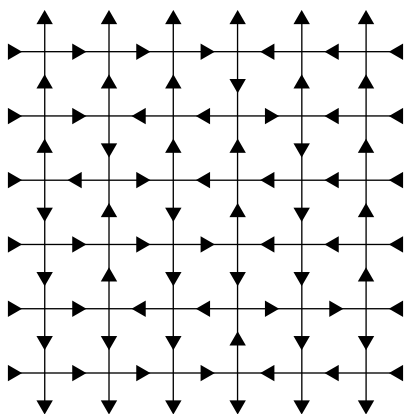
Proof structure

- (a) Use bijection between $\text{ASM}(n)$ & {configurations of statistical mechanical six-vertex model with domain-wall boundary conditions on $n \times n$ grid}
 - (b) Apply Izergin–Korepin determinant formula for partition function of model
- (a) Use bijection between $\text{DPP}(n)$ & {certain nonintersecting lattice paths on $n \times n$ grid}
 - (b) Apply Lindström–Gessel–Viennot theorem for weighted enumeration of nonintersecting paths with fixed endpoints in terms of determinant
- Determinant transformations give $Z_n^{\text{ASM}}(x, y) = Z_n^{\text{DPP}}(x, y) = \det_{0 \leq i, j \leq n-1} K_n(x, y)_{ij}$
 where $K_n(x, y)_{ij} = -\delta_{i, j+1} + \sum_{k=0}^{\min(i, j+1)} \binom{i-1}{i-k} \binom{j+1}{k} x^k y^{i-k}$

Configurations of Six-Vertex (Square Ice) Model with Domain-Wall Boundary Conditions (DWBC)

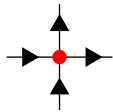
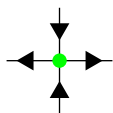
$$6VDW(n) := \left\{ \begin{array}{l} \text{edge orientations} \\ \text{of } n \times n \text{ grid} \end{array} \left| \begin{array}{l} \bullet \text{ 2 inward \& 2 outward arrows at each internal} \\ \text{vertex } (\Rightarrow \text{ 6 possible vertex configurations}) \\ \bullet \text{ upper \& lower boundary arrows all outward,} \\ \text{left \& right boundary arrows all inward} \end{array} \right. \right\}$$

• e.g. $6VDW(3) = \left\{ \begin{array}{c} \begin{array}{ccccccc} \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow \\ \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \\ \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow \\ \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \end{array} \\ \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow \\ \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \\ \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow \\ \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \end{array} \end{array} \right\}$

• e.g.  $\in 6VDW(6)$

Six-Vertex Model with DWBC Statistics

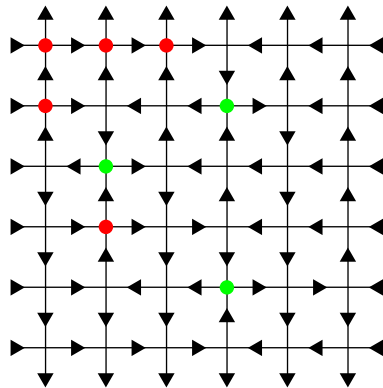
For $C \in 6VDW(n)$

- $\nu(C) := \#$ of  vertex configurations in C
- $\mu(C) := \#$ of  vertex configurations in C

- numbers of other 4 vertex configuration types in C satisfy

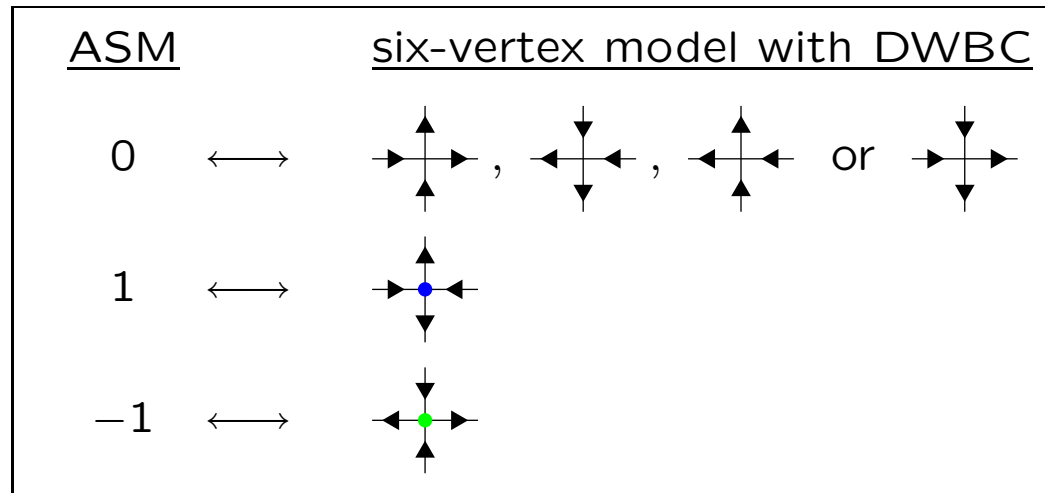
$$\left(\# \begin{array}{c} \updownarrow \\ \leftarrow \rightarrow \end{array}\right) = \nu(C), \quad \left(\# \begin{array}{c} \updownarrow \\ \leftarrow \rightarrow \end{array}\right) = \left(\# \begin{array}{c} \updownarrow \\ \leftarrow \rightarrow \end{array}\right) = \frac{n(n-1)}{2} - \nu(C) - \mu(C), \quad \left(\# \begin{array}{c} \updownarrow \\ \leftarrow \rightarrow \end{array}\right) = \mu(C) + n$$

- e.g.



$$\nu(C) = 5, \quad \mu(C) = 3$$

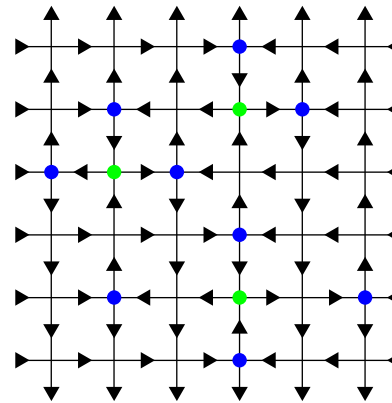
ASM(n) – 6VDW(n) Bijection



- Gives bijection between $\{A \in \text{ASM}(n) \mid \nu(A) = p, \mu(A) = m\}$ & $\{C \in \text{6VDW}(n) \mid \nu(C) = p, \mu(C) = m\}$

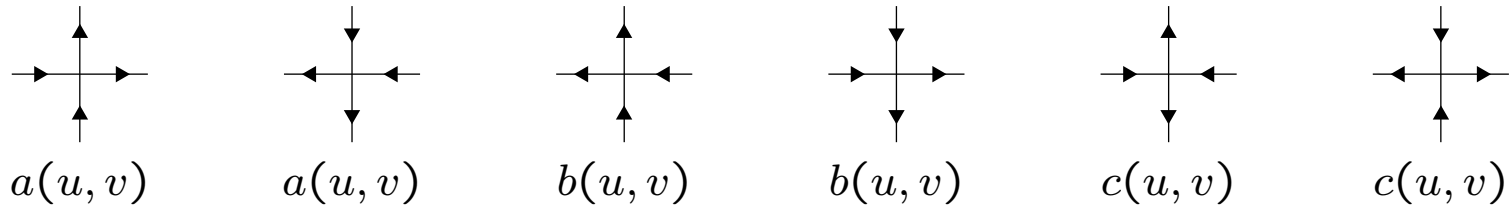
- e.g.

$$\begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 1 & 0 \\ 1 & -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$



Izergin–Korepin Formula

- Integrable vertex weights:



$$a(u, v) := uq - \frac{v}{q} \qquad b(u, v) := \frac{u}{q} - vq \qquad c(u, v) := \left(q^2 - \frac{1}{q^2}\right)\sqrt{uv}$$

u : row ‘spectral parameter’, v : column ‘spectral’ parameter, q : ‘crossing’ parameter

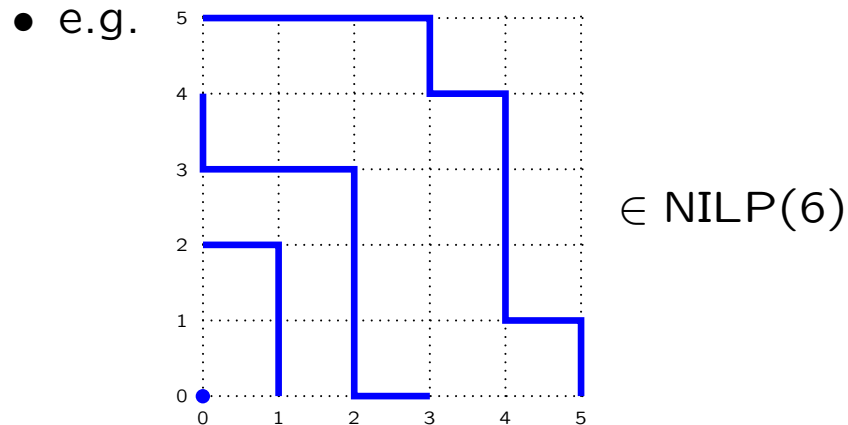
- Yang-Baxter equation satisfied
- Izergin–Korepin formula for partition function of six-vertex model with DWBC:

$$\begin{aligned}
 Z_n^{6V}(u_1, \dots, u_n; v_1, \dots, v_n) &:= \sum_{C \in 6VDW(n)} \prod_{i,j=1}^n \left(\begin{array}{l} \text{weight at vertex } (i, j) \text{ with} \\ \text{parameters } u_i, v_j \text{ for config'n } C \end{array} \right) \\
 &= \frac{\prod_{i,j=1}^n a(u_i, v_j) b(u_i, v_j)}{\prod_{1 \leq i < j \leq n} (u_i - u_j)(v_j - v_i)} \det_{1 \leq i, j \leq n} \left(\frac{c(u_i, v_i)}{a(u_i, v_j) b(u_i, v_j)} \right) \quad (\text{Izergin 1987})
 \end{aligned}$$

Nonintersecting Lattice Paths

$$\text{NILP}(n) := \left\{ \begin{array}{l} \text{sets } P \text{ of nonintersecting} \\ \text{paths on } n \times n \text{ grid} \end{array} \middle| \begin{array}{l} P \text{ consists of paths from } (0, \lambda_{i-1} - 1) \text{ to } (\lambda_i, 0) \\ \text{for each } i = 1, \dots, t + 1, \text{ with each step} \\ \text{rightward or downward, for some } 0 \leq t \leq n - 1 \\ \& n = \lambda_0 > \lambda_1 > \dots > \lambda_t > \lambda_{t+1} = 0 \end{array} \right\}$$

• e.g. $\text{NILP}(3) = \left\{ \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right\}$, $\left\{ \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right\}$, $\left\{ \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right\}$, $\left\{ \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right\}$, $\left\{ \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right\}$, $\left\{ \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right\}$, $\left\{ \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right\}$

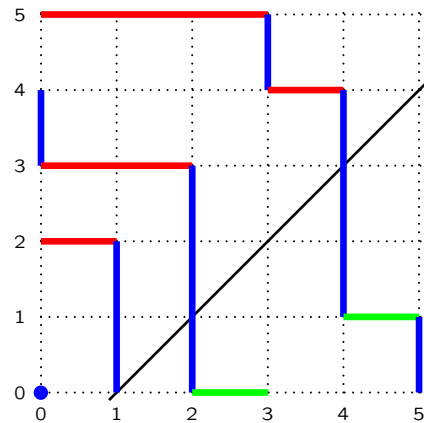


Nonintersecting Lattice Path Statistics

For $P \in \text{NILP}(n)$

- $\nu(P) := \#$ of rightward steps in P above subdiagonal line
- $\mu(P) := \#$ of rightward steps in P below subdiagonal line

• e.g.



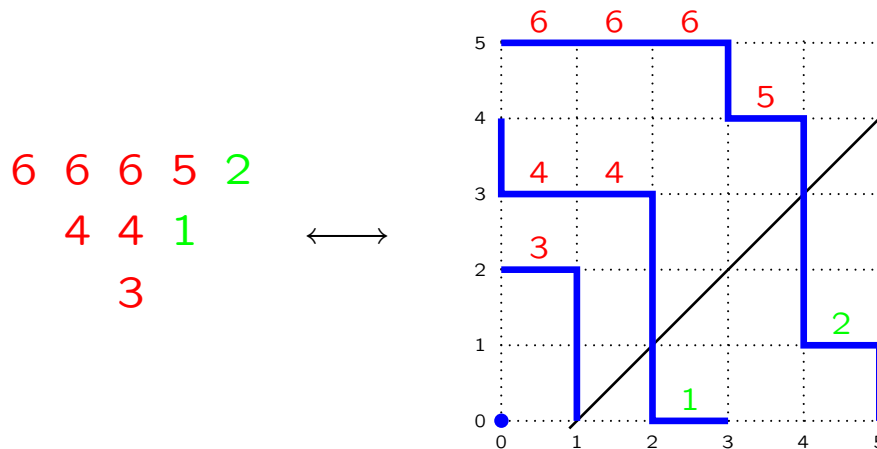
$$\nu(P) = 7, \quad \mu(P) = 2$$

DPP(n) – NILP(n) Bijection

<u>DPP</u>	<u>nonintersecting path set</u>
$D_{ij} - 1$	height of $(j - i + 1)$ th rightward step of i th path from top

- Gives bijection between $\{D \in \text{DPP}(n) \mid \nu(D) = p, \mu(D) = m\}$ & $\{P \in \text{NILP}(n) \mid \nu(P) = p, \mu(P) = m\}$

- e.g.



Lindström–Gessel–Viennot Theorem

- Consider an acyclic directed graph G
- Assign weight $w(e)$ to each edge e of G
- Let $W(p) := \prod_{\text{edges } e \text{ of } p} w(e)$ for any path p on G
- Let $\mathcal{P}_{u,v} := \{\text{paths on } G \text{ from vertex } u \text{ to vertex } v\}$
- Let $\mathcal{N}_G(u_1, \dots, u_m; v_1, \dots, v_m) :=$

$$\left\{ \text{sets } P \text{ of paths on } G \left| \begin{array}{l} \bullet P \text{ consists of path of } \mathcal{P}_{u_i, v_i} \text{ for each } i = 1, \dots, m \\ \bullet \text{ different paths of } P \text{ do not intersect} \end{array} \right. \right\}$$
- Assume $\mathcal{N}_G(u_{\sigma_1}, \dots, u_{\sigma_m}; v_1, \dots, v_m) = \emptyset$ for each nonidentity permutation σ of $\{1, \dots, m\}$
- Then
$$\sum_{P \in \mathcal{N}_G(u_1, \dots, u_m; v_1, \dots, v_m)} \prod_{p \in P} W(p) = \det_{1 \leq i, j \leq m} \left(\sum_{p \in \mathcal{P}_{u_i, v_j}} W(p) \right)$$

(Lindström 1973; Gessel, Viennot 1989)

Unrefined Enumeration Result: Further Details

$$\text{Theorem } Z_n^{\text{ASM}}(x, y) = Z_n^{\text{DPP}}(x, y) = \det_{0 \leq i, j \leq n-1} K_n(x, y)_{ij}$$

$$\text{where } K_n(x, y)_{ij} = -\delta_{i, j+1} + \sum_{k=0}^{\min(i, j+1)} \binom{i-1}{i-k} \binom{j+1}{k} x^k y^{i-k}$$

Proof outline

1. (a) Use bijection between $\text{ASM}(n)$ & $6\text{VDW}(n)$
 - (b) Transform Izergin–Korepin determinant formula & then use row spectral parameters $u_1 = \dots = u_n = r$, column spectral parameters $v_1 = \dots = v_n = \frac{1}{r}$
 - (c) Parameterize x, y in terms of q, r as $x = \left(\frac{a(r, \frac{1}{r})}{b(r, \frac{1}{r})}\right)^2$, $y = \left(\frac{c(r, \frac{1}{r})}{b(r, \frac{1}{r})}\right)^2$
2. (a) Use bijection between $\text{DPP}(n)$ & $\text{NILP}(n)$
 - (b) Apply Lindström–Gessel–Viennot theorem to $n \times n$ directed grid with horizontal edge weights x above subdiagonal line, horizontal edge weights y below subdiagonal line, vertical edge weights 1 & certain fixed endpoints of paths
 - (c) Sum over possible endpoints of paths to give $Z_n^{\text{DPP}}(x, y) = \det_{0 \leq i, j \leq n-1} K_n(x, y)_{ij}$
3. Apply determinant transformations to give $Z_n^{\text{ASM}}(x, y) = Z_n^{\text{DPP}}(x, y)$

Singly-Refined Enumeration (1 Boundary Statistic)

- Define singly-refined generating functions $Z_n^{\text{ASM}}(x, y; z) := \sum_{A \in \text{ASM}(n)} x^{\nu(A)} y^{\mu(A)} z^{\rho_{\tau}(A)}$
 $\& Z_n^{\text{DPP}}(x, y; z) := \sum_{D \in \text{DPP}(n)} x^{\nu(D)} y^{\mu(D)} z^{\rho_{\tau}(D)}$

Theorem $Z_n^{\text{ASM}}(x, y; z) = Z_n^{\text{DPP}}(x, y; z) = \det_{0 \leq i, j \leq n-1} K_n(x, y; z)_{ij}$

where $K_n(x, y; z)_{ij} = -\delta_{i, j+1} + \begin{cases} \sum_{k=0}^{\min(i, j+1)} \binom{i-1}{i-k} \binom{j+1}{k} x^k y^{i-k}, & j \leq n-2 \\ \sum_{k=0}^i \sum_{l=0}^k \binom{i-1}{i-k} \binom{n-l-1}{k-l} x^k y^{i-k} z^l, & j = n-1 \end{cases}$

First equality means (# of $n \times n$ ASMs with p 'inversions', m -1 's
 $\& k$ 0 's left of the 1 in top row) =
 (# of order n DPPs with p nonspecial parts,
 m special parts $\& k$ n 's)

- Conjectured *Mills, Robbins, Rumsey 1983*
- Several special cases previously-known, e.g. $x = y = 1$

Proof outline

- Similar to proof of unrefined case, but now use transformed Izergin–Korepin formula with row spectral parameters $u_1 = t, u_2 = \dots = u_n = r$ $\&$ Lindström–Gessel–Viennot theorem with horizontal edge weights xz in top row of grid

Doubly-Refined Enumeration (2 Boundary Statistics)

- Define doubly-refined generating functions

$$Z_n^{\text{ASM}}(x, y; z_1, z_2) := \sum_{A \in \text{ASM}(n)} x^{\nu(A)} y^{\mu(A)} z_1^{\rho_{\text{T}}(A)} z_2^{\rho_{\text{B}}(A)}$$

$$\& \quad Z_n^{\text{DPP}}(x, y; z_1, z_2) := \sum_{D \in \text{DPP}(n)} x^{\nu(D)} y^{\mu(D)} z_1^{\rho_{\text{T}}(D)} z_2^{\rho_{\text{B}}(D)}$$

Theorem $Z_n^{\text{ASM}}(x, y; z_1, z_2) = Z_n^{\text{DPP}}(x, y; z_1, z_2) = \det_{0 \leq i, j \leq n-1} K_n(x, y; z_1, z_2)_{ij}$

where $K_n(x, y; z_1, z_2)_{ij} = -\delta_{i, j+1} + \begin{cases} \sum_{k=0}^{\min(i, j+1)} \binom{i-1}{i-k} \binom{j+1}{k} x^k y^{i-k}, & j \leq n-3 \\ \sum_{k=0}^i \sum_{l=0}^k \binom{i-1}{i-k} \binom{n-l-2}{k-l} x^k y^{i-k} z_2^{l+1}, & j = n-2 \\ \sum_{k=0}^i \sum_{l=0}^k \sum_{m=0}^l \binom{i-1}{i-k} \binom{n-l-2}{k-l} x^k y^{i-k} z_1^m z_2^{l-m}, & j = n-1 \end{cases}$

- Statistic ρ_{B} for DPPs not previously studied

Proof Outline for Doubly-Refined Case

- Apply $ASM(n)$ – $6VDW(n)$ & $DPP(n)$ – $NILP(n)$ bijections as previously
- Now consider row spectral parameters $u_1 = t_1$, $u_2 = \dots = u_{n-1} = r$, $u_n = t_2$ for six-vertex model with DWBC & consider horizontal edge weights xz_1 in top row, xz_2 in second-top row of grid for nonintersecting lattice paths

- A simple case of Bazin determinant identity is

$$\det N^{k_1, k_2} \det N^{k_3, k_4} - \det N^{k_1, k_3} \det N^{k_2, k_4} + \det N^{k_1, k_4} \det N^{k_2, k_3} = 0$$

for any $(n+2) \times n$ matrix N , where $N^{k, k'}$ denotes N with rows k and k' omitted

- Use Bazin identity with Izergin–Korepin determinant & summed Lindström–Gessel–Viennot determinant $\det_{0 \leq i, j \leq n-1} K_n(x, y; z_1, z_2)_{ij}$ to show that $Z_n^{ASM}(x, y; z_1, z_2)$ & $Z_n^{DPP}(x, y; z_1, z_2)$ both satisfy

$$(z_1 - z_2) Z_n(x, y; z_1, z_2) Z_{n-1}(x, y) = (z_1 - 1) z_2 Z_n(x, y; z_1) Z_{n-1}(x, y; z_2) - z_1 (z_2 - 1) Z_{n-1}(x, y; z_1) Z_n(x, y; z_2)$$

- $Z_n^{ASM}(x, y; z_1, z_2) = Z_n^{DPP}(x, y; z_1, z_2)$ then follows from $Z_n^{ASM}(x, y; z) = Z_n^{DPP}(x, y; z)$

Quadruply-Refined ASM Enumeration

- Define adjacent-boundary doubly-refined & quadruply-refined ASM generating functions

$$Z_n^{\text{adj}}(x, y; z_1, z_2) := \sum_{A \in \text{ASM}(n)} x^{\nu(A)} y^{\mu(A)} z_1^{\rho_T(A)} z_2^{\rho_L(A)}$$

$$\tilde{Z}_n^{\text{adj}}(x, y; z_1, z_2) := \sum_{A \in \text{ASM}(n)} x^{\nu(A)} y^{\mu(A)} z_1^{\rho_T(A)} z_2^{\rho_R(A)} = x^{\frac{n(n-1)}{2}} (z_1 z_2)^{n-1} Z_n^{\text{adj}}\left(\frac{1}{x}, \frac{y}{x}, \frac{1}{z_1}, \frac{1}{z_2}\right)$$

$$\& Z_n^{\text{ASM}}(x, y; z_T, z_R, z_B, z_L) := \sum_{A \in \text{ASM}(n)} x^{\nu(A)} y^{\mu(A)} z_T^{\rho_T(A)} z_R^{\rho_R(A)} z_B^{\rho_B(A)} z_L^{\rho_L(A)}$$

Theorem $y(z_T - z_B)(z_L - z_R) Z_n^{\text{ASM}}(x, y; z_T, z_R, z_B, z_L) Z_{n-2}^{\text{ASM}}(x, y) =$

$$\begin{aligned} & ((z_T - 1)(z_R - 1) - y z_T z_R)((z_B - 1)(z_L - 1) - y z_B z_L) Z_{n-1}^{\text{adj}}(x, y; z_T, z_L) Z_{n-1}^{\text{adj}}(x, y; z_B, z_R) - \\ & z_T z_R z_B z_L (x(z_T - 1)(z_L - 1) - y)(x(z_B - 1)(z_R - 1) - y) \tilde{Z}_{n-1}^{\text{adj}}(x, y; z_T, z_R) \tilde{Z}_{n-1}^{\text{adj}}(x, y; z_B, z_L) - \\ & (z_B - 1)(z_R - 1)((z_T - 1)(z_L - 1) - y z_T z_L) Z_{n-1}^{\text{adj}}(x, y; z_T, z_L) Z_{n-2}^{\text{ASM}}(x, y) - \\ & (z_T - 1)(z_L - 1)((z_B - 1)(z_R - 1) - y z_B z_R) Z_{n-1}^{\text{adj}}(x, y; z_B, z_R) Z_{n-2}^{\text{ASM}}(x, y) + \\ & z_T z_R (x z_B z_L)^{n-1} (z_B - 1)(z_L - 1)(x(z_T - 1)(z_R - 1) - y) \tilde{Z}_{n-1}^{\text{adj}}(x, y; z_T, z_R) Z_{n-2}^{\text{ASM}}(x, y) + \\ & z_B z_L (x z_T z_R)^{n-1} (z_T - 1)(z_R - 1)(x(z_B - 1)(z_L - 1) - y) \tilde{Z}_{n-1}^{\text{adj}}(x, y; z_B, z_L) Z_{n-2}^{\text{ASM}}(x, y) - \\ & (z_T - 1)(z_R - 1)(z_B - 1)(z_L - 1)((x^2 z_T z_R z_B z_L)^{n-1} - 1) Z_{n-2}^{\text{ASM}}(x, y)^2 \end{aligned}$$

- No DPP statistics currently known which, together with ρ_T & ρ_B for DPPs, have the same joint distribution as ρ_T, ρ_R, ρ_B & ρ_L for ASMs

Proof Outline for Quadruply-Refined ASM Relation

- Apply $ASM(n) \text{--} 6VDW(n)$ bijection as previously
- Now consider row spectral parameters $u_1 = t_T, u_2 = \dots = u_{n-1} = r, u_n = t_B$ & column spectral parameters $v_1 = t_L, v_2 = \dots = v_{n-1} = s, v_n = t_R$ for six-vertex model with DWBC

- Desnanot–Jacobi determinant identity is

$$M_C \det M = M_{TL} M_{BR} - M_{TR} M_{BL}$$

for any $n \times n$ matrix M , where M_{TL}, M_{TR}, M_{BR} & M_{BL} are the $(n-1) \times (n-1)$ connected minors in top-left, top-right, bottom-right & bottom-left corners, & M_C is the central $(n-2) \times (n-2)$ minor

- Using Desnanot–Jacobi identity with Izergin–Korepin determinant, applying properties of ASMs with 1's in corners & parameterizing x, y, z_T, z_R, z_B, z_L in terms of $q, r, s, t_T, t_R, t_B, t_L$ gives quadruply-refined ASM relation

Explicit Quadruply-Refined ASM Enumeration

- Setting $z_R = z_B = 1$ in quadruply-refined ASM identity & solving a recursion relation gives

$$Z_n^{\text{adj}}(x, y; z_1, z_2) = Z_{n-1}^{\text{ASM}}(x, y) \left(1 + \sum_{k=1}^{n-1} \left(\frac{y z_1 z_2}{(z_1-1)(z_2-1)} \right)^{n-k} \left(1 + \frac{(x(z_1-1)(z_2-1)-y) Z_k^{\text{ASM}}(x, y; z_1) Z_k^{\text{ASM}}(x, y; z_2)}{y Z_{k-1}^{\text{ASM}}(x, y) Z_k^{\text{ASM}}(x, y)} \right) \right)$$

- Therefore, adjacent-boundary doubly-refined ASM generating function can be expressed in terms of singly-refined and unrefined ASM generating functions
- So, using quadruply-refined ASM identity & previous determinant formulae, quadruply-refined ASM generating function can be computed explicitly
- Very recently, a different formula for quadruply-refined ASM generating function with $x = y = 1$ was obtained independently by *Ayyer & Romik*, *arXiv: 1202.3651*
- Quadruply-refined & other ASM enumeration results can also be expressed in terms of boundary correlation functions for six-vertex model with DWBC

Possible Further Work

- Obtain some similar results involving vertically symmetric ASMs & DPPs invariant under a certain known symmetry operation
- Find DPP properties corresponding to certain natural ASM properties
e.g. DPP statistics corresponding to ASM statistics ρ_L & ρ_R ?
DPP operations corresponding to $\pi/2$ -rotation or transposition of ASM?
- Find ASM properties corresponding to certain natural DPP properties
e.g. ASM statistic corresponding to # of rows of DPP?
ASM statistic corresponding to sum of parts of DPP?
- Study multiply-refined ASM & DPP enumeration with same bulk statistics as here, but slightly different boundary statistics, e.g. associated with several adjacent rows near ASM boundary
- Investigate connection between quadruply-refined ASM identity & result of Ayer–Romik