# Recipe theorem for the Tutte polynomial for matroids, renormalization group-like approach

Nguyen Hoang Nghia

(in collaboration with Gérard Duchamp, Thomas Krajewski and Adrian Tanasă)

Laboratoire d'Informatique de Paris Nord, Université Paris 13

arXiv:1301.0782 [math.CO]

accepted by

Discrete Mathematics and Theoretical Computer Science Proceedings

70th Séminaire Lotharingien de Combinatoire March 25, 2013 1 Matroids: The Tutte polynomial and the Hopf algebra

2 Characters of the Hopf algebras of matroids

3 Convolution formula for the Tutte polynomials for matroids

Proof of the universality of the Tutte polynomials for matroids

- A matroid  $M = (E, \mathcal{I})$  is a pair  $(E, \mathcal{I})$ 
  - a finite set: E
  - $\bullet\,$  a collection of subsets of E:  ${\cal I}$

satisfying:

< □ > < 同 > < 三

- A matroid  $M = (E, \mathcal{I})$  is a pair  $(E, \mathcal{I})$ 
  - a finite set: E
  - $\bullet\,$  a collection of subsets of E:  ${\cal I}$

satisfying:

•  $\mathcal I$  is non-empty,

Image: Image:

- A matroid  $M = (E, \mathcal{I})$  is a pair  $(E, \mathcal{I})$ 
  - a finite set: E
  - $\bullet\,$  a collection of subsets of E:  ${\cal I}$

satisfying:

- $\mathcal I$  is non-empty,
- $\bullet$  every subset of every member of  ${\mathcal I}$  is also in  ${\mathcal I},$

< □ > < 同 >

```
A matroid M = (E, \mathcal{I}) is a pair (E, \mathcal{I})
```

- a finite set: E
- $\bullet\,$  a collection of subsets of E:  ${\cal I}$

satisfying:

- $\mathcal I$  is non-empty,
- $\bullet$  every subset of every member of  ${\mathcal I}$  is also in  ${\mathcal I},$
- if  $X, Y \in \mathcal{I}$  and |X| = |Y| + 1, then  $\exists x \in X Y$  s.t.  $Y \cup \{x\} \in \mathcal{I}$ .

```
A matroid M = (E, \mathcal{I}) is a pair (E, \mathcal{I})
```

- a finite set: E
- $\bullet\,$  a collection of subsets of E:  ${\cal I}$

satisfying:

- $\mathcal I$  is non-empty,
- $\bullet$  every subset of every member of  ${\mathcal I}$  is also in  ${\mathcal I},$
- if  $X, Y \in \mathcal{I}$  and |X| = |Y| + 1, then  $\exists x \in X Y$  s.t.  $Y \cup \{x\} \in \mathcal{I}$ .

The set E: the ground set of the matroid The members of  $\mathcal{I}$ : the independent sets of the matroid.

・ロッ ・ 一 ・ ・ ・ ・



< □ > < 同 > < 回



< □ ▶ < 🗇 ▶



#### Example 1.3

Let  $E = \{1,2\}$  and  $\mathcal{I} = \{\emptyset, \{1\}, \{2\}\}$ . One has the uniform matroid  $U_{1,2}$ .

< ロ > < 同 > < 回 > < 回 >

Let  $M = (E, \mathcal{I})$  be a matroid and  $A \subset E$ . The rank function of A:

$$r(A) = max\{|B| : B \in \mathcal{I}, B \subset A\}.$$

・ロット 4 日マ 4 日マ 4

(1)

Let  $M = (E, \mathcal{I})$  be a matroid and  $A \subset E$ . The rank function of A:

$$r(A) = max\{|B| : B \in \mathcal{I}, B \subset A\}.$$
(1)

The nullity function of A:

$$n(A) = |A| - r(A).$$

・ロト ・日 ・ ・ ヨ ・ ・

(2)

Let  $e \in E$ . The element e is called a loop if  $r(\{e\}) = 0$ .

Let  $e \in E$ . The element e is called a loop if  $r(\{e\}) = 0$ . The element e is called a coloop if  $r(E - \{e\}) = r(E) - 1$ .

・ロッ ・ 一 ・ ・ ・ ・

### Definition 1.6 (Deletion)

One sets the collection of subsets that

$$\mathcal{I}' = \{ I \subset E - T : I \in \mathcal{I} \}.$$
(3)

< □ > < 同 > < 回

Then one has that the pair (E - T, I') is a matroid, called that the deletion of T from M.

 $\hookrightarrow$  One denotes that  $M \setminus_T$ 

## Definition 1.7 (Contraction)

One sets the collection of subsets that

$$\mathcal{I}'' = \{ I \subset E - T : I \cup B_T \in \mathcal{I} \},\$$

where  $B_T$  is a maximal independent subset of T. Then one has that the pair  $(E - T, \mathcal{I}'')$  is a matroid, called that the contraction of T from M.  $\hookrightarrow$  One denotes that  $M/_T$ 

< □ > < 同 >

(4)

The Tutte polynomial of matroid M:

$$T_M(x,y) = \sum_{A \subseteq E} (x-1)^{r(E)-r(A)} (y-1)^{n(A)}.$$
 (5)

< □ > < 同 >

The Tutte polynomial of matroid M:

$$T_M(x,y) = \sum_{A \subseteq E} (x-1)^{r(E)-r(A)} (y-1)^{n(A)}.$$
 (5)

# Example 1.9

$$T_{U_{1,2}}(x,y)=x+y.$$

Nguyen Hoang-Nghia (LIPN, Université Paris 13) Recipe theorem for the Tutte polynomial for matroid

Image: A mathematical states and a mathem

(6)

The Tutte polynomial of matroid M:

$$T_M(x,y) = \sum_{A \subseteq E} (x-1)^{r(E)-r(A)} (y-1)^{n(A)}.$$
 (5)

# Example 1.9

$$T_{U_{1,2}}(x,y)=x+y.$$

(7)

#### Theorem 1.10

Deletion-contraction relation:

$$T_M(x,y) = T_{M/e}(x,y) + T_{M\setminus e}(x,y).$$

▲□▶ ▲□▶ ▲ □▶ ▲

(H. Crapo and W. Schmitt. A free subalgebra of the algebra of matroids. EJC, 26(7), 05.) Coproduct

$$\Delta(M) = \sum_{A \subseteq E} M | A \otimes M / A.$$
(8)

Image: A matrix and a matrix

$$\epsilon(M) = \begin{cases} 1, \text{ if } M = U_{0,0}, \\ 0 \text{ otherwise.} \end{cases}$$

$$\Delta(U_{1,2}) = 1 \otimes U_{1,2} + 2U_{1,1} \otimes U_{0,1} + U_{1,2} \otimes 1.$$

 $(k(\mathcal{M}), \oplus, \mathbf{1}, \Delta, \epsilon)$  is bialgebra.

Moreover, this bialgebra is graded by the cardinal of the ground set, then it is a Hopf algebra.

# Two infinitesimal characters

Let us define two linear forms.

$$\delta_{\text{loop}}(M) = \begin{cases} 1_{\mathbb{K}} \text{ if } M = U_{0,1}, \\ 0_{\mathbb{K}} \text{ otherwise }. \end{cases}$$
(9)

$$\delta_{\text{coloop}}(M) = \begin{cases} 1_{\mathbb{K}} \text{ if } M = U_{1,1}, \\ 0_{\mathbb{K}} \text{ otherwise }. \end{cases}$$
(10)

Image: A mathematical states and a mathem

# Two infinitesimal characters

Let us define two linear forms.

$$\delta_{\text{loop}}(M) = \begin{cases} 1_{\mathbb{K}} \text{ if } M = U_{0,1}, \\ 0_{\mathbb{K}} \text{ otherwise }. \end{cases}$$
(9)

$$\delta_{\text{coloop}}(M) = \begin{cases} 1_{\mathbb{K}} \text{ if } M = U_{1,1}, \\ 0_{\mathbb{K}} \text{ otherwise }. \end{cases}$$
(10)

Image: A matrix and a matrix

One has

$$\begin{split} \delta_{\text{loop}}(M_1 \oplus M_2) &= \delta_{\text{loop}}(M_1)\epsilon(M_2) + \epsilon(M_1)\delta_{\text{loop}}(M_2).\\ \delta_{\text{coloop}}(M_1 \oplus M_2) &= \delta_{\text{coloop}}(M_1)\epsilon(M_2) + \epsilon(M_1)\delta_{\text{coloop}}(M_2). \end{split}$$

# Two infinitesimal characters

Let us define two linear forms.

$$\delta_{\text{loop}}(M) = \begin{cases} 1_{\mathbb{K}} \text{ if } M = U_{0,1}, \\ 0_{\mathbb{K}} \text{ otherwise }. \end{cases}$$
(9)

$$\delta_{\text{coloop}}(M) = \begin{cases} 1_{\mathbb{K}} \text{ if } M = U_{1,1}, \\ 0_{\mathbb{K}} \text{ otherwise }. \end{cases}$$
(10)

One has

$$\delta_{ ext{loop}}(M_1 \oplus M_2) = \delta_{ ext{loop}}(M_1)\epsilon(M_2) + \epsilon(M_1)\delta_{ ext{loop}}(M_2).$$
  
 $\delta_{ ext{coloop}}(M_1 \oplus M_2) = \delta_{ ext{coloop}}(M_1)\epsilon(M_2) + \epsilon(M_1)\delta_{ ext{coloop}}(M_2).$ 

#### Theorem 2.1

 $exp_*\{a\delta_{
m coloop}+b\delta_{
m loop}\}$  is a Hopf algebra character.

$$exp_*\{a\delta_{\text{coloop}} + b\delta_{\text{loop}}\}(M) = a^{r(M)}b^{n(M)}.$$
(11)

Nguyen Hoang-Nghia (LIPN, Université Paris 13) Recipe theorem for the Tutte polynomial for matroid

Let us define

$$\alpha(x, y, s, M) := \exp_* s\{\delta_{\text{coloop}} + (y - 1)\delta_{\text{loop}}\} \\ *\exp_* s\{(x - 1)\delta_{\text{coloop}} + \delta_{\text{loop}}\}(M).$$
(12)

<ロ> <問> <問> < 回> < 回>

#### Let us define

$$\alpha(x, y, s, M) := \exp_* s\{\delta_{\text{coloop}} + (y - 1)\delta_{\text{loop}}\} \\ * \exp_* s\{(x - 1)\delta_{\text{coloop}} + \delta_{\text{loop}}\}(M).$$
(12)

# Proposition 3.1

 $\alpha$  is a Hopf algebra character. Moreover, one has

$$\alpha(x, y, s, M) = s^{|E|} T_M(x, y).$$
(13)

< □ > < 同 >

# A convolution formula for Tutte polynomials

The character  $\alpha$  can be rewritten:

$$\begin{array}{lll} \alpha(x,y,s,M) &=& \exp_*\left(s(\delta_{\rm coloop}+(y-1)\delta_{\rm loop})\right) * \exp_*\left(s(-\delta_{\rm coloop}+\delta_{\rm loop})\right) \\ & *& \exp_*\left(s(\delta_{\rm coloop}-\delta_{\rm loop})\right) * \exp_*\left(s((x-1)\delta_{\rm coloop}+\delta_{\rm loop})\right) (14) \end{array}$$

< □ > < 同 >

# A convolution formula for Tutte polynomials

The character  $\alpha$  can be rewritten:

$$\begin{array}{lll} \alpha(x,y,s,\mathcal{M}) &=& \exp_*\left(s(\delta_{\rm coloop}+(y-1)\delta_{\rm loop})\right) * \exp_*\left(s(-\delta_{\rm coloop}+\delta_{\rm loop})\right) \\ & * & \exp_*\left(s(\delta_{\rm coloop}-\delta_{\rm loop})\right) * \exp_*\left(s((x-1)\delta_{\rm coloop}+\delta_{\rm loop})\right) (14) \end{array}$$

# Corollary 3.2 (Theorem 1 of [KRS99])

The Tutte polynomial satisfies

$$T_M(x,y) = \sum_{A \subset E} T_{M|A}(0,y) T_{M/A}(x,0).$$
(15)

W. Kook, V. Reiner, and D. Stanton. A Convolution Formula for the Tutte Polynomial. Journal of Combinatorial Series (99)

## Proposition 4.1

The character  $\alpha$  is the solution of the differential equation:

$$\frac{d\alpha}{ds}(M) = (x\alpha * \delta_{\text{coloop}} + y\delta_{\text{loop}} * \alpha + [\delta_{\text{coloop}}, \alpha]_* - [\delta_{\text{loop}}, \alpha]_*)(M).$$
(16)

< 口 > < 同

We take a four-variable matroid polynomial  $Q_M(x, y, a, b)$  which has the following properties:

• a multiplicative law

$$Q_{M_1 \oplus M_2}(x, y, a, b) = Q_{M_1}(x, y, a, b) Q_{M_2}(x, y, a, b),$$
(17)

• if e is a coloop, then

$$Q_M(x, y, a, b) = xQ_{M\setminus e}(x, y, a, b),$$
(18)

• if e is a loop, then

$$Q_M(x, y, a, b) = y Q_{M/e}(x, y, a, b),$$
 (19)

• if e is a nonseparating point, then

$$Q_M(x, y, a, b) = aQ_{M\setminus e}(x, y, a, b) + bQ_{M/e}(x, y, a, b).$$

$$(20)$$

$$\beta(x, y, a, b, s, M) := s^{|\mathcal{E}|} Q_M(x, y, a, b).$$

$$(21)$$

<ロト <回 > < 回 > < 回 > < 三 > < 三 > 三 三

$$\beta(x, y, a, b, s, M) := s^{|E|} Q_M(x, y, a, b).$$

$$(21)$$

#### Lemma 4.2

The mapping  $\beta$  is a matroid Hopf algebra character.

$$\beta(x, y, a, b, s, M) := s^{|E|} Q_M(x, y, a, b).$$

$$(21)$$

< □ > < 同 >

#### Lemma 4.2

The mapping  $\beta$  is a matroid Hopf algebra character.

## Proposition 4.3

The character  $\beta$  satisfies the following differential equation:

$$\frac{d\beta}{ds}(M) = (x\beta * \delta_{\text{coloop}} + y\delta_{\text{loop}} * \beta + b[\delta_{\text{coloop}},\beta]_* - a[\delta_{\text{loop}},\beta]_*)(M).$$
(22)

$$\beta(x, y, a, b, s, M) := s^{|\mathcal{E}|} Q_M(x, y, a, b).$$

$$(21)$$

#### Lemma 4.2

The mapping  $\beta$  is a matroid Hopf algebra character.

## Proposition 4.3

The character  $\beta$  satisfies the following differential equation:

$$\frac{d\beta}{ds}(M) = (x\beta * \delta_{\text{coloop}} + y\delta_{\text{loop}} * \beta + b[\delta_{\text{coloop}},\beta]_* - a[\delta_{\text{loop}},\beta]_*)(M).$$
(22)

Sketch of the proof: Using the definitions of the infitesimal character  $\delta_{\text{loop}}$  and  $\delta_{\text{coloop}}$  and the conditions of the polynomial  $Q_M(x, y, a, b)$ , one can get the result.

< □ > < 同 > < 三 >

#### From the propositions 3.1, 4.1 and 4.3, one gets the result

# Theorem 4.4 $Q(x, y, a, b, M) = a^{n(M)} b^{r(M)} T_M(\frac{x}{b}, \frac{y}{a}).$ (23)

< 口 > < 同 >

# Thank you for your attention!