# Counting Proper Mergings

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Henri Mühle Counting Proper Mergings

#### OUTLINE



#### O CHARACTERIZATION

- Proper Mergings of Two Chains
- Proper Mergings of Two Antichains
- Proper Mergings of an Antichain and a Chain

#### OUTLINE



#### Ocharacterization

- Proper Mergings of Two Chains
- Proper Mergings of Two Antichains
- Proper Mergings of an Antichain and a Chain

- let  $(P, \leq_P)$  be a poset
- consider the elements of P as tasks
- for  $p, p' \in P$ , consider  $p <_P p'$  as saying that the execution of p has to be finished before the execution of p' can begin
- ▶ thus,  $(P, \leq_P)$  can be seen as a schedule, or an execution plan, and  $\leq_P$  can be seen as a set of restrictions
- let  $(Q, \leq_Q)$  be another poset
  - How many different schedules exist such that  $(P, \leq_P)$  and  $(Q, \leq_Q)$  are executed "in parallel", no restrictions of  $(P, \leq_P)$  and  $(Q, \leq_Q)$  are violated or adde no two tasks are executed at the same time?
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  - ▶ no restrictions of  $(P, \leq_P)$  and  $(Q, \leq_Q)$  are violated or added,
  - no two tasks are executed at the same time?
- ▶ we call such a schedule a proper merging of  $(P, \leq_P)$  and  $(Q, \leq_Q)$















#### OUTLINE



# O CHARACTERIZATION

- Proper Mergings of Two Chains
- Proper Mergings of Two Antichains
- Proper Mergings of an Antichain and a Chain

- ▶ let G, G', M, M' be sets, and let  $I \subseteq G \times M, I' \subseteq G' \times M'$  be two binary relations
- ▶ row of *I*: the set  $g^I = \{m \in M \mid (g, m) \in I\}$  for  $g \in G$
- column of I: the set  $m^I = \left\{g \in G \mid (g,m) \in I 
  ight\}$  for  $m \in M$
- intent of I: an intersection over a subset of the rows of I
- extent of I: an intersection over a subset of the columns of I
- bond between I and I': a binary relation  $R \subseteq G \times M'$  such that for all  $g \in G$ , the row  $g^R$  is an intent of I', and for all  $m \in M'$ , the column  $m^R$  is an extent of I

	<i>p</i> <sub>1</sub>	<i>p</i> <sub>2</sub>	<i>p</i> <sub>3</sub>	<i>p</i> <sub>4</sub>	$q_1$	<i>q</i> <sub>2</sub>	<i>q</i> <sub>3</sub>	$q_4$	$q_5$	$q_6$
<i>p</i> <sub>1</sub>	×		×	×						
P2		×	×	×						
P3			×	×						
<i>p</i> <sub>4</sub>				$\times$						
<i>q</i> <sub>1</sub>					×		×	×	×	×
<i>q</i> <sub>2</sub>						×		×		×
<i>q</i> <sub>3</sub>							×		×	×
<i>q</i> <sub>4</sub>								×		×
<i>q</i> <sub>5</sub>									×	
<i>q</i> <sub>6</sub>										×



	<i>p</i> <sub>1</sub>	<i>p</i> <sub>2</sub>	<i>P</i> 3	<i>p</i> <sub>4</sub>	$q_1$	<i>q</i> <sub>2</sub>	<i>q</i> <sub>3</sub>	$q_4$	$q_5$	$q_6$
<i>p</i> <sub>1</sub>	×		×	×			×		×	×
P2		×	×	×				×		×
P3			×	×						×
<i>p</i> <sub>4</sub>				$\times$						
<i>q</i> <sub>1</sub>					×		×	×	×	×
<i>q</i> <sub>2</sub>						×		×		×
<i>q</i> <sub>3</sub>							×		×	×
<i>q</i> <sub>4</sub>								×		×
<i>q</i> <sub>5</sub>									×	
96										×

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P3			×	×			×			×
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<i>q</i> <sub>2</sub>						×		×		×
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- let  $(P, \leq_P)$  and  $(Q, \leq_Q)$  be disjoint posets, and let  $R \subseteq P \times Q$ , and  $T \subseteq Q \times P$
- ▶ for  $p, q \in P \cup Q$ , define  $p \leftarrow_{R,T} q$  if and only if

$$p\leq_P q ext{ or } p\leq_Q q ext{ or } (p,q)\in R ext{ or } (p,q)\in T$$

- merging of  $(P, \leq_P)$  and  $(Q, \leq_Q)$ : a pair (R, T) such that  $(P \cup Q, \leftarrow_{R,T})$  is a quasi-ordered set
- ▶ proper merging of  $(P, \leq_P)$  and  $(Q, \leq_Q)$ : a merging (R, T) such that  $R \cap T^{-1} = \emptyset$

#### **PROPOSITION** (MESCHKE, 2011)

Let  $(P, \leq_P)$  and  $(Q, \leq_Q)$  be disjoint posets, and let  $R \subseteq P \times Q$ and  $T \subseteq Q \times P$ . The relation  $\leftarrow_{R,T}$  is reflexive and transitive if and only if all of the following are satisfied:

- 1. *R* is a bond between  $\geq_P$  and  $\geq_Q$ ,
- 2. T is a bond between  $\not\geq_Q$  and  $\not\geq_P$ ,
- 3.  $R \circ T$  is contained in  $\leq_P$ ,
- 4.  $T \circ R$  is contained in  $\leq_Q$ .

Moreover,  $\leftarrow_{R,T}$  is antisymmetric if and only if  $R \cap T^{-1} = \emptyset$ .

in other words,  $(P \cup Q, \leftarrow_{R,T})$  is a poset if and only if (R, T) is a proper merging of  $(P, \leq_P)$  and  $(Q, \leq_Q)$ 

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Motivation 00 CHARACTERIZATION 00000

# A LATTICE STRUCTURE

let  $\mathfrak{M}_{P,Q}$  denote the set of  $(Q, \leq_Q)$ 

mergings of  $(P, \leq_P)$  and

define a partial order via

 $(R, T) \preceq (R', T')$  if and only if  $R \subseteq R'$  and  $T \supseteq T'$ ,

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#### THEOREM (MESCHKE, 2011)

Let  $(P, \leq_P)$  and  $(Q, \leq_Q)$  be disjoint posets. The poset  $(\mathfrak{M}_{P,Q}, \preceq)$  is in fact a distributive lattice, where the least element is  $(\emptyset, P \times Q)$  and the greatest element is  $(P \times Q, \emptyset)$ . Moreover, the poset  $(\mathfrak{M}^{\bullet}_{P,Q}, \preceq)$  is a distributive sublattice of the previous.

#### OUTLINE



**D** CHARACTERIZATION



- Proper Mergings of Two Chains
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- ▶ Is it easy to determine the number of (proper) mergings of two posets  $(P, \leq_P)$  and  $(Q, \leq_Q)$ ?
- the number of (proper) mergings depends heavily on the structure of  $(P, \leq_P)$  and  $(Q, \leq_Q)$

- Is it easy to determine the number of (proper) mergings of two posets (P, ≤<sub>P</sub>) and (Q, ≤<sub>Q</sub>)? In general, no!
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- we present the enumeration of three special cases:
  - $1. \ \mbox{proper mergings of two chains}$
  - 2. proper mergings of two antichains
  - 3. proper mergings of an antichain and a chain

MOTIVATION 00 PROPER MERGINGS OF TWO CHAINS CHARACTERIZATION 00000 ENUMERATION

## OUTLINE



Ocharacterization

#### ENUMERATION

#### • Proper Mergings of Two Chains

- Proper Mergings of Two Antichains
- Proper Mergings of an Antichain and a Chain
Characterization 00000 

### PREPARATION

- ▶ let  $C = \{c_1, c_2, ..., c_n\}$  be a set and define  $c_i \leq_{c} c_j$  if and only if  $i \leq j$
- we notice that  $c_i \geq_c c_j$  if and only if i < j, or equivalently  $c_i <_c c_j$  for all  $i, j \in \{1, 2, ..., n\}$

thus, the extents of  $\geq_c$  are of the form  $\{c_1, c_2, \ldots, c_k\}$  for some  $k \in \{0, 1, \ldots, n\}$  and the intents are of the form  $\{c_k, c_{k+1}, \ldots, c_n\}$  for some  $k \in \{1, 2, \ldots, n+1\}$ 

CHARACTERIZATION 00000 ENUMERATION

### PREPARATION

- let  $C = \{c_1, c_2, \dots, c_n\}$  be a set and define  $c_i \leq_{\mathfrak{c}} c_j$  if and only if  $i \leq j \quad \rightsquigarrow \mathfrak{c} = (C, \leq_{\mathfrak{c}})$  is a chain
- we notice that  $c_i \not\geq_c c_j$  if and only if i < j, or equivalently  $c_i <_c c_j$  for all  $i, j \in \{1, 2, ..., n\}$

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CHARACTERIZATION 00000 ENUMERATION

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CHARACTERIZATION 00000 

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CHARACTERIZATION 00000 ENUMERATION

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Counting Proper Mergings

CHARACTERIZATION 00000

## THE IDEA

let  $C = \{c_1, c_2, \dots, c_m\}$  and  $C' = \{c'_1, c'_2, \dots, c'_n\}$  be sets and let  $\mathfrak{c} = (C, \leq_{\mathfrak{c}})$  and  $\mathfrak{c}' = (C', \leq_{\mathfrak{c}'})$  be two chains

CHARACTERIZATION 00000

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- ▶ if (R, T) is a merging of c and c', then R and T must be right-justified and top-justified



CHARACTERIZATION 00000

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- ▶ if (R, T) is a proper merging of c and c', then R and T must "fit together"



CHARACTERIZATION 00000 ENUMERATION

# THE BIJECTION

- plane partition π: a rectangular array which is weakly decreasing along rows and columns
- **part of**  $\pi$ : an entry  $\pi_{i,j}$  in the array
- given a proper merging (R, T) of c and c', define a plane partition  $\pi$  with *m* rows, *n* columns and largest part at most 2 as follows:

$$\pi_{i,j} = \begin{cases} 2, & \text{if } (c_i, c'_{n-j+1}) \in R \\ 0, & \text{if } (c'_{n-j+1}, c_i) \in T \\ 1, & \text{otherwise} \end{cases}$$

this is in fact a bijection!

CHARACTERIZATION 00000

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CHARACTERIZATION 00000 ENUMERATION

THE ENUMERATION

▶ the enumeration of plane partitions is classical

#### THEOREM (MACMAHON)

The number  $\pi(m, n, l)$  of plane partitions with m rows, n columns and largest part at most l is given by

$$\pi(m, n, l) = \prod_{i=1}^{m} \prod_{j=1}^{n} \prod_{k=1}^{l} \frac{i+j+k-1}{i+j+k-2}.$$

CHARACTERIZATION 00000

# THE ENUMERATION

 in view of the bijection from before, we obtain the following result

#### THEOREM

The number  $F_c(m, n)$  of proper mergings of an m-chain and an n-chain is given by

$$F_{\mathfrak{c}}(m,n) = \pi(m,n,2) = \frac{1}{m+n+1} \binom{m+n+1}{m+1} \binom{m+n+1}{m}.$$

$$F_{\mathfrak{c}}(m,n) = \operatorname{Nar}(m+n+1,m+1)$$

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$$F_{c}(m, n) = Nar(m + n + 1, m + 1)$$

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## OUTLINE





### **ENUMERATION**

- Proper Mergings of Two Chains
- Proper Mergings of Two Antichains
- Proper Mergings of an Antichain and a Chain

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## PREPARATION

▶ let  $A = \{a_1, a_2, ..., a_n\}$  be a set and define  $a_i =_{a} a_j$  if and only if i = j

thus, the extents and intents of  $\neq_{\mathfrak{a}}$  are precisely the subsets of A

Henri Mühle Counting Proper Mergings

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## PREPARATION

▶ let  $A = \{a_1, a_2, ..., a_n\}$  be a set and define  $a_i =_{\mathfrak{a}} a_j$  if and only if  $i = j \longrightarrow \mathfrak{a} = (A, =_{\mathfrak{a}})$  is an antichain

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$a_1$ ()	a2 ()	a3 ()	a4 ()
- 0			

$=_{\mathfrak{a}}$	a <sub>1</sub>	a <sub>2</sub>	a <sub>3</sub>	a <sub>4</sub>
a <sub>1</sub>	×			
a2		×		
a3			×	
a <sub>4</sub>				×

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~			

$=_{\mathfrak{a}}$	a <sub>1</sub>	a2	a3	a <sub>4</sub>
a <sub>1</sub>	×			
a <sub>2</sub>		×		
a3			×	
a <sub>4</sub>				×

≠a	a <sub>1</sub>	a2	ag	a <sub>4</sub>
a <sub>1</sub>		×	×	×
a2	×		×	×
a3	×	×		×
a4	×	×	×	

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· • •	- 2 0		0

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a <sub>1</sub>		×	×	X
a <sub>2</sub>	×		×	×
a3	×	×		×
a4	×	×	×	

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Motivation 00 Proper Mergings of Two Antichains





- unfortunately, we have no idea of a bijection between proper mergings of two antichains and some other mathematical objects
- but, we have an idea for a generating function approach

Motivation 00 Proper Mergings of Two Antichains CHARACTERIZATION 00000



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- the Hasse diagram of a proper merging of two antichains can be considered as a bipartite graph



 every connected component of such a Hasse diagram can occur in two variations



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- but, we have an idea for a generating function approach
- the Hasse diagram of a proper merging of two antichains can be considered as a bipartite graph



 every connected component of such a Hasse diagram can occur in two variations, unless this component is just a single node

CHARACTERIZATION

# The Generating Function

- let B(x, y) denote the bivariate exponential generating function for bipartite graphs, and let  $B_c(x, y)$  denote the bivariate exponential generating function for connected bipartite graphs
- we clearly have

$$B(x,y) = \sum_{n\geq 0} \sum_{m\geq 0} 2^{mn} \frac{x^m y^n}{m! \ n!}$$

 since every bipartite graph can be considered as a collection of connected bipartite graphs, we obtain

$$B(x,y) = \exp(B_c(x,y))$$

Characterization

# The Generating Function

- let G(x, y) denote the bivariate exponential generating function for proper mergings of two antichains
- we obtain

$$\begin{split} \hat{B}(x,y) &= \exp(2 \cdot B_c(x,y) - x - y) \\ &= \exp(2 \cdot \log B(x,y) - x - y) \\ &= B(x,y)^2 - \exp(x) - \exp(y) \\ &= \sum 2^{n_1 n_2 + m_1 m_2} (-1)^{k_1} (-1)^{k_2} \\ &\quad \cdot \frac{x^{n_1 + m_1 + k_1}}{n_1! \ m_1! \ k_1!} \cdot \frac{y^{n_2 + m_2 + k_2}}{n_2! \ m_2! \ k_2!} \end{split}$$

CHARACTERIZATION 00000 

## THE ENUMERATION

the number of proper mergings of an *m*-antichain and an *n*-antichain is given by the coefficient of  $\frac{x^m y^n}{m! n!}$  in G(x, y)

#### Theorem

The number  $F_{\mathfrak{a}}(m,n)$  of proper mergings of an m-antichain and an n-antichain is given by

$$F_{\mathfrak{a}}(m,n) = \sum_{k_1+m_1+n_1=m} \binom{m}{k_1,m_1,n_1} (-1)^{k_1} (2^{m_1}+2^{n_1}-1)^n.$$

CHARACTERIZATION 00000 

## OUTLINE







#### ENUMERATION

- Proper Mergings of Two Chains
- Proper Mergings of Two Antichains
- Proper Mergings of an Antichain and a Chain

# THE IDEA

- ▶ let  $A = \{a_1, a_2, ..., a_m\}$  and  $C = \{c_1, c_2, ..., c_n\}$  be sets, and let  $\mathfrak{a} = (A, =_\mathfrak{a})$  be an *m*-antichain and  $\mathfrak{c} = (C, \leq_\mathfrak{c})$  be an *n*-chain
- ▶ recall that the intents and extents of  $\neq_{\mathfrak{a}}$  are just subsets of A, and the extents of  $\geq_{\mathfrak{c}}$  are of the form  $\{c_1, c_2, \ldots, c_k\}$  and the intents are of the form  $\{c_k, c_{k+1}, \ldots, c_n\}$

ENUMERATION

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- if (R, T) is a merging of a and c, then R must be right-justified and T must be top-justified



# The Idea

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Motivation Charact 00 00000

CHARACTERIZATION 00000 Enumeration

PROPER MERGINGS OF AN ANTICHAIN AND A CHAIN

## THE BIJECTION

- complete bipartite digraph  $\vec{K}_{m,n}$ : a bipartite digraph with vertex set  $V = V_1 \oplus V_2$ , where  $|V_1| = m$  and  $|V_2| = n$ , and edge set  $\vec{E} = V_1 \times V_2$
- monotone coloring of a digraph: a map  $\gamma: V \to \mathbb{N}$  with the property: if  $(v_1, v_2) \in \vec{E}$ , then  $\gamma(v_1) \leq \gamma(v_2)$
- given a proper merging (R, T) of  $\mathfrak{a}$  and  $\mathfrak{c}$ , define a monotone (n+1)-coloring  $\gamma$  of  $\vec{K}_{m,m}$  as follows:

 $\gamma(v_i) = k$  if and only if

$$\begin{cases} v_i \in V_1 & \text{and } (a_i, c_j) \in R \\ & \text{for all } n+2-k \leq j \leq n \\ v_i \in V_2 & \text{and } (c_j, a_i) \in T \\ & \text{for all } 1 \leq j \leq n+1-k \end{cases}$$

this is in fact a bijection!

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Counting Proper Mergings
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Characterization

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e Counting Proper Mergings

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## The Enumeration

▶ the number of monotone *n*-colorings of  $\vec{K}_{m_1,m_2}$  is known

#### PROPOSITION (JOVOVIĆ & KILIBARDA, 2004)

Let  $\eta_n(\vec{K}_{m_1,m_2})$  denote the number of monotone n-colorings of  $\vec{K}_{m_1,m_2}$ . Then,

$$\eta_n(ec{K}_{m_1,m_2}) = \sum_{k=1}^n \Bigl( (n+1-k)^{m_1} - (n-k)^{m_1} \Bigr) \cdot k^{m_2} \ = \sum_{k=1}^n \Bigl( (n+1-k)^{m_2} - (n-k)^{m_2} \Bigr) \cdot k^{m_1}.$$

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PROPER MERGINGS OF AN ANTICHAIN AND A CHAIN

#### THE ENUMERATION

 in view of the bijection from before, we obtain the following result

#### Theorem

The number  $F_{\alpha}(m, n)$  of proper mergings of an m-antichain and an n-chain is given by

$$F_{\alpha}(m,n) = \eta_{n+1}(\vec{K}_{m,m})$$
  
=  $\sum_{k=1}^{n+1} ((n+2-k)^m - (n+1-k)^m) \cdot k^m$ 

we need to evaluate the term " $0^{0}$ " as zero, in order to cover the case m = 0 correctly

Henri Mühle Counting Proper Mergings

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# Thank You.

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