

# COMBINATORICS OF $(q, y)$ -LAGUERRE POLYNOMIALS AND THEIR MOMENTS

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*To Christian Krattenthaler on the occasion of his 60th birthday*

ABSTRACT. We consider a  $(q, y)$ -analogue of Laguerre polynomials  $L_n^{(\alpha)}(x; y | q)$  for integral  $\alpha \geq -1$ , which turns out to be a rescaled version of Al-Salam–Chihara polynomials. A combinatorial interpretation for the  $(q, y)$ -Laguerre polynomials is given using a colored version of Foata and Strehl’s Laguerre configurations with suitable statistics. When  $\alpha \geq 0$ , the corresponding moments are described using certain classical statistics on permutations, and the linearization coefficients are proved to be a polynomial in  $y$  and  $q$  with nonnegative integral coefficients.

## 1. INTRODUCTION

The monic Laguerre polynomials  $L_n^{(\alpha)}(x)$  are defined by the generating function

$$(1+t)^{-\alpha-1} \exp\left(\frac{xt}{t+1}\right) = \sum_{n=0}^{\infty} L_n^{(\alpha)}(x) \frac{t^n}{n!}. \quad (1.1)$$

They are the multiple of the usual (general) Laguerre polynomials [16, pp. 241–242] by  $(-1)^n n!$ . We have the explicit formula

$$L_n^{(\alpha)}(x) = \sum_{k=0}^n (-1)^{n-k} \frac{n!}{k!} \binom{n+\alpha}{n-k} x^k \quad (1.2)$$

and the three-term recurrence relation

$$L_{n+1}^{(\alpha)}(x) = (x - (2n + \alpha + 1))L_n^{(\alpha)}(x) - n(n + \alpha)L_{n-1}^{(\alpha)}(x). \quad (1.3)$$

The Laguerre polynomials  $L_n^{(\alpha)}(x)$  are orthogonal with respect to the moments  $\mathcal{L}(x^n) = (\alpha + 1)_n$ , where  $(x)_n = x(x+1) \cdots (x+n-1)$  ( $n \geq 1$ ) is the shifted factorial with  $(x)_0 = 1$ , and  $\mathcal{L}$  is the linear functional defined by

$$\mathcal{L}(f) = \frac{1}{\Gamma(\alpha + 1)} \int_0^{\infty} f(x) x^{\alpha} e^{-x} dx. \quad (1.4)$$

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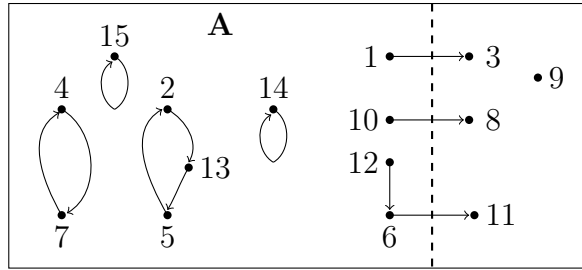


FIGURE 1. A Laguerre configuration  $(A, f)$  on  $[15]$  with  $A = [15] \setminus \{3, 8, 9, 11\}$ .

The linearization formula [23] reads as follows:

$$\mathcal{L}(L_{n_1}^{(\alpha)}(x)L_{n_2}^{(\alpha)}(x)L_{n_3}^{(\alpha)}(x)) = \sum_{s \geq 0} \frac{n_1! n_2! n_3! 2^{n_1+n_2+n_3-2s} (\alpha+1)_s}{(s-n_1)!(s-n_2)!(s-n_3)!(n_1+n_2+n_3-2s)!}. \quad (1.5)$$

A combinatorial model for Laguerre polynomials with parameter  $\alpha$  was first given by Foata and Strehl [7]. Recall that a *Laguerre configuration* on  $[n] := \{1, \dots, n\}$  is a pair  $(A, f)$ , where  $A \subset [n]$  and  $f$  is an injection from  $A$  to  $[n]$ . A Laguerre configuration can be depicted by a digraph on  $[n]$  by drawing an edge  $i \rightarrow j$  if and only if  $f(i) = j$ . Clearly, such a graph has two types of connected components called *cycles* and *paths*, see Figure 1. Let  $\mathcal{LC}_{n,k}$  be the set of Laguerre configurations  $(A, f)$  on  $[n]$  with  $|A| = n - k$ . Then Foata and Strehl's interpretation [7] reads

$$\sum_{(A,f) \in \mathcal{LC}_{n,k}} (\alpha+1)^{\text{cyc}(f)} = \frac{n!}{k!} \binom{n+\alpha}{n-k}, \quad (1.6)$$

where  $\text{cyc}(f)$  is the number of cycles of  $f$ .

Note that one can derive (1.6) from any of the three formulas (1.1)–(1.3), see [1, 7]. The aim of this paper is to study combinatorial aspects of more general  $(q, y)$ -Laguerre polynomials  $L_n^{(\alpha)}(x; y | q)$  ( $n \geq 0$ ) defined by the three term-recurrence relation

$$\begin{aligned} L_{n+1}^{(\alpha)}(x; y | q) &= (x - (y[n + \alpha + 1]_q + [n]_q)) L_n^{(\alpha)}(x; y | q) \\ &\quad - y[n]_q [n + \alpha]_q L_{n-1}^{(\alpha)}(x; y | q), \quad \alpha \geq -1, n \geq 1, \end{aligned} \quad (1.7)$$

with  $L_0^{(\alpha)}(x; y | q) = 1$ ,  $L_{-1}^{(\alpha)}(x; y | q) = 0$ . Here and throughout this paper, we use the standard  $q$ -notations:  $[n]_q = \frac{1-q^n}{1-q}$  for  $n \geq 0$ , the  $q$ -analogue of  $n$ -factorial  $n!_q = \prod_{i=1}^n [i]_q$ , and the  $q$ -binomial coefficient

$$\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{n!_q}{k!_q (n-k)!_q} \quad \text{for } 0 \leq k \leq n.$$

Clearly we have  $L_n^{(\alpha)}(x; 1 | 1) = L_n^{(\alpha)}(x)$ . Kasraoui et al. [17] gave a combinatorial interpretation for the linearization coefficients of the polynomials  $L_n^{(0)}(x; y | q)$  and pointed out

that a combinatorial model for  $L_n^{(0)}(x; y | q)$  can be derived from Simion and Stanton's model for octabasic  $q$ -Laguerre polynomials in [22]. For  $k \in \mathbb{Z}$ , let

$$\mathbb{N}_k := \{n \in \mathbb{Z} : n \geq k\}$$

and  $\mathbb{N} := \mathbb{N}_1$ . Recently, using the theory of  $q$ -Riordan matrices, Cheon, Jung and Kim [3] derived a combinatorial model for the  $q$ -Laguerre polynomials  $L_n^{(\alpha)}(x; q | q)$  when  $\alpha \in \mathbb{N}_0$ . It is then natural to search for a combinatorial structure unifying the above two special cases, as was alluded to at the end of [3]. Our first goal is to give such a combinatorial model for  $L_n^{(\alpha)}(x; y | q)$  with variable  $y$  and integer  $\alpha \in \mathbb{N}_{-1}$  by using a  $q$ -analogue of Foata and Strehl's Laguerre configurations. Moreover, for  $\alpha \in \mathbb{N}_0$ , the  $(q, y)$ -Laguerre polynomials  $L_n^{(\alpha)}(x; y | q)$  are orthogonal polynomials. It is our second goal to give a combinatorial interpretation for the moments of  $(q, y)$ -Laguerre polynomials and prove that the linearization coefficients are polynomials in  $y$  and  $q$  with nonnegative integral coefficients. We achieve this by making use of the combinatorial theory of continued fractions.

By (1.7), the first few values of  $L_n^{(\alpha)}(x; y | q)$  are

$$\begin{aligned} L_1^{(\alpha)}(x; y | q) &= x - y[\alpha + 1]_q, \\ L_2^{(\alpha)}(x; y | q) &= x^2 - (y[\alpha + 1]_q + y[\alpha + 2]_q + 1)x + [\alpha + 1]_q[\alpha + 2]_q y^2, \\ L_3^{(\alpha)}(x; y | q) &= x^3 - (y([\alpha + 1]_q + [\alpha + 2]_q + [\alpha + 3]_q) + 2 + q)x^2 \\ &\quad + (y^2([\alpha + 1]_q[\alpha + 2]_q + [\alpha + 2]_q[\alpha + 3]_q + [\alpha + 1]_q[\alpha + 3]_q) \\ &\quad + y([\alpha + 3]_q + [2]_q[\alpha + 1]_q) + [2]_q)x - y^3[\alpha + 1]_q[\alpha + 2]_q[\alpha + 3]_q. \end{aligned}$$

For convenience, we introduce the signless  $(q, y)$ -Laguerre polynomials

$$L_n^{(\alpha)}(x; y | q) := (-1)^n L_n^{(\alpha)}(-x; y | q) = \sum_{k=0}^n \ell_{n,k}^{(\alpha)}(y; q) x^k. \quad (1.8)$$

For  $\alpha \in \mathbb{N}_{-1}$ , we observe that  $\ell_{n,k}^{(\alpha)}(y; q)$  is a polynomial in  $y, q$  with nonnegative integral coefficients, which is far from obvious from the explicit Formula (2.8). For  $\alpha \in \mathbb{N}_{-1}$ , Formula (1.6) implies that  $\ell_{n,k}^{(\alpha)}(1; 1)$  is equal to the number of Laguerre configurations in  $\mathcal{LC}_{n,k}$  such that each cycle carries a *color*  $\in [1 + \alpha]$ . In particular, the number of Laguerre configurations in  $\mathcal{LC}_{n,k}$  without cycles (i.e., consisting of only  $k$  paths) is equal to the *Lah numbers* [18]:

$$\ell_{n,k}^{(-1)}(1; 1) = \frac{n!}{k!} \binom{n-1}{k-1}.$$

*Remark 1.* Two different  $q$ -analogues of Lah numbers were defined and studied by Garsia and Remmel [9] and Lindsay et al. [19], respectively. Moreover an elliptic analogue of Garsia and Remmel's  $q$ -Lah numbers was constructed by Schlosser and Yoo [21].

The organization of this paper is as follows. In Section 2 we identify the  $(q, y)$ -Laguerre polynomials as a rescaled version of Al-Salam–Chihara polynomials and derive several expansion formulas for  $(q, y)$ -Laguerre polynomials. In Section 3 we present a combinatorial interpretation for the  $(q, y)$ -Laguerre polynomials in terms of  $\alpha$ -Laguerre configurations, which are in essence the product structure of “cycles” and “paths”. In Section 4 we give a combinatorial interpretation for the moments of  $(q, y)$ -Laguerre polynomials and prove that the linearization coefficients are polynomials in  $y$  and  $q$  with nonnegative integral coefficients. As the Laguerre polynomials play an important role in the theory of rook polynomials, we translate our  $\alpha$ -Laguerre configurations in terms of rook placements in Section 5 and set up the connection between our  $\alpha$ -Laguerre configurations and the matching model of *complete bipartite graphs*  $K_{n, n+\alpha}$  (see Godsil and Gutman [12]).

## 2. A DETOUR TO AL-SALAM–CHIHARA POLYNOMIALS

The  $q$ -Pochhammer symbol or  $q$ -shifted factorial  $(a; q)_n$  is defined by

$$(a; q)_n = \begin{cases} \prod_{i=0}^{n-1} (1 - aq^i) & \text{for } n \in \mathbb{Z}^+ \cup \{\infty\}, \\ 1 & \text{for } n = 0. \end{cases}$$

The Al-Salam–Chihara polynomials  $Q_n(x) := Q_n(x; a, b | q)$  are defined by the generating function (see [16, Chapter 14])

$$\sum_{n=0}^{\infty} Q_n(x; a, b | q) \frac{t^n}{(q; q)_n} = \frac{(at, bt; q)_{\infty}}{(te^{i\theta}, te^{-i\theta}; q)_{\infty}}, \quad (2.1)$$

with  $(a, b; q)_{\infty} = (a; q)_{\infty}(b; q)_{\infty}$ , and they satisfy the recurrence relation (op. cit.)

$$\begin{cases} Q_{-1}(x) = 0, & Q_0(x) = 1, \\ Q_{n+1}(x) = (2x - (a+b)q^n)Q_n(x) - (1-q^n)(1-abq^{n-1})Q_{n-1}(x), & n \geq 0. \end{cases} \quad (2.2)$$

We have the explicit formula

$$Q_n(x; a, b | q) = \frac{(ab; q)_n}{a^n} \sum_{k=0}^n \frac{(q^{-n}; q)_k (au; q)_k (au^{-1}; q)_k}{(ab; q)_k (q; q)_k} q^k, \quad (2.3)$$

where  $x = \frac{u+u^{-1}}{2}$  or  $x = \cos \theta$  if  $u = e^{i\theta}$ .

Comparing (1.7) with (2.2) and using (1.8), we see that our polynomials  $L_n^{(\alpha)}(x; y | q)$  are a rescaled version of the Al-Salam–Chihara polynomials:

$$L_n^{(\alpha)}(x; y | q) = \left( \frac{\sqrt{y}}{1-q} \right)^n Q_n \left( \frac{(1-q)x + y + 1}{2\sqrt{y}}; \frac{1}{\sqrt{y}}, \sqrt{y}q^{\alpha+1} \middle| q \right). \quad (2.4)$$

The Al-Salam–Chihara polynomials (see [16, pp. 455–456] and [14]) are orthogonal with respect to the linear functional  $\hat{\mathcal{L}}_q$  defined by

$$\hat{\mathcal{L}}_q(f) = \frac{(q, ab; q)_\infty}{2\pi} \int_{-1}^{+1} \frac{f(x)dx}{\sqrt{1-x^2}} \prod_{k=0}^{\infty} \frac{1 - 2(2x^2 - 1)q^k + q^{2k}}{[1 - 2xaq^k + a^2q^{2k}][1 - 2xbq^k + b^2q^{2k}]}. \quad (2.5)$$

Hence, for  $\alpha \in \mathbb{N}_0$ , the polynomials  $L_n^{(\alpha)}(x; y | q)$  are orthogonal with respect to the linear functional  $\mathcal{L}_q$  given by

$$\begin{aligned} \mathcal{L}_q(f) &= \frac{(q, q^{\alpha+1}; q)_\infty}{2\pi} \frac{1-q}{2\sqrt{y}} \int_{B_-}^{B_+} \frac{f(x)dx}{\sqrt{1-v(x)^2}} \\ &\quad \times \prod_{k=0}^{\infty} \frac{[1 - 2(2v(x)^2 - 1)q^k + q^{2k}]}{[1 - 2v(x)q^k/\sqrt{y} + q^{2k}/y][1 - 2v(x)q^{k+\alpha+1}\sqrt{y} + q^{2k+2\alpha+2}y]}, \end{aligned} \quad (2.6)$$

where  $B_\pm = \frac{(1 \pm \sqrt{y})^2}{1-q}$  and

$$v(x) = \frac{1}{2\sqrt{y}}((q-1)x + (y+1)). \quad (2.7)$$

Now, by (2.4), we may derive an explicit formula from (2.3), namely

$$L_n^{(\alpha)}(x; y | q) = \sum_{k=0}^n \frac{n!_q}{k!_q} \begin{bmatrix} n + \alpha \\ k + \alpha \end{bmatrix}_q q^{k(k-n)} y^{n-k} \prod_{j=0}^{k-1} (x + (1 - yq^{-j})[j]_q), \quad (2.8)$$

and, from (2.1), the generating function

$$\begin{aligned} \mathcal{L}^{(\alpha)}(x; y; t | q) &:= \sum_{n \geq 0} L_n^{(\alpha)}(x; y | q) \frac{t^n}{n!_q} \\ &= \frac{(t; q)_\infty (ytq^{\alpha+1}; q)_\infty}{\prod_{k=0}^{\infty} [1 - ((1-q)x + y + 1)tq^k + yt^2q^{2k}]}, \end{aligned} \quad (2.9)$$

which can be written as

$$\mathcal{L}^{(\alpha)}(x; y; t | q) = \mathcal{L}^{(\alpha)}(0; y; t | q) \cdot \mathcal{L}^{(-1)}(x; y; t | q). \quad (2.10)$$

Define the “vertical generating function”

$$\mathcal{L}_k^{(\alpha)}(y; t | q) := [x^k] \mathcal{L}^{(\alpha)}(x; y; t | q) = \sum_{n \geq k} \ell_{n,k}^{(\alpha)}(y, q) \frac{t^n}{n!_q}, \quad (2.11)$$

and the  $q$ -derivative operator  $\mathcal{D}_q$  for  $f(t) \in \mathbf{R}[[t]]$  by

$$\mathcal{D}_q(f(t)) = \frac{f(t) - f(qt)}{(1-q)t},$$

where  $\mathbf{R} = \mathbb{C}[[x, y, q, \dots]]$ . Thus  $\mathcal{D}_q(1) = 0$  and  $\mathcal{D}_q(t^n) = [n]_q t^{n-1}$  for  $n > 0$ .

It follows from (2.9) that

$$\mathcal{D}_q \mathcal{L}^{(-1)}(x; y; t | q) = \frac{x}{(1-t)(1-ty)} \mathcal{L}^{(-1)}(x; y; t | q), \quad (2.12)$$

which in particular gives

$$\begin{aligned} \mathcal{D}_q \mathcal{L}_1^{(-1)}(y; t | q) &= [x] \mathcal{D}_q \mathcal{L}^{(-1)}(x; y; t | q) \\ &= \frac{1}{(1-t)(1-ty)} \\ &= \sum_{n \geq 0} n!_q [n+1]_y \frac{t^n}{n!_q}. \end{aligned} \quad (2.13)$$

So we can rewrite (2.12) as

$$\mathcal{D}_q \mathcal{L}^{(-1)}(x; y; t | q) = x \cdot \mathcal{D}_q \mathcal{L}_1^{(-1)}(y; t | q) \cdot \mathcal{L}^{(-1)}(x; y; t | q), \quad (2.14)$$

which is equivalent to the following result.

**Proposition 2.** *For  $n \in \mathbb{N}$ , we have*

$$L_{n+1}^{(-1)}(x; y | q) = x \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix}_q k!_q [k+1]_y L_{n-k}^{(-1)}(x; y | q). \quad (2.15)$$

Now, applying the  $q$ -binomial formula (see [10, Chapter 1])

$$\sum_{n \geq 0} \frac{(a; q)_n}{(q; q)_n} z^n = \frac{(az; q)_\infty}{(z; q)_\infty}$$

with  $a = q^{\alpha+1}$  and  $z = yt$ , we have

$$\mathcal{L}^{(\alpha)}(0; y; t | q) = \frac{(ytq^{\alpha+1}; q)_\infty}{(yt; q)_\infty} = \sum_{n \geq 0} \left( \prod_{k=1}^n [\alpha + k]_q \right) \frac{(yt)^n}{n!_q}. \quad (2.16)$$

Substitution of the latter into (2.10) gives the following result.

**Proposition 3.** *For  $n \in \mathbb{N}$ , we have*

$$L_n^{(\alpha)}(x; y | q) = \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix}_q \left( \prod_{j=1}^k [\alpha + j]_q \right) y^k L_{n-k}^{(-1)}(x; y | q). \quad (2.17)$$

*Remark 4.* (1) More generally we can prove the following connection formula for  $\alpha \geq \beta \geq -1$ :

$$L_n^{(\alpha)}(x; y | q) = \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix}_q \left( \prod_{j=0}^{k-1} [\alpha - \beta + j]_q \right) (yq^{\beta+1})^k L_{n-k}^{(\beta)}(x; y | q). \quad (2.18)$$

(2) For  $q \rightarrow 1$ , Identity (2.9) reduces to

$$\sum_{n \geq 0} L_n^{(\alpha)}(x; y | 1) \frac{t^n}{n!} = (1 - yt)^{-(\alpha+1)} \left( 1 - \frac{(1-y)t}{1-yt} \right)^{-x/(1-y)}.$$

Comparing with the generating function of the Meixner polynomials (see [16, Equation (1.9.11)])

$$\sum_{n=0}^{\infty} \frac{(\beta)_n}{n!} M_n(x; \beta, c) t^n = (1-t)^{-x-\beta} (1-t/c)^x,$$

we derive

$$L_n^{(\alpha)}(x; y | 1) = y^n (\alpha + 1)_n M_n \left( \frac{-x}{1-y}; \alpha + 1, y \right).$$

Hence the  $(q, y)$ -Laguerre polynomials  $L_n^{(\alpha)}(x; y | q)$  are a  $q$ -analogue of rescaled Meixner polynomials.

### 3. COMBINATORIAL INTERPRETATION OF $(q, y)$ -LAGUERRE POLYNOMIALS

The reader is referred to [1, 6, 13] for the general combinatorial theory of exponential generating functions for labeled structures. For our purpose we need only a  $q$ -version of this theory for special labeled structures. A *labeled structure* on a (finite) set  $A \subset \mathbb{N}$  is a graph with vertex set  $A$ . Consider a family of labeled  $\mathcal{F}$ -structures  $\mathcal{F} = \bigcup_{n=0}^{\infty} \mathcal{F}_n$ , where  $\mathcal{F}_n$  consists of the  $\mathcal{F}$ -structures on  $[n]$ . If  $A = \{a_1, \dots, a_n\} \subset \mathbb{N}$ , where  $a_1 < \dots < a_n$ , an  $\mathcal{F}$ -structure on  $A$  is obtained by replacing  $i$  by  $a_i$  for  $i = 1, \dots, n$  in the elements of  $\mathcal{F}_n$ . Let  $\mathcal{F}[A]$  denote the set of  $\mathcal{F}$ -structures on  $A$  and associate a weight  $u(f)$  to each object  $f \in \mathcal{F}$ . For the set of weighted  $\mathcal{F}$ -structures  $\mathcal{F}_u$  (where the valuation  $u$  may involve the parameter  $q$ ), the  $q$ -generating function is defined as

$$\mathcal{F}_u(t) = \sum_{f \in \mathcal{F}} u(f) \frac{t^{|f|}}{|f|!_q},$$

where  $|f| = n$  if  $f \in \mathcal{F}[n]$ . If  $\mathcal{F}_u$  and  $\mathcal{G}_v$  are two weighted structures, we denote by  $(\mathcal{F} \cdot \mathcal{G})_w[n]$  the set of pairs  $(f, g) \in \mathcal{F}[S] \times \mathcal{G}[T]$  with weight

$$w(f, g) = u(f) \cdot v(g) \cdot q^{\text{inv}(S, T)},$$

where  $(S, T)$  is an ordered bipartition of  $[n]$  and  $\text{inv}(S, T)$  is the number of pairs  $(i, j) \in S \times T$  such that  $i > j$ . Recall (see [13, p. 98]) that

$$\sum_{(S, T)} q^{\text{inv}(S, T)} = \begin{bmatrix} n \\ k \end{bmatrix}_q,$$

where the sum is over all ordered bipartitions  $(S, T)$  of  $[n]$  with  $|S| = k$ . It is folklore and immediately checked that

$$(\mathcal{F} \cdot \mathcal{G})_w(t) = \mathcal{F}_u(t) \cdot \mathcal{G}_v(t). \quad (3.1)$$

We need some further definitions.

- (a) For a permutation  $\sigma$  of a set  $A \subset \mathbb{N}$ , let the word  $\hat{\sigma}$  denote its linear representation in the usual sense, i.e.,  $\hat{\sigma} = \sigma(i_1) \dots \sigma(i_n)$  if  $A = \{i_1, \dots, i_n\}$  with  $i_1 < \dots < i_n$ .
- (b) A list of (nonnegative) integers, taken as a word over  $\mathbb{N}$ , is *strict* if no element occurs more than once. For a strict list  $\rho$  let  $\text{rl}(\rho)$  be the number of elements that come after the maximum element.
- (c) For a set  $\lambda$  of  $k$  non-empty and disjoint strict lists of integers, order these lists according to their minimum element (increasing). This gives a list of  $k$  words  $(\lambda_1, \dots, \lambda_k)$ , which will be identified with  $\lambda$ . Then  $\underline{\lambda} = \lambda_1 \dots \lambda_k$  denotes the concatenation of these lists.

Two particular structures will be used to interpret the  $(q, y)$ -Laguerre polynomials.

- (d) The structures  $\mathcal{S}^{(\alpha)}$  consist of permutations  $\sigma$ , where each cycle carries a color  $\in \{0, 1, 2, \dots, \alpha\}$ . Write  $\sigma$  as a product of *unicolored permutations*,  $\sigma = \sigma_0 \cdot \sigma_1 \cdots \sigma_\alpha$ , where  $\sigma_i$  is the product of cycles with color  $i$ . Now consider the concatenation

$$\underline{\sigma} = \hat{\sigma}_0 \cdot \hat{\sigma}_1 \cdots \hat{\sigma}_\alpha$$

and the word with letters from  $\{0, 1\}$  given by

$$\underline{\underline{\sigma}} = 0^{|\hat{\sigma}_0|} 10^{|\hat{\sigma}_1|} 1 \dots 10^{|\hat{\sigma}_\alpha|}.$$

Define the valuation  $u$  on  $\mathcal{S}^{(\alpha)}$  by

$$u(\sigma) = y^{|\underline{\sigma}|} q^{\text{inv}(\underline{\sigma}) + \text{inv}(\underline{\underline{\sigma}})}.$$

- (e) The structures  $\mathcal{L}in^{(k)}$  consist of sets  $\lambda = (\lambda_1, \dots, \lambda_k)$  of  $k$  nonempty and disjoint strict lists (cf. (c)). Define the valuation  $v$  on  $\mathcal{L}in^{(k)}$  by

$$v(\lambda) = y^{\text{rl}(\lambda)} q^{\text{inv}(\underline{\lambda}) - \text{rl}(\lambda)},$$

where  $\text{rl}(\lambda) = \sum_{i=1}^k \text{rl}(\lambda_i)$ .

Let  $\mathcal{L}\mathcal{C}_{n,k}^{(\alpha)} := \mathcal{S}^{(\alpha)} \cdot \mathcal{L}in^{(k)}[n]$ . For any  $\alpha$ -Laguerre configuration  $(\sigma, \lambda) \in \mathcal{S}^{(\alpha)}[A] \times \mathcal{L}in^{(k)}[B]$  with  $A \cap B = \emptyset$  and  $A \cup B = [n]$ , in order to invoke the folklore statement (3.1), one should use as valuation

$$\begin{aligned} w(\sigma, \lambda) &= u(\lambda) \cdot v(\lambda) \cdot q^{\text{inv}(A,B)} \\ &= y^{|\underline{\sigma}|} q^{\text{inv}(\underline{\sigma}) + \text{inv}(\underline{\underline{\sigma}})} y^{\text{rl}(\lambda)} q^{\text{inv}(\underline{\lambda}) - \text{rl}(\lambda)} q^{\text{inv}(A,B)} \\ &= y^{|\underline{\sigma}| + \text{rl}(\lambda)} q^{\text{inv}(\underline{\sigma}) + \text{inv}(\underline{\underline{\sigma}}) + \text{inv}(\underline{\lambda}) - \text{rl}(\lambda)} q^{\text{inv}(A,B)} \\ &= y^{|\underline{\sigma}| + \text{rl}(\lambda)} q^{\text{inv}(\underline{\sigma, \underline{\lambda}}) - \text{rl}(\lambda) + \text{inv}(\underline{\underline{\sigma}})}. \end{aligned} \quad (3.2)$$



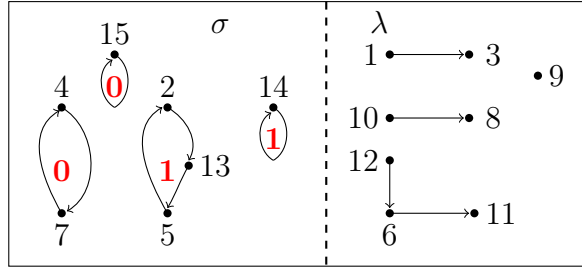


FIGURE 2. A 1-Laguerre configuration  $(\sigma, \lambda) \in \mathcal{LC}_{15,4}^{(1)}$ , which is the Laguerre configuration in Figure 1 of which each cycle gets a color 0 or 1.

The essential point is  $\text{inv}(\underline{\sigma}) + \text{inv}(\underline{\lambda}) + \text{inv}(A, B) = \text{inv}(\underline{\sigma} \cdot \underline{\lambda})$ . This describes the weighted configurations  $(\mathcal{LC}_{n,k}^{(\alpha)})_w$ . An element of  $(\mathcal{LC}_{n,k}^{(\alpha)})_w$  is called an  $\alpha$ -Laguerre configuration on  $[n]$ , see Figure 2.

**Lemma 5.** For  $\alpha \in \mathbb{N}$ , we have

$$\mathcal{S}_u^{(\alpha)}(t) = \mathcal{L}^{(\alpha)}(0; y; t | q).$$

*Proof.* Let  $\mathbf{P}(n, \alpha)$  be the set of words of length  $n + \alpha$  with  $n$  0's and  $\alpha$  1's, i.e., lattice paths from  $(0, 0)$  to  $(n, \alpha)$ . For  $\sigma \in \mathcal{S}^{(\alpha)}[n]$ , the word  $\underline{\sigma}$  can be seen as the linear representation of an (ordinary) permutation  $\tilde{\sigma} \in \mathcal{S}^{(0)}[n]$ , whereas  $\underline{\underline{\sigma}} \in \mathbf{P}(n, \alpha)$ . The mapping

$$\begin{aligned} \mathcal{S}^{(\alpha)}[n] &\rightarrow \mathcal{S}^{(0)}[n] \times \mathbf{P}(n, \alpha) \\ \sigma &\mapsto (\tilde{\sigma}, \underline{\underline{\sigma}}) \end{aligned}$$

is a bijection, and from summing both contributions separately, one obtains

$$\begin{aligned} \sum_{\sigma \in \mathcal{S}^{(\alpha)}[n]} q^{\text{inv}(\underline{\sigma}) + \text{inv}(\underline{\underline{\sigma}})} &= \sum_{\sigma \in \mathcal{S}^{(0)}[n]} q^{\text{inv}(\underline{\sigma})} \sum_{\underline{\underline{\sigma}} \in \mathbf{P}(n, \alpha)} q^{\text{inv}(\underline{\underline{\sigma}})} \\ &= n!_q \begin{bmatrix} n + \alpha \\ \alpha \end{bmatrix}_q, \end{aligned}$$

which is  $\prod_{i=1}^n [\alpha + i]_q$ . So we get

$$\mathcal{S}_u^{(\alpha)}(t) = \sum_{n \geq 0} \left( \prod_{i=1}^n [\alpha + i]_q \right) (yt)^n.$$

The result then follows from (2.16). □

**Lemma 6.** For integers  $k \geq 1$ , we have

$$\mathcal{L}in_v^{(k)}(t) = \mathcal{L}_k^{(-1)}(y; t | q).$$

*Proof.* We proceed by induction on  $k \geq 1$ .

- The case  $k = 1$ . For a single list  $\lambda = \underline{\lambda} \in \mathcal{L}in^{(1)}[n+1]$ , let  $j_\lambda$  be the position of the maximum element, let  $\lambda' = \underline{\lambda}' \in \mathcal{L}in^{(1)}[n]$  be the list obtained by deleting this maximum element. Then

$$\begin{aligned} \mathcal{L}in^{(1)}[n+1] &\rightarrow \mathcal{L}in^{(1)}[n] \times [n+1] \\ \lambda &\mapsto (\lambda', j_\lambda) \end{aligned}$$

is a bijection such that  $\text{inv}(\underline{\lambda}) = \text{inv}(\underline{\lambda}') + \text{rl}(\lambda)$ . Furthermore, we have

$$\sum_{\lambda \in \mathcal{L}in^{(1)}[n+1]} y^{\text{rl}(\lambda)} q^{\text{inv}(\underline{\lambda}) - \text{rl}(\lambda)} = \sum_{\lambda' \in \mathcal{L}in^{(1)}[n]} q^{\text{inv}(\underline{\lambda}')} \sum_{j \in [n+1]} y^{n+1-j},$$

and thus

$$\sum_{\lambda \in \mathcal{L}in^{(1)}[n+1]} v(\lambda) = n!_q [n+1]_y,$$

which, in view of (2.13), gives

$$\mathcal{D}_q \mathcal{L}in_v^{(1)}(t) = \mathcal{D}_q \mathcal{L}_1^{(-1)}(y; t | q),$$

and by  $q$ -integration

$$\mathcal{L}in_v^{(1)}(t) = \mathcal{L}_1^{(-1)}(y; t | q)$$

because the series on both sides have a zero constant term.

- The case  $k > 1$ . Assuming that  $\mathcal{L}in_v^{(k)}(t) = \mathcal{L}_k^{(-1)}(y; t | q)$  has already been proved for  $k \geq 1$ , the goal is to show

$$\mathcal{L}in_v^{(k+1)}(t) = \mathcal{L}_{k+1}^{(-1)}(y; t | q).$$

Comparing the coefficients of  $x^{k+1}$  on both sides of Equation (2.14), we obtain

$$\mathcal{D}_q \mathcal{L}_{k+1}^{(-1)}(y; t | q) = \mathcal{D}_q \mathcal{L}_1^{(-1)}(y; t | q) \cdot \mathcal{L}_k^{(-1)}(y; t | q).$$

If we can show that similarly

$$\mathcal{D}_q \mathcal{L}in_v^{(k+1)}(t) = \mathcal{D}_q \mathcal{L}in_v^{(1)}(t) \cdot \mathcal{L}in_v^{(k)}(t), \quad (3.3)$$

then we would be done. Again, the final integration step poses no problem because in both  $\mathcal{L}in_v^{(k+1)}(t)$  and  $\mathcal{L}_{k+1}^{(-1)}(y; t | q)$  the first  $k+1$  coefficients vanish. Recall that a configuration  $\lambda \in \mathcal{L}in^{(k+1)}[n]$  consists of a list of  $k+1$  disjoint strict lists, written as a list  $\lambda = (\lambda_0, \lambda_1, \dots, \lambda_k)$ , with  $\lambda_i \in \mathcal{L}in^{(1)}[A_i]$ , where

$$\biguplus_{i=0}^k A_i = [n] \quad \text{and} \quad \min A_{i-1} < \min A_i, \quad 1 \leq i \leq k.$$

We have a bijection

$$\begin{aligned} \mathcal{L}in^{(k+1)}[A] &\rightarrow \mathcal{L}in^{(1)}[A_0] \times \mathcal{L}in^{(k)}[A'] \\ \lambda &\mapsto (\lambda_0, \lambda'), \end{aligned}$$

where  $\lambda' = (\lambda_1, \dots, \lambda_k)$  and  $A' = \bigcup_{i=1}^k A_i$ , which also satisfies the requirement for applying the folklore statement (3.1):

$$v(\lambda) = v(\lambda_0) \cdot v(\lambda') \cdot q^{\text{inv}(A_0, A')}.$$

All this holds only if for the bipartition  $A = A_0 \uplus A'$  it is guaranteed that  $\min A_0 < \min A'$ . This is where the derivative  $\mathcal{D}_q$  comes into play. Differentiation for a collection of structures means that the minimum element of the underlying set of a structure is tagged and no longer counted in the  $w$ -valuation of the base set. In the present situation, this implies that only structures are considered where tagging the minimum element of  $\lambda$  means the same as tagging the minimum element of  $\lambda_0$ . This shows that (3.3) holds.  $\square$

**Theorem 7.** *For integers  $\alpha \geq -1$ , we have*

$$\ell_{n,k}^{(\alpha)}(y; q) = \sum_{(\sigma; \lambda) \in \mathcal{LC}_{n,k}^{(\alpha)}} y^{|\underline{\sigma}| + \text{rl}(\lambda)} q^{\text{inv}(\underline{\sigma}, \lambda) - \text{rl}(\lambda) + \text{inv}(\underline{\sigma})}.$$

*Proof.* From (2.10) we infer

$$\mathcal{L}_k^{(\alpha)}(y; t | q) = \mathcal{L}^{(\alpha)}(0; y; t | q) \mathcal{L}_k^{(-1)}(y; t | q),$$

and the result follows from Lemmas 1 and 2.  $\square$

Here we give an example to illustrate the  $\alpha$ -Laguerre configurations.

*Example 8.* Consider the 1-Laguerre configuration  $(\sigma; \lambda) \in \mathcal{LC}_{15,4}^{(1)}$  in Figure 2. We have

$$\begin{aligned} \sigma &= \sigma_0 \cdot \sigma_1 \quad \text{with} \quad \sigma_0 = (15)(74), \quad \sigma_1 = (14)(1352); \\ \lambda &= (\lambda_1, \lambda_2, \lambda_3, \lambda_4) \quad \text{with} \quad \lambda_1 = 13, \quad \lambda_2 = 12611, \quad \lambda_3 = 108, \quad \lambda_4 = 9. \end{aligned}$$

Thus,

$$\begin{aligned} \underline{\sigma} &= \hat{\sigma}_0 \cdot \hat{\sigma}_1 = 7415 \cdot 132514, \\ \underline{\underline{\sigma}} &= 0^3 10^4; \\ \underline{\lambda} &= 13 \cdot 12611 \cdot 108 \cdot 9. \end{aligned}$$

We have  $|\underline{\sigma}| = 7$ ,  $\text{rl}(\lambda) = 3$ ,  $\text{inv}(\underline{\underline{\sigma}}) = 4$ , and  $\text{inv}(\underline{\sigma} \cdot \underline{\lambda}) = 52$ .

*Remark 9.* Our model of  $\alpha$ -Laguerre configurations is simpler than the model in [3]. Actually, the  $\alpha$ -Laguerre configurations are essentially the Laguerre configurations of which each cycle has a color in  $\{0, \dots, \alpha\}$ . A linear order of paths and colored cycles is needed only for the valuation  $w$  in (3.2).

4. MOMENTS OF  $(q, y)$ -LAGUERRE POLYNOMIALS

For  $\alpha \in \mathbb{N}_0$ , by (2.6) the moments of the  $(q, y)$ -Laguerre polynomials are defined by

$$\mu_n^{(\alpha)}(q, y) := \mathcal{L}_q(x^n). \quad (4.1)$$

According to the theory of orthogonal polynomials (see [4]) and the three-term recurrence relation (1.7), we have the orthogonality relation

$$\mathcal{L}_q(L_n^{(\alpha)}(x; y | q)L_m^{(\alpha)}(x; y | q)) = y^n n!_q \left( \prod_{j=1}^n [\alpha + j]_q \right) \delta_{nm}. \quad (4.2)$$

Moreover, we have the following continued fraction expansion:

$$\sum_{n \geq 0} \mu_n^{(\alpha)}(q, y) t^n = \frac{1}{1 - b_0 t - \frac{\lambda_1 t^2}{1 - b_1 t - \frac{\lambda_2 t^2}{\ddots}}}, \quad (4.3)$$

where  $b_n = y[n + \alpha + 1]_q + [n]_q$  and  $\lambda_n = y[n]_q[n + \alpha]_q$ .

Let  $\mathfrak{S}_n$  be the set of permutations of  $\{1, 2, \dots, n\}$ . For  $\sigma \in \mathfrak{S}_n$ , we define three statistics, namely:

- the number of *weak excedances*,  $\mathbf{wex}(\sigma)$ , given by

$$\mathbf{wex}(\sigma) = |\{i \in [n] : \sigma(i) \geq i\}|;$$

- the number of *records* (or *left-to-right maxima*),  $\mathbf{rec}(\sigma)$ , given by

$$\mathbf{rec}(\sigma) = |\{i \in [n] : \sigma(i) > \sigma(j) \text{ for all } j < i\}|;$$

- the *number of crossings*,  $\mathbf{cros}(\sigma)$ , given by

$$\mathbf{cros}(\sigma) = |\{(i, j) \in [n] \times [n] : i < j \leq \sigma(i) < \sigma(j) \text{ or } \sigma(j) < \sigma(i) < j < i\}|.$$

**Theorem 10.** *Let  $\beta = [\alpha + 1]_q$ . Then*

$$\mu_n^{(\alpha)}(y, q) = \sum_{\sigma \in \mathfrak{S}_n} \beta^{\mathbf{rec}(\sigma)} y^{\mathbf{wex}(\sigma)} q^{\mathbf{cros}(\sigma)}. \quad (4.4)$$

The first values of the moments are as follows:

$$\begin{aligned} \mu_1^{(\alpha)}(y, q) &= y\beta, \\ \mu_2^{(\alpha)}(y, q) &= y\beta + y^2\beta^2, \\ \mu_3^{(\alpha)}(y, q) &= y\beta + \beta(1 + (2 + q)\beta)y^2 + y^3\beta^3. \end{aligned}$$

Due to the contraction formula [13, p. 292], we can rewrite (4.3) as

$$\sum_{n \geq 0} \mu_n^{(\alpha)}(q, y) t^n = \frac{1}{1 - \frac{\gamma_1 t}{1 - \frac{\gamma_2 t}{\ddots}}}, \quad (4.5)$$

where  $\gamma_{2n} = [n]_q$  and  $\gamma_{2n+1} = y[n + \alpha]_q = y([n]_q + [\alpha + 1]_q q^n)$  for  $n \geq 0$ .

Recall that a *Dyck path* of length  $2n$  is a sequence of points  $(\omega_0, \dots, \omega_{2n})$  in  $\mathbb{N}_0 \times \mathbb{N}_0$  satisfying  $\omega_0 = (0, 0)$ ,  $\omega_{2n} = (2n, 0)$  and  $\omega_{i+1} - \omega_i = (1, 1)$  or  $(1, -1)$  for  $i = 0, \dots, 2n - 1$ . Clearly we can also identify a Dyck path with its sequence of steps (or *Dyck word*)  $s = s_1 \dots s_{2n}$  on the alphabet  $\{\mathbf{u}, \mathbf{d}\}$ , and we use  $|s|_{\mathbf{u}}$  and  $|s|_{\mathbf{d}}$  to denote the number of  $\mathbf{u}$ 's and  $\mathbf{d}$ 's, respectively, in  $s$ . So, for a Dyck word  $s$ , we have  $|s|_{\mathbf{u}} = |s|_{\mathbf{d}} = n$  and  $|s_1 \dots s_k|_{\mathbf{u}} \geq |s_1 \dots s_k|_{\mathbf{d}}$  for  $k \in [2n]$ . The height  $h_k$  of step  $s_k$  is defined to be  $h_1 = 0$  and

$$h_k = |s_1 \dots s_{k-1}|_{\mathbf{u}} - |s_1 \dots s_{k-1}|_{\mathbf{d}} \quad \text{for } k = 2, \dots, 2n.$$

A *Laguerre history* of length  $2n$  is a pair  $(s, \xi)$ , where  $s$  is a Dyck word of length  $2n$  and  $\xi = (\xi_1, \dots, \xi_{2n})$  is a sequence of integers such that  $\xi_i = 1$  if  $s_i = \mathbf{u}$  and  $1 \leq \xi_i \leq \lceil h_i/2 \rceil$  if  $s_i = \mathbf{d}$ . Let  $\mathcal{LH}_n$  be the set of Laguerre histories of length  $2n$ . We essentially use Biane's bijection [2] to construct a bijection  $\Phi$  from  $\mathfrak{S}_n$  to  $\mathcal{LH}_n$ .

*Proof of Theorem 10.* We identify a permutation  $\sigma \in \mathfrak{S}_n$  with the bipartite graph  $\mathcal{G}$  on  $\{1, \dots, n; 1', \dots, n'\}$  with an edge  $(i, j')$  if and only if  $\sigma(i) = j$ . We display the vertices on two rows called top row and bottom row as follows:

$$\begin{pmatrix} 1 & 2 & \cdots & n \\ 1' & 2' & \cdots & n' \end{pmatrix},$$

and we read the graph column by column from left to right and from top to bottom. In other words, the order of vertices is  $v_1 = 1, v_2 = 1', \dots, v_{2n-1} = n, v_{2n} = n'$ .

For  $k = 1, \dots, 2n$ , the  $k$ -th restriction of  $\mathcal{G}$  is the graph  $\mathcal{G}_k$  on  $\{v_1, v_2, \dots, v_k\}$  with edge  $(v_i, v_j)$  in  $\mathcal{G}_k$  if and only if  $i, j \in [k]$ , so isolated vertices may exist in  $\mathcal{G}_k$ .

For  $i = 1, \dots, n$ , the Dyck path  $s = s_1 \dots s_{2n}$  is defined as follows:

- if  $\sigma^{-1}(i) > i < \sigma(i)$  (i.e.,  $i$  is a cycle valley), then  $s_{2i-1}s_{2i} = \mathbf{uu}$ ;
- if  $\sigma^{-1}(i) < i < \sigma(i)$  (i.e.,  $i$  is a cycle double ascent), then  $s_{2i-1}s_{2i} = \mathbf{ud}$ ;
- if  $\sigma^{-1}(i) > i > \sigma(i)$  (i.e.,  $i$  is a cycle double descent), then  $s_{2i-1}s_{2i} = \mathbf{du}$ ;
- if  $\sigma^{-1}(i) < i > \sigma(i)$  (i.e.,  $i$  is a cycle peak), then  $s_{2i-1}s_{2i} = \mathbf{dd}$ ;
- if  $\sigma^{-1}(i) = i = \sigma(i)$  (i.e.,  $i$  is a fixed point), then  $s_{2i-1}s_{2i} = \mathbf{ud}$ .

It is easy to see that

- $s$  is a Dyck path;
- the height  $h_i$  is the number of isolated vertices in  $\mathcal{G}_{i-1}$  for  $i \in [2n]$  with  $\mathcal{G}_{i-1} = \emptyset$ ; thus  $h_{2i-1}$  (respectively  $h_{2i}$ ) is even (respectively odd) for  $i = 1, \dots, n$  and there are  $\lceil h_i/2 \rceil$  isolated vertices in the top row.

Next, the sequence  $\xi = (\xi_1, \dots, \xi_{2n})$  is defined as follows:

- $s_i = \mathbf{u}$  then  $\xi_i = 1$ ;
- $s_i = \mathbf{d}$ , then
  - if  $\sigma(i) < i$  (i.e.,  $i$  is a cycle double descent or cycle peak), then  $h_{2i-1} > 0$ ; let  $\xi_i = m$  if  $\sigma(2i)$  is the  $m$ -th isolated vertex in the bottom row of  $\mathcal{G}_{2i-2}$  from right-to-left ( $1 \leq m \leq \lceil h_{2i}/2 \rceil$ ); clearly the value  $i$  will contribute  $m - 1$  crossings  $l < k < i < j$  such that  $l = \sigma(i)$ ,  $k = \sigma(j)$ ;
  - if  $\sigma^{-1}(i) \leq i$  (i.e.,  $i$  is a cycle double ascent, cycle peak or fixed point), then  $h_{2i} > 0$ ; let  $\xi_i = m$  if  $\sigma^{-1}(i)$  is the  $m$ -th isolated vertex in the top row of  $\mathcal{G}_{2i-2}$  from right-to-left, so  $1 \leq m \leq \lceil h_{2i}/2 \rceil$ ; clearly the value  $i$  will contribute  $m - 1$  crossings  $l < k < i < j$  such that  $l = \sigma^{-1}(i)$ ,  $k = \sigma(j)$ , and  $i$  is a record if and only if  $m = \lceil h_{2i}/2 \rceil$ .

Let  $\Phi(\sigma) = (s, \xi)$ . Then

$$\begin{aligned} \text{wex}(\sigma) &= |\{i \in [n] : s_{2i} = \mathbf{d}\}|, \\ \text{rec}(\sigma) &= |\{i \in [n] : s_{2i} = \mathbf{d}, \xi_{2i} = \lceil h_{2i}/2 \rceil\}|, \\ \text{cros}(\sigma) &= \sum_{i:s_i=\mathbf{d}} (\xi_i - 1). \end{aligned}$$

Therefore,

$$\begin{aligned} \sum_{\sigma \in \mathfrak{S}_n} \beta^{\text{rec}(\sigma)} y^{\text{wex}(\sigma)} q^{\text{cros}(\sigma)} &= \sum_{(s, \xi) \in \mathcal{LH}_n} \prod_{i:s_i=\mathbf{d}} q^{\xi_i-1} \prod_{i:s_{2i}=\mathbf{d}} y \beta^{\chi(\xi_{2i}=\lceil h_{2i}/2 \rceil)} \\ &= \sum_{s \in \text{Dyck}_n} \prod_{i:s_i=\mathbf{d}} w(s_i), \end{aligned} \quad (4.6)$$

where  $\text{Dyck}_n$  denotes the set of Dyck paths of semilength  $n$ , and the weight of each down step  $s_i = \mathbf{d}$  is defined by

$$w(s_i) = \begin{cases} 1 + q + \cdots + q^{k-1}, & \text{if } h_i = 2k, \\ y(1 + q + \cdots + q^{k-1} + \beta q^k), & \text{if } h_i = 2k + 1. \end{cases}$$

A folklore theorem [5] implies that the generating function of (4.6) has the continued fraction expansion (4.5), and we are done.  $\square$

*Example 11.* If  $\sigma = 412796583 \in \mathfrak{S}_9$ , then the Laguerre history  $\Phi(\sigma) = (s, \xi)$  is given by

$$\begin{pmatrix} s \\ \xi \end{pmatrix} = \begin{pmatrix} \mathbf{u}\mathbf{u} & \mathbf{d}\mathbf{u} & \mathbf{d}\mathbf{u} & \mathbf{u}\mathbf{d} & \mathbf{u}\mathbf{u} & \mathbf{u}\mathbf{d} & \mathbf{d}\mathbf{d} & \mathbf{u}\mathbf{d} & \mathbf{d}\mathbf{d} \\ 11 & 11 & 11 & 12 & 11 & 11 & 12 & 11 & 11 \end{pmatrix}.$$

**Theorem 12.** Let  $\alpha \in \mathbb{N}_0$ . For nonnegative integers  $n_1, \dots, n_k$ , the linearization coefficient

$$\mathcal{L}_q \left( \prod_{k=1}^m L_{n_k}^{(\alpha)}(x; y | q) \right) \quad (4.7)$$

is a polynomial in  $\mathbb{N}[y, q]$ .

*Proof.* In view of the orthogonality (4.2), it suffices to prove the  $m = 3$  case. Indeed, we can derive the following explicit formula from [17, Theorem 1]:

$$\begin{aligned} & \mathcal{L}_q(L_{n_1}^{(\alpha)}(x; y | q)L_{n_2}^{(\alpha)}(x; y | q)L_{n_3}^{(\alpha)}(x; y | q)) \\ &= n_1!_q n_2!_q n_3!_q \sum_{s \geq \max(n_1, n_2, n_3)} y^s \left[ \begin{matrix} s \\ n_1 + n_2 + n_3 - 2s, s - n_3, s - n_2, s - n_1 \end{matrix} \right]_q \\ & \quad \times \left[ \begin{matrix} \alpha + s \\ s \end{matrix} \right]_q \sum_{k \geq 0} \left[ \begin{matrix} n_1 + n_2 + n_3 - 2s \\ k \end{matrix} \right]_q y^k q^{\binom{k+1}{2} + \binom{n_1+n_2+n_3-2s-k}{2} + k\alpha}, \end{aligned} \quad (4.8)$$

where the  $q$ -multinomial coefficients

$$\left[ \begin{matrix} a + b + c + d \\ a, b, c, d \end{matrix} \right]_q = \frac{(a + b + c + d)!_q}{a!_q b!_q c!_q d!_q}$$

are known to be polynomials in  $\mathbb{N}[q]$  for integral  $a, b, c, d \geq 0$ , see [13]. Hence, the right-hand side of (4.8) is a polynomial in  $\mathbb{N}[y, q]$ , and we are done.  $\square$

For arbitrary  $\alpha$ , a combinatorial interpretation of (4.7) was given by Foata and Zeilberger [8] with  $y = q = 1$ , and generalized by the second author [23] to  $q = 1$  (see also [24]), while for  $\alpha = 0$  a combinatorial interpretation of (4.7) was given by Kasraoui et al. [17]. Thus, the following problem suggests itself.

**Problem.** *What is the combinatorial interpretation of (4.7) for  $\alpha \in \mathbb{N}_0$  unifying the two special cases with  $\alpha = 0$  or  $q = 1$ ?*

## 5. CONNECTION WITH ROOK POLYNOMIALS AND MATCHING POLYNOMIALS

In this section we show how the model of  $\alpha$ -Laguerre configurations is connected with the models of non-attacking rook placements and matchings of complete bipartite graphs.

**5.1. Interpretation in rook polynomials.** An  $m$  by  $n$  board  $B$  is a subset of an  $m \times n$  grid of cells (or squares). A rook is a chessboard piece which takes on rows and columns. If  $r_k$  is the number of ways of putting  $k$  non-attacking rooks on this board, then the ordinary rook polynomial is defined by

$$R_{m,n}(x) = \sum_k r_k x^k.$$

Thus, the Laguerre polynomials (1.2) can be written as

$$L_n^{(\alpha)}(x) = (-1)^n n! R_{n, n+\alpha}(-x^{-1}). \quad (5.1)$$

A  $k$ -rook placement on a board  $B$  is a subset  $C \subset B$  of  $k$  cells such that no two cells are in the same row or column of  $B$ . We refer the reader to Riordan's classical book [20, Chapters 7 and 8] for many problems formulated in terms of configurations of non-attacking rooks on "chessboards" of various shapes.

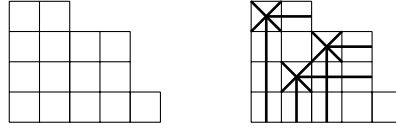


FIGURE 3. The Ferrers board of shape  $\mu = (4, 4, 3, 3, 1)$  and a placement  $C$  of three non-attacking rooks with  $\text{inv}(C) = 3$ .

We label the rows of the grid from top to bottom and the columns from left to right in the same way as referring to the entries of an  $m \times n$  matrix. Recall that an *integer partition* is a sequence of positive integers  $\mu := (\mu_1, \mu_2, \dots, \mu_l)$  such that  $\mu_1 \geq \mu_2 \geq \dots \geq \mu_l > 0$ . We also use the notation  $\mu = (n_1^{m_1}, \dots, n_k^{m_k})$  to denote the partition with  $m_i$  parts equal to  $n_i$  for  $i = 1, \dots, k$ . For convenience, we shall identify  $\mu$  with its Ferrers board  $B_\mu$ , which is defined as the subset  $\{(i, j) : 1 \leq i \leq \mu_j, 1 \leq j \leq l\}$  of  $\mathbb{N} \times \mathbb{N}$ . For a placement  $C$  of rooks on  $B_\mu$ , the inversion number  $\text{inv}(C)$  is defined as follows: for each rook (cell) in  $C$  cross out all the cells which are below or to the right of the rook; then  $\text{inv}(C)$  is the number of squares of  $F_\mu$  that are not crossed out. An example is shown in Figure 3.

**Definition 13.** For integers  $n, k \geq 0$  and  $\alpha \geq -1$ , let  $\mathbf{m} = (m_0, \dots, m_\alpha)$  and  $\mathbf{n} = (n_1, \dots, n_k)$  be nonnegative integer sequences such that  $m_0 + m_1 + \dots + m_\alpha + n_1 + \dots + n_k = n$  with  $m_i \geq 0$  and  $n_j \geq 1$ . We define  $\mathcal{B}_{n,k}^{(\alpha)}(\mathbf{m}; \mathbf{n})$  as the set of  $n \times n$  squares of color shape  $B := (B^{(1)}; B^{(2)})$  with

$$B^{(1)} := (n^{m_0}, \dots, n^{m_\alpha}), \quad (5.2a)$$

$$B^{(2)} := (n^{n_1}, \dots, n^{n_k}). \quad (5.2b)$$

By convention, if  $\alpha = -1$  (respectively  $k = 0$ ), then  $B^{(1)} = \emptyset$  (respectively  $B^{(2)} = \emptyset$ ). Let

$$\text{cw}(B) = \sum_{i=0}^{\alpha} m_i \quad \text{and} \quad \text{cd}(B) = \sum_{i=0}^{\alpha} i \cdot m_i.$$

Let  $\mathcal{BC}_{n,k}^{(\alpha)}(\mathbf{m}; \mathbf{n})$  denote the set of all ordered pairs  $\mathcal{R} = (B, C)$ , where  $B \in \mathcal{B}_{n,k}^{(\alpha)}(\mathbf{m}; \mathbf{n})$  and  $C$  is an  $n$ -rook placement on  $B$  such that

$$\min(C \cap B_1^{(2)}) < \min(C \cap B_2^{(2)}) < \dots < \min(C \cap B_k^{(2)}), \quad (5.3)$$

where  $\min(C \cap B_1^{(2)})$  is the minimum row index of cells in  $C \cap B_1^{(2)}$ . For each block  $B_i^{(2)} = (n^{n_i})$ , we define  $\text{ind}(C \cap B_i^{(2)})$  as the number of rooks in  $C \cap B_i^{(2)}$  whose column indices are greater than the column index of the rook which has the maximum row index in  $B_i^{(2)}$ , and let  $\text{ind}(\mathcal{R}) = \sum_{i=1}^k \text{ind}(C \cap B_i^{(2)})$ . Let

$$\mathcal{BC}_{n,k}^{(\alpha)} = \bigcup_{\mathbf{m}, \mathbf{n}} \mathcal{BC}_{n,k}^{(\alpha)}(\mathbf{m}; \mathbf{n}) \quad \text{with} \quad \sum_{i=0}^{\alpha} m_i + \sum_{j=1}^k n_j = n.$$



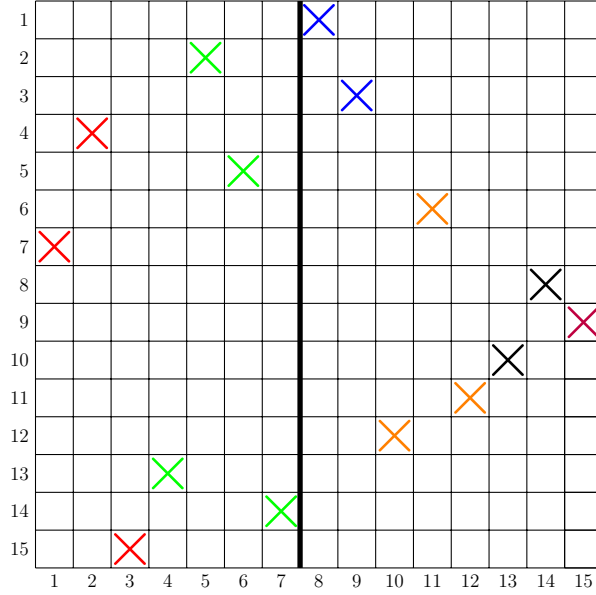


FIGURE 4. The colored rook configuration  $\mathcal{R}$  corresponding to the 1-Laguerre configuration in Figure 2 with  $(\mathbf{m}; \mathbf{n}) = ((3, 4); (2, 3, 2, 1))$ .

An element  $\mathcal{R} = (B, C) \in \mathcal{BC}_{n,h}^{(\alpha)}$  is called a colored rook configuration.

*Remark 14.* One can imagine that each column of a board in  $\mathcal{BC}_{n,k}^{(\alpha)}(\mathbf{m}; \mathbf{n})$  is colored with colors in  $\{0, 1, \dots, \alpha + k\}$  from left to right as follows: the first  $m_0$  columns get color 0, the next  $m_1$  columns get color 1,  $\dots$ , the last  $n_k$  columns get color  $\alpha + k$ .

**Theorem 15.** The coefficient  $\ell_{n,k}^{(\alpha)}(y; q)$  in (1.8) is the following generating polynomial of colored rook configurations in  $\mathcal{BC}_{n,k}^{(\alpha)}$ :

$$\ell_{n,k}^{(\alpha)}(y; q) = \sum_{\mathcal{R}=(B,C) \in \mathcal{BC}_{n,k}^{(\alpha)}} y^{\text{cw}(B)+\text{ind}(\mathcal{R})} q^{\text{inv}(C)+\text{cd}(B)-\text{ind}(\mathcal{R})}.$$

*Proof.* Let  $\mathcal{LC}_{n,k}^{(\alpha)}(\mathbf{m}; \mathbf{n})$  be the set of  $\rho := (\sigma_0, \dots, \sigma_\alpha; \lambda_1, \dots, \lambda_k) \in \mathcal{LC}_{n,k}^{(\alpha)}$  such that  $|\rho| = (|\sigma_0|, \dots, |\sigma_\alpha|; |\lambda_1|, \dots, |\lambda_k|) = (\mathbf{m}; \mathbf{n})$ . We define the map  $\phi : \mathcal{LC}_{n,k}^{(\alpha)}(\mathbf{m}; \mathbf{n}) \rightarrow \mathcal{BC}_{n,k}^{(\alpha)}(\mathbf{m}; \mathbf{n})$  by  $\phi(\rho) = (B, C)$  for  $\rho = (\sigma_0, \dots, \sigma_\alpha; \lambda_1, \dots, \lambda_k) \in \mathcal{LC}_{n,k}^{(\alpha)}(\mathbf{m}; \mathbf{n})$  as follows:

(i) The colored board  $B = (B^{(1)}, B^{(2)})$  is given by

$$B^{(1)} = (n^{|\sigma_0|}, \dots, n^{|\sigma_\alpha|}) \quad \text{and} \quad B^{(2)} = (n^{|\lambda_1|}, n^{|\lambda_2|}, \dots, n^{|\lambda_k|}).$$

(ii) If  $w := \hat{\sigma}_0 \hat{\sigma}_1 \cdots \hat{\sigma}_\alpha \lambda_1 \lambda_2 \cdots \lambda_k = w_1 \dots w_n$ , which is a permutation of  $[n]$ , let  $C = \{(j, w_j) : j \in [n]\}$ .

It is clear that  $\phi(\rho) \in \mathcal{BC}_{n,k}^{(\alpha)}$ , and the procedure is reversible. Hence  $\phi$  is a bijection. It is easy to verify that  $\text{inv}(C) = \text{inv}(\rho)$ ,  $\text{ind}(B_i^{(2)}) = \text{rl}(\lambda_i)$ , and  $\text{cd}(B) = \sum_{i=0}^{\alpha} i|\sigma_i|$ , which implies that

$$\begin{aligned} |\underline{\sigma}| + \text{rl}(\lambda) &= \text{cw}(B) + \text{ind}(\mathcal{R}); \\ \text{inv}(\underline{\sigma}.\underline{\lambda}) - \text{rl}(\lambda) + \text{inv}(\underline{\sigma}) &= \text{inv}(C) + \text{cd}(B) - \text{ind}(\mathcal{R}). \end{aligned}$$

The result then follows from Theorem 7.  $\square$

*Example 16.* Let  $\rho = ((74)(15), (1325)(14); 13, 12611, 108, 9) \in \mathcal{LC}_{15,4}^{(1)}$ . Then  $\phi$  maps  $\rho$  to the placement of 15 rooks on the board  $B = (15^7; 15^2, 10^3, 8^2, 7)$  shown in Figure 4. We find  $\text{cw}(B) = 1$ ,  $\text{cd}(B) = 4$ ;  $\text{inv}(C) = 52$  and  $\text{ind}(\mathcal{R}) = 3$ .

**5.2. Interpretation in matching polynomials.** Recall that a *matching* of a graph  $G$  is a set of edges without common vertices. For any graph  $G$  with  $n$  vertices, the *matching polynomial* of  $G$  is defined by

$$m(G, x) = \sum_{k=0}^{\lfloor n/2 \rfloor} (-1)^k m_k x^{n-2k},$$

where  $m_k$  is the number of  $k$ -edge matchings of  $G$ . Let  $K_{n,m}$  denote the set of complete bipartite graphs on the two disjoint sets  $A = [n]$  and  $B = \{1', \dots, m'\}$ , that is, there is an edge  $(a, b)$  if and only if  $a \in A$  and  $b \in B$ . From the explicit formula (1.2) it is quite easy to derive the connection formula

$$m(K_{n,n+\alpha}, x) = x^\alpha L_n^{(\alpha)}(x^2), \quad \alpha \geq -1. \quad (5.4)$$

Godsil and Gutman [12] proved (5.4) by showing that the matching polynomials satisfy the same three-term recurrence relation (1.3). Here we give a simple bijection between our  $\alpha$ -Laguerre configuration model and the above matching model of complete bipartite graphs. Let  $\mathcal{M}_{n,m}^{n-k}$  be the set of matchings of  $K_{n,m}$  with  $n - k$  edges.

**Proposition 17.** *For integers  $n, k \geq 1$  and  $\alpha \geq -1$ , there exists an explicit bijection  $\phi: \mathcal{LC}_{n,k}^{(\alpha)} \rightarrow \mathcal{M}_{n,n+\alpha}^{n-k}$ .*

*Proof.* We construct such a bijection  $\phi$ . Let  $\rho = (\sigma_0, \sigma_1, \dots, \sigma_\alpha; \lambda_1, \lambda_2, \dots, \lambda_k) \in \mathcal{LC}_{n,k}^{(\alpha)}$  be an  $\alpha$ -Laguerre configuration. We define a matching  $\gamma$  of  $K_{n,n+\alpha}$  such that  $(a, b') \in A \times B$  is an edge in  $\gamma$  if and only if  $(a, b)$  satisfies one of the following three conditions:

- (1)  $\sigma_0(a) = b$ , i.e., the image of  $a$  is  $b$  through the action of permutation  $\sigma_0$ ;
- (2)  $a$  and  $b$  are consecutive letters in the word  $\hat{\sigma}_1(n+1)\hat{\sigma}_2(n+2)\dots\hat{\sigma}_\alpha(n+\alpha)$ ;
- (3)  $a$  and  $b$  are consecutive letters in the word  $\lambda_j$  for some  $j \in [k]$ .

By convention, if  $\alpha = -1$  (respectively  $\alpha = 0$ ) there are no words of types (1) and (2) (respectively type (2)). Since  $\sigma_0\sigma_1\dots\sigma_\alpha\lambda_1\dots\lambda_k$  is a permutation of  $[n]$ , it is clear that there are  $n - k$  such edges  $(a, b')$ . The above procedure is obviously reversible.  $\square$

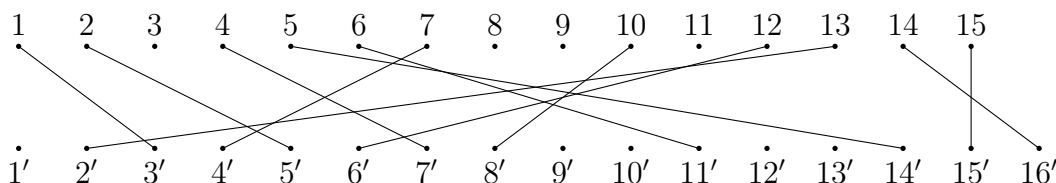


FIGURE 5. The matching corresponding to the 1-Laguerre configuration in Figure 2

*Example 18.* For the 1-Laguerre configuration

$$\rho = ((74)(15), (13\ 2\ 5)(14)); 1\ 3, 12\ 6\ 11, 10\ 8, 9) \in \mathcal{LC}_{15,4}^{(1)}$$

in Example 8, the corresponding matching  $\gamma$  of  $K_{15,16}^{11}$  is shown in Figure 5.

*Remark 19.* We leave it to the interested reader to find the  $(q, y)$ -version of the above matching polynomials for  $(q, y)$ -Laguerre polynomials  $L_n^{(\alpha)}(x; y | q)$ .

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