

# Partitions, Kernels, and the Localization Method

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# Partitions

## Definition

For any  $n \in \mathbb{Z}_{\geq 0}$ , a partition of  $n$  is a representation of  $n$  as a sum of positive integers, called parts. The number of partitions of a given  $n$  is denoted  $p(n)$ .

For example,  $p(4) = 5$ :

- 4
- 3 + 1
- 2 + 2
- 2 + 1 + 1
- 1 + 1 + 1 + 1

# Partitions

$$\sum_{n=0}^{\infty} p(n)q^n = \prod_{m=1}^{\infty} \frac{1}{1 - q^m}.$$

The sequence for  $p(n)$  begins

$$(p(n))_{n \geq 0} = (1, 1, 2, 3, 5, 7, 11, 15, 22, 30, 42, 57, 77, 101, 135, \\ 176, 231, 297, 385, 490, 627, 792, 1002, 1255, 1575, 1958, \dots)$$

What kind of arithmetic properties does  $p(n)$  have?

# Ramanujan's Congruences

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- $p(5n + 4) \equiv 0 \pmod{5}$ .
- $p(25n + 24) \equiv 0 \pmod{25}$ .

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- $p(5n + 4) \equiv 0 \pmod{5}$ .
- $p(25n + 24) \equiv 0 \pmod{25}$ .
- $p(125n + 99) \equiv 0 \pmod{125}$ .

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- $p(5n + 4) \equiv 0 \pmod{5}$ .
- $p(25n + 24) \equiv 0 \pmod{25}$ .
- $p(125n + 99) \equiv 0 \pmod{125}$ .

## Theorem (Ramanujan, 1918)

Let  $n, \alpha \in \mathbb{Z}_{\geq 0}$  such that  $24n \equiv 1 \pmod{5^\alpha}$ . Then

$$p(n) \equiv 0 \pmod{5^\alpha}.$$



$d_k(n)$ :  $k$ -Elongated Plane Partitions of  $n$ 

Define  $D_k(q)$  by

$$D_k(q) := \sum_{n=0}^{\infty} d_k(n)q^n = \prod_{m=1}^{\infty} \frac{(1 - q^{2m})^k}{(1 - q^m)^{3k+1}}.$$

Here  $d_k(n)$  counts the number of  $k$ -elongated plane partitions of  $n$ .

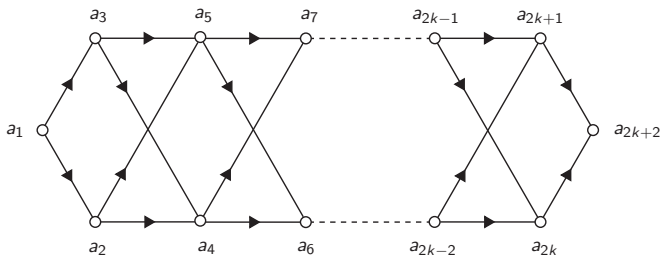
$d_k(n)$ :  $k$ -Elongated Plane Partitions of  $n$ 

Figure: A length 1  $k$ -elongated partition diamond.

- $a_j \in \mathbb{Z}_{\geq 0}$
- $a_b \rightarrow a_c$  indicates that  $a_b \geq a_c$
- $a_1 + a_{2k+2} = n$

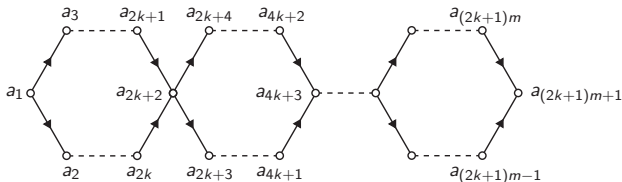
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Figure: A length  $m$   $k$ -elongated partition diamond.

- $a_j \in \mathbb{Z}_{\geq 0}$
- $a_b \rightarrow a_c$  indicates that  $a_b \geq a_c$
- $a_1 + a_{2k+2} + \dots + a_{(2k+1)m+1} = n$

Notice that  $d_0(n) = p(n)$ .

# Congruences on $d_2(n)$

$$(d_2(n))_{n \geq 0} = (1, 7, 33, 126, 419, 1260, 3509, 9185, 22842, 54395, \\ 124784, 277059, 597644, 1256341, 2580363, 5189185, \\ 10236710, 19840410, 37832553, 71060190, 131610897, \dots)$$

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Theorem (G.E. Andrews, P. Paule, 2021)

For all  $n \geq 0$ ,

$$d_2(3n + 2) \equiv 0 \pmod{3},$$

$$d_2(9n + 8) \equiv 0 \pmod{9},$$

$$d_2(27n + 17) \equiv 0 \pmod{27}.$$

# Congruences on $d_2(n)$

Conjecture (G.E. Andrews, P. Paule, 2021)

Let  $n, \alpha \in \mathbb{Z}_{\geq 0}$  such that  $8n \equiv 1 \pmod{3^\alpha}$ . Then

$$d_2(n) \equiv 0 \pmod{3^\alpha}.$$

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Theorem (Me, about a week after the conjecture was announced)

Let  $n, \alpha \in \mathbb{Z}_{\geq 0}$  such that  $8n \equiv 1 \pmod{3^\alpha}$ . Then

$$d_2(n) \equiv 0 \pmod{3^{2\lfloor \alpha/2 \rfloor + 1}}.$$



# Congruences on $d_5(n)$

$$(d_5(n))_{n \geq 0} = (1, 16, 147, 1008, 5705, 28080, 124054, 502336, \\ 1892211, 6703200, 22519756, 72222192, 222280253, \\ 659381856, 1892107005, 5268028752, 14268267146, \dots)$$

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Theorem (da Silva, Hirschhorn, Sellers, 2021)

For all  $j, n \geq 0$ ,

$$d_{5j+5}(5n+4) \equiv 0 \pmod{5}.$$

Note that  $d_5(5n+4) \equiv 0 \pmod{5}$ .

# Banerjee's Congruences

This was conjectured by Koustav Banerjee:

Theorem (Banerjee, Me, 2022)

Let  $n, \alpha \in \mathbb{Z}_{\geq 1}$  such that  $4n \equiv 1 \pmod{5^\alpha}$ . Then

$$d_5(n) \equiv 0 \pmod{5^{\lfloor \alpha/2 \rfloor + 1}}.$$

# Ramanujan's Congruences

## Theorem

Let  $n, \alpha \in \mathbb{Z}_{\geq 0}$  such that  $24n \equiv 1 \pmod{5^\alpha}$ . Then

$$p(n) \equiv 0 \pmod{5^\alpha}.$$

$$L_\alpha := \Phi_\alpha \cdot \sum_{24n \equiv 1 \pmod{5^\alpha}} p(n) q^{\lfloor n/5^\alpha \rfloor + 1}$$

$$L_1 = 5t$$

$$L_2 = 1575t + 162500t^2 + 4921875t^3 + 58593750t^4 + 244140625t^5$$

$$t = q \prod_{m=1}^{\infty} \left( \frac{1 - q^{5m}}{1 - q^m} \right)$$

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## Theorem

Let  $n, \alpha \in \mathbb{Z}_{\geq 0}$  such that  $24n \equiv 1 \pmod{5^\alpha}$ . Then

$$p(n) \equiv 0 \pmod{5^\alpha}.$$

There exist operators  $U^{(0)}, U^{(1)}$  such that

$$U^{(1)}(L_{2\alpha-1}) = L_{2\alpha},$$

$$U^{(0)}(L_{2\alpha}) = L_{2\alpha+1}.$$

By induction, we can prove

$$\frac{1}{5^\alpha} L_\alpha \in \mathbb{Z}[t].$$

# Banerjee's Congruences

## Theorem

Let  $n, \alpha \in \mathbb{Z}_{\geq 1}$  such that  $4n \equiv 1 \pmod{5^\alpha}$ . Then

$$d_5(n) \equiv 0 \pmod{5^{\lfloor \alpha/2 \rfloor + 1}}.$$

$$L_\alpha := \Phi_\alpha \cdot \sum_{4n \equiv 1 \pmod{5^\alpha}} d_5(n) q^{\lfloor n/5^\alpha \rfloor + 2/\gcd(\alpha, 2)}.$$

# First Example

$$L_1 = \frac{1}{(1+5x)^6} \left( 5705x^2 + 6840120x^3 + 2034152125x^4 + 280484938650x^5 + 22921365211325x^6 + 1260917405154520x^7 \right. \\ + 50400843190048480x^8 + 1539115922208139200x^9 + 37183654303328448000x^{10} + 728924483359472640000x^{11} \\ + 11816089262411136000000x^{12} + 160681440628058880000000x^{13} + 1853291134193264640000000x^{14} \\ + 18284160727362809856000000x^{15} + 155286793010086625280000000x^{16} + 1140657222505472000000000000x^{17} \\ + 7269894420215070720000000000x^{18} + 40277647277404979200000000000x^{19} \\ + 19409918786464645120000000000x^{20} + 81305458119372963840000000000x^{21} \\ + 295454515024153804800000000000x^{22} + 928200573075849216000000000000x^{23} \\ + 250809518752006144000000000000x^{24} + 578725259583160320000000000000x^{25} \\ + 1129160203095244800000000000000x^{26} + 1838128850744115200000000000000x^{27} \\ + 2450822289948672000000000000000x^{28} + 2607254528327680000000000000000x^{29} \\ + 2128371043532800000000000000000x^{30} + 1251982966784000000000000000000x^{31} \\ \left. + 4724464025600000000000000000000x^{32} + 8589934592000000000000000000000x^{33} \right).$$

$$x = q \prod_{m=1}^{\infty} \frac{(1-q^{2m})(1-q^{10m})^3}{(1-q^m)^3(1-q^{5m})}$$

# Main Theorem

## Theorem

Define

$$\psi := \psi(\alpha) := \left\lfloor \frac{5^{\alpha+1}}{4} \right\rfloor + 1 - \gcd(\alpha, 2),$$
$$\beta := \beta(\alpha) = \lfloor \alpha/2 \rfloor + 1.$$

Then for all  $\alpha \geq 1$ , we have

$$\frac{(1 + 5x)^\psi}{5^\beta} \cdot L_\alpha \in \mathbb{Z}[x].$$

From this, Banerjee's congruences follow.



$L_\alpha$ 

$$L_1 = \frac{1}{(1+5x)^6} \cdot \left( 5705x^2 + 6840120x^3 + \dots + 8589934592000000000000000000000000000000000x^{33} \right).$$

We want to express

$$L_\alpha = \sum_{m \geq 1} s(m) \cdot 5^{\theta_i(m)} \cdot \frac{x^m}{(1+5x)^n},$$

with  $n \in \mathbb{Z}_{\geq 1}$  fixed,  $s, \theta_i$  integer-valued functions,  $s$  discrete, and  $i = 0, 1$  depending on the parity of  $\alpha$ .

# $U$ Operator

$$L_\alpha = \sum_{m \geq 1} s(m) \cdot 5^{\theta_i(m)} \cdot \frac{x^m}{(1+5x)^n},$$

There exist operators  $U^{(0)}$ ,  $U^{(1)}$  such that

$$\begin{aligned} U^{(1)}(L_{2\alpha-1}) &= L_{2\alpha}, \\ U^{(0)}(L_{2\alpha}) &= L_{2\alpha+1}. \end{aligned}$$

We need to study

$$U^{(i)}\left(\frac{x^m}{(1+5x)^n}\right).$$

# Inheritance Mapping

## Definition

$$\mathcal{V}_n^{(1)} := \left\{ \frac{1}{(1+5x)^n} \sum_{m \geq 2} s(m) \cdot 5^{\theta_1(m)} \cdot x^m : (s(m))_{m \geq 2} \in \ker(\Omega) \right\},$$

$$\mathcal{V}_n^{(0)} := \left\{ \frac{1}{(1+5x)^n} \sum_{m \geq 1} s(m) \cdot 5^{\theta_0(m)} \cdot x^m \right\}.$$

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$$\Omega : \bigoplus_{m=2}^{\infty} \mathbb{Z} \rightarrow \mathbb{Z}/5\mathbb{Z}^2,$$

$$: \mathbf{s} \mapsto \begin{pmatrix} 1 & 1 & 2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \dots \\ 0 & 0 & 1 & 0 & 4 & 4 & 4 & 0 & 0 & 0 \dots \end{pmatrix} \cdot \mathbf{s}.$$

$\Omega$  is the associated *inheritance mapping*.

# Stability Within Inheritance Kernel

We have  $L_1 \in \mathcal{V}_6^{(1)}$ .

## Theorem

Suppose  $f \in \mathcal{V}_n^{(1)}$ . Then

$$\begin{aligned}\frac{1}{5} \cdot U^{(1)}(f) &\in \mathcal{V}_{5n}^{(0)}, \\ \frac{1}{5} \cdot U^{(0)} \circ U^{(1)}(f) &\in \mathcal{V}_{25n+6}^{(1)}.\end{aligned}$$

From this, the Main theorem and Banerjee's congruences follow.

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- When the level of the associated modular curve is  $\ell$ ,  $\Omega$  is trivial.



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- When the level is  $2 \cdot \ell$ ,  $\Omega$  has a form given in the family above.
- When the level is  $4 \cdot \ell$ , we don't yet understand  $\Omega$ .

## References

- G.E. Andrews, P. Paule, “MacMahon’s Partition Analysis XIII: Schmidt Type Partitions and Modular Forms,” *Journal of Number Theory* 234, pp. 95-119 (2022).
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