



On diffeomorphisms over surfaces trivially embedded in the 4-sphere

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Abstract A surface in the 4-sphere is *trivially* embedded, if it bounds a 3-dimensional handle body in the 4-sphere. For a surface trivially embedded in the 4-sphere, a diffeomorphism over this surface is extensible if and only if this preserves the Rokhlin quadratic form of this embedded surface.

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This paper is dedicated to Professor Mitsuyoshi Kato on his 60th birthday.

1 Introduction

We denote the closed oriented surface of genus g by Σ_g , the mapping class group of Σ_g by \mathcal{M}_g . Let $\phi: \Sigma_g \rightarrow S^4$ be an embedding, and K be its image. We call (S^4, K) a Σ_g -knot. Two Σ_g -knots (S^4, K) and (S^4, K') are *equivalent* if there is a diffeomorphism of S^4 which brings K to K' . A *3-dimensional handlebody* H_g is an oriented 3-manifold which is constructed from a 3-ball with attaching g 1-handles. Any embeddings of H_g into S^4 are isotopic each other. Therefore, $(S^4, \partial H_g)$ is unique up to equivalence. We call this Σ_g -knot $(S^4, \partial H_g)$ a *trivial* Σ_g -knot and denote this by (S^4, Σ_g) . For a Σ_g -knot (S^4, K) , we define the following group,

$$\mathcal{E}(S^4, K) = \left\{ \phi \in \pi_0 \text{Diff}^+(K) \left| \begin{array}{l} \text{there is an element } \Phi \in \text{Diff}^+(S^4) \\ \text{such that } \Phi|_K \text{ represents } \phi \end{array} \right. \right\},$$

and define a quadratic form (*the Rokhlin quadratic form*) $q_K: H_1(K; \mathbb{Z}_2) \rightarrow \mathbb{Z}_2$: Let P be a compact surface embedded in S^4 , with its boundary contained in K , normal to K along its boundary, and its interior is transverse to K . Let P' be a surface transverse to P obtained by sliding P parallel to itself over K . Define $q_K([\partial P]) = \#(\text{int} P \cap (P' \cup K)) \pmod{2}$, where int means the

interior. This is a well-defined quadratic form with respect to the \mathbb{Z}_2 -homology intersection form $(,)_2$ on K , i.e. for each pair of elements x, y of $H_1(K; \mathbb{Z}_2)$, $q_K(x+y) = q_K(x) + q_K(y) + (x, y)_2$. For the trivial Σ_g -knot (S^4, Σ_g) , let \mathcal{SP}_g be the subgroup of \mathcal{M}_g whose elements leave q_{Σ_g} invariant. This group \mathcal{SP}_g is called the *spin mapping class group* [3]. In the case when $g = 1$, Montesinos showed:

Theorem 1.1 [10] $\mathcal{E}(S^4, \Sigma_1) = \mathcal{SP}_1$.

In this paper, we generalize this result to higher genus:

Theorem 1.2 For any $g \geq 1$, $\mathcal{E}(S^4, \Sigma_g) = \mathcal{SP}_g$.

The group $\mathcal{E}(S^4, K)$ remains unknown for many non-trivial Σ_g -knots K . On the other hand, for some class of non-trivial Σ_1 -knots (S^4, K) , Iwase [6] and the author [5] determined the groups $\mathcal{E}(S^4, K)$.

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2 Some elements of $\mathcal{E}(S^4, \Sigma_g)$

For elements a, b and c of a group, we write $\bar{c} = c^{-1}$, and $a*b = ab\bar{a}$. Here, we introduce a standard form of the trivial Σ_g -knot (S^4, Σ_g) . We decompose $S^4 = D_+^4 \cup D_-^4$ and call $S^3 = D_+^4 \cap D_-^4$ the equator S^3 , and decompose $S^3 = D_+^3 \cup D_-^3$ and call $S^2 = D_+^3 \cap D_-^3$ the equator S^2 . Let P_g be a planar surface constructed from a 2-disk by removing g copies of disjoint 2-disks. As indicated in Figure 1, we denote the boundary components of P_g by $\gamma_0, \gamma_2, \dots, \gamma_{2g}$, and denote some properly embedded arcs of P_g by $\gamma_1, \gamma_3, \dots, \gamma_{2g+1}, \beta_2, \beta_4, \dots, \beta_{2g-2}$ and $\beta'_2, \beta'_4, \dots, \beta'_{2g-2}$. We parametrize the regular neighborhood of the equator S^2 in the equator S^3 by $S^2 \times [-1, 1]$, such that $S^2 \times \{0\} =$ the equator S^2 , $S^2 \times [-1, 1] \cap D_+^3 = S^2 \times [0, 1]$ and $S^2 \times [-1, 1] \cap D_-^3 = S^2 \times [-1, 0]$. We put P_g on the equator S^2 . Then, $P_g \times [-1, 1] \subset S^2 \times [-1, 1]$ is a 3-dimensional handle body, so that, $(S^4, \partial(P_g \times [-1, 1]))$ is the trivial Σ_g -knot. On $\partial(P_g \times [-1, 1]) = \Sigma_g$,

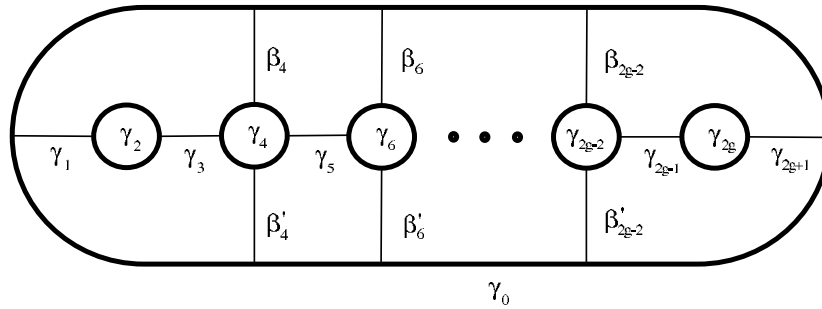


Figure 1

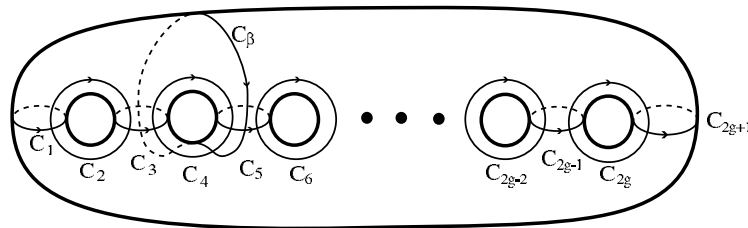


Figure 2

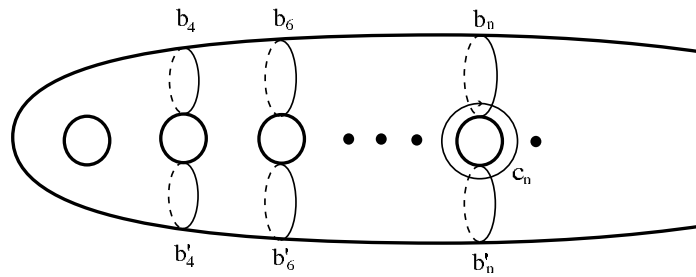


Figure 3

we define $c_{2i-1} = \partial(\gamma_{2i-1} \times [-1, 1])$ ($1 \leq i \leq g + 1$), $b_{2j} = \partial(\beta_{2j} \times [-1, 1])$, $b'_{2j} = \partial(\beta'_{2j} \times [-1, 1])$ ($2 \leq j \leq g - 1$), and $c_{2k} = \gamma_{2k} \times \{0\}$ ($1 \leq k \leq g$). In Figures 2 and 3, these circles are illustrated and some of them are oriented. For a simple closed curve a on Σ_g , we denote the Dehn twist about a by T_a . The order of composition of maps is the functional one: $T_b T_a$ means we apply

T_a first, then T_b . We define some elements of \mathcal{M}_g as follows:

$$\begin{aligned} C_i &= T_{c_i}, \quad B_i = T_{b_i}, \quad B'_i = T_{b'_i}, \\ X_i &= C_{i+1}C_i\overline{C_{i+1}}, \quad X_i^* = \overline{C_{i+1}}C_iC_{i+1} \quad (1 \leq i \leq 2g), \\ Y_{2j} &= C_{2j}B_{2j}\overline{C_{2j}}, \quad Y_{2j}^* = \overline{C_{2j}}B_{2j}C_{2j} \quad (2 \leq j \leq g-1), \\ D_i &= C_i^2 \quad (1 \leq i \leq 2g+1), \\ DB_{2j} &= B_{2j}^2 \quad (2 \leq j \leq g-1), \\ T &= C_1C_3C_5, \quad T_1 = C_1C_3B_4, \quad T_2 = B_4C_5C_7 \cdots C_{2g+1}. \end{aligned}$$

When $g \geq 3$, the subgroup of \mathcal{M}_g generated by X_i ($1 \leq i \leq 2g$), Y_{2j} ($2 \leq j \leq g-1$), D_i ($1 \leq i \leq 2g+1$), DB_{2j} ($2 \leq j \leq g-1$), T_1 , and T_2 is denoted by G_g . It is clear that X_i^* and Y_{2j}^* are elements of G_g . When $g = 2$, the subgroup of \mathcal{M}_2 generated by X_i ($1 \leq i \leq 4$), D_j ($1 \leq j \leq 5$), and T is denoted by G_2 . For two simple closed curves l and m on Σ_g , l and m are called G_g -equivalent (denote by $l \sim_{G_g} m$) if there is an element ϕ of G_g such that $\phi(l) = m$. We set

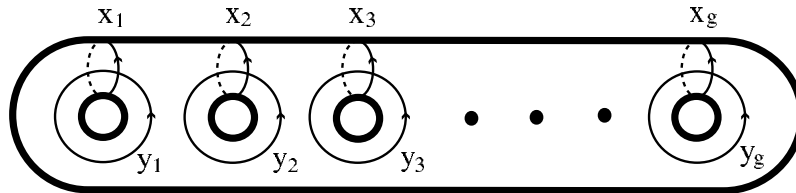


Figure 4

a basis of $H_1(\Sigma_g; \mathbb{Z})$ as in Figure 4, then for the quadratic form q_{Σ_g} defined in §1, $q_{\Sigma_g}(x_i) = q_{\Sigma_g}(y_i) = 0$ ($1 \leq i \leq g$). By the definitions of q_{Σ_g} and \mathcal{SP}_g , we have:

Lemma 2.1 $\mathcal{E}(S^4, \Sigma_g) \subset \mathcal{SP}_g$.

In this section, we show:

Lemma 2.2 $G_g \subset \mathcal{E}(S^4, \Sigma_g)$.

As a straightforward corollary of these lemmas, we have:

Corollary 2.3 $G_g \subset \mathcal{SP}_g$.

If $G_g \supset \mathcal{SP}_g$, then Theorem 1.2 is proved. We prove $G_g \supset \mathcal{SP}_g$ in the next section.

Proof of Lemma 2.2 First we show that, if $g = 2$, $T = C_1C_3C_5$ is an element of $\mathcal{E}(S^4, \Sigma_2)$. We parametrize the regular neighborhood of the equator S^3 in S^4 by $S^3 \times [-1, 1]$, such that $S^3 \times \{0\} =$ the equator S^3 , $S^3 \times [-1, 1] \cap D_+^4 = S^3 \times [-1, 0]$, and $S^3 \times [-1, 1] \cap D_-^4 = S^3 \times [0, 1]$. We deform Σ_2 in S^4 , in

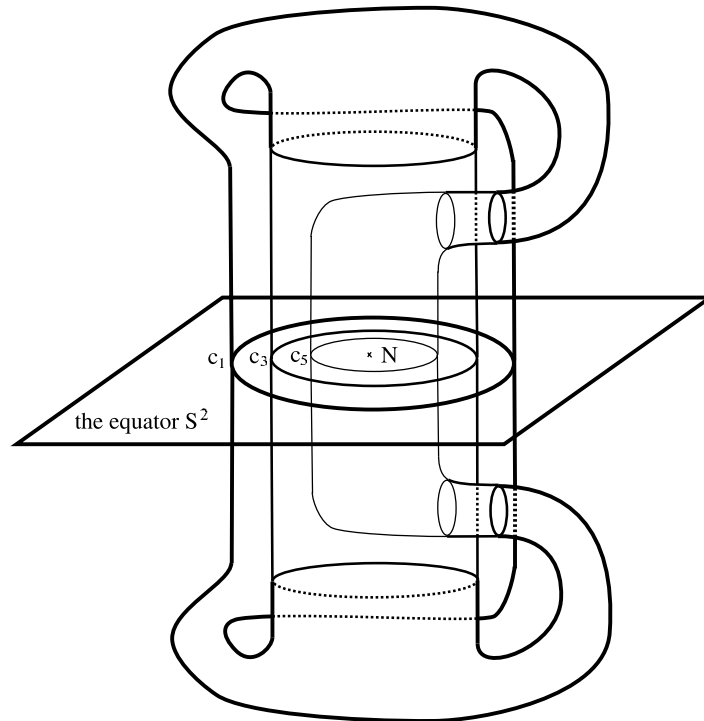


Figure 5

such a way that the surface obtained as a result of this deformation projects onto the equator S^3 as indicated in Figure 5. In this figure, there are 6 intersecting circles. For each circle, we take two regular neighborhoods N_1 and N_2 in Σ_2 . For $0 < \epsilon < 1$, we put N_1 into $S^3 \times \{\frac{\epsilon}{2}\}$ and N_2 into $S^3 \times \{-\frac{\epsilon}{2}\}$. This deformation defines an orientation preserving diffeomorphism Ψ_1 of S^4 . Let $r(\theta): S^2 \rightarrow S^2$ be the angle θ rotation whose axis passes through N . We define $R(\theta): S^3 \rightarrow S^3$ by

$$\begin{aligned} R(\theta)(x, t) &= (r(t\theta)(x), t) && \text{on } S^2 \times [0, 1] \\ R(\theta) &= id && \text{on } D_-^3 \\ R(\theta) &= \text{the angle } \theta \text{ rotation} && \text{on } D_+^3 - S^2 \times [0, 1]. \end{aligned}$$

We define an orientation preserving diffeomorphism Ψ_2 of S^4 by

$$\begin{aligned} \Psi_2(x, t) &= (R(2\pi)(x), t) && \text{on } S^3 \times [-\epsilon, \epsilon], \\ \Psi_2(x, t) &= \left(R\left(2\pi \frac{1-t}{1-\epsilon}\right)(x), t \right) && \text{on } S^3 \times [\epsilon, 1], \\ \Psi_2(x, t) &= \left(R\left(2\pi \frac{t+1}{1-\epsilon}\right)(x), t \right) && \text{on } S^3 \times [-1, -\epsilon], \\ \Psi_2 &= id && \text{on } S^4 - S^3 \times [-1, 1]. \end{aligned}$$

Then $\Psi_1^{-1}\Psi_2\Psi_1|_{\Sigma_2} = C_1C_3C_5$. In the same way as above, we can show for $g \geq 3$ that T_1 and T_2 are elements of $\mathcal{E}(S^4, \Sigma_g)$.

Next, for $g = 3$, we show that $X_3 = C_4C_3\overline{C_4}$ and $D_3 = C_3^2$ are elements of $\mathcal{E}(S^4, \Sigma_g)$. We review a theorem due to Montesinos [10]. We can construct S^4 from $B^3 \times S^1$ and $S^2 \times D^2$ by attaching their boundary with the natural identification. Let $D^2 \times S^1$ be the solid torus trivially embedded in B^3 . We regard $D^2 \times S^1 \times S^1 \subset B^3 \times S^1 \subset S^4$ as the regular neighborhood of a trivial Σ_1 -knot. Let E^4 be the exterior of this trivial Σ_1 -knot. The 3 simple closed curves $l = \partial D^2 \times * \times *$, $r = * \times S^1 \times *$, $s = * \times * \times S^1$ on ∂E^4 represent a basis of $H_1(\partial E^4; \mathbb{Z})$. Montesinos showed:

Theorem 2.4 [10, Theorem 5.3] *Let $g: \partial E^4 \rightarrow \partial E^4$ be a diffeomorphism which induces an automorphism on $H_1(\partial E^4; \mathbb{Z})$,*

$$g_*(l, r, s) = (l, r, s) \begin{pmatrix} m & a & b \\ n & \alpha & \gamma \\ p & \beta & \delta \end{pmatrix}.$$

There is a diffeomorphism $G: E^4 \rightarrow E^4$ such that $G|_{\partial E^4} = g$ if and only if $a = b = 0$ and $\alpha + \beta + \gamma + \delta$ is even.

Let p be a point on $* \times S^1 \times S^1$ disjoint from $r \cup s$, $N(p)$ be a regular neighborhood of p in the equator S^3 , then $N = * \times S^1 \times S^1 - N(p)$ in a regular neighborhood of $r \cup s$. Figure 6 illustrates deformation of Σ_g into $D^2 \times S^1 \times S^1$. We bring c_3 and c_4 to r and s and deform as is indicated by arrows. Then, we can deform Σ_3 in such a way that a regular neighborhood N' of $c_3 \cup c_4$ coincides with N and $\Sigma_3 - N' \subset N(p)$. Let diffeomorphisms f_1, f_2 over $D^2 \times S^1 \times S^1$ be defined by $f_1 = id_{D^2} \times \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$, $f_2 = id_{D^2} \times \begin{pmatrix} 2 & 1 \\ -1 & 0 \end{pmatrix}$ (where we present diffeomorphisms on $* \times S^1 \times S^1$ by its action on the basis $\{r, s\}$ of $H_1(* \times S^1 \times S^1; \mathbb{Z})$ and r and s are oriented as in Figure 6), then $f_1|_{\Sigma_2} =$

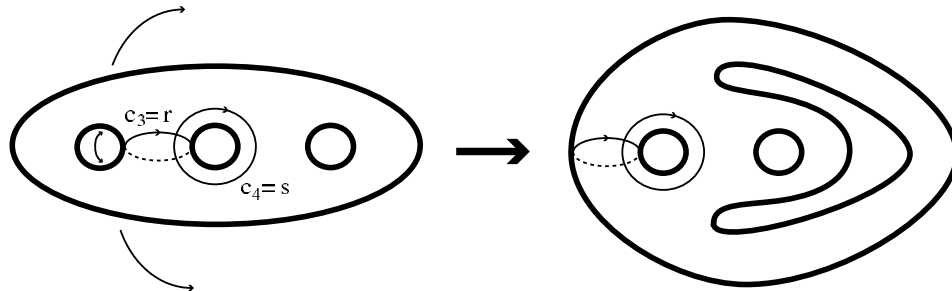


Figure 6

$C_3^2 = D_3$, $f_2|_{\Sigma_2} = C_4 C_3 \overline{C_4} = X_3$. Since the actions of these homeomorphisms on $H_1(\partial E^4; \mathbb{Z})$ are described by

$$(f_1|_{\partial E^4})_*(l, r, s) = (l, r, s) \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 2 \\ 0 & 0 & 1 \end{pmatrix},$$

$$(f_2|_{\partial E^4})_*(l, r, s) = (l, r, s) \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 1 \\ 0 & -1 & 0 \end{pmatrix},$$

there are diffeomorphisms F_1 and F_2 such that $F_1|_{D^2 \times S^1 \times S^1} = f_1$, $F_2|_{D^2 \times S^1 \times S^1} = f_2$. These diffeomorphisms F_1, F_2 are extensions of f_1, f_2 respectively. By the same method as above, we can show that other X_i, Y_{2j}, D_i , and DB_{2j} are elements of $\mathcal{E}(S^4, \Sigma_g)$ for any $g \geq 2$. \square

3 A finite set of generators for the spin mapping class group

In Corollary 2.3, we showed that $G_g \subset \mathcal{SP}_g$. In this section, we show that $G_g = \mathcal{SP}_g$. That is to say, we show:

Theorem 3.1 *If $g = 2$, \mathcal{SP}_2 is generated by $C_{i+1} C_i \overline{C_{i+1}}$ ($1 \leq i \leq 4$), C_j^2 ($1 \leq j \leq 5$), and $C_1 C_3 C_5$. If $g \geq 3$, \mathcal{SP}_g is generated by $C_{i+1} C_i \overline{C_{i+1}}$ ($1 \leq i \leq 2g$), $C_{2j} B_{2j} \overline{C_{2j}}$ ($2 \leq j \leq g - 1$), C_k^2 ($1 \leq k \leq 2g + 1$), B_l^2 ($1 \leq l \leq g - 1$), $C_1 C_3 B_4$ and $B_4 C_5 C_7 \cdots C_{2g+1}$.*

When $g = 2$, we use Reidemeister–Schreier’s method to show this. On the other hand, when $g \geq 3$, we use other methods. We start from the case when $g \geq 3$.

3.1 The hyperelliptic mapping class group

Let \mathcal{H}_g be the subgroup of the mapping class group \mathcal{M}_g generated by $C_1, C_2, \dots, C_{2g+1}$. This group is called *the hyperelliptic mapping class group*. In this group (and also in \mathcal{M}_g), C_i 's satisfy the following equations:

$$\begin{aligned} C_i C_{i+1} C_i &= C_{i+1} C_i C_{i+1}, \quad (1 \leq i \leq 2g) \\ C_i C_j &= C_j C_i, \quad (|i - j| \geq 2). \end{aligned}$$

These equations are called *braid equation*. In this paper, we use these relations frequently. In this section, we show the following lemma for \mathcal{H}_g .

Lemma 3.2 *For any $i = 1, 2, \dots, 2g+1$, and any element W of \mathcal{H}_g , $WC_i C_i \overline{W}$ is an element of G_g .*

Proof We call C_i a *positive letter* and $\overline{C_i}$ a *negative letter*. A sequence of positive letters is called a *positive word*. If indices of two letters C_i, C_j satisfy $|i - j| = 1$, then we say C_i is *adjacent* to C_j . If there is a negative letter \overline{B} in a sequence of letters W , which presents an element of \mathcal{H}_g , we replace \overline{B} by a sequence of letters $\overline{B} \overline{B} \cdot B$. This shows that every element of \mathcal{H}_g is represented by a sequence of positive letters and $\overline{C_j} \overline{C_j}$'s ($1 \leq j \leq 2g + 1$). If there is a sequence of letters XX ($X = C_i$ or $\overline{C_i}$) in W , say $W = W_1 X X W_2$, then we rewrite,

$$\begin{aligned} WC_i C_i \overline{W} &= W_1 X X W_2 C_i C_i \overline{W_2} \overline{X} \overline{X} \overline{W_1} \\ &= W_1 X X \overline{W_1} W_1 W_2 C_i C_i \overline{W_2} \overline{W_1} W_1 \overline{X} \overline{X} \overline{W_1}. \end{aligned}$$

Therefore, the following claim shows this lemma:

Claim *For any positive word W without $C_j C_j (1 \leq j \leq 2g + 1)$, $WC_i C_i \overline{W}$ is an element of G_g .*

If the word length of W is 0, the above claim is trivial. We assume that the word length of W is at least 1, and we show this claim by the induction on the word length. If the right most letter L of W is not adjacent to A_i , and say $W = W' L$, then

$$WC_i C_i \overline{W} = W' L C_i C_i \overline{L} \overline{W'} = W' C_i L \overline{L} C_i \overline{W'} = W' C_i C_i \overline{W'}.$$

By the induction hypothesis, $WC_i C_i \overline{W}$ is an element of G_g . Therefore, from here to the end of this proof, we assume that the right most letter of W is adjacent to C_i . Let l be the word length of W , and $W = x_l x_{l-1} \dots x_2 x_1$. The letter x_i of W is called a *jump*, if x_{i-1} and x_i are not adjacent. The letter x_j

of W is called a *turn*, if x_j and x_{j-1} are not jumps and $x_j = x_{j-2}$. Considering jumps and turns, we need to show this claim for the following three cases.

Case 1 *When there is not any jump or any turn:* Since x_l and x_{l-1} are adjacent, $x_l x_{l-1} \overline{x_l}$ is an element of G_g . We rewrite,

$$WC_i C_i \overline{W} = x_l x_{l-1} \overline{x_l} \cdot x_l x_{l-2} x_{l-3} \cdots x_1 C_i C_i \overline{x_1} \cdots \overline{x_{l-3}} \overline{x_{l-2}} \overline{x_l} \cdot x_l \overline{x_{l-1}} \overline{x_l}.$$

By the induction hypothesis, $WC_i C_i \overline{W}$ is an element of G_g .

Case 2 *When there are jumps, but there is not any turn:* We show in the induction on the number of jumps in W . Let x_j be the right most jump in W . First we consider the case when $j = 2$, say $W = W' x_2 x_1$. If x_2 is not adjacent to C_i , we rewrite,

$$\begin{aligned} WC_i C_i \overline{W} &= W' x_2 x_1 C_i C_i \overline{x_1} \overline{x_2} \overline{W'} \\ &= W' x_1 x_2 C_i C_i \overline{x_2} \overline{x_1} \overline{W'} \\ &= W' x_1 C_i x_2 \overline{x_2} C_i \overline{x_1} \overline{W'} \\ &= W' x_1 C_i C_i \overline{x_i} \overline{W'}. \end{aligned}$$

By the induction hypothesis on the word length of W , $WC_i C_i \overline{W}$ is an element of G_g . If x_2 is adjacent to C_i , we rewrite,

$$\begin{aligned} WC_i C_i \overline{W} &= W' x_2 x_1 C_i C_i \overline{x_1} \overline{x_2} \overline{W'} \\ &= W' x_2 \overline{C_i} x_1 x_1 C_i \overline{x_2} \overline{W'} \\ &= W' x_2 \overline{C_i} \overline{C_i} \cdot C_i x_1 x_1 \overline{C_i} \cdot C_i C_i \overline{x_2} \overline{W'} \\ &= W' x_2 \overline{C_i} \overline{C_i} \overline{x_2} \overline{W'} \cdot W' x_2 C_i x_1 x_1 \overline{C_i} \overline{x_2} \overline{W'} \cdot W' x_2 C_i C_i \overline{x_2} \overline{W'}. \end{aligned}$$

By the induction hypothesis on the word length of W , the first and third terms are elements of G_g . By the induction hypothesis on the number of jumps in W , the second term is an element of G_g . Therefore, $WC_i C_i \overline{W}$ is an element of G_g . Next, we consider on the case when j is at least 3. If x_j is not adjacent to x_{j-1}, \dots, x_1 then,

$$W = \dots x_j x_{j-1} \dots x_1 = \dots x_{j-1} \dots x_1 x_j.$$

Therefore, it comes down to the case $j = 2$. If there are some letters adjacent to x_j in $\{x_{j-1}, \dots, x_1\}$, let x_i be the left most element among them. By the definition of jumps, $j > i + 1$, and by the definition of x_i , $x_j = x_{i-1}$. Therefore,

$$\begin{aligned} W &= \dots x_j \cdots x_{i+1} x_i x_{i-1} \cdots x_1 \\ &= \dots x_{i+1} x_j x_i x_{i-1} \cdots x_1 \\ &= \dots x_{i+1} x_{i-1} x_i x_{i-1} \cdots x_1 \\ &= \dots x_{i+1} x_i x_{i-1} x_i \cdots x_1. \end{aligned}$$

Since there is not any jump or any turn in the sequence $x_i x_{i-1} \cdots x_1$, x_i commutes with x_{i-2}, \dots, x_1 . Therefore, $W = \cdots x_1 x_i$ and it comes down to the case $j = 2$.

Case 3 *When there are turns in W :* Let x_t be the right most turn in W . By the definition of turn, t is at least 3. By applying the argument for Case 2 to $x_{t-1} x_{t-2} \cdots x_1$, we assume that there is no turn and no jump in $x_{t-1} x_{t-2} \cdots x_1$. Since we assume that x_1 is adjacent to C_i , there may be a case when $x_2 = C_i$. In that case, we rewrite,

$$\begin{aligned} WC_i C_i \overline{W} &= \cdots x_3 x_2 x_1 C_i C_i \overline{x_1 x_2 x_3} \cdots \\ &= \cdots x_3 C_i x_1 C_i C_i \overline{x_1 C_i x_3} \cdots \\ &= \cdots x_3 x_1 C_i x_1 \overline{x_1 C_i x_3} \cdots \\ &= \cdots x_3 x_1 x_1 \overline{x_3} \cdots . \end{aligned}$$

By the induction hypothesis on the word length of W , $WC_i C_i \overline{W}$ is an element of G_g . If $x_2 \neq C_i$, then $x_{t-1}, x_{t-2}, \dots, x_2$ are not adjacent to C_i . We rewrite,

$$\begin{aligned} W &= \cdots x_t x_{t-1} x_{t-2} x_{t-3} \cdots x_1 \\ &= \cdots x_{t-2} x_{t-1} x_{t-2} x_{t-3} \cdots x_1 \\ &= \cdots x_{t-1} x_{t-2} x_{t-1} x_{t-3} \cdots x_1 . \end{aligned}$$

Since we assume that there is no jump and no turn in $x_{t-1} x_{t-2} \cdots x_1$, x_{t-1} is not adjacent to x_{t-3}, \dots, x_1 . Therefore, $W = \cdots x_{t-1} x_{t-2} x_{t-3} \cdots x_1 x_{t-1}$. With remarking that x_{t-1} is not adjacent to C_i , we rewrite,

$$\begin{aligned} WC_i C_i \overline{W} &= \cdots x_{t-1} x_{t-2} x_{t-3} \cdots x_1 x_{t-1} C_i C_i \overline{x_{t-1} x_1 \cdots x_{t-3} x_{t-2} x_{t-1}} \cdots \\ &= \cdots x_{t-1} x_{t-2} x_{t-3} \cdots x_1 C_i x_{t-1} \overline{x_{t-1} C_i x_1 \cdots x_{t-3} x_{t-2} x_{t-1}} \cdots \\ &= \cdots x_{t-1} x_{t-2} x_{t-3} \cdots x_1 C_i C_i \overline{x_1 \cdots x_{t-3} x_{t-2} x_{t-1}} \cdots . \end{aligned}$$

By the induction hypothesis on the word length of W , $WC_i C_i \overline{W}$ is an element of G_g . □

3.2 The Torelli group \mathcal{I}_g

In this subsection, we assume $g \geq 3$. There is a natural surjection $\Phi: \mathcal{M}_g \rightarrow \text{Sp}(2g, \mathbb{Z})$ defined by the action of \mathcal{M}_g on the group $H_1(\Sigma_g; \mathbb{Z})$. We denote the kernel of Φ by \mathcal{I}_g and call this *the Torelli group*. In this subsection, we prove the following lemma:

Lemma 3.3 *The Torelli group \mathcal{I}_g is a subgroup of G_g .*

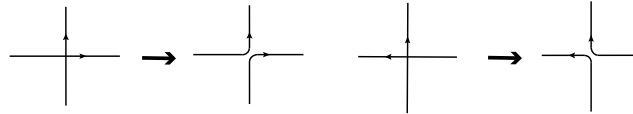


Figure 7

Johnson [7] showed that, when g is larger than or equal to 3, \mathcal{I}_g is finitely generated. We review his result. We orient and call simple closed curves as indicated in Figure 2, and call $(c_1, c_2, \dots, c_{2g+1})$ and $(c_\beta, c_5, \dots, c_{2g+1})$ as *chains*. For oriented simple closed curves d and e which mutually intersect in one point, we construct an oriented simple closed curve $d + e$ from $d \cup e$ as follows: choose a disk neighborhood of the intersection point and in it make a replacement as indicated in Figure 7. For a consecutive subset $\{c_i, c_{i+1}, \dots, c_j\}$ of a chain, let $c_i + \dots + c_j$ be the oriented simple closed curve constructed by repeated applications of the above operations. Let (i_1, \dots, i_{r+1}) be a subsequence of $(1, 2, \dots, 2g + 1)$ (Resp. $(\beta, 5, \dots, 2g + 1)$). We construct the union of circles $\mathcal{C} = c_{i_1} + \dots + c_{i_2-1} \cup c_{i_2} + \dots + c_{i_3-1} \cup \dots \cup c_{i_r} + \dots + c_{i_{r+1}-1}$. If r is odd, the regular neighborhood of \mathcal{C} is an oriented compact surface with 2 boundary components. Let ϕ be the element of \mathcal{M}_g defined as the composition of the positive Dehn twist along the boundary curve to the left of \mathcal{C} and the negative Dehn twist along the boundary curve to the right of \mathcal{C} . Then, ϕ is an element of \mathcal{I}_g . We denote ϕ by $[i_1, \dots, i_{r+1}]$, and call this *the odd subchain map* of $(c_1, c_2, \dots, c_{2g+1})$ (Resp. $(c_\beta, c_5, \dots, c_{2g+1})$). Johnson [7] showed the following theorem:

Theorem 3.4 [7, Main Theorem] *For $g \geq 3$, the odd subchain maps of the two chains $(c_1, c_2, \dots, c_{2g+1})$ and $(c_\beta, c_5, \dots, c_{2g+1})$ generate \mathcal{I}_g .*

We use the following results by Johnson [7].

- Lemma 3.5** [7] (a) C_j commutes with $[i_1, i_2, \dots]$ if and only if j and $j + 1$ are either both contained in or are disjoint from the i 's.
 (b) If $i \neq j + 1$, then $\overline{C_j} * [\dots, j, i, \dots] = [\dots, j + 1, i, \dots]$, and $C_j * [\dots, j, i, \dots] = [\dots, j, i, \dots][\dots, j + 1, i, \dots]^{-1}[\dots, j, i, \dots]$.
 (c) If $k \neq j$, then $C_j * [\dots, k, j + 1, \dots] = [\dots, k, j, \dots]$, and $\overline{C_j} * [\dots, k, j + 1, \dots] = [\dots, k, j + 1, \dots][\dots, k, j, \dots]^{-1}[\dots, k, j + 1, \dots]$.
 (d) $[1, 2, 3, 4][1, 2, 5, 6, \dots, 2n]B_4*[3, 4, 5, \dots, 2n] = [5, 6, \dots, 2n][1, 2, 3, 4, \dots, 2n]$, where $3 \leq n \leq g$.

First we show that some odd subchain maps are elements of G_g .

Lemma 3.6 $[1, 2, 3, 4]$, $[1, 3, 5, 7, \dots, 2i + 1, \dots, 2n - 1]$ (n is even, and $4 \leq n \leq g + 1$), and $[1, 2, 4, 6, \dots, 2i, \dots, 2n - 2]$ (n is even, and $4 \leq n \leq g + 2$) are elements of G_g .

Proof In this proof, for a sequence $\{f_i\}$ of elements of \mathcal{M}_g , we write,

$$\prod_{i=n}^m f_i = \begin{cases} f_n f_{n+1} \cdots f_m, & n \leq m, \\ f_n f_{n-1} \cdots f_m, & n \geq m. \end{cases}$$

(1) $[1, 2, 3, 4]$ is an element of G_g : $[1, 2, 3, 4]$ is equal to $B_4 \overline{B_4}$. Since $C_4 C_3 C_2 C_1 C_1 C_2 C_3 C_4 (b_4) = b'_4$,

$$\begin{aligned} [1, 2, 3, 4] &= B_4 C_4 C_3 C_2 C_1 C_1 C_2 C_3 C_4 \overline{B_4} \overline{C_4} \overline{C_3} \overline{C_2} \overline{C_1} \overline{C_1} \overline{C_2} \overline{C_3} \overline{C_4} \\ &= B_4 C_4 \overline{B_4} \cdot C_3 C_2 \overline{C_3} \cdot C_1 C_1 \cdot C_3 C_2 \overline{C_3} \cdot C_3 C_3 \cdot B_4 C_4 \overline{B_4} \cdot \overline{C_4} \overline{C_3} C_4 \cdot \\ &\quad \cdot \overline{C_2} \overline{C_1} C_2 \cdot \overline{C_2} \overline{C_1} C_2 \cdot \overline{C_2} \overline{C_2} \cdot \overline{C_4} \overline{C_3} C_4 \cdot \overline{C_4} \overline{C_4}. \end{aligned}$$

Therefore, $[1, 2, 3, 4]$ is an element of G_g .

(2) $[1, 3, 5, 7, \dots, 2i + 1, \dots, 2n - 1]$ (n is even, and $4 \leq n \leq g + 1$) are elements of G_g : By (b) of Lemma 3.5,

$$[1, 3, 5, 7, \dots, 2i + 1, \dots, 2n - 1] = \left(\prod_{k=n-1}^1 \prod_{i=2k}^{n+k-1} \overline{C_i} \right) * [1, 2, 3, 4, \dots, n].$$

Since $[1, 2, 3, 4, \dots, n] = B_n \overline{B_n}$, and $b'_n = \prod_{i=n}^2 C_i \cdot C_1 C_1 \cdot \prod_{i=2}^n C_i (b_n)$,

$$\begin{aligned} [1, 2, 3, 4, \dots, n] &= B_n \prod_{i=n}^2 C_i \cdot C_1 C_1 \cdot \prod_{i=2}^n C_i \cdot \overline{B_n} \cdot \prod_{i=n}^2 \overline{C_i} \cdot \overline{C_1} \overline{C_1} \cdot \prod_{i=2}^n \overline{C_i} \\ &= \prod_{k=2}^n \left\{ \left(B_n \prod_{i=n}^k C_i \right) * \left(C_{k-1} C_{k-1} \right) \right\} \cdot B_n * \left(C_n C_n \right) \cdot \\ &\quad \cdot \prod_{k=2}^n \left\{ \left(\prod_{i=n}^k \overline{C_i} \right) * \left(\overline{C_{k-1}} \overline{C_{k-1}} \right) \right\} \cdot \overline{C_n} \overline{C_n}. \end{aligned}$$

Therefore,

$$\begin{aligned}
 [1, 3, 5, 7, \dots, 2n - 1] &= \prod_{k=2}^n \{ (\prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i \cdot B_n \cdot \prod_{i=n}^k C_i) * (C_{k-1} C_{k-1}) \} \cdot \\
 &\quad \cdot (\prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i \cdot B_n) * (C_n C_n) \cdot \\
 &\quad \cdot \prod_{k=2}^n \{ (\prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i \cdot \prod_{i=n}^k \overline{C}_i) * (\overline{C_{k-1}} \overline{C_{k-1}}) \} \cdot \\
 &\quad \cdot (\prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i) * (\overline{C_n} \overline{C_n}).
 \end{aligned}$$

By Lemma 3.2, $\prod_{k=2}^n \{ (\prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i \cdot \prod_{i=n}^k \overline{C}_i) * (\overline{C_{k-1}} \overline{C_{k-1}}) \}$ and $(\prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i) * (\overline{C_n} \overline{C_n})$ are elements of G_g . By braid relations for \mathcal{M}_g , (in the following equations $j \leq n - 1$)

$$\begin{aligned}
 (C_{j-1} \cdot \prod_{i=n}^j C_i) * (C_{j-1} C_{j-1}) &= C_{j-1} \prod_{i=n}^{j+1} C_i \cdot C_j C_{j-1} C_{j-1} \overline{C}_j \cdot \prod_{i=j+1}^n \overline{C}_i \overline{C_{j-1}} \\
 &= \prod_{i=n}^{j+1} C_i \cdot C_{j-1} C_j C_{j-1} C_{j-1} \overline{C}_j \overline{C_{j-1}} \cdot \prod_{i=j+1}^n \overline{C}_i \\
 &= \prod_{i=n}^{j+1} C_i \cdot C_j C_{j-1} C_j \overline{C}_j \overline{C_{j-1}} C_j \cdot \prod_{i=j+1}^n \overline{C}_i = (\prod_{i=n}^{j+1} C_i) * (C_j C_j),
 \end{aligned}$$

$$\begin{aligned}
 (C_{n-1} C_n) * (C_{n-1} C_{n-1}) &= C_{n-1} C_n C_{n-1} C_{n-1} \overline{C}_n \overline{C_{n-1}} \\
 &= C_n C_{n-1} C_n \overline{C}_n \overline{C_{n-1}} C_n = C_n C_n.
 \end{aligned}$$

By the above equation and the fact that B_n commutes with C_j ($1 \leq j \leq n - 1$),

$$(B_n \cdot \prod_{i=n}^k C_i) * (C_{k-1} C_{k-1}) = (\prod_{j=k-2}^1 C_j \cdot B_n \cdot \prod_{i=n}^2 C_i) * (C_1 C_1) \text{ where } 3 \leq k \leq n,$$

$$B_n * (C_n C_n) = (\prod_{j=n-1}^1 C_j \cdot B_n \prod_{i=n}^2 C_i) * (C_1 C_1).$$

Since, for $3 \leq k \leq n + 1$,

$$\prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i \cdot \prod_{j=k-2}^1 C_j = \prod_{j=k-2}^1 (\overline{C_{2j}} C_{2j-1} C_{2j}) \cdot \prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i,$$

we obtain,

$$\begin{aligned} & \left(\prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i \cdot \prod_{j=k-2}^1 C_j \cdot B_n \cdot \prod_{i=n}^2 C_i \right) * (C_1 C_1) \\ &= \left(\prod_{j=k-2}^1 (\overline{C}_{2j} C_{2j-1} C_{2j}) \cdot \prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i \cdot B_n \cdot \prod_{i=n}^2 C_i \right) * (C_1 C_1). \end{aligned}$$

Therefore, for showing that $[1, 3, 5, 7, \dots, 2n-1]$ is an element of G_g , it suffices to show that $(\prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i \cdot B_n \cdot \prod_{i=n}^2 C_i) * (C_1 C_1)$ is an element of G_g . Figure 8 illustrates $u = \prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i \cdot B_n \cdot \prod_{i=n}^2 C_i(c_1)$. We investigate

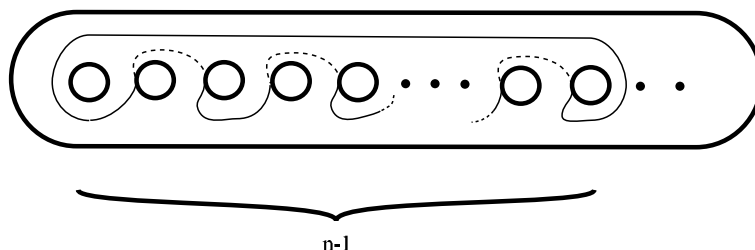


Figure 8

the action of elements of G_g on u . As indicated in Figure 9, $X_5^* X_3^* X_1$ acts

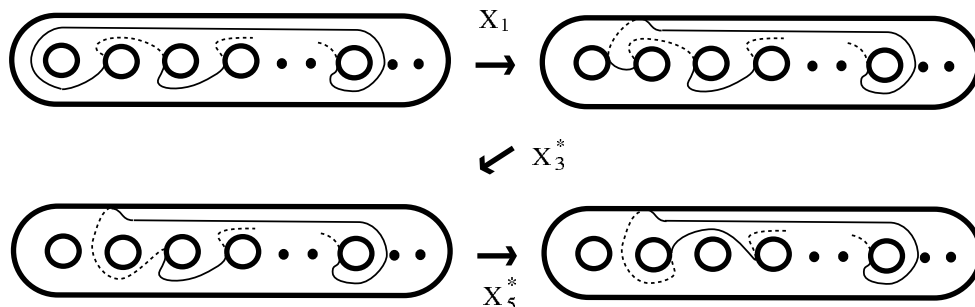


Figure 9

on u . We make $\prod_{i=\frac{n}{2}-2}^2 X_{4i+1}^* X_{4i-1}^*$ act on this circle. In the middle of this action, $X_{4i+1}^* X_{4i-1}^*$ acts locally as in Figure 10. Hence, $\prod_{i=\frac{n}{2}-2}^2 X_{4i+1}^* X_{4i-1}^* \cdot X_1(u)$ is as the first of Figure 11. This figure shows that, by the action of $\overline{X}_6 \overline{X}_4 \overline{Y}_6^* X_{2n-3}^* X_{2n-5}^*$, this curve is changed to the u of $n-4$. Therefore, for our purpose, it suffices to show that $T_u T_u$ is an element of G_g only for $n=4$ or $n=6$. Figure 12 shows that, when $n=4$, $T_u T_u = (\overline{X}_1 \overline{X}_3^* \overline{X}_5^*) * (Y_4^* Y_4^*)$.

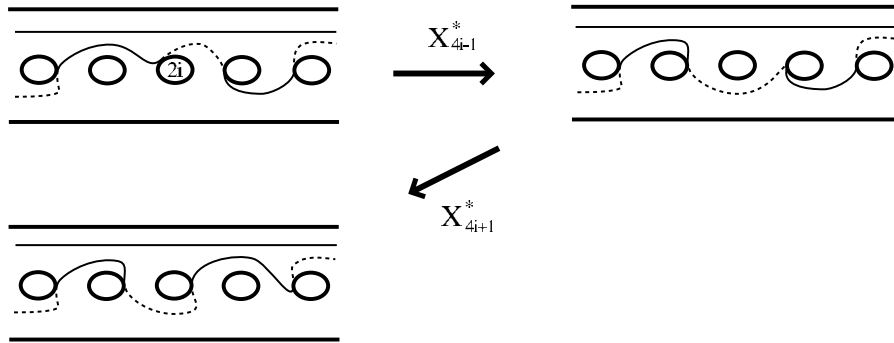


Figure 10

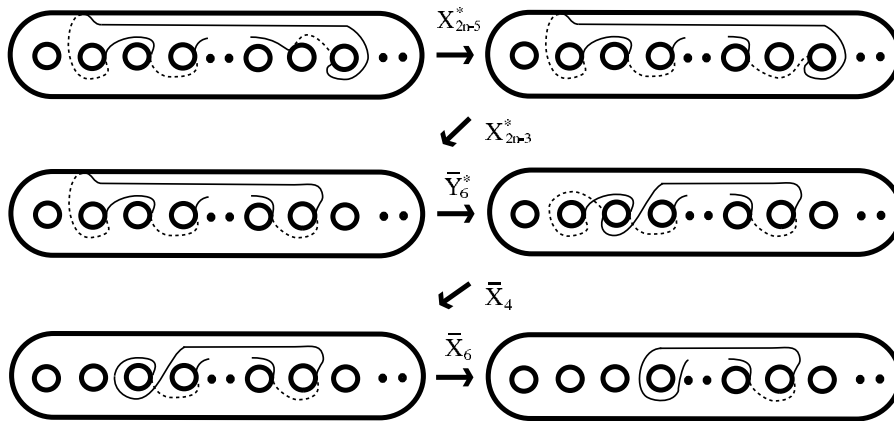


Figure 11

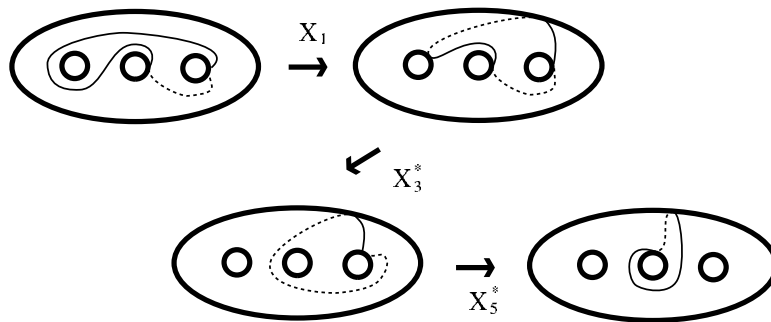


Figure 12

Figure 13 shows that, when $n = 5$, $T_u T_u = (\overline{X_1 X_3^* X_5^* X_7^* X_9^* Y_6^* X_4 X_6}) * D_8$.

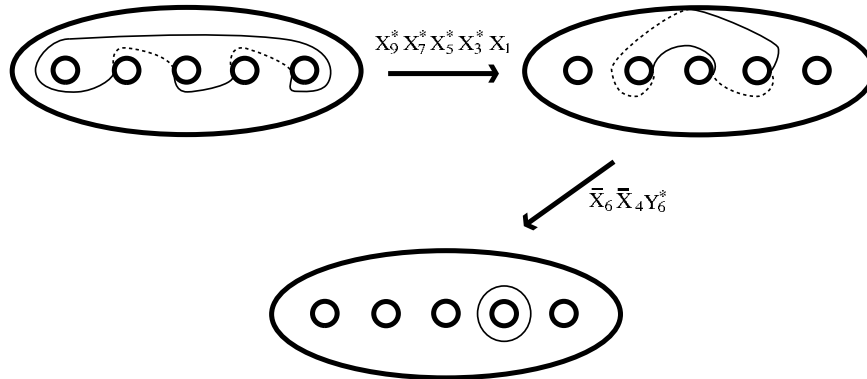


Figure 13

(3) $[1, 2, 4, 6, \dots, 2i, \dots, 2n - 2]$ (n is even, and $4 \leq n \leq g + 2$) are elements of G_g : By (b) of Lemma 3.5,

$$[1, 2, 4, 6, 8, \dots, 2n - 2] = \left(\prod_{k=n-2}^1 \prod_{i=2k+1}^{n+k-1} \overline{C_i} \right) * [1, 2, 3, 4, \dots, n].$$

In the same way as (2),

$$\begin{aligned} [1, 2, 4, 6, 8, \dots, 2n - 2] &= \prod_{k=2}^n \left\{ \left(\prod_{l=n-2}^1 \prod_{i=2l+1}^{n+l-1} \overline{C_i} \cdot B_n \cdot \prod_{i=n}^k C_i \right) * (C_{k-1} C_{k-1}) \right\} \cdot \\ &\quad \cdot \left(\prod_{l=n-2}^1 \prod_{i=2l+1}^{n+l-1} \overline{C_i} \cdot B_n \right) * (C_n C_n) \cdot \\ &\quad \cdot \prod_{k=2}^n \left\{ \left(\prod_{l=n-2}^1 \prod_{i=2l+1}^{n+l-1} \overline{C_i} \cdot \prod_{i=n}^k \overline{C_i} \right) * (\overline{C_{k-1}} \overline{C_{k-1}}) \right\} \cdot \\ &\quad \cdot \left(\prod_{l=n+2}^1 \prod_{i=2l+1}^{n+l-1} \overline{C_i} \right) * (\overline{C_n} \overline{C_n}). \end{aligned}$$

By Lemma 3.2, $(\prod_{l=n-2}^1 \prod_{i=2l+1}^{n+l-1} \overline{C_i} \cdot \prod_{i=n}^k \overline{C_i}) * (\overline{C_{k-1}} \overline{C_{k-1}})$ and $(\prod_{l=n+2}^1 \prod_{i=2l+1}^{n+l-1} \overline{C_i}) * (\overline{C_n} \overline{C_n})$ are elements of G_g . By the same method as in (2), but using

$$\prod_{l=n-2}^1 \prod_{i=2l+1}^{n+l-1} \overline{C_i} \cdot \prod_{j=k-2}^1 C_j = \prod_{j=k-2}^2 (\overline{C_{2j-1}} C_{2j-2} C_{2j-1}) \cdot C_1 \cdot \prod_{l=n-2}^1 \prod_{i=2l+1}^{n+l-1} \overline{C_i},$$

in place of,

$$\prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i \cdot \prod_{j=k-2}^1 C_j = \prod_{j=k-2}^1 (\overline{C}_{2j} C_{2j-1} C_{2j}) \cdot \prod_{l=n-1}^1 \prod_{i=2l}^{n+l-1} \overline{C}_i,$$

we conclude that, for our purpose, it suffices to show that $(\prod_{l=n-2}^1 \prod_{i=2l+1}^{n+l-1} \overline{C}_i \cdot B_n \cdot \prod_{i=n}^2 C_i) * (C_i C_i)$ and $(C_1 \prod_{l=n-2}^1 \prod_{i=2l+1}^{n+l-1} \overline{C}_i \cdot B_n \cdot \prod_{i=n}^2 C_i) * (C_i C_i)$ are elements of G_g . Figure 14 illustrates $v = \prod_{l=n-2}^1 \prod_{i=2l+1}^{n+l-1} \overline{C}_i \cdot B_n \cdot \prod_{i=n}^2 C_i(c_1)$

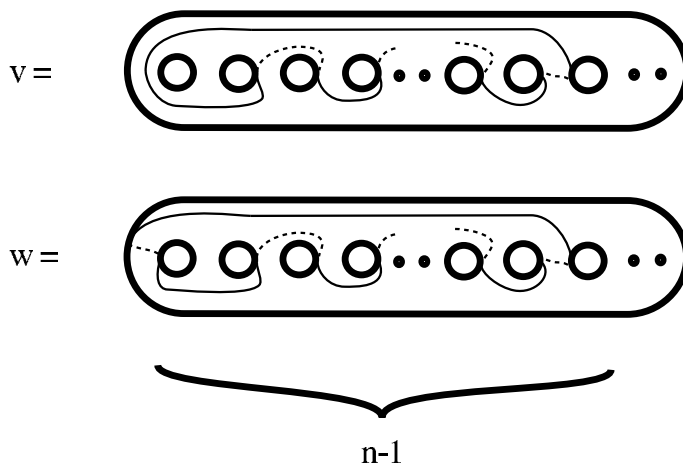


Figure 14

and $w = C_1(v)$. First we investigate the actions of elements of G_g on v . In the following argument, we will refer the pictures in Figure 15 and Figure 18 by the number with $()$. By the action of $T_2 \overline{DB}_2$, v is changed to (0) . Now, we show (1) is G_g -equivalent to (6) . (1) is altered to (2) by the action of Y_6^* . We make a sequence of $\overline{X}_{4i+1}^* \overline{X}_{4i-1}^*$'s act on this circle. In the middle of this process, each $\overline{X}_{4i+1}^* \overline{X}_{4i-1}^*$ acts locally as indicated in Figure 16. Hence, (2) is G_g -equivalent to (3) . By the action of \overline{X}_{4m-1}^* , (3) is deformed to (4) . In the middle of a sequential action of $\overline{X}_{4i+3}^* \overline{X}_{4i+1}^*$'s, each $\overline{X}_{4i+3}^* \overline{X}_{4i+1}^*$ acts locally as shown in Figure 17. Hence, (4) and (5) are G_g -equivalent. As a result of the action of \overline{X}_{4m-3}^* , (5) is altered to (6) . The above argument shows that (1) is G_g -equivalent to (6) . For (0) , we apply the above process from (1) to (6) repeatedly, then we get (7) . The element $\overline{X}_5^* \overline{X}_7^* Y_6^*$ alters (7) into (8) . If $\frac{n}{2}$ is even, $DB_4^{\frac{n}{4}-1}$ deforms (8) into (9) . Since (9) is changed to (10) by the action of \overline{X}_3 , there exists an element h of G_g such that $h * (T_v T_v) = X_1 X_1$. If $\frac{n}{2}$ is odd, $DB_4^{\frac{n-2}{4}}$ deforms (8) into (11) . Since (11) is changed to (12) by the action

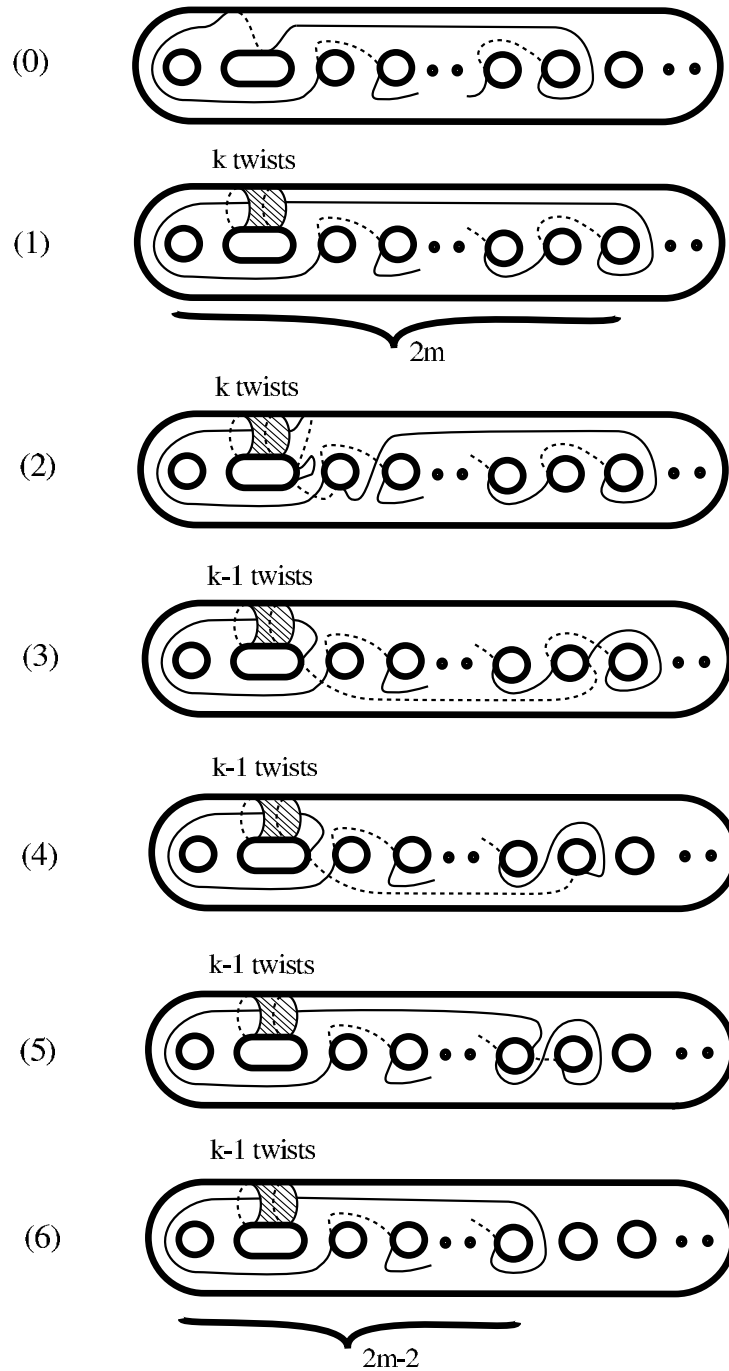


Figure 15

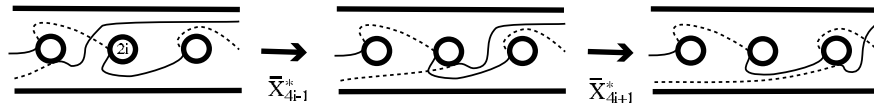


Figure 16

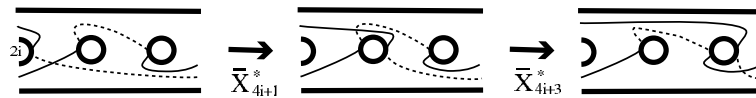


Figure 17

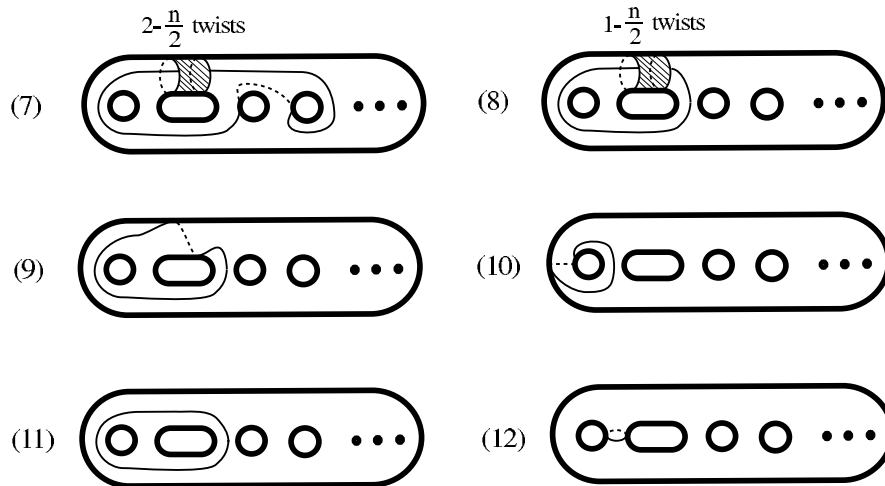


Figure 18

of $X_1\overline{Y}_4^*$, there exists an element h of G_g such that $h * (T_v T_v) = D_3$. Next, we investigate the actions of G_g on w . The action of $\overline{T}_1 T_2$ deforms w into (1) of Figure 19. After the repeated application of the actions from (1) to (6) of Figure 15, this circle is altered to (2) of Figure 19. By the same argument for v , when $\frac{n}{2}$ is even, there is a h of G_g such that $h * (T_w T_w) = D_3$, on the other hand, when $\frac{n}{2}$ is odd, there is a h of G_g such that $h * (T_w T_w) = X_1 X_1$. Therefore, $[1, 2, 4, 6, 8, \dots, 2n - 2]$ is an element of G_g . \square

We prove that any odd subchain map of $(c_1, c_2, c_3, \dots, c_{2g+1})$ or $(c_\beta, c_5, c_6, \dots, c_{2g})$ is a product of elements listed on Lemma 3.6 and elements of G_g . The following lemma shows that any odd subchain map of $(c_\beta, c_5, c_6, \dots, c_{2g})$ is a product of an odd subchain map of $(c_1, c_2, c_3, \dots, c_{2g+1})$ and elements of G_g .

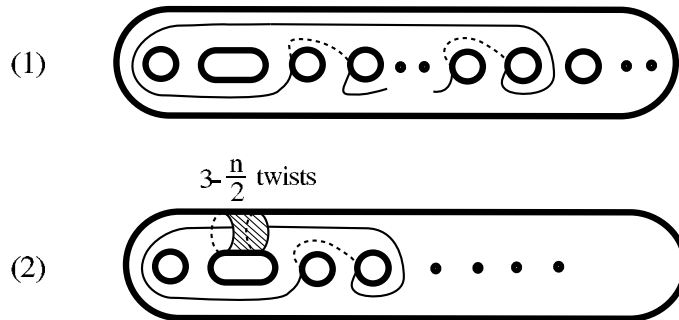


Figure 19

Lemma 3.7 $D_3\overline{T}_1(c_\beta) = c_3 + c_4$.

Proof Figure 20 proves this lemma. □

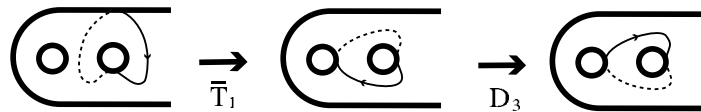


Figure 20

From here to the end of this subsection, odd subchain maps mean only those of $(c_1, c_2, c_3, \dots, c_{2g+1})$. The following lemma shows that any odd subchain map, whose length is at least 5 and which begins from 1, 2, 3, 4, is a product of shorter odd subchain maps and elements of G_g .

Lemma 3.8

$$[1, 2, 3, 4][1, 2, 3, 5]^{-1}[1, 2, 3, 4][1, 2, 4, 6, 7, \dots, 2n] \cdot (C_4B_4\overline{C_4}) * [3, 4, 5, \dots, 2n] = [4, 6, 7, \dots, 2n][1, 2, 3, 4, \dots, 2n]$$

Proof By (a) of Lemma 3.5, $\overline{C_4} * [3, 4, 5, \dots, 2n] = [3, 4, 5, \dots, 2n]$, and by (d) of Lemma 3.5,

$$[1, 2, 3, 4][1, 2, 5, 6, \dots, 2n] \cdot (B_4\overline{C_4}) * [3, 4, 5, \dots, 2n] = [5, 6, \dots, 2n][1, 2, 3, 4, \dots, 2n].$$

By applying C_4 to the above equation, we get the equation which we need. □

For any odd subchain map $[i_1, i_2, \dots, i_r]$, we define a sequence $[[\epsilon_1, \epsilon_2, \dots, \epsilon_{2g+2}]]$ as follows: $\epsilon_k = 1$ if k is a member of $\{i_1, i_2, \dots, i_r\}$, and $\epsilon_k = 0$ if k is not a member of $\{i_1, i_2, \dots, i_r\}$. For this sequence $[[\epsilon_1, \epsilon_2, \dots, \epsilon_{2g+2}]]$, we construct the sequence $[[\delta_1, \delta_2, \dots, \delta_{2g+2}]]$ by the following rule: $(\delta_{2i-1}, \delta_{2i}) = (0, 0)$ if $(\epsilon_{2i-1}, \epsilon_{2i}) = (0, 0)$, $(\delta_{2i-1}, \delta_{2i}) = (1, 0)$ if $(\epsilon_{2i-1}, \epsilon_{2i}) = (0, 1)$, $(\delta_{2i-1}, \delta_{2i}) = (0, 1)$ if $(\epsilon_{2i-1}, \epsilon_{2i}) = (1, 0)$, $(\delta_{2i-1}, \delta_{2i}) = (1, 1)$ if $(\epsilon_{2i-1}, \epsilon_{2i}) = (1, 1)$. The odd subchain map $[j_1, j_2, \dots, j_r]$, which corresponds to the sequence $[[\delta_1, \delta_2, \dots, \delta_{2g+2}]]$, is called *the reversion* of $[i_1, i_2, \dots, i_r]$.

Lemma 3.9 (1) *For any odd subchain map c , there is an element of G_g which brings c to its reversion.*

(2) *When $k \leq i - 3$, $(\overline{C_{i-1}} C_{i-2} C_{i-1}) * [\dots, k, i, j, \dots] = [\dots, k, i - 2, j, \dots]$.*

(3) *When $k \leq i - 2$, $(C_i C_{i-1} \overline{C_i}) * [\dots, k, i, i + 1, \dots] = [\dots, k, i - 1, i, \dots]$.*

Proof Lemma 3.5 shows (2) and (3). Since, $\overline{T_1} T_2 = \overline{C_1} \overline{C_3} C_5 \cdots C_{2g+1}$ and $D_{2i-1} = C_{2i-1} C_{2i-1}$ ($1 \leq i \leq g + 1$) are elements of G_g , $C_1^{\pm 1} C_3^{\pm 1} \cdots C_{2g+1}^{\pm 1}$ is an elements of G_g for any choice of ± 1 's. Let $[[\epsilon_1, \epsilon_2, \dots, \epsilon_{2g+2}]]$ be the 0-1 sequence corresponding to $[i_1, i_2, \dots, i_r]$. We define γ_i ($1 \leq i \leq g + 1$) as follows: $\gamma_i = +1$ if $(\epsilon_{2i-1}, \epsilon_{2i}) = (0, 0), (0, 1)$, or $(1, 1)$, and $\gamma_i = -1$ if $(\epsilon_{2i-1}, \epsilon_{2i}) = (1, 0)$. Then $(C_1^{\gamma_1} C_3^{\gamma_2} \cdots C_{2g+1}^{\gamma_{g+1}}) * [i_1, \dots, i_r]$ is the reversion of $[i_1, \dots, i_r]$. \square

By (2) of the above lemma, any odd subchain map is deformed to an odd subchain map $[i_1, i_2, \dots, i_r]$ such that $i_{l+1} - i_l \leq 2$ under the action of G_g . If there are at least two disjoint pairs of indices (i_l, i_{l+1}) in an odd subchain map $[i_1, i_2, \dots, i_r]$ such that $i_{l+1} = i_l + 1$, then, by (3) of the above lemma, this odd subchain map is altered to the odd subchain map which begins from 1, 2, 3, 4 under the action of G_g . Therefore, by Lemma 3.8, this odd subchain map is a product of shorter odd subchain maps and elements of G_g . Hence, it suffices to show that $[1, 3, 5, 7, 9, \dots]$, $[2, 4, 6, 8, 10, \dots]$, $[1, 2, 3, 5, 7, \dots]$, $[1, 2, 4, 6, 8, \dots]$, and $[1, 2, 3, 4]$ are elements of G_g . By (1) of Lemma 3.9, the second ones are changed to the first ones, and the third ones are changed to the fourth ones by the action of G_g . On the other hand, we have already shown that $[1, 3, 5, 7, 9, \dots]$, $[1, 2, 4, 6, 8, \dots]$, and $[1, 2, 3, 4]$ are elements of G_g in Lemma 3.6. Therefore, Lemma 3.3 is proved.

3.3 The level 2 prime congruence subgroup of $\text{Sp}(2g, \mathbb{Z})$

In this subsection, we assume $g \geq 3$. Let Φ_2 be the natural homomorphism from \mathcal{M}_g to $\text{Sp}(2g, \mathbb{Z}_2)$ defined by the action of \mathcal{M}_g on the \mathbb{Z}_2 -coefficient first homology group $H_1(\Sigma_g; \mathbb{Z}_2)$. In this section, we show the following lemma.

Lemma 3.10 $\ker \Phi_2$ is a subgroup of G_g .

We denote the kernel of the natural homomorphism from $\mathrm{Sp}(2g, \mathbb{Z})$ to $\mathrm{Sp}(2g, \mathbb{Z}_2)$ by $\mathrm{Sp}^{(2)}(2g)$. We set a basis of $H_1(\Sigma_g; \mathbb{Z})$ as in Figure 4, and define the intersection form (\cdot, \cdot) on $H_1(\Sigma_g; \mathbb{Z})$ to satisfy $(x_i, y_j) = \delta_{i,j}$, $(x_i, x_j) = (y_i, y_j) = 0$ ($1 \leq i, j, \leq g$). An element a of $H_1(\Sigma_g; \mathbb{Z})$ is called *primitive* if there is no element $n (\neq 0, \pm 1)$ of \mathbb{Z} , and no element b of $H_1(\Sigma_g; \mathbb{Z})$ such that $a = nb$. For a primitive element a of $H_1(\Sigma_g; \mathbb{Z})$, we define an isomorphism $T_a: H_1(\Sigma_g; \mathbb{Z}) \rightarrow H_1(\Sigma_g; \mathbb{Z})$ by $T_a(v) = v + (a, v)a$. This isomorphism is the same as the action of Dehn twist about a simple closed curve representing a on $H_1(\Sigma_g; \mathbb{Z})$. We call T_a^2 the *square transvection about a* . Johnson [8] showed the following result.

Lemma 3.11 $\mathrm{Sp}^{(2)}(2g)$ is generated by square transvections.

$\mathrm{Sp}^{(2)}(2g)$ is finitely generated. In fact, we show:

Lemma 3.12 $\mathrm{Sp}^{(2)}(2g)$ is generated by the square transvections about the primitive elements $\sum_{i=1}^g (\epsilon_i x_i + \delta_i y_i)$, where $\epsilon_i = 0, 1$ and $\delta_i = 0, 1$.

We define, for any primitive element a and b of $H_1(\Sigma_g; \mathbb{Z})$, two operation \boxplus and \boxminus by

$$a \boxplus b = a + 2(a, b)b, \quad a \boxminus b = a - 2(a, b)b.$$

We remark that $T_{a \boxplus b}^2 = T_b^{-2} T_a^2 T_b^2$, $T_{a \boxminus b}^2 = T_b^2 T_a^2 T_b^{-2}$, and $(a \boxplus b) \boxminus b = a = (a \boxminus b) \boxplus b$. We denote the element $\sum_{i=1}^g (a_i^1 x_i + a_i^2 y_i)$ of $H_1(\Sigma_g; \mathbb{Z})$ by $[(a_1^1, a_1^2), (a_2^1, a_2^2), \dots, (a_g^1, a_g^2)]$, and call each (a_i^1, a_i^2) as a *block*. For a positive integer k , $a(\boxplus b)^k$ is the result of the k -fold application of $\boxplus b$ on a , and $a(\boxminus b)^{-k}$ is the result of the k -fold application of $\boxminus b$ on a .

Lemma 3.13 For any primitive element a of $H_1(\Sigma_g; \mathbb{Z})$, by applying $\boxplus[(0, 0), \dots, (0, 0), (1, 0), (0, 0), \dots, (0, 0)]$ or $\boxplus[(0, 0), \dots, (0, 0), (0, 1), (0, 0), \dots, (0, 0)]$ several times, each block of a is altered to $(0, 0)$, $(p, 0)$, $(0, p)$, or (p, p) .

Proof Let (m, n) be the i -th block of a . First we consider the case when $|m| > |n| \neq 0$. There is an integer k such that $|m - 2kn| \leq |n|$. Let e_i be the element of $H_1(\Sigma_g; \mathbb{Z})$, the i -th block of which is $(1, 0)$, and every other block of which is $(0, 0)$. Since, $[\dots, (m, n), \dots] \boxplus e_i = [\dots, (m - 2n, n), \dots]$, and $[\dots, (m, n), \dots] \boxminus e_i = [\dots, (m + 2n, n), \dots]$, we get $[\dots, (m, n), \dots](\boxplus e_i)^k = [\dots, (m - 2kn, n), \dots]$. This means that, by repeated application of $\boxplus e_i$, the i -th block (m, n) is altered such that $|m| \leq |n|$. Next, we consider the case when

$0 \neq |m| < |n|$. Let f_i be the element of $H_1(\Sigma_g; \mathbb{Z})$, the i -th block of which is $(0, 1)$, and other blocks of which are $(0, 0)$. Since, $[\dots, (m, n), \dots] \boxplus f_i = [\dots, (m, n + 2m), \dots]$, and $[\dots, (m, n), \dots] \boxminus f_i = [\dots, (m, n - 2m), \dots]$, by the same argument as the previous case, by repeated application of $\boxplus f_i$, the i -th block is altered such that $|m| \geq |n|$. The above arguments show that, after several application of $\boxplus e_i$ or $\boxplus f_i$, the i -th block (m, n) of a is altered to be $|m| = |n|$, or $m = 0$, or $n = 0$. If $n = -m$, the i -th block changed to (m, m) by the application of $\boxplus f_i$. For each i -th block, we do the same operation as above. Then, this lemma follows. \square

For a primitive element of $H_1(\Sigma_g; \mathbb{Z})$, each of whose blocks is $(p, 0)$, or $(0, p)$, or (p, p) , (where p can be different from block to block) we apply several operations $\boxplus[\dots, (\epsilon_i, \delta_i), \dots]$, where $\epsilon_i = 0, 1$ and $\delta_i = 0, 1$. Then we obtain the following equations, where $\circ \circ \circ$ means a sequence of $(0, 0)$, and $\bullet \bullet \bullet$ means the part which is not changed.

$$\begin{aligned} & [\bullet \bullet \bullet, (p, 0), (q, 0), \bullet \bullet \bullet] \boxminus [\circ \circ \circ, (1, 0), (0, 1), \circ \circ \circ] \boxplus [\circ \circ \circ, (0, 0), (0, 1), \circ \circ \circ] \\ & \quad = [\bullet \bullet \bullet, (p - 2q, 0), (q, 0), \bullet \bullet \bullet], \\ & [\bullet \bullet \bullet, (p, 0), (q, 0), \bullet \bullet \bullet] \boxplus [\circ \circ \circ, (1, 0), (0, 1), \circ \circ \circ] \boxminus [\circ \circ \circ, (0, 0), (0, 1), \circ \circ \circ] \\ & \quad = [\bullet \bullet \bullet, (p + 2q, 0), (q, 0), \bullet \bullet \bullet], \\ & [\bullet \bullet \bullet, (p, 0), (q, 0), \bullet \bullet \bullet] \boxminus [\circ \circ \circ, (0, 1), (1, 0), \circ \circ \circ] \boxplus [\circ \circ \circ, (0, 1), (0, 0), \circ \circ \circ] \\ & \quad = [\bullet \bullet \bullet, (p, 0), (q - 2p, 0), \bullet \bullet \bullet], \\ & [\bullet \bullet \bullet, (p, 0), (q, 0), \bullet \bullet \bullet] \boxplus [\circ \circ \circ, (0, 1), (1, 0), \circ \circ \circ] \boxminus [\circ \circ \circ, (0, 1), (0, 0), \circ \circ \circ] \\ & \quad = [\bullet \bullet \bullet, (p, 0), (q + 2p, 0), \bullet \bullet \bullet], \end{aligned}$$

$$\begin{aligned} & [\bullet \bullet \bullet, (0, p), (0, q), \bullet \bullet \bullet] \boxplus [\circ \circ \circ, (0, 1), (1, 0), \circ \circ \circ] \boxminus [\circ \circ \circ, (0, 0), (1, 0), \circ \circ \circ] \\ & \quad = [\bullet \bullet \bullet, (0, p - 2q), (0, q), \bullet \bullet \bullet], \\ & [\bullet \bullet \bullet, (0, p), (0, q), \bullet \bullet \bullet] \boxminus [\circ \circ \circ, (0, 1), (1, 0), \circ \circ \circ] \boxplus [\circ \circ \circ, (0, 0), (1, 0), \circ \circ \circ] \\ & \quad = [\bullet \bullet \bullet, (0, p + 2q), (0, q), \bullet \bullet \bullet], \\ & [\bullet \bullet \bullet, (0, p), (0, q), \bullet \bullet \bullet] \boxplus [\circ \circ \circ, (1, 0), (0, 1), \circ \circ \circ] \boxminus [\circ \circ \circ, (1, 0), (0, 0), \circ \circ \circ] \\ & \quad = [\bullet \bullet \bullet, (0, p), (0, q - 2p), \bullet \bullet \bullet], \\ & [\bullet \bullet \bullet, (0, p), (0, q), \bullet \bullet \bullet] \boxminus [\circ \circ \circ, (1, 0), (0, 1), \circ \circ \circ] \boxplus [\circ \circ \circ, (1, 0), (0, 0), \circ \circ \circ] \\ & \quad = [\bullet \bullet \bullet, (0, p), (0, q + 2p), \bullet \bullet \bullet], \end{aligned}$$

$$[\bullet \bullet \bullet, (p, 0), (0, q), \bullet \bullet \bullet] \boxplus [\circ \circ \circ, (1, 0), (1, 0), \circ \circ \circ] \boxminus [\circ \circ \circ, (0, 0), (1, 0), \circ \circ \circ]$$

$$[\bullet\bullet\bullet, (p, p), (0, q), \bullet\bullet\bullet] \boxplus [\circ\circ\circ, (0, 1), (0, 1), \circ\circ\circ] \boxminus [\circ\circ\circ, (0, 1), (0, 0), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p, p), (0, q + 2p), \bullet\bullet\bullet],$$

$$[\bullet\bullet\bullet, (p, 0), (q, q), \bullet\bullet\bullet] \boxminus [\circ\circ\circ, (1, 0), (0, 1), \circ\circ\circ] \boxplus [\circ\circ\circ, (0, 0), (0, 1), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p - 2q, 0), (q, q), \bullet\bullet\bullet],$$

$$[\bullet\bullet\bullet, (p, 0), (q, q), \bullet\bullet\bullet] \boxplus [\circ\circ\circ, (1, 0), (0, 1), \circ\circ\circ] \boxminus [\circ\circ\circ, (0, 0), (0, 1), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p + 2q, 0), (q, q), \bullet\bullet\bullet],$$

$$[\bullet\bullet\bullet, (p, 0), (q, q), \bullet\bullet\bullet] \boxminus [\circ\circ\circ, (0, 1), (1, 1), \circ\circ\circ] \boxplus [\circ\circ\circ, (0, 1), (0, 0), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p, 0), (q - 2p, q - 2p), \bullet\bullet\bullet],$$

$$[\bullet\bullet\bullet, (p, 0), (q, q), \bullet\bullet\bullet] \boxplus [\circ\circ\circ, (0, 1), (1, 1), \circ\circ\circ] \boxminus [\circ\circ\circ, (0, 1), (0, 0), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p, 0), (q + 2p, q + 2p), \bullet\bullet\bullet],$$

$$[\bullet\bullet\bullet, (p, p), (q, 0), \bullet\bullet\bullet] \boxminus [\circ\circ\circ, (1, 1), (0, 1), \circ\circ\circ] \boxplus [\circ\circ\circ, (0, 0), (0, 1), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p - 2q, p - 2q), (q, 0), \bullet\bullet\bullet],$$

$$[\bullet\bullet\bullet, (p, p), (q, 0), \bullet\bullet\bullet] \boxplus [\circ\circ\circ, (1, 1), (0, 1), \circ\circ\circ] \boxminus [\circ\circ\circ, (0, 0), (0, 1), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p + 2q, p + 2q), (q, 0), \bullet\bullet\bullet],$$

$$[\bullet\bullet\bullet, (p, p), (q, 0), \bullet\bullet\bullet] \boxminus [\circ\circ\circ, (0, 1), (1, 0), \circ\circ\circ] \boxplus [\circ\circ\circ, (0, 1), (0, 0), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p, p), (q - 2p, 0), \bullet\bullet\bullet],$$

$$[\bullet\bullet\bullet, (p, p), (q, 0), \bullet\bullet\bullet] \boxplus [\circ\circ\circ, (0, 1), (1, 0), \circ\circ\circ] \boxminus [\circ\circ\circ, (0, 1), (0, 0), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p, p), (q + 2p, 0), \bullet\bullet\bullet],$$

$$[\bullet\bullet\bullet, (p, p), (q, q), \bullet\bullet\bullet] \boxminus [\circ\circ\circ, (1, 1), (0, 1), \circ\circ\circ] \boxplus [\circ\circ\circ, (0, 0), (0, 1), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p - 2q, p - 2q), (q, q), \bullet\bullet\bullet],$$

$$[\bullet\bullet\bullet, (p, p), (q, q), \bullet\bullet\bullet] \boxplus [\circ\circ\circ, (1, 1), (0, 1), \circ\circ\circ] \boxminus [\circ\circ\circ, (0, 0), (0, 1), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p + 2q, p + 2q), (q, q), \bullet\bullet\bullet],$$

$$[\bullet\bullet\bullet, (p, p), (q, q), \bullet\bullet\bullet] \boxminus [\circ\circ\circ, (0, 1), (1, 1), \circ\circ\circ] \boxplus [\circ\circ\circ, (0, 1), (0, 0), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p, p), (q - 2p, q - 2p), \bullet\bullet\bullet],$$

$$[\bullet\bullet\bullet, (p, p), (q, q), \bullet\bullet\bullet] \boxplus [\circ\circ\circ, (0, 1), (1, 1), \circ\circ\circ] \boxminus [\circ\circ\circ, (0, 1), (0, 0), \circ\circ\circ] \\ = [\bullet\bullet\bullet, (p, p), (q + 2p, q + 2p), \bullet\bullet\bullet].$$

Therefore, by the same argument as the proof of Lemma 3.13, we obtain:

Lemma 3.14 *For any primitive element a of $H_1(\Sigma_g; \mathbb{Z})$, by applying $\boxplus[(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)]$ (where $\epsilon_i = 0, 1$, and $\delta_i = 0, 1$) several times, a is*

deformed to $\boxplus[(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)]$ (where $\epsilon_i = 0, 1$, and $\delta_i = 0, 1$) or $[\circ \circ \circ, (-1, 0), \circ \circ \circ]$. \square

Since $T_{-a}^2(v) = v + 2(-a, v)(-v) = v + 2(a, v)v = T_a^2(v)$, we do not need to consider the elements $[\circ \circ \circ, (-1, 0), \circ \circ \circ]$. Hence, Lemma 3.12 follows.

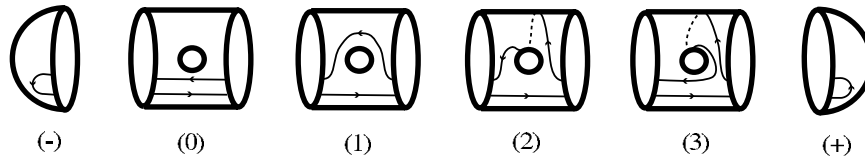


Figure 21

For each element $[(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)]$ (where $\epsilon_i = 0, 1$, $\delta_i = 0, 1$) of $H_1(\Sigma_g; \mathbb{Z})$, we construct an oriented simple close curve on Σ_g which represent this homology class. For each i -th block, if $(\epsilon_i, \delta_i) = (0, 0)$, we prepare (0) of Figure 21, if $(\epsilon_i, \delta_i) = (0, 1)$, we prepare (1) of Figure 21, if $(\epsilon_i, \delta_i) = (1, 1)$, we prepare (2) of Figure 21, if $(\epsilon_i, \delta_i) = (1, 0)$, we prepare (3) of Figure 21. After that, we glue them along the boundaries and cap the left boundary component by (-) of Figure 21 and the right boundary component by (+) of Figure 21. We denote this oriented simple closed curve on Σ_g by $\{(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)\}$. Here, we remark that the action of $T_{\{(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)\}}$ on $H_1(\Sigma_g; \mathbb{Z})$ equals $T_{[(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)]}$, and, for any ϕ of \mathcal{M}_g , $\phi \circ T_{\{(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)\}} \circ \phi^{-1} = T_{\phi(\{(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)\})}$.

Lemma 3.15 For any $\{(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)\}$, there is an element ϕ of G_g such that

$$\begin{aligned} \phi(\{(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)\}) &= \{(0, 1), (0, 0), (0, 0), \dots, (0, 0)\} \\ &\text{or} = \{(1, 1), (0, 0), (0, 0), \dots, (0, 0)\} \\ &\text{or} = \{(0, 0), (1, 1), (0, 0), \dots, (0, 0)\}. \end{aligned}$$

Proof If the i -th block is (3), by the action of $\overline{Y_{2i}}$, this block is changed to (1). Therefore, it suffices to show this lemma in the case when each block is not (3). First we investigate actions of elements of G_g on adjacent blocks, say the i -th block and the $i + 1$ -st block. Each picture of Figure 22 shows the action

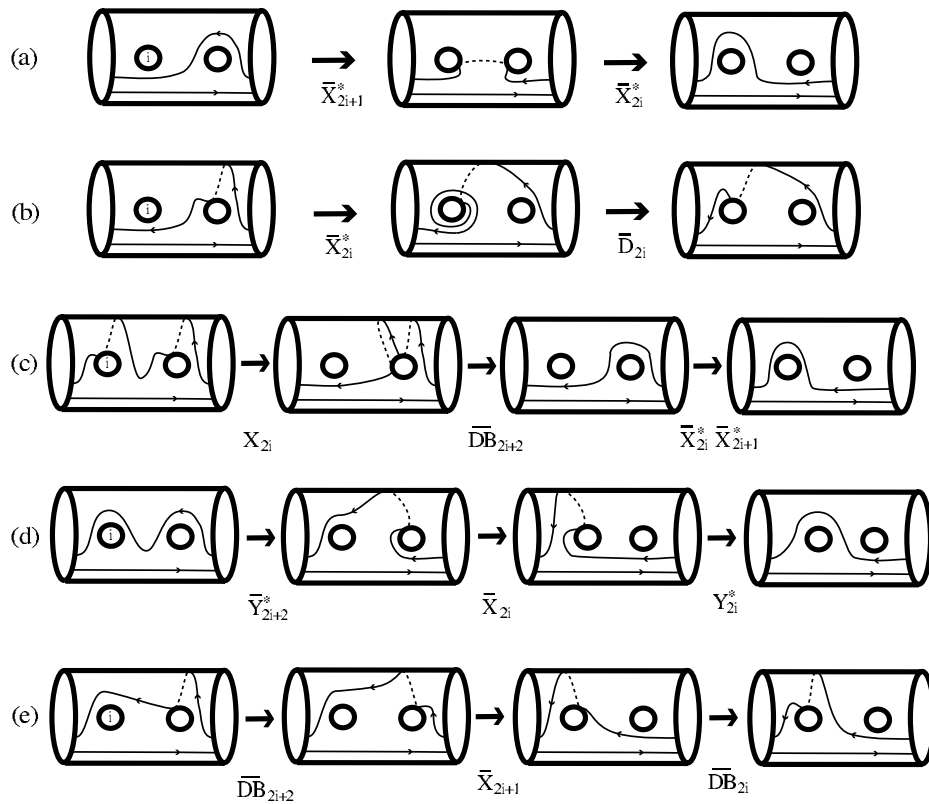


Figure 22

of G_g on this adjacent blocks.

- (a) shows $\{\bullet\bullet\bullet, (0, 0), (0, 1), \bullet\bullet\bullet\} \sim_{G_g} \{\bullet\bullet\bullet, (0, 1), (0, 0), \bullet\bullet\bullet\}$,
- (b) shows $\{\bullet\bullet\bullet, (0, 0), (1, 1), \bullet\bullet\bullet\} \sim_{G_g} \{\bullet\bullet\bullet, (1, 1), (0, 1), \bullet\bullet\bullet\}$,
- (c) shows $\{\bullet\bullet\bullet, (1, 1), (1, 1), \bullet\bullet\bullet\} \sim_{G_g} \{\bullet\bullet\bullet, (0, 1), (0, 0), \bullet\bullet\bullet\}$,
- (d) shows $\{\bullet\bullet\bullet, (0, 1), (0, 1), \bullet\bullet\bullet\} \sim_{G_g} \{\bullet\bullet\bullet, (0, 1), (0, 0), \bullet\bullet\bullet\}$,
- (e) shows $\{\bullet\bullet\bullet, (0, 1), (1, 1), \bullet\bullet\bullet\} \sim_{G_g} \{\bullet\bullet\bullet, (1, 1), (0, 0), \bullet\bullet\bullet\}$.

For an oriented simple closed curve $x = \{(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)\}$, each of whose block is $(0, 0)$ or $(0, 1)$ or $(1, 1)$, let the right most non- $(0, 0)$ block be the j -th block. By the induction on j , we show that x is G_g -equivalent to $\{(0, 1), (0, 0), (0, 0), \dots, (0, 0)\}$ or $\{(1, 1), (0, 0), (0, 0), \dots, (0, 0)\}$ or $\{(0, 0), (1, 1), (0, 0), \dots,$

$(0, 0)$. If $j = 1$, it is trivial.

When the j -th block is $(0, 1)$. If each block between the first block and the $(j - 1)$ -st block is $(0, 0)$, then, by repeated application of (a), x is G_g -equivalent to $\{(0, 1), (0, 0), \dots, (0, 0)\}$. If there is a block between the first block and the $(j - 1)$ -st block which is not $(0, 0)$, by the induction hypothesis, the sequence from the first block to the $(j - 1)$ -st block is G_g -equivalent to $(0, 1), (0, 0), (0, 0), \dots, (0, 0)$ or $(1, 1), (0, 0), (0, 0), \dots, (0, 0)$ or $(0, 0), (1, 1), (0, 0), \dots, (0, 0)$. In the first case,

$$\begin{aligned} x &\underset{G_g}{\sim} \{(0, 1), (0, 0), (0, 0), \dots, (0, 0), (0, 1), \dots, (0, 0)\} \text{ (by the hypothesis)} \\ &\underset{G_g}{\sim} \{(0, 1), (0, 1), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (a))} \\ &\underset{G_g}{\sim} \{(0, 1), (0, 0), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (d))}. \end{aligned}$$

In the second case,

$$\begin{aligned} x &\underset{G_g}{\sim} \{(1, 1), (0, 0), (0, 0), \dots, (0, 0), (0, 1), \dots, (0, 0)\} \text{ (by the hypothesis)} \\ &\underset{G_g}{\sim} \{(1, 1), (0, 1), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (a))} \\ &\underset{G_g}{\sim} \{(0, 0), (1, 1), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (b))}. \end{aligned}$$

In the third case,

$$\begin{aligned} x &\underset{G_g}{\sim} \{(0, 0), (1, 1), (0, 0), \dots, (0, 0), (0, 1), \dots, (0, 0)\} \text{ (by the hypothesis)} \\ &\underset{G_g}{\sim} \{(0, 0), (1, 1), (0, 1), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (a))} \\ &\underset{G_g}{\sim} \{(1, 1), (0, 1), (0, 1), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (b))} \\ &\underset{G_g}{\sim} \{(1, 1), (0, 1), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (d))} \\ &\underset{G_g}{\sim} \{(0, 0), (1, 1), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (b))}. \end{aligned}$$

When the j -th block is $(1, 1)$. If every block between the first block and $(j - 1)$ -st block is $(0, 0)$, then,

$$\begin{aligned} x &\underset{G_g}{\sim} \{(1, 1), (0, 1), (0, 1) \dots, (0, 1), \dots, (0, 0)\} \text{ (by (b))} \\ &\underset{G_g}{\sim} \{(1, 1), (0, 1), (0, 0), \dots, (0, 0), \dots, (0, 0)\} \text{ (by (d))} \\ &\underset{G_g}{\sim} \{(0, 0), (1, 1), (0, 0), \dots, (0, 0), \dots, (0, 0)\} \text{ (by (b))} \end{aligned}$$

If there is a block between the first block and the $(j - 1)$ -st block which is not $(0, 0)$, by the induction hypothesis, the sequence from the first block to the $(j - 1)$ -st block is G_g -equivalent to $(0, 1), (0, 0), (0, 0), \dots, (0, 0)$ or $(1, 1), (0, 0), (0, 0), \dots, (0, 0)$ or $(0, 0), (1, 1), (0, 0), \dots, (0, 0)$. In the first case,

$$\begin{aligned} x &\underset{G_g}{\sim} \{(0, 1), (0, 0), (0, 0), (0, 0), \dots, (0, 0), (1, 1), \dots, (0, 0)\} \text{ (by the hypothesis)} \\ &\underset{G_g}{\sim} \{(0, 1), (1, 1), (0, 1), (0, 1), \dots, (0, 1), (0, 1), \dots, (0, 0)\} \text{ (by (b))} \\ &\underset{G_g}{\sim} \{(0, 1), (1, 1), (0, 1), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (d))} \\ &\underset{G_g}{\sim} \{(1, 1), (0, 0), (0, 1), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (e))} \\ &\underset{G_g}{\sim} \{(1, 1), (0, 1), (0, 0), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (a))} \\ &\underset{G_g}{\sim} \{(0, 0), (1, 1), (0, 0), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (b)).} \end{aligned}$$

In the second case,

$$\begin{aligned} x &\underset{G_g}{\sim} \{(1, 1), (0, 0), (0, 0), (0, 0), \dots, (0, 0), (1, 1), \dots, (0, 0)\} \text{ (by the hypothesis)} \\ &\underset{G_g}{\sim} \{(1, 1), (1, 1), (0, 1), (0, 1), \dots, (0, 1), (0, 1), \dots, (0, 0)\} \text{ (by (b))} \\ &\underset{G_g}{\sim} \{(1, 1), (1, 1), (0, 1), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (d))} \\ &\underset{G_g}{\sim} \{(0, 1), (0, 0), (0, 1), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (c))} \\ &\underset{G_g}{\sim} \{(0, 1), (0, 1), (0, 0), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (a))} \\ &\underset{G_g}{\sim} \{(0, 1), (0, 0), (0, 0), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (d)).} \end{aligned}$$

In the third case,

$$\begin{aligned} x &\underset{G_g}{\sim} \{(0, 0), (1, 1), (0, 0), (0, 0), \dots, (0, 0), (1, 1), \dots, (0, 0)\} \text{ (by the hypothesis)} \\ &\underset{G_g}{\sim} \{(0, 0), (1, 1), (1, 1), (0, 1), \dots, (0, 1), (0, 1), \dots, (0, 0)\} \text{ (by (b))} \\ &\underset{G_g}{\sim} \{(0, 0), (0, 1), (0, 0), (0, 1), \dots, (0, 1), (0, 1), \dots, (0, 0)\} \text{ (by (c))} \\ &\underset{G_g}{\sim} \{(0, 0), (0, 1), (0, 0), (0, 1), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (d))} \\ &\underset{G_g}{\sim} \{(0, 1), (0, 1), (0, 0), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (a))} \\ &\underset{G_g}{\sim} \{(0, 1), (0, 0), (0, 0), (0, 0), \dots, (0, 0), (0, 0), \dots, (0, 0)\} \text{ (by (d)).} \end{aligned}$$

□

By the fact that $T_{\{(0,1),(0,0),\dots,(0,0)\}}^2 = D_2$, $T_{\{(1,1),(0,0),\dots,(0,0)\}}^2 = (X_1^*)^2$, $T_{\{(0,0),(1,1),\dots,(0,0)\}}^2 = (Y_2^*)^2$, and Lemma 3.3, Lemma 3.10 is proved.

3.4 The modulo 2 orthogonal group

In this subsection, we assume $g \geq 3$. As in the previous subsection, let $\Phi_2: \mathcal{M}_g \rightarrow \text{Sp}(2g, \mathbb{Z}_2)$ be the natural homomorphism. Let $q: H_1(\Sigma_g; \mathbb{Z}_2) \rightarrow \mathbb{Z}_2$ be the quadratic form associated with the intersection form $(,)_2$ of $H_1(\Sigma_g; \mathbb{Z}_2)$ which satisfies $q(x_i) = q(y_i) = 0$ for the basis x_i, y_i of $H_1(\Sigma_g; \mathbb{Z}_2)$ indicated on Figure 4. We define $O(2g, \mathbb{Z}_2) = \{\phi \in \text{Aut}(H_1(\Sigma_g; \mathbb{Z}_2)) \mid q(\phi(x)) = q(x) \text{ for any } x \in H_1(\Sigma_g; \mathbb{Z}_2)\}$, then $\mathcal{SP}_g = \Phi_2^{-1}(O(2g, \mathbb{Z}_2))$. Because of Lemma 3.10, if we show $\Phi_2(G_g) = O(2g, \mathbb{Z}_2)$, then $G_g = \mathcal{SP}_g$ follows. For any $z \in H_1(\Sigma_g; \mathbb{Z}_2)$ such that $q(z) = 1$, we define $\mathbb{T}_z(x) = x + (z, x)_2 z$. Then \mathbb{T}_z is an element of $O(2g, \mathbb{Z}_2)$, and we call this a \mathbb{Z}_2 -transvection about z . Dieudonné [2] showed the following theorem.

Theorem 3.16 [2, Proposition 14 on p.42] *When $g \geq 3$, $O(2g, \mathbb{Z}_2)$ is generated by \mathbb{Z}_2 -transvections.*

Let Λ_g be the set of z of $H_1(\Sigma_g; \mathbb{Z}_2)$ such that $q(z) = 1$. For any elements z_1 and z_2 of Λ_g , we define $z_1 \square z_2 = z_1 + (z_2, z_1)_2 z_2$. Here, we remark that $\mathbb{T}_{z_1}^2 = \text{id}$, $\mathbb{T}_{z_2} \mathbb{T}_{z_1} \mathbb{T}_{z_2}^{-1} = \mathbb{T}_{z_1 \square z_2}$ and $z_1 \square z_2 \square z_2 = z_1$. We denote an element $\epsilon_1 x_1 + \delta_1 y_1 + \dots + \epsilon_g x_g + \delta_g y_g$ of $H_1(\Sigma_g; \mathbb{Z}_2)$ by $[(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)]$, and call each (ϵ_i, δ_i) the i -th block. Λ_g is a set finitely generated by the operation \square . In fact, we have:

Lemma 3.17 *Under the operation \square , Λ_g is generated by $x_i + y_i$ ($1 \leq i \leq g$), $x_i + y_i + x_{i+1}$ ($1 \leq i \leq g - 1$), and $x_i + x_{i+1} + y_{i+1}$ ($1 \leq i \leq g - 1$).*

Proof For an element $[(\epsilon_1, \delta_1), \dots, (\epsilon_g, \delta_g)]$ of $H_1(\Sigma_g; \mathbb{Z}_2)$, let the j -th block be the right most block which is $(1, 1)$. When $j \geq 3$, there exist 4 cases of the combination of the $(j - 1)$ -st block and the j -th block: $[\dots, (1, 1), (1, 1), \dots]$, $[\dots, (0, 0), (1, 1), \dots]$, $[\dots, (0, 1), (1, 1), \dots]$, $[\dots, (1, 0), (1, 1), \dots]$. In each case, we can reduce j at least 1. In fact,

$$\begin{aligned} [\dots, (1, 1), (1, 1), \dots] \square (x_{j-1} + x_j + y_j) &= [\dots, (0, 1), (0, 0), \dots], \\ [\dots, (0, 0), (1, 1), \dots] \square (x_{j-1} + y_{j-1} + x_j) &= [\dots, (1, 1), (0, 1), \dots], \\ [\dots, (0, 1), (1, 1), \dots] \square (x_{j-1} + x_j + y_j) &= [\dots, (1, 1), (0, 0), \dots], \\ [\dots, (1, 0), (1, 1), \dots] \square (x_{j-1} + y_{j-1}) \square (x_{j-1} + x_j + y_j) &= [\dots, (1, 1), (0, 0), \dots]. \end{aligned}$$

When $j = 2$, since $q([\epsilon_1, \delta_1], \dots, [\epsilon_g, \delta_g]) = 1$, there are 3 cases of combination of the first block and the second block: $[(0, 0), (1, 1), \dots]$, $[(1, 0), (1, 1), \dots]$, or $[(0, 1), (1, 1), \dots]$. In each case, j can be reduced to 1. In fact,

$$\begin{aligned} [(0, 0), (1, 1), \dots] \square (x_1 + y_1 + x_2) &= [(1, 1), (0, 1), \dots], \\ [(1, 0), (1, 1), \dots] \square (x_1 + y_1) \square (x_1 + x_2 + y_2) &= [(1, 1), (0, 0), \dots], \\ [(0, 1), (1, 1), \dots] \square (x_1 + x_2 + y_2) &= [(1, 1), (0, 0), \dots]. \end{aligned}$$

When $j = 1$, if every i -th ($i \geq 2$) block is $(0, 0)$, then it is $x_1 + y_1$. If there exist at least one of the i -th ($i \geq 2$) blocks which are $(1, 0)$ or $(0, 1)$, then,

$$\begin{aligned} [\dots, (0, 0), (1, 0), \dots] \square (x_{i-1} + x_i + y_i) &= [\dots, (1, 0), (0, 1), \dots], \\ [\dots, (1, 0), (0, 0), \dots] \square (x_{i-1} + y_{i-1} + x_i) &= [\dots, (0, 1), (1, 0), \dots], \\ [\dots, (0, 0), (0, 1), \dots] \square (x_{i-1} + x_i + y_i) &= [\dots, (1, 0), (1, 0), \dots], \\ [\dots, (0, 1), (0, 0), \dots] \square (x_{i-1} + y_{i-1} + x_i) &= [\dots, (1, 0), (1, 0), \dots]. \end{aligned}$$

Therefore, we can alter this to an element, each i -th ($i \geq 2$) block of which is $(1, 0)$ or $(0, 1)$. If the i -th block of this is $(0, 1)$, then

$$[\dots, (0, 1), \dots] \square (x_i + y_i) = [\dots, (1, 0), \dots].$$

Therefore, it suffices to consider the case when the first block is $(1, 1)$ and other blocks are $(1, 0)$. In this case,

$$[\dots, (1, 0), (1, 0)] \square (x_{g-1} + y_{g-1} + x_g) \square (x_{g-1} + y_{g-1}) = [\dots, (1, 0), (0, 0)].$$

By applying the same operation repeatedly, we get $[(1, 1), (1, 0), \circ \circ \circ]$ as a result. □

This lemma and Theorem 3.16 show:

Corollary 3.18 $O(2g, \mathbb{Z}_2)$ is generated by $\mathbb{T}_{x_i + y_i}$ ($1 \leq i \leq g$), $\mathbb{T}_{x_i + y_i + x_{i+1}}$ ($1 \leq i \leq g - 1$), and $\mathbb{T}_{x_i + x_{i+1} + y_{i+1}}$ ($1 \leq i \leq g - 1$). □

Since G_g is a subgroup of \mathcal{SP}_g , $\Phi_2(G_g) \subset O(2g, \mathbb{Z}_2)$. On the other hand, the fact that $\Phi_2(X_{2i}) = \mathbb{T}_{x_i + y_i + x_{i+1}}$ ($1 \leq i \leq g - 1$), $\Phi_2(X_{2i+1}) = \mathbb{T}_{x_i + x_{i+1} + y_{i+1}}$ ($1 \leq i \leq g - 1$), $\Phi_2(X_1) = \mathbb{T}_{x_1 + y_1}$, $\Phi_2(Y_{2j}) = \mathbb{T}_{x_j + y_j}$ ($2 \leq j \leq g - 1$), $\Phi_2(X_{2g}) = \mathbb{T}_{x_g + y_g}$, and Corollary 3.18, show $\Phi_2(G_g) \supset O(2g, \mathbb{Z}_2)$. Therefore we proved that, if $g \geq 3$, then $\mathcal{SP}_g = G_g$.

3.5 Genus 2 case: Reidemeister-Schreier method

Birman and Hilden showed the following Theorem.

Theorem 3.19 [1] \mathcal{M}_2 is generated by C_1, C_2, C_3, C_4, C_5 and its defining relations are:

- (1) $C_i C_j = C_j C_i$, if $|i - j| \geq 2$, $i, j = 1, 2, 3, 4, 5$,
- (2) $C_i C_{i+1} C_i = C_{i+1} C_i C_{i+1}$, $i = 1, 2, 3, 4$,
- (3) $(C_1 C_2 C_3 C_4 C_5)^6 = 1$,
- (4) $(C_1 C_2 C_3 C_4 C_5 C_5 C_4 C_3 C_2 C_1)^2 = 1$,
- (5) $C_1 C_2 C_3 C_4 C_5 C_5 C_4 C_3 C_2 C_1 \rightleftharpoons C_i$, $i = 1, 2, 3, 4, 5$,

where \rightleftharpoons means "commute with".

We call (1) (2) of the above relations *braid relations*. We will use the well-known method, called *the Reidemeister-Schreier method* [9, §2.3], to show $\mathcal{SP}_2 \subset G_2$. We review (a part of) this method.

Let G be a group generated by finite elements g_1, \dots, g_m and H be a finite index subgroup of G . For two elements a, b of G , we write $a \equiv b \pmod H$ if there is an element h of H such that $a = hb$. A finite subset S of G is called a *coset representative system* for $G \pmod H$, if, for each elements g of G , there is only one element $\bar{g} \in S$ such that $g \equiv \bar{g} \pmod H$. The set $\{s\bar{g}_i \bar{g}_i^{-1} \mid i = 1, \dots, m, s \in S\}$ generates H .

For the sake of giving a coset representative system for \mathcal{M}_2 modulo \mathcal{SP}_2 , we will draw a graph Γ which represents the action of \mathcal{M}_2 on the quadratic forms of $H_1(\Sigma_2; \mathbb{Z}_2)$ with Arf invariants 0. Let $[\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4]$ denote the quadratic form q' of $H_1(\Sigma_2; \mathbb{Z}_2)$ such that $q'(x_1) = \epsilon_1$, $q'(y_1) = \epsilon_2$, $q'(x_2) = \epsilon_3$, $q'(y_2) = \epsilon_4$. Each vertex of Γ corresponds to a quadratic form. For each generator C_i of \mathcal{M}_2 , we denote its action on $H_1(\Sigma_2; \mathbb{Z}_2)$ by $(C_i)_*$. For the quadratic form q' indicated by the symbol $[\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4]$, let $\delta_1 = q'((C_i)_* x_1)$, $\delta_2 = q'((C_i)_* y_1)$, $\delta_3 = q'((C_i)_* x_2)$, and $\delta_4 = q'((C_i)_* y_2)$. Then, we connect two vertices, corresponding to $[\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4]$, $[\delta_1, \delta_2, \delta_3, \delta_4]$ respectively, by the edge with the letter C_i . We remark that this action is a right action. For simplicity, we omit the edge whose ends are the same vertex. As a result, we get a graph Γ as in Figure 23. (Remark: The same graph was in [4, Proof of Lemma 3.1].) In Figure 23, the bold edges form a maximal tree T of Γ . The words $S = \{1, C_1, C_2, C_3, C_4, C_5, C_1 C_4, C_2 C_4, C_2 C_5, C_2 C_4 C_3\}$, which

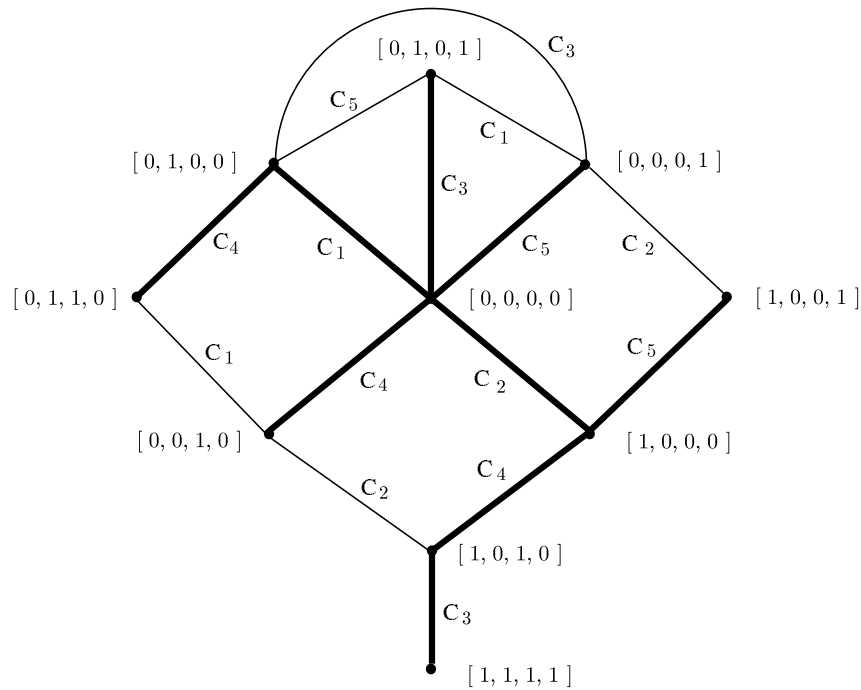


Figure 23

correspond to the edge paths beginning from $[0, 0, 0, 0]$ on T , define a coset representative system for \mathcal{M}_2 modulo \mathcal{SP}_2 . For each element g of \mathcal{M}_2 , we can give a $\bar{g} \in S$ with using this graph. For example, say $g = C_2C_4C_5C_2$, we follow an edge path assigned to this word which begins from $[0, 0, 0, 0]$, (note that we read words from left to right) then we arrive at the vertex $[0, 0, 1, 0]$. The edge path on T which begins from $[0, 0, 0, 0]$ and ends at $[0, 0, 1, 0]$ is C_4 . Hence, $\overline{C_2C_4C_5C_2} = C_4$. We list in Table 1 the set of generators $\{sC_i\overline{sC_i}^{-1} \mid i = 1, \dots, 5, s \in S\}$ of \mathcal{SP}_g . In Table 1, vertical direction is a coset representative system S , horizontal direction is a set of generators $\{C_1, C_2, C_3, C_4, C_5\}$. We can check this table by Figure 23 and braid relations. For example,

$$\begin{aligned} C_2C_4C_3 \cdot \overline{C_1C_2C_4C_3} \cdot C_1^{-1} &= C_2C_4C_3C_1(C_2C_4C_3)^{-1} \\ &= C_2C_4C_3C_1C_3^{-1}C_4^{-1}C_2^{-1} = C_2C_1C_2^{-1} = X_1. \end{aligned}$$

This table shows that $\mathcal{SP}_2 \subset G_2$.

Table 1: Generators of \mathcal{SP}_2

	C_1	C_2	C_3	C_4	C_5
1	1	1	1	1	1
C_1	D_1	X_1^*	TD_5^{-1}	1	TD_3^{-1}
C_2	X_1	D_2	X_2^*	1	1
C_3	TD_5^{-1}	X_2	D_3	X_3^*	TD_1^{-1}
C_4	1	1	X_3	D_4	X_4^*
C_5	TD_3^{-1}	1	TD_1^{-1}	X_4	D_5
C_1C_4	D_1	X_1^*	X_3	D_4	X_4^*
C_2C_4	X_1	D_2	1	D_4	X_4^*
C_2C_5	X_1	D_2	X_2^*	X_4	D_5
$C_2C_4C_3$	X_1	X_3	$(X_2^*)^{-1}D_4X_2^*$	X_2^*	X_4^*

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