

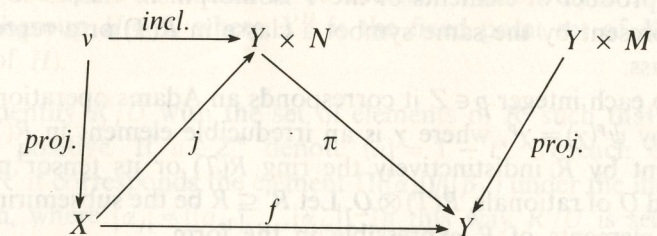
On equivariant homotopy equivalences

Italo José Dejter*.

Let G be a compact Lie group. Ted Petrie set the following conjecture related to [7, pg. 20].

Conjecture 0. Let X and Y be closed smooth G -manifolds without boundary and let $f: X \rightarrow Y$ be a smooth G -map which represents a homotopy equivalence not necessarily having an equivariant homotopy inverse. Then there exist product G -fibre bundles $Y \times N$ and $Y \times M$ such that X is smoothly G -embedded in $Y \times N$ via an embedding j such that the composition $\pi \circ j$ with the projection $\pi: Y \times N \rightarrow Y$ is G -homotopic to f and such that the G -normal bundle v of X in $Y \times N$ is G -isomorphic to the induced fibre bundle $f^*(Y \times M)$.

A description of the situation in the conjecture is given in the following diagram.



A G -homotopy equivalence $f: X \rightarrow Y$ with no G -homotopy inverse is called exotic and so X is called G -exotic homotopy Y . The quasi-equivalences of [7, pg. 21], whose classification for actions of a torus T , given in [9], we remark in our section 2, give exotic G -homotopic equivalences by Alexandroff compactification. In section 3 we contradict the possibility given by W. Y. Hsiang [6, pg. 108] of the inexistence of effective exotic actions of T^2 or T^3 in CP^n .

A G -homotopy equivalence is said to be atypical if it does not satisfy conjecture 0, in which case is necessarily exotic. In section 5 we show the existence of atypical G -homotopy equivalences constituted by the cases

* Supported by a grant from CNPq, Brasil.
Recebido em 20/02/81.

described in theorem 9 as indicated in remark 13. In fact theorem 9 shows the diversity of coordinates of the invariant $i_Y^* f_*(1)$ in equivariant K -theory [7, pg. 108], in contrast to their indifference in Petrie's exotic examples [7, pg. 125] and the effective ones of our section 3. We recall that [12, pg. 142] shows that these Petrie's examples $f: X \rightarrow Y$ preserve the classes $\hat{A}(\cdot)$ of Hirzebruch, [5], (Compare [4]), which suggests the preservation of the Pontrjagin classes. In section 6, proposition 14, we see that if $f: X \rightarrow Y$ is as in theorem 9, then f preserves the classes $\hat{A}(\cdot)$.

1. Cyclotomic toral representations.

The ring $R(T)$ of complex representations of the n -dimensional torus $T^n = S^1 \times \dots \times S^1$ (n times), is obtained over the ring Z of integers by adjunction of n indeterminates t_1, \dots, t_n and their inverses, [1, pg. 77]. An irreducible element of this ring $Z[t_1, \dots, t_n^{-1}]$, say $t_1^{a_1} \dots t_n^{a_n}$, represents the classe of T -isomorphism of the complex vector space C endowed with the linear action of T given by $tz = (t_1, \dots, t_n) z = t^a z = t_1^{a_1} \dots t_n^{a_n} \cdot z$, where $t = (t_1, \dots, t_n) \in T$, $z \in C$ and $a = (a_1, \dots, a_n) \in Z^n$. The sum and the product of elements in $R(T)$ correspond respectively to the direct sum and to the tensor product of elements of the T -isomorphism classes so represented. We represent by the same symbol a classe in $R(T)$ or a representative of this class.

To each integer $p \in Z$ it corresponds an Adams operation ψ^p on $R(T)$ given by $\psi^p(\chi) = \chi^p$, where χ is an irreducible element in $R(T)$, [14]. We represent by R indistinctively the ring $R(T)$ or its tensor product with the field Q of rationals, $R(T) \otimes Q$. Let $R' \subseteq R$ be the subsemiring consisting of the elements of R expressible in the form

$$\prod_{j=1}^r (1 - t^{\alpha_j})(1 - t^{\beta_j})^{-1},$$

where $\alpha_j = (\alpha_{j1}, \dots, \alpha_{jn})$, $\beta_j = (\beta_{j1}, \dots, \beta_{jn}) \in Z^n$ satisfy

$$\left| \prod_{j=1}^r \alpha_{ji} \right| = \left| \prod_{j=1}^r \beta_{ji} \right|, \quad \text{for } i = 1, \dots, n.$$

To each collection $P = \{p_1, \dots, p_r\}$ of integers > 1 relatively prime in pairs and irreducible $\chi \in R(T)$ we associate the unique pair of complex T -modules of dimension 2^{r-1} or 2^{r-1} -dimensional complex representation spaces of T ,

$$M_P(\chi) = M_{p_1, \dots, p_r}(\chi) \quad \text{and} \quad N_P(\chi) = N_{p_1, \dots, p_r}(\chi)$$

determined by the relation, [9], $\psi^P(\chi) = (\psi^{p_i} - 1)(\chi) = M_P(\chi) - N_P(\chi)$.

For example, if $r = 2$ then

$$\psi^P(\chi) = (\psi^{p_1} - 1)(\psi^{p_2} - 1)(\chi) = (\psi^{p_1 p_2} - \psi^{p_1} - \psi^{p_2} + 1)(\chi) = M_P(\chi) - N_P(\chi),$$

where $M_P(t) = t^{p_1 p_2} + t$ and $N_P(t) = t^{p_1} + t^{p_2}$.

Next we give an equivalent characterization of the pair (M_P, N_P) . Recall that the class λ_{-1} of a complex T -module, [14], is defined by $\lambda_{-1}(\chi) = 1 - \chi$, where χ is irreducible in $R(T)$, and by $\lambda_{-1}(\oplus \chi_i) = \prod \lambda_{-1}(\chi_i)$, if χ_i runs through a finite family of complex T -modules. Then M_P and N_P satisfy

$$\phi_P(\chi) = \lambda_{-1}(M_P(\chi)) / \lambda_{-1}(N_P(\chi)) \in R'.$$

In fact $\phi_P(\chi)$ constitutes an integer polynomial. Furthermore, M_P and N_P are the unique complex T -modules of dimension 2^{r-1} such that $\phi_P = \lambda_{-1}(M_P) / \lambda_{-1}(N_P)$. If p_1, \dots, p_r are prime integers then $\phi_P = \phi_{p_1 \dots p_r}$ is the cyclotomic polynomial of order $p_1 \dots p_r$.

Note that the multiplicative subgroup U of units of R satisfies $U \subseteq R'$.

Lemma 1. *A basis for R'/U is constituted by the cyclotomic polynomials of composite numbers applied to effective irreducible complex T -modules. (Recall that a T -space X is said to be effective if and only if $X^T \neq X^H$ for every subgroup $H \neq T$, where X^H is the fixed point set of X under the action of H).*

Proof. We identify R'/U with the set of elements of R' such that the α_{ji} and β_{ji} are positive. If $a \in Z^n$ denote $(a) = 1 - t^a$. To each element $\Pi(\alpha_j) / \Pi(\beta_j) \in R'$ it corresponds the element $\Pi(|\alpha_j|) / \Pi(|\beta_j|)$ under the indicated identification, where $|\alpha_j| = (|\alpha_{j1}|, \dots, |\alpha_{jn}|)$. In this way R'/U is seen as a multiplicative subsemiring of the polynomial ring $Z[t]$. On the other hand the cyclotomic polynomial of the number $a = p_1^{r_1} \dots p_\ell^{r_\ell}$, where $\ell > 1$, p_1, \dots, p_ℓ are prime numbers > 1 and r_1, \dots, r_ℓ are positive integers, is $\phi_a(t) = \phi_{p_1 \dots p_\ell}(t^{a'})$, where $a' = p_1^{r_1-1} \dots p_\ell^{r_\ell-1}$ by Moebius formula, [8, pag. 207]. Since the irreducible polynomials in t constitute a basis for $Z[t]$ and the only irreducible polynomials in R'/U are the cyclotomic polynomials of composite numbers, the lemma is proved.

2. Quasi-equivalences.

A proper map $f: N \rightarrow M$ between vector spaces of the same dimension is said to have degree one if the map induced by f between the Alexandroff compactifications N^+ and M^+ of N and M respectively,

which is a map between spheres of the same dimension, is a homotopy equivalence. This makes sense since f is proper.

A smooth T -map of degree one between complex T -modules of the same dimension is said to be a quasi-equivalence of complex T -modules. In [9] nontrivial quasi-equivalences of complex S^1 -modules $f_{p_1, \dots, p_r} = f_p : N_p \rightarrow M_p$ are constructed.

For example if $n = 2$, let a, b be natural numbers such that $-ap + bq = 1$. Then we can take $f_{p,q}(z_1, z_2) = (\bar{z}_1^a z_2^b, z_1^a + z_2^a)$.

Corollary 2, [9]. *If $T = S^1$ for each $\phi \in R'$ there exists a quasi-equivalence of complex S^1 -modules $f : N \rightarrow M$, where N and M satisfy $\lambda_{-1}(M)/\lambda_{-1}(N) = \phi$. Furthermore, the common dimension of N and M may be taken to be arbitrarily large with $N^{S^1} = M^{S^1} = \{0\}$.*

Remark 3. Consider the set Γ of ordered pairs (M, N) of nontrivial complex T -modules such that $\dim M = \dim N$ and such that $\lambda_{-1}(M)/\lambda_{-1}(N) \in R(T)$ takes value ± 1 when $t = 1$, i.e. it converges in absolute value to 1 when $t \rightarrow 1$. Let $\Omega(M, N) = \lambda_{-1}(M)/\lambda_{-1}(N)$. Ω may be interpreted as a semiring homomorphism. In fact Ω is an epimorphism. Then for each $(M, N) \in \Gamma$ we apply corollary 2 and lemma 1 to obtain a pair $(M', N') \in \Gamma$ and a T -quasi-equivalence $f : N' \rightarrow M'$ such that $\phi = \Omega(M', N')$. Furthermore $M' = M \oplus P_M$ and $N' = N \oplus P_N$, where $(P_M, P_N) \in \Gamma$ and $\Omega(P_M, P_N)$ is a unit in R' , i.e. $P_M = P_N$ up to units. This is the sufficiency of the main theorem of [9].

For example let $T = S^1$, $M = t^1 \oplus t^{12}$, $N = t^3 \oplus t^4$. Then

$$\begin{aligned} \Omega(M, N) &= \frac{(1-t)(1-t^{12})}{(1-t^3)(1-t^4)} = \frac{(1-t^{12})(1-t^2)}{(1-t^6)(1-t^4)} \cdot \frac{(1-t^6)(1-t)}{(1-t^3)(1-t^2)} = \\ &= \phi_{2,3}(t^2) \cdot \phi_{2,3}(t). \end{aligned}$$

In this case $P_M = P_N = t^2 \oplus t^6$. Then we can take $f = (f_{2,3} \circ q) \oplus f_{2,3}$, where $q(z_1, z_2) = (z_1^2, z_2^3)$.

3. Effective nonlinear T -actions on CP^r

Let Δ be a complex $T \times S^1$ -module on which $\{1\} \times S^1$ acts freely, i.e. for example Δ is C^{r+1} with $(t, \eta) \in T \times S^1$ acting on $(z_0, \dots, z_r) \in C^{r+1}$ by $(t, \eta) \circ (z_0, \dots, z_r) = (z_0 \eta \prod t_i^{a_i}, \dots, z_r \eta \prod t_i^{a_i r})$, that is $\Delta = \theta \otimes \eta$ for some complex T -module θ . Note that the unitary sphere $S(\Delta)$ is $T \times S^1$ -invariant. Define $P(\Delta) = P(\theta) = S(\Delta)/\{1\} \times S^1$, the T -linear CP^r associated to Δ or space of complex lines of θ . This is a smooth T -manifold.

Question 4. *For positive integers s and r , are there effective nonlinear actions of T^s on CP^r ?*

Remark 5. It is easy to see that there are not effective actions of T^k on CP^r for $k > r$. If T^r acts effectively on CP^r for $r > 1$ then the T -action is T -quasi-linear in the sense that T -actions induced on the tangent bundle and the Hopf bundle are essentially the same, as in the linear case, [4]. Kai Wang announced similar result for T^{r-1} acting on CP^r , [16].

Remark 6. Known affirmative answer to the question above happened for $s = 1$ and $r = 4k - 1$, [7, pg. 125], [12, pg. 148].

W. Y. Hsiang in [6, pg. 108], cites the S^1 -nonlinear CP^r of [12] but expresses that quasi-linearity seems to be possible for $s \geq 2$ ou 3.

Theorem 7. *There exist effective nonlinear T^s -actions on $CP^{4\ell-1}$, for $1 \leq s \leq \ell$.*

This solves partially the problem of existence of question 4 with the restrictions imposed in remarks 5 and 6.

In fact, let χ be an irreducible complex T -module. Let $M = \chi \oplus \chi^{pq}$, where pq is a composite number. Then the equivariant exterior power of M , $\Lambda(M) = \chi^0 \oplus \chi^{pq+1} \oplus \chi^1 \oplus \chi^{pq}$ has their levels $\Lambda^0(M) = \chi^0$, $\Lambda^1(M) = \chi^1 \oplus \chi^{pq}$ and $\Lambda^2(M) = \chi^{pq+1}$. Let ϕ be any T^s -complex module of dimension ℓ and let $\theta = \phi \otimes \Lambda$. Then a T^s -nonlinear $CP^{4\ell-1}$, say X , is obtained together with an equivariant homotopy equivalence $f : X \rightarrow P(\theta)$ without equivariant homotopy inverse, being the construction of f for $T = S^1$ due to [7, pg. 125].

We will remark at the end of this section a construction method for this fact.

Now, if $P(\theta)$ has effective T -action, also X has effective T -action. Assume $\theta = (\prod t_i^{a_i}) \oplus \dots \oplus (\prod t_i^{a_i, 4\ell})$. We will see in lemma 8 that for $P(\theta)$ to be T -effective, the associated matrix

$$\begin{bmatrix} a_{11} & \dots & a_{1,4\ell} \\ \vdots & & \vdots \\ a_{s1} & \dots & a_{s,4\ell} \end{bmatrix}$$

must contain a maximal square matrix whose determinant is ± 1 .

For convenience denote $Z_0 = S^1$. Let $G = Z_{\alpha_1} \times \dots \times Z_{\alpha_s}$, where $0 < \alpha_j < \infty$. We represent elements in G by s -tuples $t = (t_1, \dots, t_s)$, where $t_i \in Z_{\alpha_i}$. Let $Z^v(G)$ be the additive group of $s \times v$ -matrices of integers modulo α_j in the j^{th} row for $j = 1, \dots, s$. Let $R^v(G)$ be the set of v -dimensional complex G -modules and let $M_G^v : Z^v(G) \rightarrow R^v(G)$ given by

$$M_G^v(\gamma_{jk}) = \sum_{k=0}^{v-1} (t_j^{jk}).$$

Then M_G^v is a bijection and $R^v(G)$ gets a group structure by means of M_G^v in such a way that M_G^v is a group isomorphism. Let $\gamma = \{\gamma_{jk}\} \in Z^v(G)$ and let W be a G -invariant subset of the projective space $P(M_G^v(\gamma))$ of complex lines of the G -module $M_G^v(\gamma)$. Denote the k -column of γ by γ_k , for $k = 0, \dots, v-1$.

Lemma 8. *If there exists a point $[z_0 : \dots : z_v] \in W$ (in homogeneous coordinates) with $s+1$ nonzero coordinates $z_0, z_{k_1}, \dots, z_{k_s}$, where $0 < k_1 < \dots < k_s < v-1$, such that $|\det(\gamma_{k_1} - \gamma_0, \dots, \gamma_{k_s} - \gamma_0)| = 1$, then the action of G on W is effective.*

Proof. We may assume $\gamma_0 = 0$. Suppose that the action is not effective. Then there exists $t \in G - \{1\}$ such that $tw = w$ for every $w \in W$ and such that, if we denote by $[t] \subseteq G$ the subgroup topologically generated by t , then $[t]$ is the image of an injective homomorphism $\phi: G' \rightarrow G$, where $G' = \prod_{i=1}^s Z_{\beta_i}$ and $0 \leq \beta_i < \infty$. This determines a matrix $\delta = \{\delta_{k\ell}\} \in Z^s(G')$ such that $\phi(\tau_1, \dots, \tau_s) = \left(\prod_{k=1}^s t_k^{\delta_{k1}}, \dots, \prod_{k=1}^s t_k^{\delta_{ks}} \right)$. If W' is the G' -space obtained from W by means of ϕ , then $W' = P(M_G^s, (\delta \cdot \gamma))$, where $\delta \cdot \gamma \in Z^s(G')$ is obtained by multiplying two integer matrices representing respectively δ and γ and taking the reduction of the result in $Z^s(G')$, contradicting that $|\det(\gamma_{k_1}, \dots, \gamma_{k_s})| = 1$, absurd.

Example. Let $\ell = 2$, $\chi = t_1 t_2^2$, $pq = 6$, $\phi = 1 + t_1 t_2$. Then the matrix associated to θ is

$$\begin{bmatrix} 0 & 7 & 1 & 6 & 1 & 8 & 2 & 7 \\ 0 & 14 & 2 & 12 & 1 & 15 & 3 & 13 \end{bmatrix}$$

in which we find for example the maximal square matrix formed by the third and seventh columns having determinant -1 .

The end of this section was suggested by conversations maintained with W. Iberkleid.

The construction used in theorem 7 may be obtained elegantly as an application of the homotopy covering theorem of Palais, [3, pg. 93] and the generalized Poincaré conjecture, [10, pg. 109]. In fact let $\theta_{\pm} = \phi \otimes \Lambda^{\pm}(M)$, where $\Lambda^+(M)$, (resp. $\Lambda^-(M)$) is the equivariant exterior power of M in event, (resp. odd) dimensions, i.e. $\Lambda^-(M) = \chi^1 \oplus \chi^{pq}$,

$\Lambda^+(M) = \chi^0 \oplus \chi^{pq+1}$. Then there exists a structure of quaternionic vector space H^n on θ^{\pm} so that $\rho: S(\theta) \rightarrow D(\mathbb{R} \times M)$ (with image in the equivariant unit disk of $\mathbb{R} \times M$), given by $\rho(u_+, u_-) = (|u_+|^2 - |u_-|^2, 2\langle u_+, u_- \rangle)$, where $u_{\pm} \in H^n \theta_{\pm}$, is a T -map. ρ may be considered as the orbit map of $S(H^n \times H^n)$ with the diagonal action of $Sp(n)$. There are exactly two types of orbits for this action, namely $Sp(n)/Sp(n-2)$ over $S(\mathbb{R} \times M) \subseteq D(\mathbb{R} \times M)$, and $Sp(n)/Sp(n-1)$ over the interior of $D(\mathbb{R} \times M)$. Let $\phi: \chi^p \oplus \chi^q \rightarrow M$ be given by $\phi = f_{p,q} \cdot |f_{p,q}|^{-1}$. Then ϕ is a T -map, $D_{\phi} = \phi^{-1}(D(\mathbb{R} \times M))$ is a 5-disk [7, pg. 122], and ϕ restricted to the boundary ∂D_{ϕ} of D_{ϕ} is homotopic to the identity map $id|_{\partial D^5}$ of the boundary S^4 of D^5 by a homotopy that extends radially over D^5 , starting at ϕ .

Let Y be the pullback space of ρ and $\phi|_{D_{\phi}}$. Then the lifting of ϕ , $\bar{\phi}: Y \rightarrow S(\theta)$ is a $Sp(n)$ -map homotopic to the identity map of Y by the Palais theorem mentioned above. By the generalized Poincaré conjecture, Y is diffeomorphic to $S^{8\ell-1}$. Let $b: S^1 \rightarrow Sp(n)$ be given by $b(t) = \text{diag}(t, \dots, t)$. The image of S^1 through b acts freely on both sides of $\bar{\phi}$, so that by taking the orbit spaces by the resulting S^1 -actions we obtain a homotopy equivalence $f: X \rightarrow P(\theta)$ with Y diffeomorphic to $P(C^{4\ell})$. Note that f is a T -map. However f does not have equivariant homotopy inverse, as was established in [7, pg. 128], technic that we describe subsequently in relation to questions and facts suggested in the beginning of this paper.

4. Equivariant homotopy irreversibility.

Given a closed boundaryless smooth T -manifold Y , let $S_T(Y)$ be, as in [7, pg. 102], the family of classes $[X, f]$ of T -homotopy equivalences of smooth T -maps $f: (X, X^T) \rightarrow (Y, Y^T)$. In addition we assume that $H^1(Y; \mathbb{Z}) = 0$ and that $H^3(Y; \mathbb{Z}_2) = 0$. Under these circumstances [10, pg. 116] establishes the existence of a Thom isomorphism in equivariant K -theory, $\psi_Y: K_T^*(Y) \rightarrow K_T^*(\tau Y)$, where τY is the tangent bundle of Y . Let $h^*(.) = K_T^*(.) \otimes Q$. Then [7, pg. 116] establishes the existence of a homomorphism $f_*: h^*(X) \rightarrow h^*(Y)$ adjoint to the usual induced homomorphism $f^*: h^*(Y) \rightarrow h^*(X)$ with respect to nondegenerate bilinear forms ([7, pg. 98]), $Id_T^X(x \cdot x')$ and $Id_T^Y(y \cdot y')$, where Id_T^Y is the composition $(Ind_Y \circ \psi_Y) \otimes 1_Q$ with $Ind_Y: K_T^*(\tau Y) \rightarrow R(T)$, the Atiyah-Singer index homomorphism, $x, x' \in h^*(X)$, $y, y' \in h^*(Y)$ and 1_Q being the identity map of the rational numbers.

This way $f_*(1)$ becomes an invariant of the setting $[X, f] \in S_T(Y)$ satisfying (if X_{α} are the connected components of X^T and $i_Y: Y^T \rightarrow Y$ is the usual inclusion) that the element $i_Y^*(f_*(1)) \in h^*(Y^T) = \prod_{\alpha=1}^r h^*(X_{\alpha})$

has its coordinate in $h^*(X_\alpha)$ equal to $\lambda_{-1}(vY_\beta)/\lambda_{-1}(vX_\alpha) \cdot 1$ up to units in $R(T)$, where $f(X_\alpha) \subseteq Y_\beta$, Y_β is a connected component of Y^T and vX_α (resp. vY_β) is the equivariant normal bundle of X_α (resp. Y_β) in X (resp. Y).

According to [2] we know that i_Y^* is a monomorphism of $R(T)$ -modules (Atiyah-Segal localization lemma) and since f restricted to the fixed point sets is a T -homotopy equivalence, we conclude that the R -algebra $h^*(Y)$ is an R -submodule via f^* of $h^*(X)$ with coordinates taken in the larger R -module $h^*(X^T) = h^*(Y^T)$. See [7, pg. 116] and [4, Thm. 1.1].

Observe that in section 3 we obtained a homotopy equivalence $f: X \rightarrow P(\theta)$ given by a smooth T -map. The restriction of f to X^T is a bijection $f^T: X^T \rightarrow P(\theta)^T$ so that $i_Y^*(f_*(1)) = \phi_{p,q}(\chi) \cdot 1 \in h^*(X^T) =$ direct sum of 4ℓ copies of the ring R . Thus f fails to be a T -homotopy equivalence, as we claimed in section 3 to warrant nonlinearity of the T -action obtained over X , since $\phi_{p,q}$ is not a unit in $R(T)$.

5. Diversity of coordinates of $f_*(1)$ for X connected.

The examples of nontrivial settings $[X, f]$ in the work of T. Petrie are characteristic in that all the coordinates of $i_Y^*(f_*(1))$ coincide up to units. Yet in our effective generalization in section 3 this fact always happens, as in the Alexandroff compactifications of the T -quasi-equivalences of our first two sections. When does it fail to happen?

Theorem 9. *Given P_1, \dots, P_m in $R'(S^1)$, pairwise different, given an irreducible complex T -module χ and positive integers a_1, \dots, a_m there exists a closed boundaryless smooth T -manifold Y such that $H^1(Y; Z) = 0$, $H^3(Y; Z_2) = 0$ and such that Y^T is isolated and a map $f: X \rightarrow Y$ representing and element $[X, f] \in S_T(Y)$ such that $2a_j$ coordinates of $i_Y^*(f_*(1)) \in K^*(Y^T) = \Pi R(T)$ are of the form $P_j(\chi)$ up to units, for $j = 1, \dots, m$, being the number of nonunit coordinates of $i_Y^*(f_*(1))$ equal to $2 \sum_{i=1}^m a_i$.*

The proof of theorem 9 depends on the following.

Lemma 10. *Let N and M be nontrivial complex S^1 -modules of real dimension $n+1$ and let $f: N^+ \rightarrow M^+$ be a smooth S^1 -map of degree one. Given a regular value x of f such that $S^1(x)$ is a principal orbit, ([3, pg. 179]) there exists a smooth S^1 -map $g: N^+ \rightarrow M^+$ which is S^1 -homotopic to f such that x is a regular value of g and such that $g^{-1}(x)$ consists of a point. Thus $g^{-1}(S^1(x))$ is a principal orbit.*

Proof. We may assume that the inverse image of x under f is a collection of points $f^{-1}(x) = \{y_1, \dots, y_{2s+1}\} \subseteq N^+$ such that $\text{sign}(df)y_j = (-1)^j$, for $j = 1, \dots, 2s+1$, ([11, pg. 27]). If $s = 0$ the lemma holds. Assume $s > 0$. Let $\rho: N^+ \rightarrow N^+/S^1$ be the quotient map. We can choose a neighborhood V of $\{y_j/S^1\}_{j=2}^{2s+1}$ diffeomorphic to the n -disk D^n , contained in the subset of principal orbits and excluding the point y_1/S^1 , in such a way that ρ is a fibration over V having a section $\sigma: V \rightarrow N^+$. We want to define $g: N^+ \rightarrow M^+$ coinciding with f out of ρ^{-1} (interior of V) and sending $\rho^{-1}(V)$ out of $S^1(x)$. To attain this purpose it suffices to extend the restriction of f over $\sigma(\partial V)$ to a smooth map $\sigma(V) \rightarrow M^+$ that sends $\sigma(V)$ to the exterior of $S^1(x)$, that is out of a tubular neighborhood W of $S^1(x)$ of the type $W = S^1 \times \text{interior}(D^n)$, i.e. inside $M^+ - W \cong D^2 \times S^{n-1}$. But the degree of the restriction h of f to $\sigma(V)$ is zero, since by [11, pg. 27],

$$\deg(h, x) = \sum_{j=2}^{2s+1} \text{sign}(df)y_j = 0, \text{ and this value does not depend on the}$$

choice of the regular point y of h . Thus the restriction $h': \sigma(\partial V) \rightarrow M^+ - W$ has degree zero, so it is homotopic to a constant map. This implies that h' can be extended to a smooth map $h'': \sigma(V) \rightarrow M^+ - W$. Moreover, we may choose h'' in such a way that the map $g: N^+ \rightarrow M^+$ defined $g(y) = f(y)$ if $y \in N^+ - \rho^{-1}$ (interior of V) and by $g(ty) = th''(y)$ if $y \in \sigma(V)$ and $t \in S^1$, is a smooth S^1 -map. To prove that g is S^1 -homotopic to f it suffices to extend the map $\phi: \partial\{\sigma(V) \times [0, 1]\} \rightarrow M^+$ defined by $\phi(y, 0) = f(y)$ and $\phi(y, 1) = g(y)$, for $y \in \sigma(V)$ and by $\phi(y, \tau) = f(y)$ for $y \in \sigma(\partial V)$ and $\tau \in [0, 1]$, to a map $\bar{\phi}: \sigma(V) \times [0, 1] \rightarrow M^+$. But the domain of ϕ is topologically S^n and its image is S^n so that ϕ is homotopic to a constant map, and so $\bar{\phi}$ exists as a continuous map. We define $\psi: N^+ \times [0, 1] \rightarrow M^+$ by $\psi(y, \tau) = f(y)$ for $y \in N^+ - \rho^{-1}$ (interior of V) and $\tau \in [0, 1]$ and by $\psi(ty, \tau) = t\phi(y, \tau)$ for $y \in \sigma(V)$, $t \in S^1$ and $t \in [0, 1]$. Then ψ is an S^1 -homotopy between $f = \psi_0$ and $g = \psi_1$.

Proof of theorem 9. Without loss of generality we may assume that $T = S^1$ and $\chi = t$. For $j = 1, \dots, m$ let M_j and N_j be complex S^1 -modules of real dimension $n+1$ and let $f_j: N_j^+ \rightarrow M_j^+$ be representatives of classes $[N_j^+, f_j] \in S_{S^1}(M_j^+)$. According to lemma 10 the maps f_j may be taken so that there exists for $k = 1, 2$, smooth tubular S^1 -neighborhoods, ([3, pg. 303]), P_{jk} , (resp. Q_{jk}) of principal orbits of N_j^+ , (resp. M_j^+) and such that each f_j restricts to a map $g_{jk}: N_j^+$ -interior $(P_{jk}) \rightarrow M_j^+$ -interior (Q_{jk}) that restricts respectively to a diffeomorphism $h_{jk}: \partial P_{jk} \rightarrow \partial Q_{jk}$ from the boundary of P_{jk} to the boundary of Q_{jk} , for $k = 1, 2$. We will construct by induction closed smooth S^1 -manifolds X_j and Y_j without boundary and with exactly $2a_j$ fixed points and a smooth S^1 -map $F_j: X_j \rightarrow Y_j$ representing a class $[X_j, f_j] \in S_{S^1}(Y_j)$, for $j = 1, \dots, m$. We define $X_1 = N_1^+$,

$Y_1 = M_1^+$ and $F_1 = f_1$. Then we define recursively, (see for example [3, pg. 50]).

$$X_{j+1} = (X_j\text{-interior}(P_{j2}) \bigcup_{\phi_j} (N_{j+1}^+\text{-interior}(P_{j+1,1})),$$

where $\phi_j : \partial P_{j2} \rightarrow \partial P_{j+1}$ is an S^1 -diffeomorphism:

$$Y_{j+1} = (Y_j\text{-interior}(Q_{j2}) \bigcup_{\psi_j} (M_{j+1}^+\text{-interior}(Q_{j+1,1})),$$

where $\psi_j = h_{j2} \circ \phi_j \circ h_{j1}$. Also define $F_{j+1} : X_{j+1} \rightarrow Y_{j+1}$ by $F_{j+1} | X_j\text{-interior}(P_{j2})$ and by $F_{j+1} | N_{j+1}^+\text{-interior}(P_{j+1,1}) = g_{j+1,1}$. Note that F_{j+1} is a smooth S^1 -map. Finally we take $X = X_m$, $Y = Y_m$ and $f = F_m$.

Observe that if $r=2$ then the subadjacent spaces of X and Y are $S^2 \times S^{n-1}$

Proposition 11. $[X, f] \in S_{S^1}(Y)$.

Proof. The fact that $f : X \rightarrow Y$ is a homotopy equivalence follows from the theorem of Whitehead, (see for example [15]), and the exactness of appropriate Mayer Vietoris sequences associated to our construction, such as for example in [3, pag. 51].

From [7, pags. 117-118] we conclude the following.

Proposition 12. If we denote $Y^{S^1} = \sum_{j=1}^{2m} q_j$, where q_{2k-1} is the origin of M_k and q_{2k} is the point at infinity of M_k^+ then up to units

$$i_Y^*(f_*(1)) = (\lambda_{-1}(M_1), \lambda_{-1}(M_1), \dots, \lambda_{-1}(M_m), \lambda_{-1}(M_m)).$$

Now theorem 9 for $T = S^1$ is obtained from corollary 2 and the last two propositions.

Remark 13. The G -homotopy equivalences obtained in the theorem 9 are atypical, i.e. they do not satisfy the conjecture 0 if for example

$$P_1 = \lambda_{-1}(M_1)/\lambda_{-1}(N_1) \quad \text{and} \quad P_2 = \lambda_{-1}(M_2)/\lambda_{-1}(N_2)$$

are different in R'/U .

6. Atypical Settings and the Class $\hat{A}(\cdot)$.

Proposition 14. Let $f : X \rightarrow Y$ as in theorem 9. Then $f^*(\hat{A}(Y)) = \hat{A}(X)$.

Proof. With the notation of theorem 9 suppose that $r=2$ and $T = S^1$. The general case may be concluded from what follows, an induction

procedure and substitution of the group. Now for every complex S^1 -module M of real dimension $n+1$ there exists a diffeomorphism: $\phi_M : S(\mathbb{R} \times M) \rightarrow M^+$ given by $\phi_M(r, \zeta) = (1+r)^{-1}\zeta$, where $r \in [-1, 1)$ and by $\phi_M(-1, 0) = +$. Then for $j=1, 2$ the normal bundle of $N_j^+ \cong S(\mathbb{R} \times N_j)$ in $\mathbb{R} \times N_j$ is a trivial bundle and from this we have embeddings $N_j^+ \subseteq N_1 \times N_2 \times \mathbb{R}^2 = E$ with trivial normal bundle of N_j^+ in E . By attaching a handle $S^1 \times S^{n-1} \times [0, 1] \subseteq E$, with effective S^1 -action on S^1 and trivial S^1 -action on $S^{n-1} \times [0, 1]$, so that $S^1 \times S^{n-1} \times \{0\}$, (resp. $S^1 \times S^{n-1} \times \{1\}$) is identified equivariant and diffeomorphically with ∂P_{12} , (resp. ∂P_{21}), we obtain an S^1 -embedding of X in E which can be chosen smooth, [3, pag. 317]. Then there exists a bundle isomorphism from the normal bundle of N_1^+ in E restricted to ∂P_{12} onto the normal bundle of N_2^+ in E restricted to ∂P_{21} covering the S^1 -diffeomorphism ϕ_1 . Consider the correspondence $\psi : \partial P_{12} \rightarrow SO(n+3)$ taking each point $x \in \partial P_{12}$ to the linear transformation over x associated to the mentioned bundle isomorphism. Since ψ is homotopic to a constante then the normal bundle of X in E is trivial, ([14]). The same argument shows that Y can be embedded in $M_1 \times M_2 \times \mathbb{R}$, which implies that τY is stably trivial. Thus the induced bundle $f^*(\tau Y)$ is stably trivial. Consider the commutative S^1 -diagram

$$\begin{array}{ccc} E & \xrightarrow{\quad} & Y \times E \\ \downarrow i & & \downarrow \text{proj.} \\ X & \xrightarrow{\quad f \quad} & Y \end{array}$$

where $i : X \rightarrow E$ is the constructed embedding. Then $f \circ i : X \rightarrow Y \times E$ is also an S^1 -embedding. The S^1 -normal bundle of X in $Y \times E$ is $v_{Y \times E} X = v_E X \oplus f^*(\tau Y)$. From the observations above we have that $v = v_{Y \times E} X$ is a trivial bundle. Thus $\tau X \oplus v = f^*(\tau Y \oplus E')$, where $E' = Y \times E$ is a trivial bundle. We conclude that

$$\hat{A}(\tau X) \cdot \hat{A}(v) = \hat{A}(\tau X \oplus v) = f^*[\hat{A}(\tau Y) \cdot \hat{A}(E')] = f^*(\hat{A}(\tau Y)).$$

Since v is trivial, it follows proposition 14.

References

- [1] J. F. Adams, *Lectures on Lie Groups*, Benjamin, 1967.
- [2] M. Atiyah and G. Segal, *The index of elliptic operators II*, Ann. of Math. (2) 87, (1968), 531-545.

- [3] G. E. Bredon, *Introduction to Compact Transformation Groups*, Academic Press, New York, 1972.
- [4] I. J. Deijter, *Smooth S^1 -manifolds in the homotopy type of CP^3* , Michigan Math. Jour., 23, (1976), 83-95.
- [5] F. Hirzebruch, *Topological Methods in Algebraic Geometry*, 3th ed., Springer-Verlag, New York, 1966.
- [6] W. Y. Hsiang, *Cohomological Theory of Topological Transformation Groups*, Springer-Verlag, New York, 1975.
- [7] W. Iberkleid and T. Petrie, *Smooth S^1 -manifolds*, Springer-Verlag Lecture Notes 557, New York, 1978.
- [8] S. Lang, *Algebra*, Addison-Wesley Co., Reading, Mass., 1966.
- [9] A. Meherhoff, Proper T-maps of T-modules, Bull. Amer. Math. Soc., 81(3), 474.
- [10] J. W. Milnor, *Lectures on the h-cobordism theorem*, Princeton Univ. Press, 1975.
- [11] ———, *Topology from the Differentiable Viewpoint*, Univ. of Virginia Press.
- [12] T. Petrie, *Smooth S^1 -actions on homotopy complex projective spaces and related topics*, Bull. Amer. Math. Soc., 78(2), 1972.
- [13] ———, *Torus actions on homotopy complex projective spaces*, Invent. Math. 20, 139-146, (1973).
- [14] G. Segal, *Equivariant K-theory*, Inst. Hautes Études Sci. Publ. Math., 34 (1968), 129-151.
- [15] E. Spanier, *Algebraic Topology*, McGraw Hill, New York, 1966.
- [16] K. Wang, *Torus actions on homotopy complex projective spaces*, preprint to be published.

UNIVERSIDADE FEDERAL DE SANTA CATARINA
88.000, Florianópolis, SC, Brasil.