

Complete rotation hypersurfaces with H_k constant in space forms

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Abstract. In this paper we classify all complete rotation hypersurfaces with H_k constant in $\mathbb{R}^{n+1}$ and H^{n+1} , where H_k is the normalized k -th symmetric function of the principal curvatures. Partial results are also given for S^{n+1} .

Keywords: Rotation hypersurfaces, Space forms.

Introduction

Minimal surfaces are among the most studied objects in differential geometry. They are characterized by $H = 0$, where H is the mean curvature of the surface. In recent years, some of their properties have been generalized to constant mean curvature hypersurfaces, and also, to hypersurfaces with H_k constant, where H_k is the normalized k -th symmetric function of the principal curvatures of the hypersurface.

Until now, there have been few examples of this second class of hypersurfaces. In [1], do Carmo and Dajczer studied the rotation hypersurfaces with constant mean curvature, and, some years later, Leite and Mori ([3], [4]) classified the complete rotation hypersurfaces (c.r.h., for short) with constant scalar curvature in space forms.

In this paper we follow the techniques on the papers above to classify c.r.h. with H_k constant in $\mathbb{R}^{n+1}$, $\mathbf{H}^{n+1}$ and $\mathbf{S}^{n+1}$. In the case of $\mathbf{H}^{n+1}$, we will describe all three types of rotational hypersurfaces, as defined in [1]. We mainly use Leite's methods, introduced to us by professor M. P. do Carmo, to whom we are indebted for encouragement and constant

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guidance. We had also fruitful discussions with M. L. Leite; some of the results here stated are contained in her joint work with Hounie [2], obtained independently from us.

1. Spherical rotation hypersurfaces in space forms

1.1. Notation and basic facts

Let $\bar{M}^{n+1}(c)$ be a complete, simply-connected riemannian manifold with constant curvature $c, c = 0, -1, 1$. Our models for $\bar{M}^{n+1}$ will be the euclidean space $\mathbb{R}^{n+1}$, for $c = 0$; the upper semispace

$$\mathbf{H}^{n+1} = \{x \in \mathbb{R}^{n+1}; x_{n+1} > 0\},$$

for $c = -1$; and the unit sphere $\mathbf{S}^{n+1} \subseteq \mathbb{R}^{n+2}$, for $c = 1$, with the usual metrics.

Definition 1. A (spherical) *rotation hypersurface* $M^n \subseteq \bar{M}^{n+1}(c)$ is an $O(n)$ -invariant hypersurface, where $O(n)$ is considered as a subgroup of isometries of $\bar{M}^{n+1}(c)$.

Remark. Strictly speaking, we should add the word *spherical* to our definition in the case $c = -1$, because in this case do Carmo and Dacjzer [1] defined another types of rotations (giving rise to the so-called parabolic and hyperbolic hypersurfaces, which we will analyze in the second part of this paper). As in this first part of the paper we will consider only $O(n)$ -invariant hypersurfaces, we will drop the word *spherical* for the moment.

$O(n)$ fixes a geodesic γ (the *revolution axis*) and rotates a curve α , called the *profile curve*. We choose γ as $\{x \in \bar{M}^{n+1}(c); x_1 = \dots = x_n = 0\}$ and α contained in $\{x \in \bar{M}^{n+1}(c); x_2 = \dots = x_n = 0, x_1 \geq 0\}$.

The orbit of every point in α is an $(n-1)$ -dimensional sphere. We choose as parameters of our rotation hypersurface (s, Θ) , where s is the arc length of α and $\Theta = (\theta_1, \dots, \theta_{n-1})$ parameterizes the $(n-1)$ -dimensional sphere given by the orbit of $\alpha(s)$. We will also use the following notation: $r(s)$ will denote the (Riemannian) distance from $\alpha(s)$ to γ , realized by a point $P(s)$ in γ , and $h(s)$ will be the (Riemannian) height of $P(s)$ in γ , with respect to a fixed point in γ . Then (see [3] or

[5]) the first fundamental form of M is given by

$$I = f^2(r(s)) \sum g_{ij}(\Theta) d\theta_i \otimes d\theta_j + ds \otimes ds$$

where g_{ij} is the metric of constant sectional curvature 1 in an $(n-1)$ -dimensional sphere, and $f(r) = r, \sinh r$, or $\sin r$, for $c = 0, -1, 1$, respectively.

Also, the fact that the profile curve α is parameterized by arc length imposes the following restriction over f and h :

$$\dot{r}^2 + \left(\frac{df}{dr}\right)^2 \dot{h}^2 = 1. \quad (1)$$

Theorem 1. (do Carmo, Dajczer [1].) *The principal curvatures κ_i of M are*

$$\kappa_i = \frac{\sqrt{1 - cf^2 - \dot{f}^2}}{f}$$

for $i = 1, \dots, n-1$, and

$$\kappa_n = -\frac{\ddot{f} + cf}{\sqrt{1 - cf^2 - \dot{f}^2}}$$

where the dot denotes the derivative with respect to s .

The formulas in the theorem above are valid only when $\dot{f}^2 \leq 1 - cf^2$. The set of pairs $(f, \dot{f})$ satisfying this constraint and $f \geq 0$ will be called the *relevant region*.

Let H_k be the normalized k -th symmetric function of the principal curvatures of an hypersurface:

$$\binom{n}{k} H_k = \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} \kappa_{i_1} \kappa_{i_2} \dots \kappa_{i_k} \quad (2)$$

Proposition 1. *The rotation hypersurface M^n has the prescribed curvature H_k , $k \leq n$, if and only if f satisfies the following differential equation:*

$$nH_k f^k = (n-k)(1 - cf^2 - \dot{f}^2)^{\frac{k}{2}} - k(1 - cf^2 - \dot{f}^2)^{\frac{k-2}{2}} (\ddot{f} + cf)f \quad (3)$$

for $k \leq n$.

Proof. It follows from (2) and theorem 1. □

From now on, we will suppose that H_k is constant.

Proposition 2. Equation (3) is equivalent to its first integral

$$G_k(f, \dot{f}) = f^{n-k}((1 - cf^2 - \dot{f}^2)^{\frac{k}{2}} - H_k f^k) = A = \text{const.} \quad (4)$$

for $k \leq n$.

Proof. We obtain (4) multiplying (3) by f^{n-k-1} and integrating. $\square$

For later reference, we write also the formula for the gradient of G_k :

$$\begin{aligned} \nabla G_k(f, \dot{f}) = & f^{n-k-1}((1 - cf^2 - \dot{f}^2)^{\frac{k-2}{2}}((n-k)(1 - \dot{f}^2) - cnf^2) - nH_k f^k, \\ & -kf\dot{f}(1 - cf^2 - \dot{f}^2)^{\frac{k-2}{2}}) \end{aligned}$$

for $k < n$, and

$$\nabla G_n(f, \dot{f}) = (-ncf(1 - cf^2 - \dot{f}^2)^{\frac{n-2}{2}} - nH_n f^{n-1}, -n\dot{f}(1 - cf^2 - \dot{f}^2)^{\frac{n-2}{2}})$$

for $k = n$.

Following Leite [3], we will obtain our results studying the level curves of G_k . The cases $k = 1, 2$ were studied in [1], [3] and [4] and some of the results here stated in the case $k > 2$ were obtained independently by Hounie and Leite in [2].

Equation (4) tells us that a local solution f of (3), paired with its first derivative, denoted $(f, \dot{f})$, is a level curve of the function

$$G_k(u, v) = u^{n-k}((1 - cu^2 - v^2)^{\frac{k}{2}} - H_k u^k) \quad (5)$$

with $u > 0$ and $1 - cu^2 - v^2 \geq 0$.

Lemma 1. The sets $(f, \dot{f})$, where f is a solution of (3), are the connected components of the level curves of G_k contained in the relevant region.

Proof. The theory of ODE implies that any local solution of (3) can be extended through values for which $(f, \dot{f})$ is interior to the relevant region. $\square$

Definition 2. A solution of (3) is *complete* if either f is defined for all s or if the pair $(f, \dot{f})$ only admits $(0, \pm 1)$ as limit values.

Geometrically, complete solutions of (3) give rise to a complete rotation hypersurface. When $(f, \dot{f})$ has $(0, 1)$ or $(0, -1)$ as limit value, we

claim that the profile curve meets orthogonally the axis of rotation, because $\dot{f}^2 = 1$ implies $(\frac{df}{dr} \frac{dr}{ds})^2 = 1$; but $\frac{df}{dr}(0) = 1$, so that $(\frac{dr}{ds})^2 = 1$; substituting this into (1) we have $\frac{dh}{ds} = 0$, so $\frac{dh}{dr} = 0$; this last equation proves our claim.

Before concluding this section, let us say that an hypersurface corresponding to a constant solution of (3) is called a *cylinder*. Also, we say that a rotation hypersurface $M^n \subset \bar{M}^{n+1}(c)$ with axis γ is *cylindrically bounded* if there exist a complete cylinder with same axis γ such that M is contained in the closure of the component of $\bar{M} - C$ containing γ .

1.2. Complete rotation hypersurfaces in $\mathbb{R}^{n+1}$

1.2.1. The case $k < n$

Equations (3) and (4) read in this case

$$\begin{aligned} nH_k f^k &= (n-k)(1-\dot{f}^2)^{\frac{k}{2}} - k(1-\dot{f}^2)^{\frac{k-2}{2}} \ddot{f} f \\ G_k(f, \dot{f}) &= f^{n-k}((1-\dot{f}^2)^{\frac{k}{2}} - H_k f^k) = A. \end{aligned}$$

We first look for the cylinders in $\mathbb{R}^{n+1}$ with H_k constant; they must satisfy the condition

$$nH_k f^k = n - k \quad (6)$$

Proposition 3. (Complete cylinders with H_k constant in $\mathbb{R}^{n+1}$, $k < n$.)

- (i) *There are no complete cylinders in $\mathbb{R}^{n+1}$ with $H_k < 0$, k even.*
- (ii) *There are no complete cylinders in $\mathbb{R}^{n+1}$ with $H_k = 0$.*
- (iii) *For every $H_k > 0$, there is a complete cylinder in $\mathbb{R}^{n+1}$ given by*

$$f^k = \frac{n-k}{nH_k}$$

Proof. It follows directly from equation (6). □

We note also, in case (iii) of the above proposition, that the corresponding value of $A = G_k(f, \dot{f})$, which we denote by A_0 , is given by

$$A_0 = \frac{k}{n} \left(\frac{n-k}{nH_k} \right)^{\frac{n-k}{k}}.$$

Theorem 2. (Classification of c.r.h. with H_k constant in $\mathbb{R}^{n+1}$, $k < n$)

- (i) *There are no c.r.h. in $\mathbb{R}^{n+1}$ with $H_k < 0$ for k even.*
- (ii) *Up to isometries, there is only one monoparametric family of embedded c.r.h. with $H_k = 0$, which converges to a hyperplane. If $2(n-k)/k = 1$, the profile curve is a parabola, if $(n-k)/k = 1$, it is a catenary and if $(n-k)/k > 1$, it asymptotizes two horizontal lines.*
- (iii) *Up to isometries, there is only one monoparametric family of embedded c.r.h. with H_k constant for any $H_k > 0$; these hypersurfaces are periodic and cylindrically bounded, and they converge, on one side, to a sequence of spheres, pairwise and vertically tangent; and on the other, to the cylinder given in case (iii) of Proposition 2.*

Proof. Every level curve (see figure 1) can be seen as the smooth union of two graphs

$$(\pm \dot{f})^2 = 1 - (H_k f^k + \frac{A}{f^{n-k}})^{2/k}$$

Figure 1(a) corresponds to the case $H_k < 0$. For every $A > 0$, the corresponding level curve leaves the relevant region when $H_k f^n + A = 0$, so there are no complete hypersurfaces in this case.

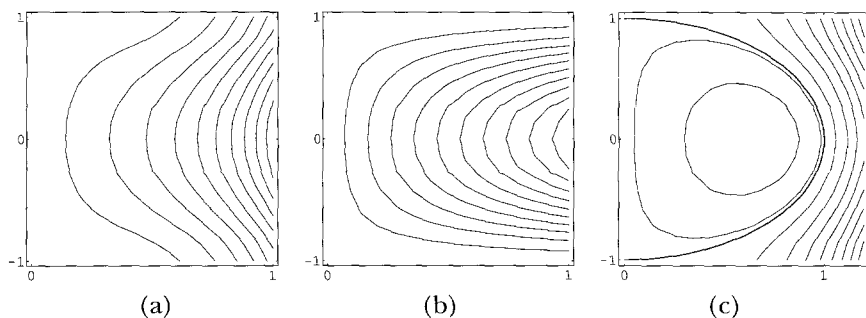


Figure 1: Level curves of G_k , $k < n$, for $\mathbb{R}^{n+1}$. (a) $H_k < 0$; (b) $H_k = 0$; and (c) $H_k > 0$.

Now, let us consider $H_k = 0$; G_k has the form

$$G_k(f, \dot{f}) = f^{n-k}(1 - \dot{f}^2)^{\frac{k}{2}} = A \quad (7)$$

From this formula and the restrictions over f and $\dot{f}$, we have that the set of admissible values for A is $[0, \infty)$. $A = 0$ gives $\dot{f}^2 = 1$, so that

$f(s) = r(s) = \pm s$ and $h(s) = 0$, equations corresponding to a hyperplane.

If $A \neq 0$, we solve (7) for $\dot{f}^2$ to obtain

$$\dot{f}^2 = 1 - \left(\frac{A}{f^{n-k}} \right)^{\frac{2}{k}}.$$

This expression shows that, for every such A , f can assume arbitrarily large values, so $r = f$ has no upper bound and every corresponding hypersurface is not cylindrically bounded. Also, $f = r$ attains a minimum $r_1 > 0$ and this last expression let us set $A = r_1^{n-k}$. We use (1) to write

$$\dot{h}^2 = \left(\frac{A}{f^{n-k}} \right)^{\frac{2}{k}}.$$

Away from r_1 , we divide $\dot{h}^2$ by $\dot{f}^2 = \dot{r}^2$ to get

$$\left(\frac{dh}{dr} \right)^2 = \frac{r_1^{2(n-k)/k}}{r^{2(n-k)/k} - r_1^{2(n-k)/k}}$$

This implies that h is given by the following integrals:

$$h = \pm r_1^{(n-k)/k} \int \frac{1}{\sqrt{r^{2(n-k)/k} - r_1^{2(n-k)/k}}} dr$$

The analysis of the convergence of these integrals for $2(n-k)/k = 1$, $(n-k)/k = 1$ and $(n-k)/k > 1$ was done in [3] (p. 294) and we shall omit it. We must mention that Hounie and Leite [2] made a more detailed analysis of the convergence of this integrals, so we remit the interested reader to their paper.

When $H_k > 0$, the level curves [see figure 1(c)] corresponding to complete hypersurfaces are given by $A \in [0, A_0]$, where A_0 is the value obtained after Proposition 2; the value $A = 0$ gives, for example, the portion of the ellipse

$$H_k^{2/k} f^2 + \dot{f}^2 = 1$$

contained in the relevant region; this curve joins $(0, 1)$ to $(0, -1)$, and its corresponding hypersurface is a sphere parameterized by

$$r(s) = \frac{1}{H_k^{1/k}} \sin \left(H_k^{1/k} s \right), h(s) = \frac{1}{H_k^{1/k}} \cos \left(H_k^{1/k} s \right)$$

The translations of this sphere along the revolution axis give the sequence of spheres pairwise and vertically tangent.

As we said before, the value $A = A_0$ gives a cylinder; all level curves given by $A \in (0, A_0)$ correspond to complete, periodic and cylindrically bounded hypersurfaces (the proof of this fact is entirely similar to that of the case $k = 2$, which can be seen in [3], p. 295). $\square$

1.2.2. The case $k = n$

In this case, equation (3) takes the form

$$H_n f^n = -(1 - \dot{f}^2)^{\frac{n-2}{2}} \ddot{f} f \quad (8)$$

Again, first we look for constant solutions of (8), but in this case, the condition $\dot{f} = 0$ implies $H_n = 0$; conversely, $H_n = 0$ implies that every constant $f = c$ is solution of (8).

Proposition 4. *There are complete cylinders with H_n constant in $\mathbb{R}^{n+1}$ if and only if $H_n = 0$.*

Now we study the general case.

Theorem 3. (Classification of c.r.h. with H_n constant in $\mathbb{R}^{n+1}$) *The only c.r.h. with H_n constant in $\mathbb{R}^{n+1}$ are the hyperplanes, the cylinders and the spheres.*

Proof. Figure 2(a) shows the level curves of G_n for $H_n < 0$; again, it is easy to show that every level curve leaves the relevant region. Also, figure 2(c) shows the level curves for $H_n > 0$; in this case, the only complete solution of (8), according to our definition, corresponds to the value $A = 0$, which gives, for example, the sphere parameterized by

$$\begin{aligned} r(s) &= \frac{1}{H_n^{1/n}} \sin \left(H_n^{1/n} s \right), \\ h(s) &= \frac{1}{H_n^{1/n}} \cos \left(H_n^{1/n} s \right) \end{aligned}$$

This implies that the only c.r.h. in $\mathbb{R}^{n+1}$ with $H_n \neq 0$ are the spheres.

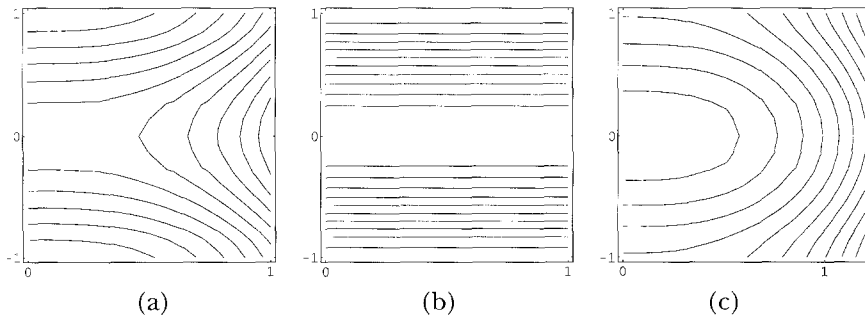


Figure 2: Level curves of G_n , for $\mathbb{R}^{n+1}$. (a) $H_n < 0$; (b) $H_n = 0$; and (c) $H_n > 0$.

For $H_n = 0$, the level curves of

$$G_n(f, \dot{f}) = (1 - \dot{f}^2)^{n/2}$$

are horizontal lines [see figure 2(b)]; those corresponding to complete hypersurfaces are given by $\dot{f}^2 = 1$ (so, $f(s) = r(s) = \pm s$ and $h(s) = 0$, an hyperplane) and $\dot{f} = 0$, which gives a cylinder. $\square$

We note that, if the level curve has $(0, a)$ as limit value, where $a \in (-1, 1)$, then the corresponding hypersurface meets the rotation axis with a non-right angle, so this hypersurface is not complete.

1.3. Complete rotation hypersurfaces in $\mathbf{H}^{n+1}$

1.3.1. The case $k < n$

Let us write down the formulas (3) and (4) for this case:

$$\begin{aligned} nH_k f^k &= (n-k)(1+f^2-\dot{f}^2)^{\frac{k}{2}} - k(1+f^2-\dot{f}^2)^{\frac{k-2}{2}}(\ddot{f}-f)f, \\ G_k(f, \dot{f}) &= f^{n-k}((1+f^2-\dot{f}^2)^{\frac{k}{2}} - H_k f^k) = A. \end{aligned} \quad (9)$$

We will use the *hyperbolic expression* of $\dot{h}^2$, obtained from (1):

$$\dot{h}^2 = \frac{1 - \dot{r}^2}{\cosh^2 s} = \frac{1 + f^2 - \dot{f}^2}{(1 + f^2)^2}. \quad (10)$$

Before stating our next theorem, we recall that we are dealing with *spherical* hypersurfaces in $\mathbf{H}^{n+1}$.

Theorem 4. (Classification of c.r.h. with H_k constant in $\mathbf{H}^{n+1}$, $k < n$)

- (i) *There are no c.r.h. with $H_k < 0$ for k even.*
- (ii) *Up to isometries, there is only one monoparametric family of embedded c.r.h. with $H_k \in [0, 1)$. These hypersurfaces are not cylindrically bounded, and for $H_k = 0$, they converge to a totally geodesic hyperbolic space $\mathbf{H}^n$. The profile curves are asymptotic to two geodesics.*
- (iii) *Up to isometries, there is only one monoparametric family of embedded c.r.h. with $H_k = 1$. These hypersurfaces are not cylindrically bounded and they converge to a horosphere.*
- (iv) *For any $H_k > 1$, there is a one-parameter family of embedded c.r.h. with H_k constant, periodic and cylindrically bounded, which converges to a sequence of geodesic spheres.*

Proof. Again, we will study the level curves of $G_k(f, \dot{f})$ with the restrictions $1 + f^2 - \dot{f}^2 \geq 0$ and $f \geq 0$. The proofs are entirely similar to those in the euclidean case and we shall only point out some details. Figure 3 shows these level curves.

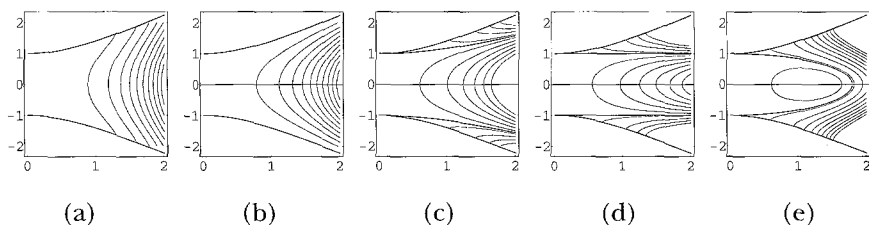


Figure 3: Level curves of G_k , $k < n$, for $\mathbf{H}^{n+1}$ (spherical case). (a) $H_k < 0$; (b) $H_k = 0$; (c) $H_k \in (0, 1)$; (d) $H_k = 1$; and (e) $H_k > 1$.

(i) Figure 3(a) shows the case $H_k < 0$. Every level curve leaves the relevant region in a finite time, so we have no complete hypersurfaces in this case.

(ii) Figure 3(b) shows the case $H_k = 0$; now, G_k can be written as

$$G_k(f, \dot{f}) = f^{n-k} \left(1 + f^2 - \dot{f}^2 \right)^{k/2} = A \quad (11)$$

If $A = 0$ and $f(0) = 0$, then $f(s) = \sinh(s)$, so that $r(s) = s$, $h(s) = 0$ and M is an n -dimensional hyperbolic subspace of $\mathbf{H}^{n+1}$.

Figure 3(c) shows the case $H_k \in (0, 1)$; if $A = 0$ and $f(0) = 0$, f is given by

$$f(s) = \frac{\sinh\left(\sqrt{1 - H_k^{2/k}} s\right)}{\sqrt{1 - H_k^{2/k}}}$$

For $A \neq 0$, the function f , and therefore r , has no upper bound, so no hypersurface is cylindrically bounded. Also, for every such A , f attains a unique minimum f_1 . Using (9), we have

$$\dot{f}^2 = 1 + f^2 - \left(\frac{A + H_k f^n}{f^{n-k}}\right)^{2/k}$$

Away from f_1 , we may divide $\dot{h}^2$ given in (10) by $\dot{f}^2$ to obtain

$$\left(\frac{dh}{df}\right)^2 = \frac{1}{(1 + f^2)^2} \frac{(A + H_k f^n)^{2/k}}{f^{2(n-k)/k} - (A + H_k f^n)^{2/k}}$$

but the second factor converges when $f \rightarrow \infty$; this implies that $h(f)$ is uniformly bounded, which means that the profile curves asymptotizes two geodesics.

(iii) [See figure 3(d)]; when $A = 0$ and $H_k = 1$ in (9), we obtain $1 - \dot{f}^2 = 0$; if $f(0) = 0$, then $f(s) = \pm s$. From (10),

$$\dot{h}^2 = \left(\frac{s}{1 + s^2}\right)^2$$

If $h(0) = 0$, then $\pm h(s) = \log \sqrt{1 + s^2}$. Using polar coordinates (r, ϕ) and recalling, from hyperbolic geometry, that $\tan \phi = \sinh r(s) = f(s) = \pm s$ and $e^h = \rho$ (where ρ and $\pi/2 - \phi$ are the standard polar coordinates in the plane), we have $\rho = (\sec \phi)^{\pm 1}$. The level curve corresponding to $\rho = \sec \phi$, or $\rho \cos \phi = 1$, is a horizontal line; the curve corresponding to $\rho = \cos \phi$ is the inverse of this horizontal line with respect to the unit circle. The associated hypersurfaces are horospheres.

(iv) Finally, figure 3(e) shows the level curves of G_k for $H_k > 1$. All facts asserted in (iv) can be proved as in the euclidean case for $H_k > 0$.

□

1.3.2. The case $k = n$

Theorem 5. (Classification of c.r.h. with H_n constant in $\mathbf{H}^{n+1}$)

- (i) *There are no c.r.h. with $H_n < 0$ for k even.*
- (ii) *Up to isometries, there is only one monoparametric family of embedded c.r.h. with $H_n \in [0, 1]$, not cylindrically bounded.*
- (iii) *Up to isometries, there is only one monoparametric family of compact embedded c.r.h. with $H_n > 1$, cylindrically bounded, convergent on one side to a cylinder.*

Proof. The level curves corresponding to this case appear in figure 4. Formulas (3) and (4) read

$$H_n f^n = -(1 + f^2 - \dot{f}^2)^{\frac{n-2}{2}} (\ddot{f} - f)f$$

$$G_n(f, \dot{f}) = (1 + f^2 - \dot{f}^2)^{\frac{n}{2}} - H_n f^n = A$$

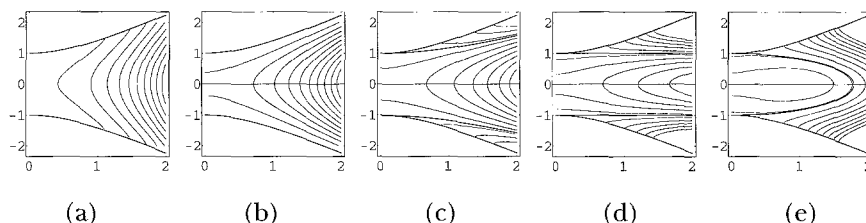


Figure 4: Level curves of G_n , for $\mathbf{H}^{n+1}$ (spherical case). (a) $H_n < 0$; (b) $H_n = 0$; (c) $H_n \in (0, 1)$; (d) $H_n = 1$; and (e) $H_n > 1$.

As before, when $H_n < 0$, the level curves of G_n leave the relevant region $\dot{f}^2 - f^2 \leq 1$ [see figure 4(a)].

When $H_n = 0$ [see figure 4(b)], the level curves of $G_n = A$ are hyperbolas (possibly degenerate). We obtain the following expressions for f :

$$f(s) = \begin{cases} \sinh s, & A = 0 \\ \sqrt{1 - A^{2/n}} \sinh s, & A \in (0, 1) \\ e^{\pm s}, & A = 1 \\ \sqrt{A^{2/n} - 1} \cosh s, & A > 1 \end{cases}$$

The more interesting case is $A = 0$, which gives, as in the previous case, an n -dimensional hyperbolic space.

The analysis of the remaining cases is similar to that of the case $k < n$; we show in Figures 4(c), (d) and (e) the behaviour of the level curves for $H_n \in (0, 1)$, $H_n = 1$ and $H_n > 1$, respectively. $\square$

1.4. Complete rotation hypersurfaces in $\mathbf{S}^{n+1}$

We only have partial results in this case; in particular, the problem of embeddedness is not as clear as in $\mathbb{R}^{n+1}$ or $\mathbf{H}^{n+1}$. The level curves of G_k are similar to the ones obtained in [3].

1.4.1. The case $k < n$

Formulas (3) and (4) read:

$$\begin{aligned} nH_k f^k &= (n-k)(1-f^2-\dot{f}^2)^{\frac{k}{2}} - k(1-f^2-\dot{f}^2)^{\frac{k-2}{2}}(\ddot{f}-f)f, \\ G_k(f, \dot{f}) &= f^{n-k}((1-f^2-\dot{f}^2)^{\frac{k}{2}} - H_k f^k) = A. \end{aligned}$$

First we study the critical points of G_k ; calculating ∇G_k we see that these critical points must satisfy $1-f^2-\dot{f}^2=0$, in which case $H_k=0$, or $\dot{f}=0$, which gives the following condition on f :

$$(1-f^2)^{\frac{k-2}{2}}((n-k)-nf^2) - nH_k f^k = 0.$$

There is a special value of H_k , denoted by H_k^0 , which has only one critical point; it is given by

$$H_k^0 = -\frac{2}{n} \left(\frac{k-2}{n-k} \right)^{\frac{k-2}{2}}.$$

For this value of H_k , the corresponding value of f satisfy

$$f^2 = \frac{n-k}{n-2}.$$

Now we can state our theorem; until now, we have only the following (partial) result.

Theorem 6. (c.r.h. with H_k constant in $\mathbf{S}^{n+1}$, $k < n$)

- (i) *There are no c.r.h. with $H_k < H_k^0$ for k even.*
- (ii) *Up to isometries, there is only one c.r.h. (in fact, an embedded cylinder) with $H_k = H_k^0$.*

Proof. (i) Figure 5(a) shows the level curves for $H_k < H_k^0$. Every level curve leaves this region in a finite time, so we have no complete hypersurfaces in this case.

(ii) The situation for this case is almost the same as in case (i), but now a critical point appears suddenly, giving rise to a cylinder [See figures 5(b) and (c)]. $\square$

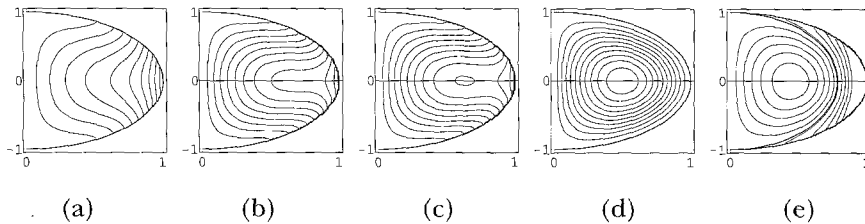


Figure 5: Level curves of G_k , $k < n$, for $\mathbf{S}^{n+1}$. (a) and (b) $H_k < H_k^0$; (c) $H_k^0 < H_k < 0$; (d) $H_k = 0$; and (e) $H_k > 0$.

We will make some remarks on the cases $H_k > H_k^0$ in the final section of this paper.

1.4.2. The case $k = n$

The function G_n is given by

$$G_n(f, \dot{f}) = \left(1 - f^2 - \dot{f}^2\right)^{\frac{n}{2}} - H_n f^n = A$$

Figure 6 shows the level curves of G_n in this case.

If $H_n < 0$ [Figures 6(a) and (b)], the only complete hypersurface corresponds to the unique critical point of G_n , which satisfies

$$nf(1 - f^2)^{(n-2)/2} + nH_n f^{n-1} = 0,$$

or

$$1 = (1 + (-H_n)^{2/(n-2)})f^2.$$

All other level curves leave the relevant region.

If $H_n \geq 0$ [Figures 6(c) and (d)], G_n has no critical points and the only complete solution according to our definition is obtained from $G_n(f, \dot{f}) = 0$, so that

$$1 = (H_n^{2/n} + 1)f^2 + \dot{f}^2.$$

We call the corresponding hypersurface a *parallel*, in analogy with the situation in $\mathbf{S}^2$. We have then the following:

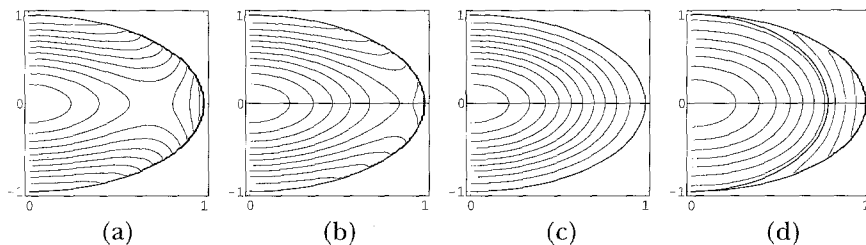


Figure 6: Level curves of G_n , for $\mathbf{S}^{n+1}$. (a) and (b) $H_n < 0$; (c) $H_n = 0$; and (d) $H_n > 0$.

Theorem 7. (c.r.h. with H_n constant in $\mathbf{S}^{n+1}$) *The only c.r.h. with H_n constant in $\mathbf{S}^{n+1}$ are the cylinders and the parallels.*

2. Rotation hypersurfaces in hyperbolic space

2.1. Basic facts

In this section we define the parabolic and hyperbolic rotation hypersurfaces, as given in [1], using the hyperboloid model for the hyperbolic space; for completeness, we have included in the definitions the spherical case just analyzed.

Let

$$L^{n+2} = \{x = (x_1, \dots, x_{n+2}), x_i \in \mathbb{R}\}$$

with the Lorentzian metric

$$\langle x, y \rangle = -x_1 y_1 + x_2 y_2 + \dots + x_{n+2} y_{n+2},$$

where $y = (y_1, \dots, y_{n+2})$. The $(n+1)$ -dimensional hyperbolic space is given by

$$\mathbf{H}^{n+1} = \{x \in L^{n+2}; \langle x, x \rangle = -1\}$$

An *orthogonal transformation* of L^{n+2} is a linear map preserving $\langle \cdot, \cdot \rangle$, and the orthogonal transformations define, by restriction, all isometries of $\mathbf{H}^{n+1}$. P^k will denote a k -dimensional linear subspace of L^{n+2} , and

$O(P^k)$ will be the set of orthogonal transformations of L^{n+2} with positive determinant which leave P^k pointwise fixed.

Definition. Let $P^2 \subset P^3$ and C be a regular C^2 curve in $P^3 \cap \mathbf{H}^{n+1}$ which does not meet P^2 . The orbit of C under the action of $O(P^2)$ is a *spherical* (resp. *parabolic*, *hyperbolic*) rotation hypersurface if $\langle \cdot, \cdot \rangle_{P^2}$ is a Lorentzian metric (resp. Riemannian metric, degenerate quadratic form).

In [1], do Carmo and Dajczer obtained explicit parameterizations for these hypersurfaces, as follows:

Let $e_1, \dots, e_{n+2}$ be a basis of L^{n+2} with the following conditions:

1. P^2 is generated by e_{n+1} and e_{n+2} ;
2. (a) $\langle e_{n+2}, e_{n+2} \rangle = -1$ (spherical case)
 (b) $\langle e_1, e_1 \rangle = \langle e_{n+1}, e_{n+1} \rangle = 0$, $\langle e_1, e_{n+1} \rangle = 1$ (parabolic case)
 (c) $\langle e_1, e_1 \rangle = -1$ (hyperbolic case)
 (d) $\langle e_i, e_j \rangle = \delta_{ij}$ for all i, j not specified above.

If $x = \sum x_i e_i$ and $y = \sum y_i e_i$, then $\langle x, y \rangle$ is given by

$$x_1 y_1 + \dots + x_{n+1} y_{n+1} - x_{n+2} y_{n+2} \quad (\text{spherical case})$$

$$x_1 y_{n+1} + x_2 y_2 + \dots + x_n y_n + x_{n+1} y_1 + x_{n+2} y_{n+2} \quad (\text{parabolic case})$$

$$-x_1 y_1 + x_2 y_2 + \dots + x_{n+2} y_{n+2} \quad (\text{hyperbolic case})$$

Let P^3 be the 3-plane generated by e_1, e_{n+1}, e_{n+2} and the curve C given by $x_1 = x(s)$, $x_{n+1} = x_{n+1}(s)$, $x_{n+2} = x_{n+2}(s)$, $s \in J$, where s is the arc length of C and J is an open interval.

Proposition 5. (do Carmo, Dajczer [1].) *With respect to the basis $e_1, \dots, e_{n+2}$, the following are local parameterizations for the rotation hypersurfaces in $\mathbf{H}^{n+1}$:*

1. *Spherical case:*

$$f(s, \theta_1, \dots, \theta_{n-1}) = (x\phi_1, \dots, x\phi_n, x_{n+1}, x_{n+2}),$$

where $\phi = (\phi_1, \dots, \phi_n)$ is an orthogonal parameterization of the unit sphere in the space generated by $e_1, \dots, e_n$.

2. *Parabolic case:*

$$f(s, \theta_1, \dots, \theta_{n-1}) = \left(x, x\theta_1, \dots, x\theta_i, \dots, x\theta_{n-1}, -\frac{1 + x_{n+2}^2 + x^2 \sum \theta_i^2}{2x}, x_{n+2} \right)$$

3. *Hyperbolic case:*

$$f(s, \theta_1, \dots, \theta_{n-1}) = (x\phi_1, \dots, x\phi_n, x_{n+1}, x_{n+2}),$$

where $\phi = (\phi_1, \dots, \phi_n)$ is an orthogonal parameterization of the unit hyperbolic space of $e_1, \dots, e_n$.

It can be shown (see [4]) that f is an immersion if and only if $x > 0$ in the spherical and parabolic cases, and $x \geq 1$ in the hyperbolic case. These conditions will hold from now on.

We will use the notation M_δ , $\delta = 1, 0$ or -1 , for a rotation hypersurface in $\mathbf{H}^{n+1}$, where $\delta = 1$ (resp. $\delta = 0, -1$) means that M_δ is spherical (resp. parabolic, hyperbolic). From now on, we also assume that $\delta + x^2 - \dot{x}^2 \geq 0$ on J , where the dot denotes derivative with respect to s .

Theorem 8. (do Carmo, Dajczer [1].) *Let M_δ be a rotation hypersurface in $\mathbf{H}^{n+1}$ defined by the immersion f . Then the directions corresponding to the parameters $\theta_1, \dots, \theta_{n-1}$ are principal directions; the principal curvatures κ_i along the coordinate curves corresponding to θ_i are all equal and given by*

$$\kappa_i = \frac{\sqrt{\delta + x^2 - \dot{x}^2}}{x}$$

$i = 1, \dots, n-1$; the principal curvature along the coordinate curve corresponding to the parameter s is given by

$$\kappa_n = -\frac{\ddot{x} - x}{\sqrt{\delta + x^2 - \dot{x}^2}}.$$

2.2. Rotation hypersurfaces with H_k constant

Using the definition of H_k given in (2) and proposition 5, we can conclude:

Proposition 6. *The rotation hypersurface M_δ^n has the prescribed curvature H_k , $k \leq n$, if and only if x satisfies the following differential equation:*

$$nH_k x^k = (n-k)(\delta + x^2 - \dot{x}^2)^{\frac{k}{2}} - k(\delta + x^2 - \dot{x}^2)^{\frac{k-2}{2}}(\ddot{x} - x)x \quad (12)$$

for $k \leq n$.

From now on, we will suppose that H_k is constant. Also, we will analyze only the parabolic and hyperbolic cases.

Proposition 7. *For H_k constant, equation (12) has the following first integral:*

$$G_k(x, \dot{x}) = x^{n-k}((\delta + x^2 - \dot{x}^2)^{\frac{k}{2}} - H_k x^k) = A \quad (13)$$

for $k \leq n$; here A is a constant. In the parabolic case ($\delta = 0$), there exist constant solutions of (12) if and only if $H_k = 1$; moreover, in this case, every constant function $x = c$ is a solution of (12), and the corresponding value of A in (13) is 0. In the hyperbolic case ($\delta = -1$), there exist constant solutions of (12) if and only if $H_k \in [0, 1)$.

Proof. The fact that G_k is a first integral of (12) is a straightforward calculation which we shall omit; so, we will analyze the existence of constant solutions.

If we substitute $\delta = 0$ and $\dot{x} = 0$ in (12), it follows that $nH_k x^k = nx^k$, which in turn implies $H_k = 1$ (recall that $x > 0$). Conversely, if $H_k = 1$, then every constant function $x = c$ is a solution of (12).

If $\delta = -1$ and $\dot{x} = 0$ in (12), we solve the equation obtained for H_k to get

$$H_k = \frac{1}{x^k} (x^2 - 1)^{\frac{k-2}{2}} \left(x^2 - \frac{n-k}{n} \right)$$

The left side of this equation (defined for $x \geq 1$) is an injective function with range equal to the interval $[0, 1)$; this means that for every $H_k \in [0, 1)$ there exists only one constant solution $x = c$ of (12) corresponding to this H_k . $\square$

We will call the rotation hypersurfaces corresponding to constant solutions of (12) *cylinders*. In view of Proposition 7, we have:

Corollary 1. *Every constant function $x = c$ gives rise to a parabolic cylinder with $H_k = 1$. If $H_k \in [0, 1)$, there exist only one hyperbolic cylinder with H_k constant.*

Definition 4. A solution $x = x(s)$ of (12) is *complete* if and only if x is defined for all $s \in \mathbb{R}$ and $\delta + x^2 - \dot{x}^2 \geq 0$ for all s .

The reason for this definition is that such a solution gives rise to a *complete* rotation hypersurface. As in the first part of this paper, we will investigate the completeness of x by means of the level curves of G_k .

2.3. Parabolic rotation hypersurfaces

Figure 7 shows the level curves of G_k .

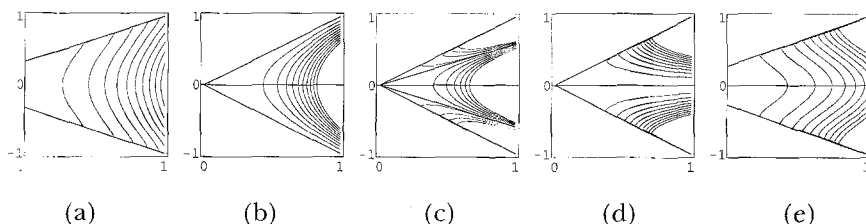


Figure 7: Level curves of G_k , $k \leq n$, for $\mathbf{H}^{n+1}$ (parabolic case). (a) $H_k < 0$; (b) $H_k = 0$; (c) $H_k \in (0, 1)$; (d) $H_k = 1$; and (e) $H_k > 1$.

Figures 7(a) and (e) show the level curves of G_k for $H_k < 0$ and $H_k > 1$, respectively; all these level curves leave the relevant region and we have no complete hypersurfaces in these cases. For $H_k = 1$, the only level curves which do not leave this region corresponds to $A = 0$. As we have seen before, these level curve contains all constant solutions of (12).

So, the remaining case is $H_k \in [0, 1)$. We recall that G_k has the form

$$G_k(x, \dot{x}) = x^{n-k}((x^2 - \dot{x}^2)^{\frac{k}{2}} - H_k x^k) = A \quad (14)$$

If $A = 0$ in (14), we obtain

$$(1 - H_k^{2/k}) x^2 - \dot{x}^2 = 0,$$

or

$$\dot{x} = \pm \sqrt{1 - H_k^{2/k}} x,$$

and if we impose the initial condition $x(0) = 1$, then

$$x(s) = e^{\pm \sqrt{1-H_k^{2/k}} s}.$$

Now, we claim that any two parabolic hypersurfaces with the same H_k and $A \neq 0$ are the same (see [1]). For that purpose, let us rewrite (14) in the form

$$\left(x^{(n-k)/k} \dot{x}\right)^2 = x^{2n/k} - (H_k x^n + A)^{2/k}$$

Let $z = x^{n/k}$, so we can write the former equation as

$$\dot{z}^2 = \frac{n^2}{k^2} \left(z^2 - (H_k z^k + A)^{2/k}\right)$$

Reordering and integrating, we have

$$s = \frac{k}{n} \int \frac{dz}{\sqrt{z^2 - (H_k z^k + A)^{2/k}}}$$

Now, take $z = A^{1/k} w$ to obtain

$$s = \frac{k}{n} \int \frac{dw}{\sqrt{w^2 - (H_k w^k + 1)^{2/k}}}$$

This last expression does not depend on A . As in [1], we can see that the principal curvatures κ_i also do not depend on A , as well as the first and second fundamental forms. This proves our claim.

We collect all these facts in the following result.

Theorem 9. (Classification of complete parabolic hypersurfaces, $k \leq n$)

1. *There are no complete parabolic hypersurfaces with $|H_k| > 1$.*
2. *There are no complete parabolic hypersurfaces with $H_k \in [-1, 0)$, for k even.*
3. *For each $H_k \in [0, 1)$, there are only two complete parabolic hypersurfaces with such H_k (up to isometries).*

2.4. Hyperbolic rotation hypersurfaces

The analysis of G_k is very similar to that in the parabolic case. In figure 8 we have depicted some level curves of this function in a (u, x) -plane. Our result is as follows.

Theorem 10. (Classification of complete hyperbolic hypersurfaces, $k \leq n$) If H_k is the (constant) k -th curvature of a hypersurface, then

1. There are no complete hyperbolic hypersurfaces with $|H_k| > 1$.
There are no complete hyperbolic hypersurfaces with $H_k \in [-1, 0)$, for k even.
2. For each $H_k \in [0, 1)$, there exist a one-parameter family of complete hyperbolic hypersurfaces with such H_k .

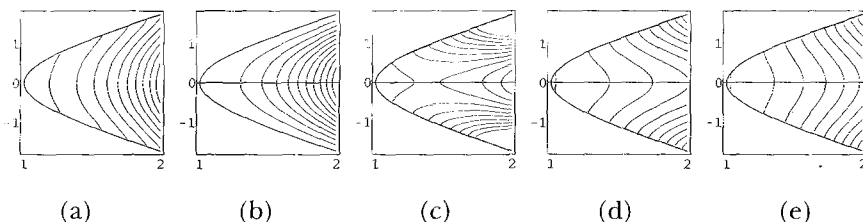


Figure 8: Level curves of G_k , $k \leq n$, for $\mathbf{H}^{n+1}$ (hyperbolic case). (a) $H_k < 0$; (b) $H_k = 0$; (c) $H_k \in (0, 1)$; (d) $H_k = 1$; and (e) $H_k > 1$.

3. Open questions

In hyperbolic space, we have not been able to determine explicitly many of the hypersurfaces which "bound" the families here described.

In the case of $\mathbf{S}^{n+1}$, we have included the figures of the cases which we have not studied in detail. Figures 5(a) and (b) show the level curves corresponding to two different values of $H_k < H_k^0$. Figure 5(c) shows that, when $H_k^0 < H_k < 0$, G_k has two critical points, one of which corresponds to a cylinder.

Let us call A_1 the value of G_k at this critical point, and A_0 the value of G_k at the other critical point. Then, every level curve corresponding to $A \in (A_0, A_1)$ is a closed curve, which may or not correspond to a hypersurface in $\mathbf{S}^{n+1}$, this fact depending on the period of the profile curve.

We may calculate this period as follows: solving the expression of G_k for $\dot{f}^2$, and substituting the result in the spherical expression of $\dot{h}^2$ [obtained from (1)], we get

$$\dot{h}^2 = \frac{(H_k f^n + A)^{2/k}}{f^{2(n-k)/k} (1 - f^2)^2}.$$

For every $A \in (A_0, A_1)$, f attains a minimum, so r attains a minimum r_1 . Away from r_1 , we divide this last formula by $\dot{f}^2$ to get

$$\left(\frac{dh}{df}\right)^2 = \frac{(H_k f^n + A)^{2/k}}{f^{2(n-k)/k} (1 - f^2)^2 - (H_k f^n + A)^{2/k}} \cdot \frac{1}{(1 - f^2)^2}.$$

Then, thinking on h as a function of f , the period P of the profile curve is

$$P = 2 \int_{f_0}^{f_1} \frac{dh}{df} = 2 \int_{f_0}^{f_1} \sqrt{\frac{(H_k f^n + A)^{2/k}}{f^{2(n-k)/k} (1 - f^2)^2 - (H_k f^n + A)^{2/k}}} \cdot \frac{1}{1 - f^2},$$

where the limits f_0, f_1 of the above integral are solutions of $G_k(f, 0) = A$. It is clear that the profile curve gives rise to an immersed hypersurface if and only if the period is a rational multiple of 2π , and to an embedded hypersurface if and only if the period is precisely 2π .

In [3], Leite analyzed the above integrals for $k = 2$, showing that, for $H_2 > H_k^0$ (our notation differs slightly), there exists a countable family of c.r.h. with such H_2 . In fact, H. Mori [4] asserted that it is a monparametric family, but we are not sure of this fact. We expect that Leite's result can be generalized to H_k .

Finally, we did not draw the profile curves, because they are completely analogous to the case $k = 2$, studied in detail by Leite. We refer the interested reader to [3].

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