

# 組合せ剛性理論の基礎から先端まで

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## 多面体の剛性

- Eulerの予想(1766)
  - 面の接続関係が等しく, 対応する面が合同ならば2つの(凸)多面体は合同か?
- Cauchyの剛性定理(1813)
  - Yes!! 対象が凸多面体ならば
  - 凸多面体は(大域)剛堅
- Gluckの定理(1975)
  - 多面体は一般的に(局所)剛堅
  - 極大平面グラフの殆ど全ての3次元実現は剛堅
- Connellyの反例(1977)
  - (局所)剛堅ではない多面体が存在

## 組合せ剛性(グラフの剛性)

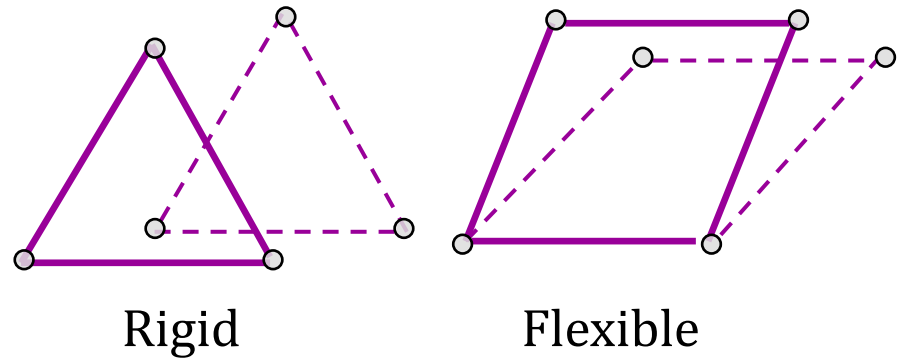
- Maxwellの条件(1864)
  - 組合せ的必要条件
- Lamanの定理(1970)
  - 2次元ではMaxwellの条件を満たすグラフは剛堅な実現が可能
- 一般剛性(Gluck 1975, Asimow and Roth 1978)
  - グラフの剛性
  - 2次元一般剛性定理
- 3次元一般剛性定理(20???)

⋮

⋮

- $d$ -dimensional bar-joint framework:  $(G, p)$

- $G = (V, E)$ : グラフ
- $p$ : ジョイント配置;  $V \rightarrow \mathbb{R}^d$



- 動き(motion): 辺長一定制約を満たす頂点の連続的移動
- 自明な動き: 合同なフレームワークへの移動
- $d$ 次元剛堅(d-rigid): 全ての可能な動きが自明なフレームワーク

# Maxwellのルール (modern form)

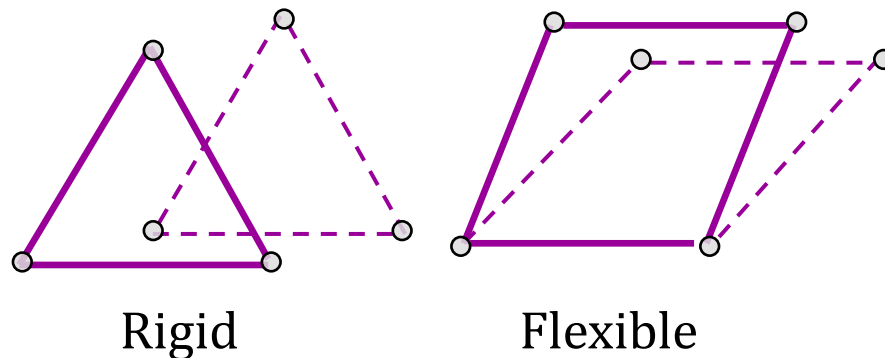
- (J.C.Maxwell1864) もしbar-joint framework  $(G, p)$  が一般的 (generic) なジョイント配置  $p$  において剛堅ならば,

$$|E| \geq d|V| - \binom{d+1}{2}$$

全自由度

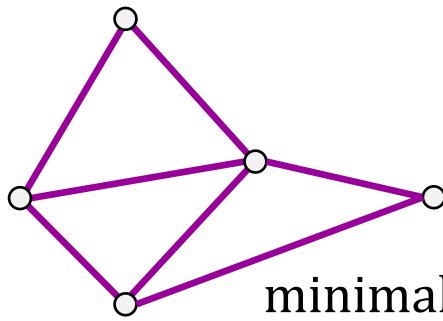
$\mathbb{R}^d$  の等長変換の次元

- $p$  が 一般的 (generic)  $\stackrel{\text{def}}{\iff}$  座標値の集合が  $\mathbb{Q}$  上で代数的に独立 (i.e.,  $\mathbb{Q}$  の要素を係数とする非ゼロ多項式を満たしていない.)

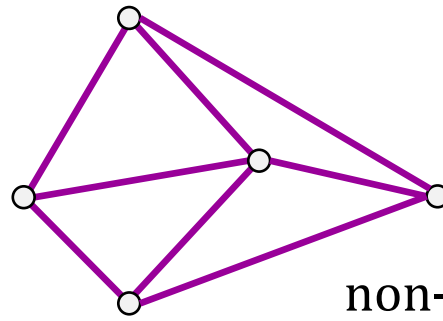


# Maxwellのルール (dual form)

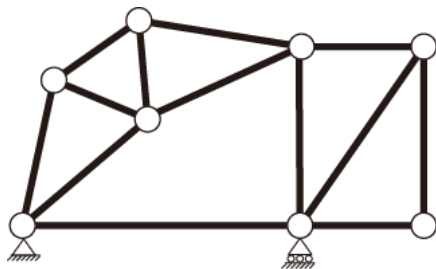
- 一般的 $p$ において, もし $(G, p)$ が $\mathbb{R}^d$ 内において**極小剛堅(minimally rigid)**ならば
  - $|E| = d|V| - \binom{d+1}{2}$
  - $\forall F \subseteq E$  with  $|V(F)| \geq d, |F| \leq d|V(F)| - \binom{d+1}{2}$   
(ここで $V(F)$ は $F$ に接続している頂点の集合)



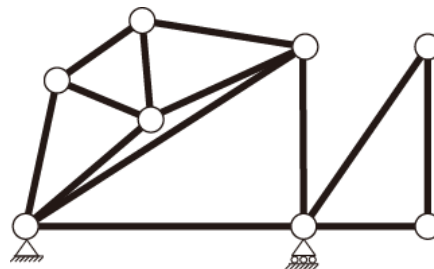
minimally rigid



non-minimally rigid



minimally rigid



flexible

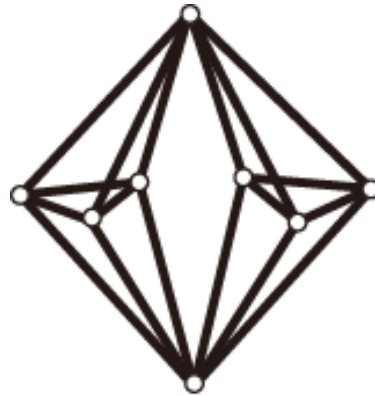
$$\begin{aligned}
 m &= 13, \\
 n &= 8, \\
 2n - 3 &= 13
 \end{aligned}$$

## Lamanの定理 (modern form)

- (Laman1970) 一般的 $p$ において,  $(G, p)$  が2次元極小剛堅(minimally rigid)  $\Leftrightarrow$ 
  - $|E| = 2|V| - 3$
  - $\emptyset \neq \forall F \subseteq E, |F| \leq 2|V(F)| - 3$
  
- 右辺は $E$ 上の劣モジュラ関数を定める
- $\Rightarrow O(n^2)$ 時間でテスト可能
  
- 3つの証明法:
  - (Lovasz&Yemini82) グラフ的マトロイドの2合併から剛性マトロイドの構築
  - (Tay94) Crapoの3Tree2-分解
  - (Laman71, Tay&Whiteley85, Whiteley96) 帰納的グラフ構築法

# 高次元フレームワーク

- 3次元においてMaxwellの条件は十分ではない:



$$\begin{aligned} |E| &= 3|V| - 6 \\ (18 &= 3 \cdot 8 - 6) \\ |F| &\leq 3|V(F)| - 6 \end{aligned}$$

- **未解決問題**: 3次元において Lamanの定理に対応する一般剛性の組合せ的特徴付けを与えよ
- 組合せ剛性理論
  - d-次元剛性の研究 (主に特殊ケースの研究)
  - Lamanの定理の一般化
  - (大域剛性の組合せ論的性質の研究)

# 概要

- Bar-joint Frameworks
  - 基礎
  - Lamanの定理
- 一般剛性マトロイド
  - 組合せ的背景( $(k, l)$ -疎性を通して)
  - 剛性マトロイドと組合せ的マトロイド
  - (アルゴリズム)
- 高次元の剛性
  - 3-dimensional Bar-joint Frameworks
  - 特殊構造モデル
- 最近の進展
  - Molecular フレームワーク
  - 周期フレームワーク



# 第1部 Bar-joint Frameworks

- 基礎
  - 等長変換
  - 剛性
  - 無限小剛性
  - 一般剛性
- Lamanの定理
  - Henneberg構築
  - Lamanの定理

# Isometries

- isometry (等長変換)  $I: \mathbb{R}^d \rightarrow \mathbb{R}^d$

$$\forall p, q \in \mathbb{R}^d, \quad \|p - q\| = \|Ip - Iq\|$$

- Euclidean group  $E(d)$ : the set of all isometries of  $\mathbb{R}^d$

- the set of translations

- $T: p \in \mathbb{R}^d \mapsto p + t \in \mathbb{R}^d$  for some  $t \in \mathbb{R}^d$

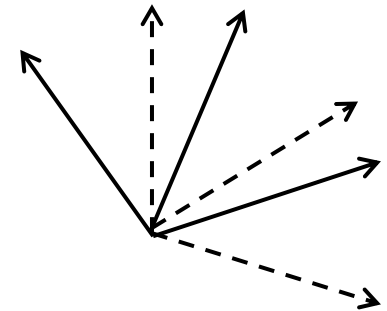
- $\cong$  the set of  $d$ -dimensional vectors ( $\cong \mathbb{R}^d$ )

- the set of rotations and reflections (orthogonal transformations)

- $R: (\text{standard basis of } \mathbb{R}^d) \mapsto (\text{orthogonal unit-vector basis})$

- $\cong$  the set of  $d \times d$  orthogonal matrices (orthogonal group  $O(d)$ )

- Observation. Any isometry is an orthogonal transformation followed by a translation.



- Prop.  $I$  is isometry iff it can be written as

$$I: p \in \mathbb{R}^d \mapsto Mp + t \in \mathbb{R}^d$$

for some orthogonal matrix  $M \in O(d)$  and  $t \in \mathbb{R}^d$

- “ $\Leftarrow$ ”

$$\begin{aligned} \langle (Mp + t) - (Mq + t), (Mp + t) - (Mq + t) \rangle &= \\ (p - q)^T M^T M (p - q) &= (p - q)^T (p - q) = \langle p - q, p - q \rangle \end{aligned}$$

- Prop.  $O(d)$  forms a smooth  $\binom{d}{2}$ -dimensional submanifold of  $\mathbb{R}^{d^2}$
- Coro.  $E(d)$  forms a smooth  $\binom{d+1}{2}$ -dimensional submanifold of  $\mathbb{R}^{d^2+d}$

# Rigidity (剛性)

- $d$ -dimensional bar-joint framework  $(G, p)$

- $G = (V, E)$ : a graph with  $n = |V|$  and  $m = |E|$ ;

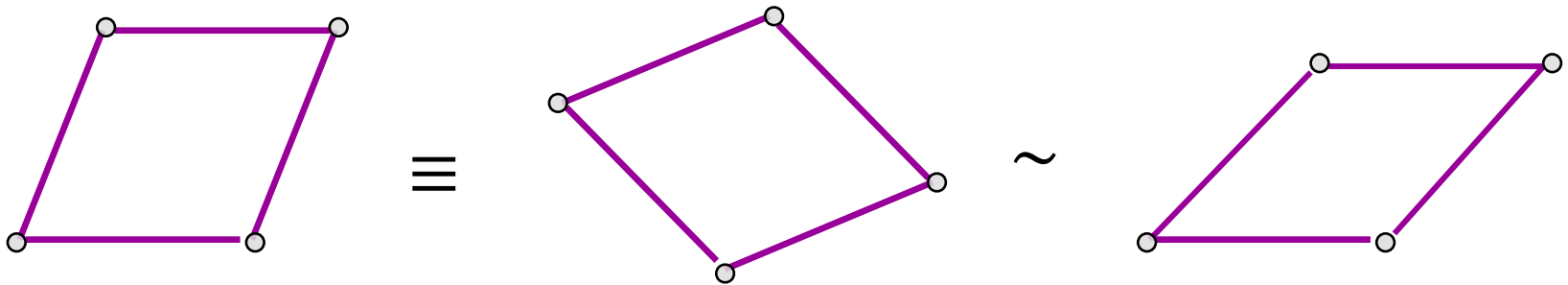
- $p$ : joint-configuration, i.e.,  $p: V \rightarrow \mathbb{R}^d$  (or  $p \in \mathbb{R}^{dn}$ )

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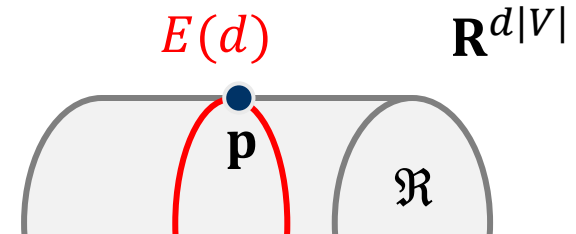
- $(G, p) \sim (G, q) \stackrel{\text{def}}{\Leftrightarrow} \forall uv \in E, \|p(u) - p(v)\| = \|q(u) - q(v)\|$

- $(G, p) \equiv (G, q) \stackrel{\text{def}}{\Leftrightarrow} \forall u, v \in V \times V, \|p(u) - p(v)\| = \|q(u) - q(v)\|$

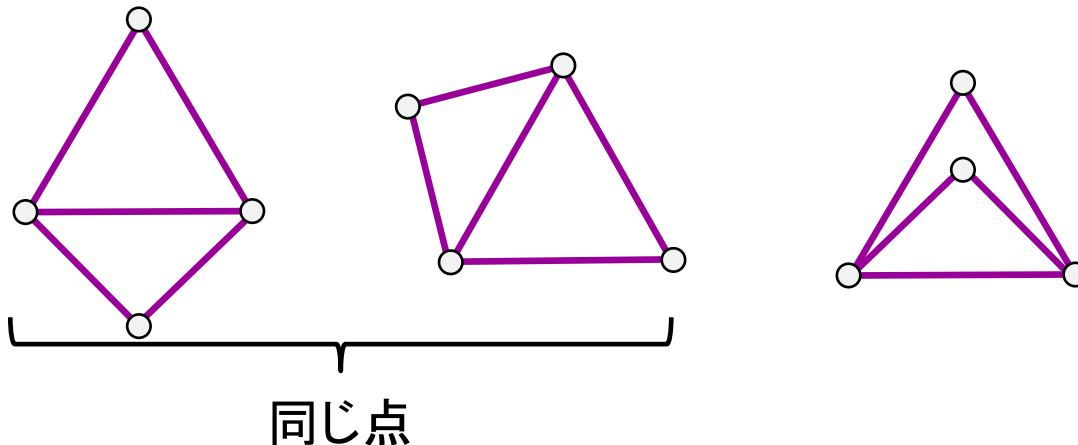
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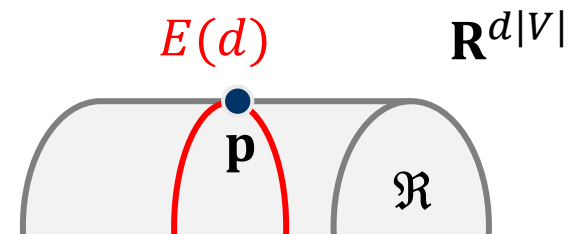
- Realization space  $\mathfrak{R} := \{q \in \mathbb{R}^{dn} \mid (G, q) \sim (G, p)\}$
- $\{q \in \mathbb{R}^{dn} \mid (G, q) \equiv (G, p)\} \cong E(d)$



- $(G, p)$  is **rigid (剛堅)**  $\stackrel{\text{def}}{\iff} [p]$  is an isolated point in  $\mathfrak{R}/E(d)$ 
  - NP-hard in general (?)
- $(G, p)$  is **globally rigid (大域剛堅)**  $\stackrel{\text{def}}{\iff} \mathfrak{R}/E(d)$  consists of a single point  $[p]$ 
  - NP-hard in general (Saxe1979)



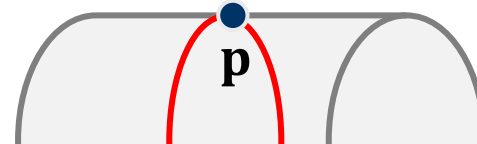
- **Prop.** The followings are equivalent for  $(G, p)$ 
  - $(G, p)$  is rigid
  - $p$  is an isolated point in  $\mathfrak{R}/E(d)$
  - $\exists \varepsilon > 0$  s.t.  $\forall q$  with  $\|p - q\| < \varepsilon$ ,  $(G, p) \sim (G, q) \Rightarrow (G, p) \equiv (G, q)$
  - For any continuous path  $p_t \in \mathbb{R}^{dn}$  s.t.  $p_0 = p$  and  $(G, p) \sim (G, p_t)$  for  $0 \leq t < 1$ ,  $(G, p) \equiv (G, p_t)$  for all  $0 \leq t < 1$
  - For any smooth path  $p_t \in \mathbb{R}^{dn}$  s.t.  $p_0 = p$  and  $(G, p) \sim (G, p_t)$  for  $0 \leq t < 1$ ,  $(G, p) \equiv (G, p_t)$  for all  $0 \leq t < 1$



- **Rigidity map**  $f_G(p): \mathbb{R}^{dn} \rightarrow \mathbb{R}^m$   
 $f_G(p) := (\dots, \|p(v_i) - p(v_j)\|^2, \dots)$

- $(G, p)$  is **rigid**  $\Leftrightarrow \exists$  neighbor  $U$  of  $p$  s.t.  
 $f_{K_n}^{-1}(f_{K_n}(p)) \cap U = f_G^{-1}(f_G(p)) \cap U$

$$E(d) = f_{K_n}^{-1}(f_{K_n}(p))$$

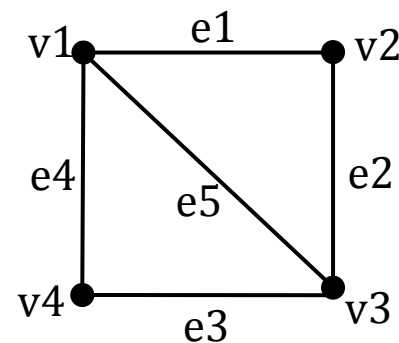


$$\mathfrak{R} = f_G^{-1}(f_G(p))$$

- **Rigidity matrix (剛性行列)**  $R(G, p)$

- The Jacobean of  $f_G$  at  $p$

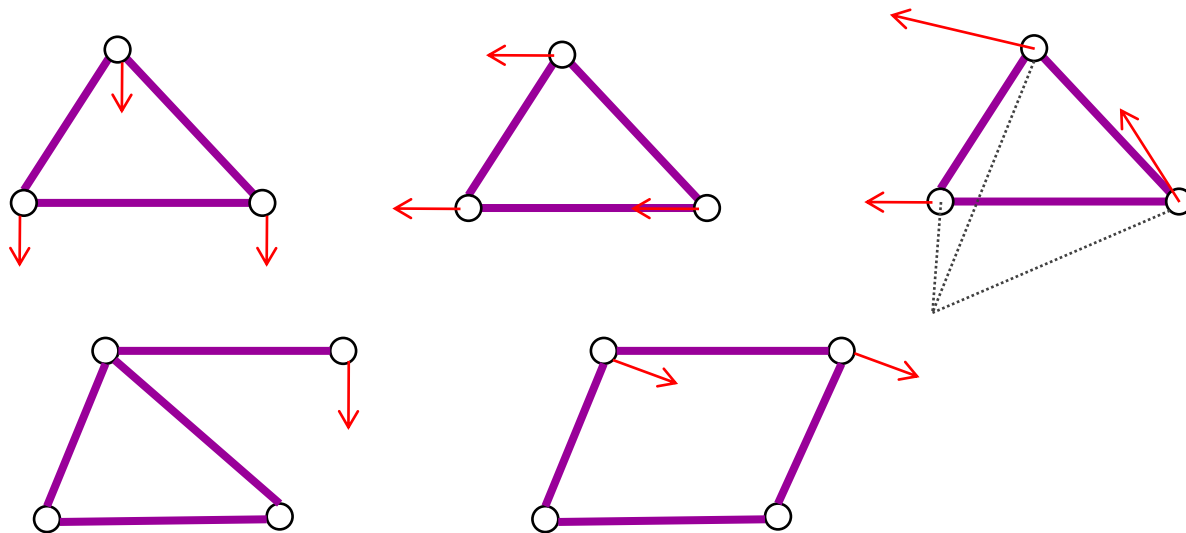
- $m \times dn$  - matrix



	v1	v2	v3	v4	v1	v2	v3	v4
e1	$p_{x,1} - p_{x,2}$	$p_{x,2} - p_{x,1}$	0	0	$p_{y,1} - p_{y,2}$	$p_{y,2} - p_{y,1}$	0	0
e2	0	$p_{x,2} - p_{x,3}$	$p_{x,3} - p_{x,2}$	0	0	$p_{y,2} - p_{y,3}$	$p_{y,3} - p_{y,2}$	0
e3	0	0	$p_{x,3} - p_{x,4}$	$p_{x,4} - p_{x,3}$	0	0	$p_{y,3} - p_{y,4}$	$p_{y,4} - p_{y,3}$
e4	$p_{x,1} - p_{x,4}$	0	0	$p_{x,4} - p_{x,1}$	$p_{y,1} - p_{y,4}$	0	0	$p_{y,4} - p_{y,1}$
e5	$p_{x,1} - p_{x,3}$	0	$p_{x,3} - p_{x,1}$	0	$p_{y,1} - p_{y,3}$	0	$p_{y,3} - p_{y,1}$	0

# Infinitesimal motion

- infinitesimal motion (無限小移動)  $\dot{p}: V \rightarrow \mathbb{R}^d$ : a solution of  $R(G, p)$ 
  - **Rem.**  $R(G, p)$  is an  $m \times dn$ -matrix of a linear system in  $\dot{p}$ :
    - $\langle \dot{p}(u) - \dot{p}(v), p(u) - p(v) \rangle = 0 \quad \forall e = uv \in E$



- trivial motions : solutions of  $R(K_n, p)$ 
  - i.e.,  $\dot{p}(v) = Sp(v) + q$  for some skew-symm. matrix  $S$  and  $q \in \mathbb{R}^d$



# Infinitesimal isometry

## ■ Infinitesimal isometry

- vector field  $\mathbf{v}: \mathbb{R}^d \rightarrow \mathbb{R}^d$ , which is obtained by taking the derivative of a smooth path in  $E(d)$  at identity.

- Prop. any infinitesimal isometry  $\mathbf{v}$  can be written by

$$\mathbf{v}: p \mapsto Sp + \dot{t}$$

for some skew-symmetric matrix  $S$  and  $\dot{t} \in \mathbb{R}^d$

- $\because$  Consider a smooth path  $\{(A_s, t_s) \in E(d) \mid 0 \leq s \leq 1\}$  with  $(A_0, t_0) = (I, 0)$
- Taking the derivative at  $s = 0$ , we get  $(\dot{A}, \dot{t})$ , where  $\dot{t} \in \mathbb{R}^d$  and  $\dot{A} = -\dot{A}^\top$ 
  - $\because A_s^\top A_s = I, \dot{A}^\top A_0 + A_0^\top \dot{A} = \dot{A}^\top + \dot{A} = 0$

# Infinitesimal isometry

## ■ Infinitesimal isometry

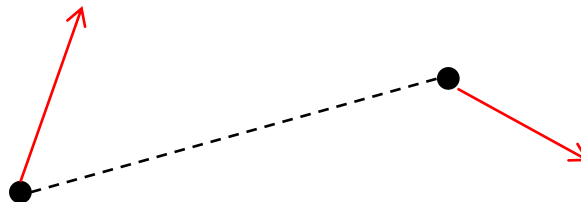
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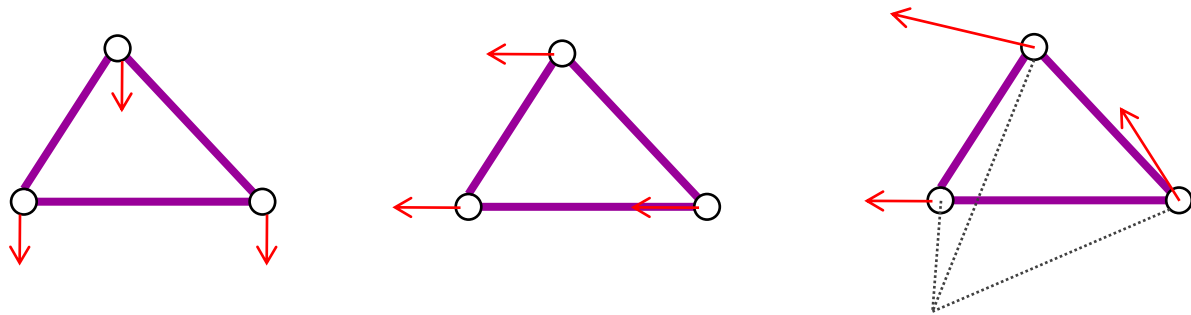
for some skew-symmetric matrix  $S$  and  $\dot{t} \in \mathbb{R}^d$

- Coro. The set of infinitesimal isometries forms a  $\binom{d+1}{2}$ -dimensional linear space
- Coro.  $\forall p, q \in \mathbb{R}^d, \langle \mathbf{v}(p) - \mathbf{v}(q), p - q \rangle = 0$

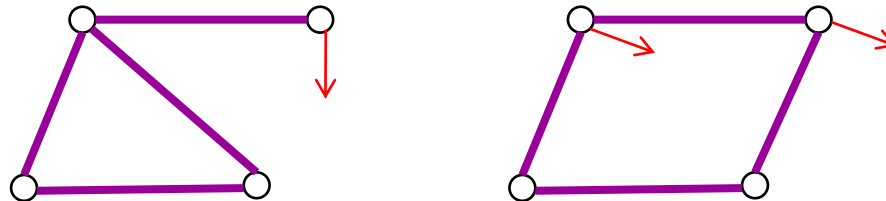


- infinitesimal motion  $\dot{p}: V \rightarrow \mathbb{R}^d$ : a solution of  $R(G, p)$
- trivial motions of  $(G, p)$ : solutions of  $R(K_n, p)$ 
  - an infinitesimal isometry, restricted to  $p$
  - i.e.,  $\dot{p}(v) = Sp(v) + q$  for some skew-symm. matrix  $S$  and  $q \in \mathbb{R}^d$
  - Prop.  $\dim \{\text{trivial motions}\} = \binom{d+1}{2}$  (if  $p$  affinely spans  $\mathbb{R}^d$ )

trivial:



nontrivial:



## Infinitesimal Rigidity (無限小剛性)

- $(G, p)$  is **infinitesimally rigid** (無限小剛堅)  $\stackrel{\text{def}}{\Leftrightarrow}$  every motion is trivial
- $\dim \{\text{trivial motions}\} = \binom{d+1}{2}$
- $\Rightarrow \dim \ker R(G, p) \geq \binom{d+1}{2}$
- Prop.  $(G, p)$  is infinitesimally rigid  $\Leftrightarrow \text{rank } R(G, p) = d|V| - \binom{d+1}{2}$

- If  $(G, p)$  is infinitesimally minimally rigid, then

- $|E| = d|V| - \binom{d+1}{2}$

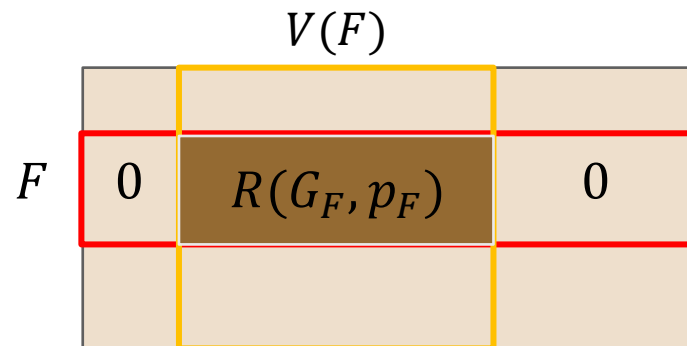
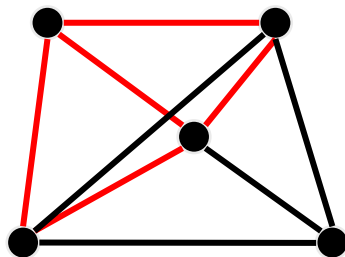
- $\forall F \subseteq E$  with  $|V(F)| \geq d$ ,  $|F| \leq d|V(F)| - \binom{d+1}{2}$

- Proof

- infinitesimal rigidity  $\Rightarrow \text{rank } R(G, p) = d|V| - \binom{d+1}{2}$

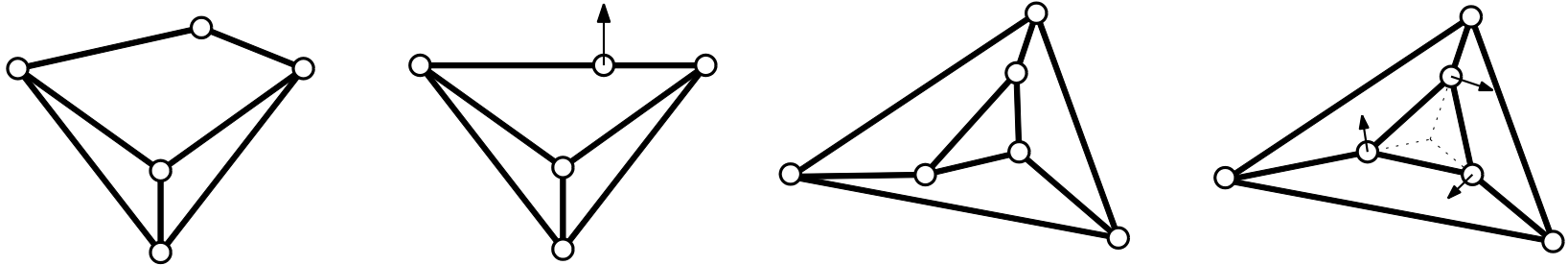
- minimality  $\Rightarrow$  row independence

- $\forall F \subseteq E$ , consider the sub-framework  $(G_F, p_F)$  induced by  $F$



$$|F| + \dim \ker R(G_F, p_F) = d|V(F)|$$

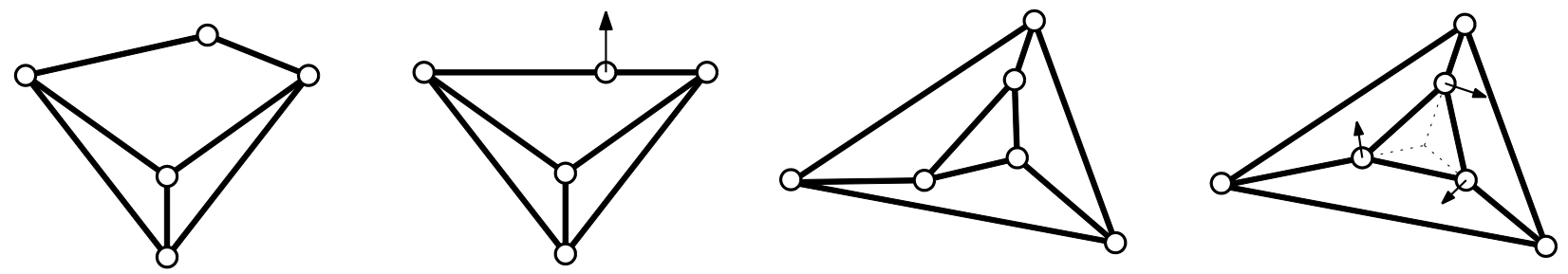
- **Prop.** (Asimow and Roth 78) Infinitesimal rigidity  $\Rightarrow$  Rigidity



- **Remarks**

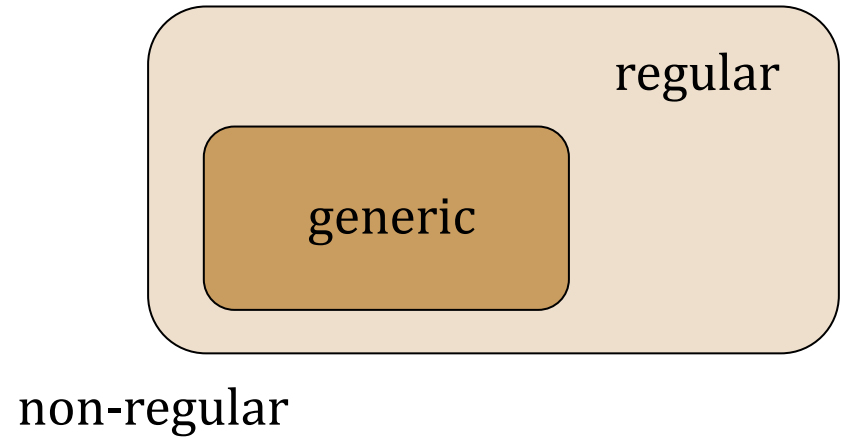
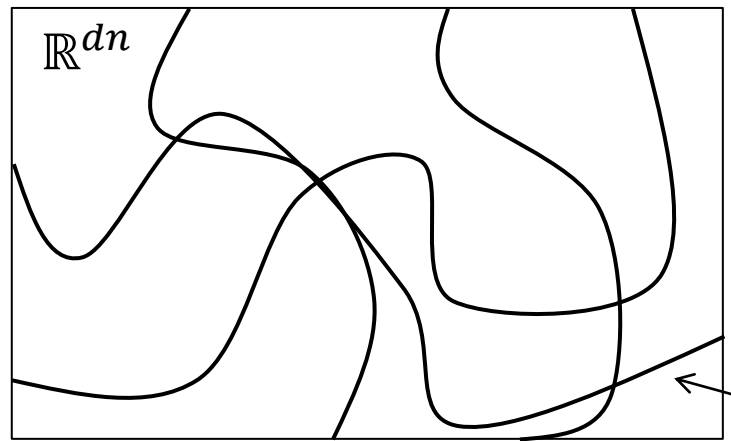
- (i) Infinitesimal rigidity  $\not\Leftarrow$  Rigidity
- (ii) Infinitesimal rigidity depends on joint configurations

■ (ii) Infinitesimal rigidity depends on joint configurations



□  $p$  is **regular**  $\stackrel{\text{def}}{\iff} p \in \bigcap_{G \subseteq K_n} \operatorname{argmax}_q \operatorname{rank} R(G, q)$

- Infinitesimal rigidity does not depend on  $p$ , if  $p$  is restricted to regular configurations
- The set of regular configurations is a dense open subset of  $\mathbb{R}^{d|V|}$ , which contains the set of generic configurations



## Generic Rigidity (一般剛性)

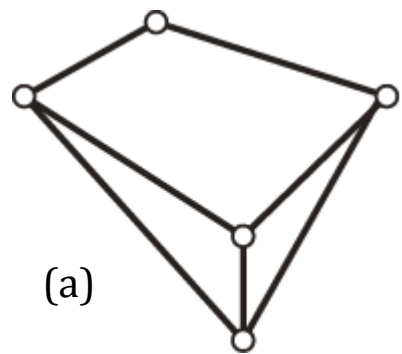
- (ii) Infinitesimal rigidity  $\not\equiv$  Rigidity

- **Prop.** (Asimow & Roth 78)

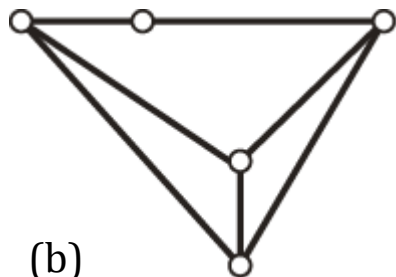
If  $p$  is regular, then  $(G, p)$  is rigid iff  $\text{rank } R(G, p) = d|V| - \binom{d+1}{2}$

- 
- **Generic rigidity (一般剛性)**: rigidity on generic joint configurations  $p$ 
    - A property of a graph
  - We can say “ $(G, p)$  is generically rigid (一般剛堅)” or simply “ $G$  is rigid (剛堅)”.

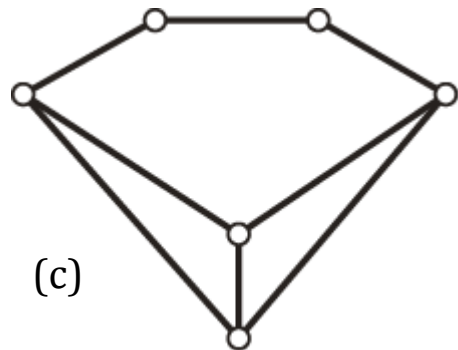




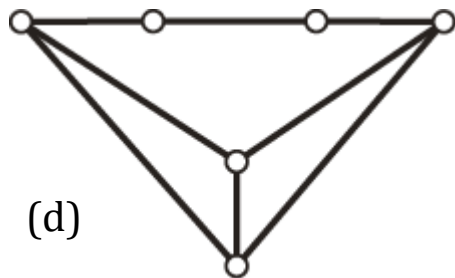
(a)



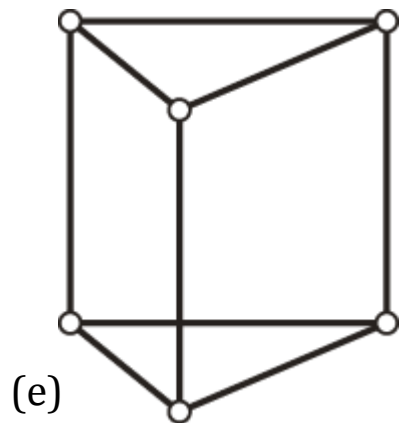
(b)



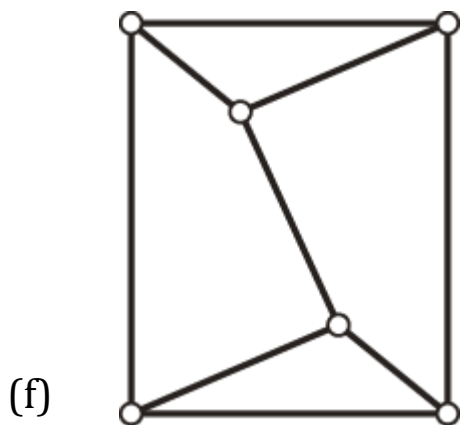
(c)



(d)



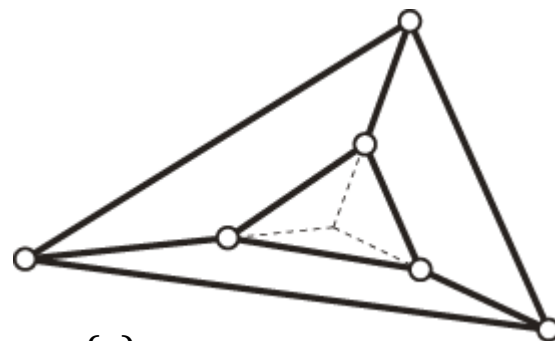
(e)



(f)

剛堅    無限小剛堅    一般剛堅

(a)	○	○	○
(b)	○	×	○
(c)	×	×	×
(d)	○	×	×
(e)	×	×	○
(f)	○	○	○
(g)	○	×	○



(g)

## 第1部 Bar-joint Frameworks

- 基礎
  - 等長変換
  - 剛性
  - 無限小剛性
  - 一般剛性
- Lamanの定理
  - Henneberg構築
  - Lamanの定理

- **Lamanの定理** (1970) 任意の一般的  $p$  に対し,  $(G, p)$  が2次元極小剛堅  $\Leftrightarrow$

- $|E| = 2|V| - 3$

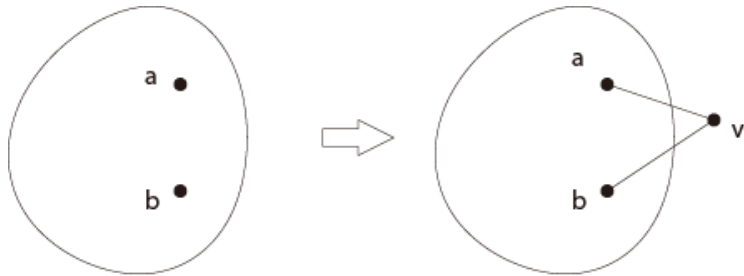
- $\emptyset \neq \forall F \subseteq E, |F| \leq 2|V(F)| - 3$

} ラーマングラフ

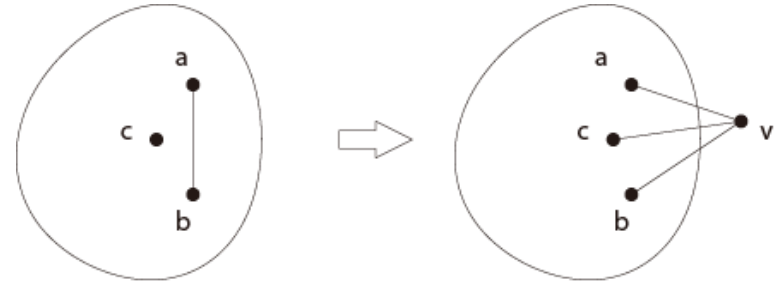
- 必要性 --- Maxwellの条件とAsimow&Rothの定理より
- 十分性 --- ラーマングラフ  $G$  に対し,  $(G, p)$  が剛堅となる  $p$  を **一つ** 見つければ良い
  - 構築法による証明
    - 小さなラーマングラフから新たに少し大きなラーマングラフを構築する操作を定義
    - 任意のラーマングラフが( $K_1$ から)これらの操作の繰り返しで構築可能であることを証明
    - これらの操作が一般剛性を保持することを証明

# Henneberg 構築

## ■ 0-extension (Henneberg I) & 1-extension (Henneberg II)

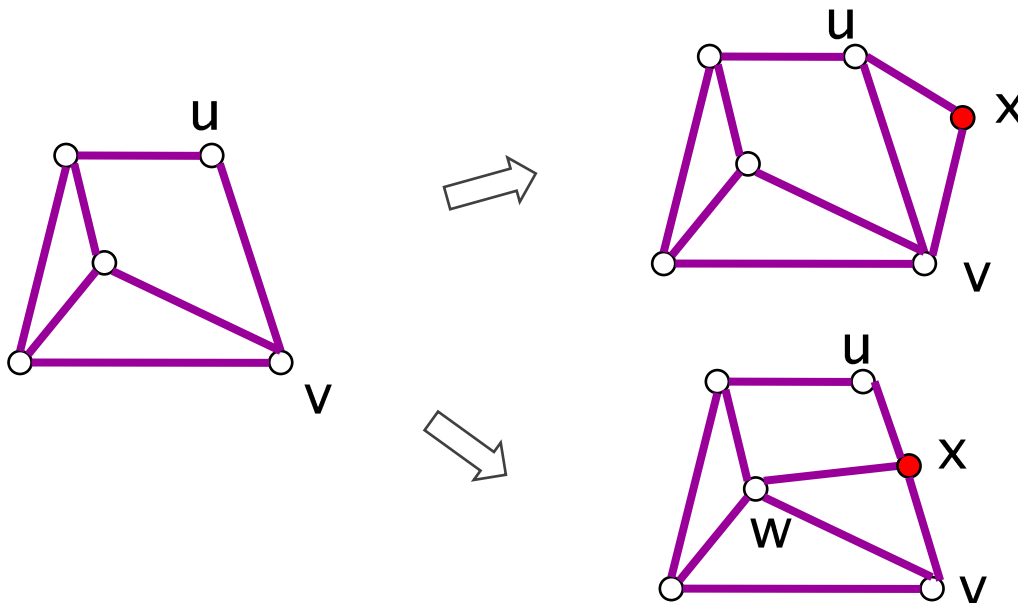


0-extension



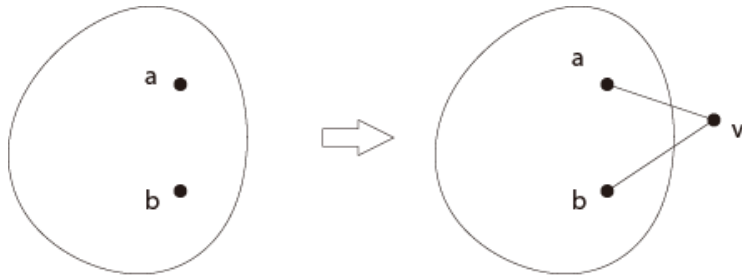
1-extension

## ■ これらの操作はラーマンの条件を保持する

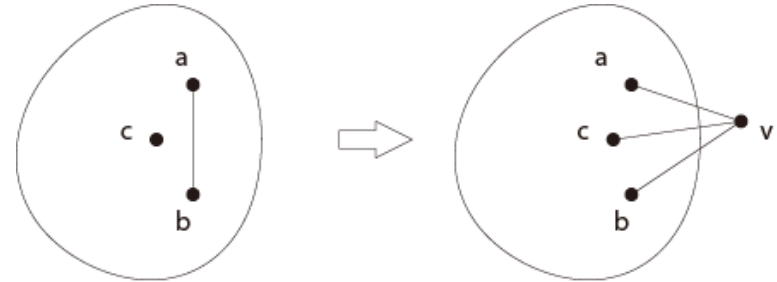


# Henneberg 構築

## ■ 0-extension (Henneberg I) & 1-extension (Henneberg II)



0-extension



1-extension

## ■ これらの操作はラーマンの条件を保持する

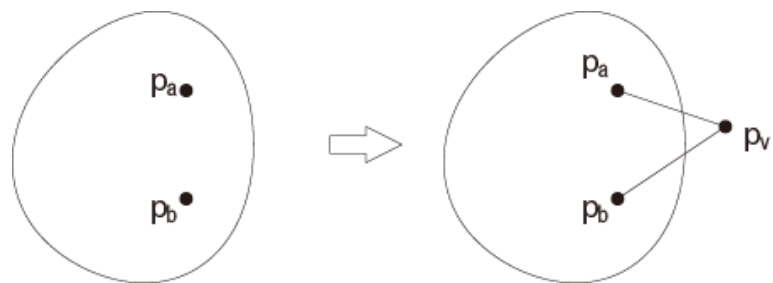
### ■ Theorem (Tay&Whiteley 85):

- $G$  がラーマングラフ  $\Leftrightarrow G$  が  $K_2$  から 0/1-extensions の繰り返しで構築可能 (演習問題)

# WhiteleyによるLamanの定理の証明

## ■ $|V|$ に関する帰納法

- ケース1:  $G$ が $G'$ から0-extensionで構築される



$$M(G, p) =$$

	$v$	$a$	$b$	
$va$	$p_v - p_a$	$p_a - p_v$	0	0
$vb$	$p_v - p_b$	0	$p_b - p_v$	0
	0	$M(G', p')$		

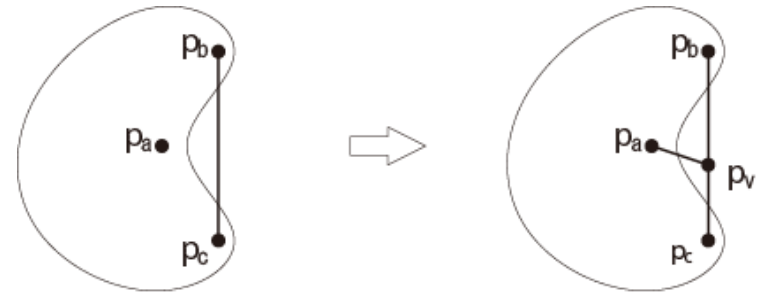
- $\text{rank} \begin{bmatrix} p_v - p_a \\ p_v - p_b \end{bmatrix} = 2 \Leftrightarrow p_v$  is not on the line  $p_a p_b$
- If  $p_v$  is not on the line  $p_a p_b$

$$\begin{aligned} \text{rank } R(G, p) &\geq \text{rank} \begin{bmatrix} p_v - p_a \\ p_v - p_b \end{bmatrix} + \text{rank } R(G', p') \\ &= 2 + 2|V| - 5 = 2|V| - 3 \end{aligned}$$

□ ケース2:  $G$  が  $G'$  から 1-extension で構築される

$R(G, p) =$

	$v$	$a$	$b$	$c$	
$va$	$p_v - p_a$	$p_a - p_v$	0	0	0
$vb$	$p_v - p_b$	0	$p_b - p_v$	0	0
$vc$	$p_v - p_c$	0	0	$p_c - p_v$	0
	0	*			



	$v$	$a$	$b$	$c$	
$va$	$p_v - p_a$	$p_a - p_v$	0	0	0
$vb$	$p_b - p_c$	0	$p_c - p_b$	0	0
$vc$	$p_b - p_c$	0	0	$p_c - p_b$	0
	0	*			



	$v$	$a$	$b$	$c$	
$va$	$p_v - p_a$	$p_a - p_v$	0	0	0
$vb$	$p_b - p_c$	0	$p_c - p_b$	0	0
$vc$	0	0	$p_b - p_c$	$p_c - p_b$	0
	0	$R(G', p')$			

$$\text{rank } R(G, p) \geq \text{rank} \begin{bmatrix} p_v - p_a \\ p_b - p_c \end{bmatrix} + \text{rank } R(G', p')$$

$$= 2 + 2|V| - 5 = 2|V| - 3 \quad \square$$