# An $O(n \log^2 n)$ Algorithm for the Optimal Sink Location Problem in Dynamic Tree Networks

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#### Abstract

In this paper, we consider a sink location in a dynamic network which consists of a graph with capacities and transit times on its arcs. Given a dynamic network with initial supplies at vertices, the problem is to find a vertex v as a sink in the network such that we can send all the initial supplies to v as quickly as possible. We present an  $O(n \log^2 n)$  time algorithm for the sink location problem, in a dynamic network of tree structure where n is the number of vertices in the network. This improves upon the existing  $O(n^2)$ -time bound. As a corollary, we also show that the quickest transshipment problem can be solved in  $O(n \log^2 n)$  time if a given network is a tree and has a single sink. Our results are based on data structures for representing tables (i.e., sets of intervals with their height), which may be of independent interest.

### 1. Introduction

We consider dynamic networks that include transit times on arcs. Each arc *a* has the transit time  $\tau(a)$  specifying the amount of time it takes for flow to travel from the tail to the head of *a*. In contrast to the classical *static* flows, flows in a dynamic network are called *dynamic*. In the dynamic setting, the capacity of an arc limits the rate of the flow into the arc at each time instance. Dynamic flow problems were introduced by Ford and Fulkerson [6] in the late 1950s (see e.g. [5]). Since then, dynamic flows have been studied extensively. One of the main reasons is that dynamic flow problems arise in a number of applications such as traffic control, evacuation plans, production systems, communication networks, and financial flows (see the surveys by Aronson [2] and Powell, Jaillet, and Odoni [15]). For example, for building evacuation [7], vertices  $v \in V$  model workplaces, hallways, stairwells, and so on,

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and arcs  $a \in A$  model the connection link between the adjacent components of the building. For an arc a = (v, w), the capacity u(a) represents the number of people who can traverse the link corresponding to a per unit time, and  $\tau(a)$  denotes the time it takes to traverse a from v to w.

This paper addresses the sink location problem in dynamic networks: given a dynamic network with the initial supplies at vertices, find a vertex, called a *sink*, such that the completion time to send all the initial supplies to the sink is as small as possible. In this setting of building evacuation, for example, the problem models the location problem of an emergency exit together with the evacuation plan for it.

Our problem is a generalization of the following two problems. First, it can be regarded as a dynamic flow version of the 1-center problem [14]. In particular, if the capacities are sufficiently large, our problem represents the 1-center location problem. Secondly, our problem is an extension of the location problems based on flow (or connectivity) requirements in static networks, which have received much attention recently [1, 11, 17, 18].

We consider the sink location problem in dynamic *tree* networks. This is because some production systems and underground passages form almost-tree networks. Moreover, one of the ideal evacuation plans makes everyone to be evacuated fairly and without confusion. For such a purpose, it is natural to assume that the possible evacuation routes form a tree. We finally mention that the multi-sink location problem can be solved by solving the (single)sink location problem polynomially many times [13]. It is known [12] that the problem can be solved in  $O(n^2)$  time by using a double-phase algorithm, where *n* denotes the number of vertices in the given network. We show that the problem is solvable in  $O(n \log^2 n)$  time.

Our algorithm is based on a simple single-phase procedure, but uses sophisticated data structures for representing tables g i.e., sets of time intervals  $[\theta_1, \theta_2)$  with their height  $g(\theta_1)$  to perform three operations Add-Table (i.e., adding tables), Shift-Table (i.e., shifting a table), and Ceil-Table (i.e., ceiling a table by a prescribed capacity). We generalize interval trees (standard data structures for tables) by attaching additional parameters and show that using the data structures, we can efficiently handle the above-mentioned operations. Especially, we can merge tables  $g_i$  in  $O((\sum_i d_i) \log^2(\sum_i d_i))$  time, where we say that tables  $g_i$  are merged if  $g_i$ 's are added into a single table g after shifting and ceiling tables are performed, and  $d_i$  denotes the number of intervals in  $g_i$ . This result implies an  $O(n \log^2 n)$  time bound for the location problem. We mention that our data structures may be of independent interest and useful for some other problems which manage tables.

We remark that our location problem for general dynamic networks can be solved in polynomial time by solving the quickest transshipment problem n times. Here the quickest transshipment problem is to find a dynamic flow that zeroes all given supplies and demands within the minimum time, and is polynomially solvable by an algorithm of Hoppe and Tardos [9]. However, since their algorithm makes use of submodular function minimization [10, 16] as a subroutine, it requires polynomial time of high degree. As a corollary of our result, this paper shows that the quickest transshipment problem can be solved in  $O(n \log^2 n)$  time if the given network is a tree and has a single sink.

The rest of the paper is organized as follows. The next section provides some preliminaries and fixes notation. Section 3 presents a simple single-phase algorithm for the sink location problem, and Section 4 describes and discusses our data structures. In Section 5, we analyze the complexity of our single-phase algorithm with our data structures. Finally, we give some conclusions in Section 6.

# 2. Definitions and Preliminaries

Let T = (V, E) be a tree with a vertex set V and an edge set E. Let  $\mathcal{N} = (T, c, \tau, b)$  be a dynamic flow network with the underlying undirected graph being a tree T, where  $c : E \to \mathbf{R}_+$  is a capacity function representing the least upper bound for the rate of flow through each edge per unit time,  $\tau : E \to \mathbf{R}_+$  a transit time function, and  $b : V \to \mathbf{R}_+$  a supply function. Here,  $\mathbf{R}_+$  denotes the set of all nonnegative reals and we assume the number of vertices in T is at least two.

This paper addresses the problem of finding a sink  $t \in V$  such that we can send given initial supplies b(v)  $(v \in V \setminus \{t\})$  to sink t as quickly as possible. Suppose that we are given a sink t in T. Then, T is regarded as an in-tree with root t, i.e., each edge of T is oriented toward the root t. Such an oriented tree with root t is denoted by  $\vec{T}(t) = (V, \vec{E}(t))$ . Each oriented edge in  $\vec{E}(t)$  is denoted by the ordered pair of its end vertices and is called an arc. For each edge  $\{u, v\} \in E$ , we write c(u, v) and  $\tau(u, v)$  instead of  $c(\{u, v\})$  and  $\tau(\{u, v\})$ , respectively. For any arc  $e \in \vec{E}(t)$  and any  $\theta \in \mathbf{R}_+$ , we denote by  $f_e(\theta)$  the flow rate entering the arc e at time  $\theta$  which arrives at the head of e at time  $\theta + \tau(e)$ . We call  $f_e(\theta)$   $(e \in \vec{E}(t),$  $\theta \in \mathbf{R}_+)$  a continuous-time dynamic flow in  $\vec{T}(v^*)$  (with a sink  $v^*$ ) if it satisfies the following three conditions, where  $\delta^+(v)$  and  $\delta^-(v)$  denote the set of all arcs leaving v and entering v, respectively.

(a) (Capacity constraints): For any arc  $e \in \vec{E}(t)$  and  $\theta \in \mathbf{R}_+$ ,

$$0 \le f_e(\theta) \le c(e). \tag{2.1}$$

(b) (Flow conservation): For any  $v \in V \setminus \{v^*\}$  and  $\Theta \in \mathbf{R}$ ,

$$\sum_{e \in \delta^+(v)} \int_0^{\Theta} f_e(\theta) d\theta - \sum_{e \in \delta^-(v)} \int_{\tau(e)}^{\Theta} f_e(\theta - \tau(e)) d\theta \le b(v).$$
(2.2)

(c) (Demand constraints): There exists a time  $\Theta \in \mathbf{R}_+$  such that

$$\sum_{e \in \delta^-(v^*)} \int_{\tau(e)}^{\Theta} f_e(\theta - \tau(e)) d\theta - \sum_{e \in \delta^+(v^*)} \int_0^{\Theta} f_e(\theta) d\theta = \sum_{v \in V \setminus \{v^*\}} b(v).$$
(2.3)

As seen in (b), we allow intermediate storage (or holding inventory) at each vertex. For a continuous-time dynamic flow f, let  $\theta_f$  be the minimum time  $\theta$  satisfying (2.3), which is called the *completion time* for f. We further denote by  $C(v^*)$  the minimum  $\theta_f$  among all continuous dynamic flows f in  $\vec{T}(v^*)$ . We study the problem of computing a sink  $v^* \in V$ with the minimum  $C(v^*)$ . This problem can be regarded as a dynamic version of the 1-center location problem (for a tree) [14]. In particular, if  $c(v, w) = +\infty$  (a sufficiently large real) for each edge  $\{v, w\} \in E$ , our problem represents the 1-center location problem [14].

We remark that dynamic flows can be restricted to those having no intermediate storage without changing optimal sinks of our problem (see discussions in [6, 9, 12], for example).

### **2.1.** An $O(n^2)$ algorithm given in [12]

In this section, we review the outline of an  $O(n^2)$  algorithm which has been proposed in [12], in order to make our faster algorithm easily understood.

The algorithm consists of two phases, Phases I and II. Phase I arbitrarily chooses a vertex  $t \in V$  as a candidate sink and compute the completion time C(t) and a dynamic flow f that completes in C(t). Then Phase II computes an optimal sink  $t^*$  by repeatedly picking up a new candidate sink  $\hat{t}$  that is adjacent to the current one t and updating  $t := \hat{t}$  if  $C(\hat{t}) < C(t)$ .

In both phases, we keep two tables, Arriving Table  $A_v$  and Sending Table  $S_v$  for each vertex  $v \in V$ . Arriving Table  $A_v$  represents the sum of the flow rates arriving at vertex v as a function of time  $\theta$ , i.e.,

$$\sum_{e \in \vec{E}(t):e=(u,v)} f_e(\theta - \tau(e)) + \eta_\theta(v),$$
(2.4)

where  $f_e(\theta) = 0$  holds for any  $e \in \vec{E}(t)$  and  $\theta < 0$ , and  $\eta_{\theta}(v) = \frac{b(v)}{\Delta}$  if  $0 \le \theta < \Delta$ ; otherwise 0. Here,  $\Delta$  denotes a sufficiently small positive constant. Intuitively,  $\eta_{\theta}(v)$  denotes the initial supply at v Sending Table  $S_v$  represents the flow rate leaving vertex v as a function of time  $\theta$ , i.e.,

$$f_{(v,w)}(\theta),\tag{2.5}$$

where  $(v, w) \in \vec{E}(t)$ .

Let us consider a table  $g : \mathbf{R}_+ \to \mathbf{R}_+$ , which represents the flow rate in time  $\theta \in \mathbf{R}_+$ . Here, we assume  $g(\theta) = 0$  for  $\theta < 0$ . Since our problem can be solved by sending out as much amount of flow as possible from each vertex to its parent if a candidate sink t is chosen in advance, we only consider the table g which is representable as

$$g(\theta) = \begin{cases} 0 & \text{if } \theta < \theta_1 \\ g(\theta_i) & \text{if } \theta_i \le \theta < \theta_{i+1} \\ 0 & \text{if } \theta \ge \theta_k, \end{cases}$$
(2.6)

where  $\theta_i < \theta_{i+1}$  and  $g(\theta_i) \neq g(\theta_{i+1})$  for i = 1, ..., k. Thus, we represent such tables g by a set of intervals (with their height), i.e.,

$$((-\infty, \theta_1), 0), \quad ([\theta_i, \theta_{i+1}), g(\theta_i)) \quad (i = 1, 2, \cdots, k),$$
 (2.7)

where  $\theta_{k+1} = +\infty$  and  $g(\theta_k) = 0$ . A time  $\theta$  is called a *jump time* of g if  $\lim_{x\to -0} g(\theta + x) \neq \lim_{x\to +0} g(\theta + x)$ .

Figure 1 shows such a table g, where black circles denote  $g(\theta_i)$ 's at jump time  $\theta_i$ 's. Let us now describe Phases I and II as follows.

#### Algorithm DOUBLE-PHASE

 $(\mathbf{Phase I})$ 

**Step 0:** Choose a vertex t arbitrarily. Put  $T' \leftarrow \vec{T}(t)$ .

**Step 1:** If T' consists of t alone, then go to Step 3. For each leaf v of T', construct Sending  $S_v$  from Arriving Table  $A_v$  by bounding  $A_v$  by c(v, w), where w is a parent of v in T'.



Figure 1: An example of a table that can be decomposed into intervals.

**Step 2:** For each internal node w whose children are all leaves, construct Arriving Table  $A_w$ from Sending Tables  $S_v$  of its children v by shifting  $A_v$  right by  $\tau(v, w)$  and adding all such shifted tables and the initial supply  $\eta_{\theta}(w)$ .

Remove all the leaves  $v \neq t$  from T' and denote the resultant tree by T' again. Go to Step 1.

**Step 3:** Compute the completion time C(t) from  $A_t$ .

(Phase II)

- Step 0: Find a child v of root t that sends the last flow to t (i.e., the flow that arrives at time C(t)). Put  $\hat{t} \leftarrow v$  and consider  $\hat{t}$  as a new sink. If v is not unique, then  $t^* = t$  and halt.
- **Step 1:** Compute the completion time  $C(\hat{t})$  and the corresponding tables as follows.
  - (1-1) Compute new Arriving Table  $\tilde{A}_t$  by subtracting from  $A_t$  the table obtained from  $S_{\hat{t}}$  by shifting it right by  $\tau(\hat{t}, t)$ .
  - (1-2) Compute from new  $\tilde{A}_t$  Sending Table  $S_t$  to go through  $(t, \hat{t})$  (as in Step 1 of Phase I).
  - (1-3) Compute new Arriving Table  $\tilde{A}_{t}$  by adding  $A_{t}$  and the table constructed from  $S_{t}$ by shifting it right by  $\tau(t, \hat{t})$ . Compute the completion time  $C(\hat{t})$ .

#### Step 2:

- (2-1) If  $C(t) < C(\hat{t})$ , then return  $t^* = t$  and halt.
- (2-2) If  $C(t) \ge C(\hat{t})$  and the last flow reaches sink  $\hat{t}$  from t, then return  $t^* = \hat{t}$  and halt.
- (2-3) Otherwise, put  $t \leftarrow \hat{t}$  and go to Step 0.

Note that tables  $A_v$  and  $S_v$  can be constructed by adding, shifting, and/or bounding the other tables. Now, we more formally describe how to compute them.

In Step 1 of Phase I, Arriving Table  $A_v$  for a leaf v of the original T(t) is given as

$$((-\infty, 0), 0), \ ([0, \Delta), b(v)/\Delta), \ ([\Delta, +\infty), 0),$$
 (2.8)

and Sending Table  $S_v$  for a leaf v of T' can be constructed from  $A_v$  as follows. Let  $A_v$  be represented as

$$((-\infty, \theta_1), 0), \quad ([\theta_i, \theta_{i+1}), h_i) \ (i = 1, 2, \cdots, k),$$

where  $\theta_{k+1} = +\infty$  and  $h_k = 0$ , and let  $R_i = (h_i - c(v, p(v)))(\theta_{i+1} - \theta_i)$ .

**Step 1:** Output  $((-\infty, \theta_1), 0)$  and i := 1

Step 2: If  $R_i < 0$ , then output  $([\theta_i, \theta_{i+1}), h_i)$ , and i := i + 1. Otherwise, let  $\alpha$  be the index such that  $\sum_{\ell=i}^{j} R_\ell \ge 0$  for any  $j \le \alpha - 1$  and  $\sum_{\ell=i}^{\alpha} R_\ell < 0$  and let  $\beta = \theta_\alpha + \sum_{\ell=i}^{\alpha-1} R_\ell / (c(v, p(v)) - h_\alpha)$ . Then output  $([\theta_i, \beta), c(v, p(v)))$  and  $([\beta, \theta_{\alpha+1}), h_\alpha)$ , and  $i := \alpha + 1$ .

**Step 3:** If i = k + 1, then halt. Otherwise, go to Step 2.

Step 2 of Phase I computes Arriving Table  $A_w$  from  $S_v$  for children v's of w and the initial supply of w as follows.

For a child v of w, let  $S_v$  be represented as

$$((-\infty, \theta_1^v), 0), \quad ([\theta_i^v, \theta_{i+1}^v), h_i^v) \ (i = 1, 2, \cdots, k_v),$$

where  $\theta_{k_v+1}^v = +\infty$  and  $h_{k_v}^v = 0$ , and let the initial supply of w be represented as in (2.8):

$$((-\infty, 0), 0), \quad ([0, b(w)/\Delta), \Delta), \quad ([b(w)/\Delta, +\infty), 0).$$

From these tables, we first sort all the elements in  $\bigcup_{\substack{v: \text{ a child of } w \\ (i = 1, \dots, k_v + 1\} \cup \{0, b(w)/\Delta, +\infty\} \text{ as } \theta_1 < \theta_2 < \dots < \theta_{k+1} (= +\infty) \text{, and then output } ((-\infty, \theta_1), 0) \text{ and}$  $\left( \begin{bmatrix} \theta_i, \theta_{i+1} \end{bmatrix}, \sum_{\substack{v: \text{ a child of } w \\ v: \text{ a child of } w}} h^v(\theta_i - \tau(v, w)) + h^w(\theta_i) \right) \quad (i = 1, 2, \dots, k),$ 

where 
$$h^{v}(\theta)$$
 and  $h^{w}(\theta)$  denote the height of the table  $S_{v}$  and the initial supply of  $w$  at time  $\theta$ , respectively.

By using similar methods, Phase II computes the tables.

It was shown in [12] that Algorithm DOUBLE-PHASE correctly computes an optimal sink and it requires  $O(n^2)$  time. The latter follows from the fact that each table g can be computed in time linear in the total number of intervals in the tables from which g is constructed and the number of intervals in each table is linear in n.<sup>1</sup> Namely, we have the following theorem.

**Theorem 2.1** ([12]): Algorithm DOUBLE-PHASE solves the sink location problem in  $O(n^2)$  time.

### 3. A Single-Phase Algorithm

Algorithm DOUBLE-PHASE consists of two phases. This section presents a simple  $O(n^2)$  algorithm with a single phase. Because of the simplicity, it gives us a good basis for developing a faster algorithm. In fact, we can construct an  $\tilde{O}(n)$  algorithm based on this framework, which is given in the next section.

Intuitively, our single-phase algorithm first constructs Sending Table  $S_v$  for each leaf v to send b(v) to its adjacent vertex. Then the algorithm removes a leaf  $v^*$  from T such that the completion time of  $S_v$  is the smallest, since T has an optimal sink other than  $v^*$ . If some vertex v becomes a leaf of the resulting tree T, then the algorithm computes Sending Table  $S_v$  to send all the supplies that have already arrived at v to an adjacent vertex p(v) of the resulting tree T, by using Sending Tables for the vertices  $w (\neq p(v))$  that are adjacent to v in the original tree. The algorithm repeatedly applies this procedure to T until T becomes a single vertex t, and outputs such a vertex t as an optimal sink.

<sup>&</sup>lt;sup>1</sup>It was shown in [12] that the number of intervals is at most 3n for *discrete-time* dynamic flows.

Algorithm SINGLE-PHASE

**Input:** A tree network  $\mathcal{N} = (T = (V, E), c, \tau, b)$ .

- **Output:** An optimal sink t that has the minimum completion time C(t) among all vertices of T.
- **Step 0:** Let W := V, and let L be the set of all leaves of T. For each  $v \in L$ , construct Arriving Table  $A_v$ .
- Step 1: For each  $v \in L$ , construct from  $A_v$  Sending Table  $S_v$  to go through (v, p(v)), where p(v) is an only vertex adjacent to v in T. Compute the time Time(v, p(v)) at which the flow based on  $S_v$  is completely sent to p(v).
- **Step 2:** Compute a vertex  $v^* \in L$  minimizing Time(v, p(v)), i.e.,  $Time(v^*, p(v^*)) = \min_{v \in L} Time(v, p(v))$ . Let  $W := W \setminus \{v^*\}$  and  $L := L \setminus \{v^*\}$ .

If there exists a leaf v of T[W] such that v is not contained in L,

then:

- (1) Let  $L := L \cup \{v\}$ .
- (2) Construct Arriving Table  $A_v$  from the initial supply  $\eta_{\theta}(v)$  and Sending Table  $S_{v'}$  for the vertices v' that are adjacent to v in T and have already been removed from W.
- (3) Compute from  $A_v$  Sending Table  $S_v$  to go through (v, p(v)) where p(v) is a vertex adjacent to v in T[W], and compute Time(v, p(v)).

**Step 3:** If |W| = 1, then output  $t \in W$  as an optimal sink. Otherwise, return to Step 2.

Here T[W] denotes a subtree of T induced by a vertex set W, and tables  $A_v$  and  $S_v$  are constructed as in Algorithm DOUBLE-PHASE.

Note that at most one leaf v of T[W] is not contained in L in the if-statement of Step 2, and L is always the set of all leaves of T[W] before executing Step 2 in each iteration. By removing edge (v, w) from T, T is partitioned into two disjoint trees. We denote the one including v by  $T_{(v,w)}$  and by  $T^+_{(v,w)}$  the trees obtained by adding  $T_{(v,w)}$  to edge (v, w). Then we can see that Time(v, p(v)) in Step 1 or 2 represents the completion time for  $\overrightarrow{T^+_{(v,p(v))}}(p(v))$ .

Lemma 3.1: Algorithm SINGLE-PHASE outputs an optimal sink t.

**Proof.** We assume that a vertex  $u \neq t$  is an optimal sink. Here, let w be a vertex adjacent to t on the path from u to t. We denote by  $k_1$ ,  $k_2$  and  $k_3$  the completion time for  $\overrightarrow{T}_{(t,w)}(t)$ ,  $\overrightarrow{T}_{(t,w)}^+(w)$  and  $\overrightarrow{T}_{(w,t)}^+(t)$ , respectively. Then we have  $k_2 = Time(t,w)$  and  $k_3 = Time(w,t)$  (see Figure 2).

It follows from the definitions that

$$k_1 \le k_2, \quad C(t) = \max\{k_1, k_3\}, \quad C(u) \ge k_2.$$
 (3.1)

Note that  $k_3$  was chosen as  $k_3 = Time(w, t) = \min_{v \in L} Time(v, t)$  in Step 2 of the algorithm. This implies  $k_3 \leq k_2$ , which together with (3.1) implies  $C(t) \leq C(u)$ . Hence t is also optimal since u is optimal.

Similarly as Algorithm DOUBLE-PHASE, it is not difficult to see that Algorithm SINGLE-PHASE requires  $O(n^2)$  time if we construct Arriving and Sending Tables explicitly. In Section 4, we present a method to represent these tables implicitly, and develop an  $O(n \log^2 n)$  time algorithm for our location problem.



Figure 2:  $T_{(t,w)}$ ,  $T^+_{(t,w)}$ , and  $T^+_{(w,t)}$ .

### 4. Implicit Representation for Arriving and Sending Tables

Algorithm DOUBLE-PHASE and SINGLE-PHASE require  $\Theta(n^2)$  time if explicit representations are used for tables. For example, Figure 3 shows such a network  $\mathcal{N} = (T = (V, E), c, \tau, b)$ ,



Figure 3: A dynamic network that achieves  $\Theta(n^2)$  time bound for our location problem.

where  $V = \{-k, -k+1, \dots, k\}$ ,  $E = \{(i, i+1) \mid i = -k, \dots, k-1\}$ , c(e) = 1 and  $\tau(e) = 2$ for all  $e \in E$ , and b(v) = 1 for all  $v \in V$ . It follows from the symmetry of T that 0 is a unique optimal sink. Both Arriving Table  $A_j$  and Sending Table  $S_j$  constructed by SINGLE-PHASE algorithm have 2(k - |j|) + 3 intervals. Thus the total size of the tables is

$$2 \times \sum_{j=-k}^{k} \left( 2(k-|j|) + 3 \right) = 4k^2 + 12k + 6 = n^2 + 4n + 1.$$

This shows that Algorithm SINGLE-PHASE requires  $\Theta(n^2)$  time if explicit representations are used for the tables. Similarly, Algorithm DOUBLE-PHASE requires  $\Theta(n^2)$  time in such a case.

Therefore, we need sophisticated data structures which can be used to represent Arriving/Sending Tables *implicitly*. We adopt interval trees for them, which are standard data structures for a set of intervals. Note that SINGLE-PHASE only applies to tables  $A_v$  and/or  $S_v$  the following three basic operations (see Figure 4) : Add-Table (i.e., adding tables), Shift-Table (i.e., shifting a table), and Ceil-Table (i.e., ceiling a table by a prescribed capacity). It is known that interval trees can efficiently handle operations Add-Table and Shift-Table (see Section 4.1). However, standard interval trees cannot efficiently handle operation Ceil-Table. This paper develops new interval trees which efficiently handle all the three operations.







Figure 4: 3 basic operations

### 4.1. Data Structures for Implicit Representation

This section explains our data structure for representing tables which is obtained from interval tree by attaching several parameters to handle the three operations efficiently. Let g be a table represented as

$$I_i = ([\theta_i, \theta_{i+1}), g(\theta_i)) \quad (i = 0, 1, \cdots, k),$$
(4.1)

where  $\theta_0 = -\infty$ ,  $\theta_{k+1} = +\infty$ , and  $g(\theta_0) = g(\theta_k) = 0$ ,<sup>2</sup> and let  $BT_g$  denote a binary tree for g. We denote the root by  $r^{BT}$  and the height of BT by height(BT). The binary tree  $BT_g$  has an additional parameter  $t_{base}$  to represent how much g is shifted right. This  $t_{base}$  is used for operation *Shift-Table* by updating  $t_{base}$  to  $t_{base} + \mu$ , where  $\mu$  denotes the time to shift the table right. Moreover, each node x in  $BT_g$  has five nonnegative parameters base(x), ceil(x),  $h_e(x)$ ,  $t^r(x)$ , and  $t^l(x)$  with  $t^l(x) \leq t^r(x)$ , and each leaf has e(x) in addition, where these parameters will be explained later. A leaf x is called *active* if  $t^l(x) < t^r(x)$  and *dummy* otherwise. The time intervals of a table g correspond to the active leaves of  $BT_g$  bijectively. We denote by #(BT) the number of active leaves of BT.

Initially (i.e., immediately after constructing  $BT_g$  by operation MAKETREE given below),  $BT_g$  contains no dummy leaf and hence there exists a one-to-one correspondence between the time intervals of g and leaves of  $BT_g$ . Moreover, for each leaf x corresponding to  $I_i$  in (4.1), we have  $t^l(x) = \theta_i, t^r(x) = \theta_{i+1}, base(x) = g(\theta_i)$  and  $ceil(x) = +\infty$ , and for each internal node  $x, t^l(x) = \min_{y \in Leaf(x)} t^l(y), t^r(x) = \max_{y \in Leaf(x)} t^r(y), base(x) = 0$  and  $ceil(x) = +\infty$ . Here, Leaf(x) denotes the set of all leaves which are descendants of x. Namely,  $t^l(x)$  and  $t^r(x)$ , respectively, represent the start and the end points of the interval corresponding to x, and base(x) and ceil(x), respectively, represent the flow rate and the upper bound for the flow rate in the time interval corresponding to x.

#### **Operation** MAKETREE (g: table)

- **Step 1:** Let  $t_{base} := 0$ .
- **Step 2:** Construct a binary balanced tree  $BT_g$  whose leaves  $x_i$  correspond to the time interval  $I_i$  of g in such a way that the leftmost leaf corresponds to the first interval  $I_0$ , the next one corresponds to the second interval  $I_1$ , and so on.
- **Step 3:** For each leaf  $x_i$  corresponding to interval  $I_i = [\theta_i, \theta_{i+1})$ ,  $base(x) := g(\theta_i)$ ,  $t^l(x) := \theta_i$  and  $t^r(x) := \theta_{i+1}$ .
- Step 4: For each internal node x, base(x) := 0, and  $t^l(x) := \min_{y \in Leaf(x)} t^l(y)$  and  $t^r(x) := \max_{y \in Leaf(x)} t^r(y)$ .
- **Step 5:** For each node x,  $ceil(x) := +\infty$ .
- **Step 6:** For each leaf x, set e(x), and for each node x, set  $h_e(x)$ , where e(x) and  $h_e(x)$  shall be explained later.

We can easily compute a table g from  $BT_g$  constructed by MAKETREE. It should also be noted that a binary tree  $BT_g$  is not unique, i.e., distinct trees may represent the same table g.

As mentioned in this section, *Shift-Table* can easily be handled by updating  $t_{base}$ . We now consider *Add-Table*, i.e., constructing a table g by adding two tables  $g_1$  and  $g_2$ , where we

<sup>&</sup>lt;sup>2</sup>For simplicity, we write the first interval  $I_0$  as  $([-\infty, \theta_1), 0)$  instead of  $((-\infty, \theta_1), 0)$ .

regard an addition of k tables as k - 1 successive additions of two tables. Let us assume that  $\#(BT_{g_1}) \ge \#(BT_{g_2})$ , that is,  $g_1$  has at least as many intervals as  $g_2$ . Our algorithm constructs  $BT_g$  by adding all intervals (corresponding to active leaves) of  $BT_{g_2}$  one by one to  $BT_{g_1}$ . Each addition of an interval  $([\theta_1, \theta_2), c)$  to  $BT_{g_1}$ , denoted by ADD $(BT_1; \theta_1, \theta_2, c)$ , can be performed as follows.

We first modify  $BT_{g_1}$  to  $BT_{g_1}$  that has (active) leaves x and y such that  $t^l(x) = \theta_1$  and  $t^r(y) = \theta_2$  if there exist no such leaves, as shown in Figure 5. Then we add an interval  $([\theta_1, \theta_2), c)$  to the resulting  $BT_{g_1}$ . One of the simplest way is to add c to all leaves of  $BT_{g_1}$  such that the corresponding intervals are included in  $[\theta_1, \theta_2)$ . However, this takes O(n) time, since  $BT_{g_1}$  may have O(n) such intervals. We therefore add c only to their representatives.



Figure 5: Modification of  $BT_{q_1}$ .

Note that the time interval  $[\theta_1, \theta_2)$  can be represented by the union of disjoint maximal intervals in  $\widetilde{BT}_{g_1}$ , i.e., the set of incomparable nodes in  $\widetilde{BT}_{g_1}$ , denoted by  $rep(\theta_1, \theta_2)$  (see Figure 6). We thus update base of  $\widetilde{BT}_{g_1}$  as follows

$$base(x) := base(x) + c$$
 for all  $x \in rep(\theta_1, \theta_2)$ . (4.2)

We remark that this is a standard technique for interval tree. By successively applying this procedure to new interval tree  $\widetilde{BT}_{g_1}$  and each of the remaining intervals in  $BT_{g_2}$ , we can construct  $BT_g$  with  $g = g_1 + g_2$ .

For an interval tree BT and an active leaf x of BT, let  $y_1(=x), y_2, \dots, y_s(=r^{BT})$  denote the path from x to the root  $r^{BT}$ . The procedure given above shows that the height of an active leaf x representing the flow rate of the corresponding interval can be represented as

$$h(x) = \sum_{i=1}^{s} base(y_i).$$
(4.3)

Operation ADD $(BT_{g_1}; \theta_1, \theta_2, c)$  can be handled in  $O(height(BT_{g_1}))$  time, since  $|rep(\theta_1, \theta_2)| \le 2height(BT_{g_1})$ . This means that  $BT_g$  can be constructed from  $BT_{g_1}$  and  $BT_{g_2}$  in  $O(\#(BT_{g_2}))$ 



Figure 6: Black nodes represent  $rep(\theta_1, \theta_2)$ .

 $\log n$ ) time by taking balancing of the tree after each addition. Moreover, operations *Add-Table* in Algorithm SINGLE-PHASE can be performed in  $O(n \log^2 n)$  time in total, since we always add a smaller table to a larger one (see Section 4.3 for the details). Thus *Add-Table* can be performed efficiently.

However, operations *Ceil-Table* in Algorithm SINGLE-PHASE require  $\Theta(n^2)$  time in total, since the algorithm contains  $\Theta(n)$  *Ceil-Table*, each of which requires  $\Theta(n)$  time, even if we use interval trees as data structures for tables (see Figure 4 for example). Therefore, when we bound *BT* by a constant *c*, we omit modifying  $t^l$ ,  $t^r$ , and *base*, and keep *c* as  $ceil(r^{BT}) = c$ . Clearly, this causes difficulties to overcome as follows.

First, h(x) in (4.3) does not represent the actual height any longer. Roughly speaking, the actual height is c if  $c \le h(x)$ , and h(x), otherwise. We call h(x) the *tentative height* of x in BT, and denote by  $\hat{h}(x)$  the *actual height* of x. If c is small, some adjacent intervals can have the same height. In this case, there exists no one-to-one correspondence between active leaves and intervals, and hence we have to merge these intervals into a single one. We will explain how to handle this later.

Let us consider a scenario that an interval  $([\theta_1, \theta_2), c')$  is added to BT after bounding it by c. Let x be an active leaf such that (i) the corresponding interval is contained in  $[\theta_1, \theta_2)$  and (ii) the actual height is c, immediately after bounding BT by c. Then we note that the actual height of x is c + c' after the scenario, which is different from both h(x) and c. To deal with such scenarios, we update *ceil* to compute the actual height  $\hat{h}(x)$  efficiently (See more details in the subsequent sections). The actual height  $\hat{h}(x)$  can be computed as

$$\hat{h}(x) = h(x) - \max_{y \in path(x, r^{BT})} \{ 0, \left( \sum_{z \in path(x, y)} base(z) \right) - ceil(y) \},$$
(4.4)

where path(x, y) denotes the path from x to y. Intuitively, for a node  $y_k$  in BT,  $ceil(y_k)$  represents the upper bound of the height of active leaves  $x \in Leaf(y_k)$  within the subtree of BT whose root is  $y_k$ . Thus  $\sum_{i=1}^k base(y_i) - ceil(y_k)$  has to be subtracted from the height h(x) if  $\sum_{i=1}^k base(y_i) - ceil(y_k) > 0$ , and the actual height  $\hat{h}(x)$  is obtained by subtracting

their maximum. Note that  $\hat{h}(x)=h(x)$  holds for all active leaves x of a tree constructed by MAKETREE.

We next note that there exists no one-to-one correspondence between active leaves in BT and time intervals of the table that BT represents, if we just set  $ceil(r^{BT}) = c$ . See Figure 4, for example. In this case, the table is updated too drastically to efficiently handle the operations afterwards. Thus by modifying BT (as shown in the subsequent subsections), we always keep the one-to-one correspondence, i.e., the property that any two consecutive active leaves x and x' satisfy

$$\hat{h}(x) \neq \hat{h}(x'). \tag{4.5}$$

We finally note that, for an active leaf x,  $t^{l}(x)$  and  $t^{r}(x)$  do not represent the start and the end points of the corresponding interval. Let x be an active leaf in BT that does not correspond to the first interval or the last interval. For such an x, let  $x^{-}$  and  $x^{+}$  denote active leaves in BT which are left-hand and right-hand neighbors of x, respectively, i.e.,

$$t^{r}(x^{-}) = t^{l}(x), \quad t^{l}(x^{+}) = t^{r}(x).$$
 (4.6)

Then the start and the end points of the corresponding interval can be obtained by

$$\hat{t}^{r}(x) = t_{base} + t^{r}(x) + (t^{r}(x) - t^{l}(x)) \times \frac{h(x) - \hat{h}(x)}{\hat{h}(x) - \hat{h}(x^{+})}$$
(4.7)

$$\hat{t}^{l}(x) = \hat{t}^{r}(x^{-}).$$
(4.8)

Here  $\hat{t}^r(x)$  and  $\hat{t}^l(x)$  are well-defined from (4.5). For active leaves x and y corresponding to the first interval and the last interval, we have  $\hat{t}^l(x) = -\infty$ ,  $\hat{t}^r(x) = t^l(x^+)$ ,  $\hat{t}^l(y) = \hat{t}^r(y)$  and  $\hat{t}^r(y) = +\infty$ .

It follows from (4.4), (4.7), and (4.8) that  $\hat{h}(x)$ ,  $\hat{t}^r(x)$ , and  $\hat{t}^l(x)$  can be computed from *base*, *ceil*,  $t^r(x)$ , and  $t^l(x)$  in O(height(BT)) time. In order to check (4.5) efficiently, each active leaf x has

$$e(x) = \begin{cases} \max\{0, h(x) - h(x^{+})\} \times \frac{t^{r}(x^{+}) - t^{r}(x)}{t^{r}(x^{+}) - t^{l}(x)} & \text{if } x^{+} \text{ exists,} \\ +\infty & \text{otherwise} \end{cases}$$
(4.9)

and each node x has

$$h_e(x) = \max_{y \in Leaf_A(x)} \{ \left( \sum_{z \in path(x,y)} base(z) \right) - e(y) \},$$

$$(4.10)$$

where  $Leaf_A(x)$  denotes the set of active leaves that are descendants of x, and path(x, y) denotes the set of nodes on the path from x to y. As can be seen from Figure 7, we have the following lemma.

**Lemma 4.1**: Let BT be a binary tree in which  $\hat{h}(x) \neq \hat{h}(x^+)$  holds for every active leaf x. After bounding BT by a constant c,

(i)  $\hat{h}(x) \neq \hat{h}(x^+)$  holds for an active leaf x if and only if x satisfies h(x) - e(x) < c, (ii) all active leaves x in BT satisfy  $\hat{h}(x) \neq \hat{h}(x^+)$  if and only if  $h_e(r^{BT}) < c$ . Moreover, we can compute an active leaf x with  $\hat{h}(x) = \hat{h}(x^+)$  in O(height(BT)) time by scanning  $h_e(x)$  from the root  $r^{BT}$ . Note that  $h_e(x)$  can be obtained by the following bottom-up computation.

$$h_e(x) = \begin{cases} base(x) - e(x) & \text{if } x \text{ is a leaf} \\ \max\{h_e(x_1), h_e(x_2)\} + base(x) & \text{otherwise,} \end{cases}$$
(4.11)

where  $x_1$  and  $x_2$  denote the children of x. This means that preparing and updating  $h_e$ 's can be handled efficiently.



Figure 7: e(x) and  $\hat{t}(x)$ .

In summary, we always keep the following conditions for binary trees  $BT_g$  to represent tables g. Note that BT satisfies the conditions.

- (C0) For any node x, BT maintains  $t^{l}(x), t^{r}(x), ceil(x), base(x)$ , and  $h_{e}(x)$ . For any leaf x, BT maintains e(x) in addition.
- (C1) Any node x satisfies  $t^{l}(x) \leq t^{r}(x)$ . Any internal node x satisfies  $t^{l}(x) = \min_{y \in Leaf(x)} t^{l}(y)$ , and  $t^{r}(x) = \max_{y \in Leaf(x)} t^{r}(y)$ .
- (C2) Any active leaf x satisfies  $t^r(x) = t^l(x^+)$ .
- (C3) Any active leaf x satisfies  $\hat{h}(x) \neq \hat{h}(x^+)$ ,
- (C4) Any active leaf x satisfies  $\hat{h}(x) \ge h(x) e(x)$ .

A binary tree BT is called *valid* if it satisfies conditions (C0)~ (C4). For example, a binary tree BT constructed by MAKETREE is valid.

### **4.2. Operation** NORMALIZE

As discussed in Section 4.1, we represent a table g as a valid binary balanced tree BT. For an active leaf x, our algorithm sometimes need to update BT to get one having accurate x, i.e., base and ceil are updated so that

$$base(y) := \begin{cases} 0 & \text{for a proper ancestor } y \text{ of } x^- \text{ or } x \\ \hat{h}(y) & \text{for } y = x^- \text{ or } x \end{cases}$$
(4.12)

$$ceil(y) := +\infty \qquad \text{for an ancestor } y \text{ of } x^- \text{ or } x \tag{4.13}$$
  
$$t^r(y) = t^l(y^+) := \hat{t}^r(y) \qquad \text{for } y = x^- \text{ or } x$$

In fact, we perform this operation, when we insert a leaf x or change the parameters ceil(x), base(x),  $t^{r}(x)$ , and  $t^{l}(x)$  of a leaf x. The following operation, called NORMALIZE, updates BT as above, and also maintains the balance of BT (i.e.,  $height(BT) = O(\log n)$ ).

#### **Operation** NORMALIZE(BT, x : an active leaf)

Step 1: Update base and ceil by the following top-down computation along the path from  $r^{BT}$  to the parent of y for  $y = x^-$  or x. For a node z on the path and its children  $z_1$  and  $z_2$ , base(z) := base(z) + base(z) + ceil(z) = min [ceil(z) + base(z) + ceil(z)]

 $base(z_i) := base(z_i) + base(z), \quad ceil(z_i) := \min\{ceil(z_i) + base(z), ceil(z)\},\\ base(z) := 0, \quad ceil(z) := +\infty.$ 

- Step 2: If x was added to BT immediately before this operation, then rotate BT in order to keep the balance of BT.
- **Step 3:** For  $y = x, x^-$ , if base(y) > ceil(y), then  $t^r(y) = t^l(y^+) := \hat{t}^r(y)$  and base(y) := ceil(y). Otherwise  $ceil(y) := +\infty$ .
- **Step 4:** For  $y = x^-, x, x^+$ , update  $t^l, t^r, e$ , and  $h_e$  by the bottom-up computation along the path from y to  $r^{BT}$ .

Note that nodes may be added to BT (by operation SPLIT in the next section), but are never removed from BT, although some nodes become dummy. This simplifies the analysis of the algorithm, since removing a node from BT requires the rotation of BT that is not easily implemented.

It is not difficult to see that the tree BT' obtained by NORMALIZE is valid, satisfies (4.13), and represents the same table as BT. Moreover, since the lengths of the paths in Steps 1 and 4 are O(height(BT)), BT' can be computed from BT in O(height(BT)) time. Thus we have the following lemma.

**Lemma 4.2**: Let BT be a valid binary balanced tree representing a table g, and let x be an active leaf of BT. Then BT' obtained by NORMALIZE(BT, x) is a valid binary balanced tree that represents g and satisfies (4.13). Furthermore, BT' is computable from BT in O(height(BT)) time.

### 4.3. Add-Table

This section shows how to add two binary balanced trees  $BT_{g_1}$  and  $BT_{g_2}$  for tables  $g_1$  and  $g_2$ . We have already mentioned an idea of our Add-Table after describing operation MAKETREE. Formally it can be written as follows.

**Input:** Two valid binary balanced trees  $BT_{g_1}$  and  $BT_{g_2}$  for tables  $g_1$  and  $g_2$ .

**Output:** A valid binary balanced tree  $BT_g$  for  $g = g_1 + g_2$ .

- Step 1: If  $\#(BT_{g_1}) \ge \#(BT_{g_2})$ , then  $BT_1 := BT_{g_1}$  and  $BT_2 := BT_{g_2}$ . Otherwise  $BT_1 := BT_{g_2}$  and  $BT_2 := BT_{g_1}$ .
- Step 2: For each active leaf  $x \in BT_2$ , compute  $\hat{t}^l(x)$ ,  $\hat{t}^r(x)$  and  $\hat{h}(x)$ , and call operation ADD for  $BT_1$ ,  $\hat{t}^l(x)$ ,  $\hat{t}^r(x)$ , and  $\hat{h}(x)$ .

**Operation** ADD $(BT, \theta_1, \theta_2, c)$ 

**Step 1:** Call SPLIT $(BT, \theta_1 - t_{base}^{BT})$  and SPLIT  $(BT, \theta_2 - t_{base}^{BT})$ , where  $t_{base}^{BT}$  denotes the parameter  $t_{base}$  for BT.

**Step 2:** For a node x in  $rep(\theta_1 - t_{base}^{BT}, \theta_2 - t_{base}^{BT})$ , base(x) := base(x) + c, ceil(x) := ceil(x) + c, and  $h_e(x) := h_e(x) + c$ .

**Step 3:** For a node x such that  $t^{l}(x) = \theta_1 - t^{BT}_{base}$ , call NORMALIZE(BT, x).

If  $base(x^-) = base(x)$  (i.e.,  $\hat{h}(x^-) = \hat{h}(x)$ ), then

$$y := x^{-},$$
  

$$t^{r}(y) := t^{r}(y^{+}),$$
  

$$t^{l}(y^{+}) := t^{r}(y^{+}) \text{ (i.e., } y^{+} \text{ becomes dummy).}$$
(4.14)

and call NORMALIZE(BT, y) and NORMALIZE $(BT, y^+)$ .

**Step 4:** For a leaf y such that  $t^r(y) = \theta_2 - t_{base}^{BT}$ , call NORMALIZE(BT, y).

If  $base(y) = base(y^+)$  (i.e.,  $\hat{h}(y) = \hat{h}(y^+)$ ), then update base(y),  $t^r(y)$ ,  $t^l(y^+)$  and  $t^r(y^+)$  as (4.14), and call NORMALIZE(BT, y) and NORMALIZE $(BT, y^+)$ .

Steps 3 and 4 are performed to keep (4.5). Note that  $h_e(x)$  is updated in Step 2 for all nodes in  $rep(\theta_1 - t_{base}^{BT}, \theta_2 - t_{base}^{BT})$ . It follows from (4.11) that  $h_e(y)$  must be updated for all proper ancestors y of a node in  $rep(\theta_1 - t_{base}^{BT}, \theta_2 - t_{base}^{BT})$ . Since a proper ancestor y of some node in  $rep(\theta_1 - t_{base}^{BT}, \theta_2 - t_{base}^{BT})$  is a proper ancestor of the node x such that  $t^l(x) = \theta_1 - t_{base}^{BT}$  or  $t^r(x) = \theta_2 - t_{base}^{BT}$ , all such  $h_e(y)$ 's are updated in Steps 3 and 4 by operation NORMALIZE.

**Operation** SPLIT(BT, t : a nonnegative real)

**Step 1:** Find a node x such that  $t^{l}(x) \leq t < t^{r}(x)$ .

**Step 2:** Call NORMALIZE $(BT, x^{-})$  and NORMALIZE(BT, x).

**Step 3:** If  $t^{l}(x) = t$ , then halt.

**Step 4:** For the node  $y \in \{x^-, x\}$  such that  $t^l(y) \le t < t^r(y)$ , construct the left child  $y_1$  with  $t^l(y_1) := t^l(y), t^r(y_1) := t$ ,  $base(y_1) := 0$  and  $ceil(y_1) := +\infty$ , and construct the right child  $y_2$  with  $t^l(y_2) := t, t^r(y_2) := t^r(y)$ ,  $base(y_2) := 0$  and  $ceil(y_2) := +\infty$ .

**Step 5:** Call NORMALIZE(BT,  $y_1$ ) and NORMALIZE(BT,  $y_2$ ).

We can see that the following two lemmas hold.

**Lemma 4.3**: Let BT be a valid binary balanced tree representing a table g, and let t be a nonnegative real. Then BT' obtained by operation SPLIT(BT, t) is a valid binary balanced tree representing g in O(height(BT)) time.

**Lemma 4.4**: Let BT be a valid binary balanced tree representing a table g, and let  $I = ([\theta_1, \theta_2), c)$  be a time interval. Then ADD $(BT, \theta_1, \theta_2, c)$  produces a valid binary balanced tree representing the table g + I, and moreover, it can be handled in O(height(BT)) time.  $\Box$ 

### 4.4. Operation Ceil-Table

This section considers operation *Ceil-Table*. Let *BT* be a valid binary balanced tree representing a table *g* and let *c* be an upper bound of *BT*. As mentioned in Section 4.1, we set  $ceil(r^{BT}) = c$ , and modify *BT* so that  $\hat{h}(x) \neq \hat{h}(x^+)$  holds for any two consecutive active leaves *x* and  $x^+$ .

**Operation** CEIL(BT, c : a positive real)

- Step 1: Compute the leftmost active leaf y such that  $h(y) e(y) \ge c$  by using  $h_e$ . If BT has no such node, then go to Step 4.
- **Step 2:** Call NORMALIZE(BT, y), NORMALIZE $(BT, y^+)$ , and

$$base(y) := \frac{base(y)(t^{r}(y) - t^{l}(y)) + base(y^{+})(t^{r}(y^{+}) - t^{l}(y^{+}))}{t^{r}(y^{+}) - t^{l}(y)},$$
  
$$t^{r}(y) = t^{l}(y^{+}) := t^{r}(y^{+}).$$

**Step 3:** Call NORMALIZE(BT, y) and NORMALIZE $(BT, y^+)$ . Return to Step 1.

**Step 4:** For a root  $r^{BT}$ ,  $ceil(r^{BT}) := c$ .

**Lemma 4.5**: Let BT be a valid binary balanced tree representing a table g, and let c be a nonnegative real. Then BT' obtained by operation CEIL(BT, c) is a valid binary balanced tree representing the table obtained from g by ceiling it by c.

Step 3 concatenates two consecutive active leaves x and  $x^+$ , where  $x^+$  becomes dummy. We notice that the active leaf x (which has already been concatenated) may further be concatenated. This means that  $\hat{h}(x) = \hat{h}(x^+)$  may hold after successive concatenations, even if original BT satisfies  $\hat{h}(x) \neq \hat{h}(x^+)$ .

# 5. Time complexity of SINGLE-PHASE with our data structures

In this section, we analyze the complexity of Algorithm SINGLE-PHASE with our data structures. Recall that the algorithm only applies to tables  $A_v$  and/or  $S_v$  the following three basic operations: *Add-Table* (i.e., adding tables), *Shift-Table* (i.e., shifting a table), and *Ceil-Table* (i.e., ceiling a table by a prescribed capacity c).

**Lemma 5.1**: All Shift-Table's in SINGLE-PHASE require O(n) time in total.

**Proof.** Each *Shift-Table* can be handled by updating  $t_{base}$ , which requires O(1) time. Since we have *n Shift-Table* in the algorithm, All Shift-Table's require O(n) time in total.

**Lemma 5.2**: All Add-Table's in SINGLE-PHASE require  $O(n \log^2 n)$  time in total.

**Proof.** Assume that our algorithm output t as an optimal sink. It holds that arriving table of t has O(n) intervals (see [12] for discrete-time dynamic flows), and more precisely, the number of intervals in t plus the number of nodes which become dummy by our algorithm is linear in n. Since Add-Table adds a smaller table to a larger one, each interval is added  $O(\log n)$  times before the corresponding node becomes dummy. Thus we have  $O(n \log n)$  ADD's. Since each ADD for BT can be executed in  $O(height(BT)) = O(\log n)$  time by Lemma 4.4, all Add-Table's require  $O(n \log^2 n)$  time in total.

**Lemma 5.3**: All Ceil-Table's in SINGLE-PHASE require  $O(n \log n)$  time in total.

**Proof.** Each CEIL for BT can be executed in  $O(n_d \cdot height(BT))$  time, where  $n_d$  denotes the number of the nodes which become dummy by this CEIL. Since O(n) nodes become dummy by our algorithm, all *Ceil-Table*'s require  $O(n \log n)$  time in total.

From Lemmas 5.1, 5.2, and 5.3, we have the following result.

**Theorem 5.4**: *The sink location problem on dynamic tree networks can be solved in*  $O(n \log^2 n)$  *time.* 

If a given network is a tree and has a single sink, we can show the following corollary.

**Corollary 5.5**: If a given network is tree and has a single sink, SINGLE-PHASE can solve the quickest transshipment problem in  $O(n \log^2 n)$  time.

# 6. Conclusions

In this paper, we have developed an  $O(n \log^2 n)$  time algorithm for a sink location problem for dynamic flows in a tree network. This improves upon an  $O(n^2)$  time algorithm in [12].

We have considered continuous-time dynamic flows that allow intermediate storage at vertices. We note that optimal sinks remain the same, even if we do not allow intermediate storage, and moreover, our algorithm can also be applicable for discrete-time dynamic flows. Therefore, our sink location problem is solvable in  $O(n \log^2 n)$  time for dynamic continuous-time/discrete-time flows with/without intermediate storage.

We leave as an open problem to reduce the time complexity to  $O(n \log n)$ . For example, if successive k insertions/searches for a binary tree with n leaves can executed in  $O(k \log(n/k))$  time, this can be achieved.

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