GLOBAL SOLVABLY CLOSED ANABELIAN GEOMETRY

Shinichi Mochizuki

February 2006

ABSTRACT. In this paper, we study the pro- Σ anabelian geometry of hyperbolic curves, where Σ is a nonempty set of prime numbers, over Galois groups of "solvably closed extensions" of number fields — i.e., infinite extensions of number fields which have no nontrivial abelian extensions. The main results of this paper are, in essence, immediate corollaries of the following three ingredients: (a) classical results concerning the structure of Galois groups of number fields; (b) an anabelian result of Uchida concerning Galois groups of solvably closed extensions of number fields; (c) a previous result of the author concerning the pro- Σ anabelian geometry of hyperbolic curves over nonarchimedean local fields.

Contents:

- §1. Basic Properties
- §2. Anabelian Results
- §3. Some Examples

Introduction

In this paper, we study various properties of solvably closed Galois groups of number fields, i.e., Galois groups of field extensions of number fields that admit no nontrivial abelian field extensions [cf. Definition 1.1, (i)]. In §1, we show that such Galois groups satisfy many of the properties of absolute Galois groups of number fields that are of importance in the context of anabelian geometry. In particular, this includes properties concerning Galois cohomology, center-free-ness, decomposition groups of valuations, and topologically finitely generated closed normal subgroups. In §2, after reviewing a fundamental result of Uchida [cf. [Uchida]] to the effect that solvably closed Galois groups of number fields are anabelian, we apply the various results obtained in §1 to give a new version of the main result of [Mzk2] concerning the pro- Σ anabelian geometry of hyperbolic curves, where Σ is a nonempty set of prime numbers, in the context of solvably closed Galois groups of number fields. Finally, in §3, we observe that "relatively small" solvably closed Galois groups of number fields exist in "substantial abundance". For instance, in the case of

²⁰⁰⁰ Mathematical Subject Classification. Primary 14H30; Secondary 11R99.

punctured elliptic curves, it is possible in many instances to obtain solvably closed Galois groups of number fields that are, on the one hand, "large enough" to be compatible with the outer action on the pro- Σ geometric fundamental group of the punctured elliptic curve, but, on the other hand, "small enough" to be linearly disjoint from various field extensions arising from the *l*-torsion points of the elliptic curve, for a prime number $l \notin \Sigma$.

Acknowledgements:

The author wishes like to thank *Akio Tamagawa* for bringing the results exposed in Theorems 1.5, 2.1 of the text to his attention.

Section 1: Basic Properties

We begin by defining the notion of a *solvably closed Galois group of a number field* and showing that such Galois groups satisfy many properties that are wellknown in the case of absolute Galois groups of number fields.

Let F be a number field [i.e., a finite extension of the field of rational numbers], \overline{F} an algebraic closure of F, and $\widetilde{F} \subseteq \overline{F}$ a [not necessarily finite!] Galois extension of F. Write $G_F \stackrel{\text{def}}{=} \operatorname{Gal}(\overline{F}/F)$, $Q_F \stackrel{\text{def}}{=} \operatorname{Gal}(\widetilde{F}/F)$. Thus, one may think of Q_F as a quotient $G_F \twoheadrightarrow Q_F$ of G_F .

Definition 1.1.

(i) We shall say that a field is solvably closed if it has no nontrivial abelian extensions. If \tilde{F} is solvably closed, then we shall say that \tilde{F}/F is a solvably closed extension and refer to Q_F as a solvably closed Galois group of the number field F.

(ii) If G is any *profinite group*, and p is a prime number, then we shall write

 $\operatorname{cd}_p(G)$

for the smallest positive integer i such that $H^{j}(G, A) = 0$ for all continuous p-torsion G-modules A and all j > i, if such an integer i exists; if such an integer i does not exist, then we set $\operatorname{cd}_{p}(G) \stackrel{\text{def}}{=} \infty$ [cf. [NSW], Definition 3.3.1].

Remark 1.1.1. Observe that the Galois group Q_F is solvably closed if and only if, for any open subgroup $H_Q \subseteq Q_F$, whose inverse image in G_F we denote by $H_G \subseteq G_F$, the surjection induced on maximal pro-solvable quotients

$$H_G^{\mathrm{sol}} \twoheadrightarrow H_Q^{\mathrm{sol}}$$

by the quotient morphism $H_G \twoheadrightarrow H_Q$ is an isomorphism.

Remark 1.1.2. Thus, if we denote by $\widetilde{F}^{\text{sol}} \subseteq \overline{F}$ the maximal solvable [Galois] extension of \widetilde{F} , then one verifies immediately that $\text{Gal}(\widetilde{F}^{\text{sol}}/F)$ is a solvably closed Galois group of the number field F. In particular, [by taking $\widetilde{F} = F$, it follows that] the maximal pro-solvable quotient G_F^{sol} of G_F is a solvably closed Galois group of the number field F.

Remark 1.1.3. One verifies immediately that any *open subgroup of a solvably closed Galois group of a number field* is again a solvably closed Galois group of a number field.

Proposition 1.2. (Galois Cohomology of Solvably Closed Galois Groups) Suppose that Q_F is a solvably closed Galois group of the number field F. Then:

(i) The natural surjection $G_F \twoheadrightarrow Q_F$ induces an isomorphism

$$H^i(Q_F, A) \xrightarrow{\sim} H^i(G_F, A)$$

for all continuous torsion Q_F -modules A and all integers $i \ge 0$. In particular, if F contains a square root of -1, then $\operatorname{cd}_p(Q_F) = 2$ for all prime numbers p.

(ii) Let p be a prime number; suppose that F contains a **primitive** p-th root of unity. Then for any automorphism σ of the field \tilde{F} that preserves and acts nontrivially on $F \subseteq \tilde{F}$, the automorphism induced by σ of the set of onedimensional \mathbb{F}_p -subspaces of the \mathbb{F}_p -vector space

$$H^2(Q_F, \mathbb{F}_p)$$

is nontrivial.

Proof. First, we consider assertion (i). Write $J_F \stackrel{\text{def}}{=} \operatorname{Ker}(G_F \twoheadrightarrow Q_F)$. To show the desired isomorphism, it follows immediately from the Leray-Serre spectral sequence associated to the extension $1 \to J_F \to G_F \to Q_F \to 1$ that it suffices to show that $H^i(J_F, A) = 0$ for all $i \geq 1$. Since

$$H^{i}(J_{F}, A) \cong \varinjlim_{J_{F} \subseteq H \subseteq G_{F}} H^{i}(H, A)$$

[where H ranges over the open subgroups of G_F containing J_F], we thus conclude the desired vanishing as follows: If $i \geq 3$, then the fact that $H^i(H, A) = 0$ follows from the fact that $\operatorname{cd}_p(H) \leq 2$, for H sufficiently small [i.e., H that correspond to totally imaginary extensions of F — cf. [NSW], Proposition 8.3.17]. If i = 2, then we recall that by the well-known "Hasse Principle for central simple algebras" [cf., e.g., [NSW], Corollary 8.1.16; the discussion of [NSW], §7.1], it follows that we have an *exact sequence*

$$0 \to H^2(G_F, \mathbb{F}_p(1)) \to \bigoplus_v H^2(G_v, \mathbb{F}_p(1)) \to \mathbb{F}_p \to 0$$

where the "(1)" denotes a "Tate twist"; v ranges over the valuations of F; G_v denotes the decomposition group of v in G_F , which is well-defined up to conjugation; and we recall in passing that the restriction to the various direct summands of the map to \mathbb{F}_p induces an *isomorphism* $H^2(G_v, \mathbb{F}_p(1)) \cong \mathbb{F}_p$ for all nonarchimedean v. Thus, by applying the analogue for H of this exact sequence for G_F , together with the *Grunwald-Wang Theorem* [which assures the existence of global abelian field extensions with prescribed behavior at a finite number of valuations — cf., e.g., [NSW], Corollary 9.2.3], we conclude immediately that $\lim_{H} H^2(H, A) = 0$. When i = 1, the fact that $\lim_{H} H^1(H, A)$ follows formally from the definition of a "solvably closed" Galois group [cf. Definition 1.1, (i)]. Now the statement concerning $\operatorname{cd}_p(Q_F)$ follows immediately from the isomorphism just verified, together with the fact that, if F contains a square root of -1 [hence is totally imaginary], then $\operatorname{cd}_p(G_F) =$ 2 [cf. [NSW], Proposition 8.3.17; the exact sequence just discussed concerning $H^2(G_F, \mathbb{F}_p(1))$]. This completes the proof of assertion (i).

Finally, we observe that assertion (ii) follows immediately from the exact sequence just discussed concerning

$$H^2(G_F, \mathbb{F}_p(1)) \cong H^2(Q_F, \mathbb{F}_p(1)) \cong H^2(Q_F, \mathbb{F}_p)$$

[cf. assertion (i); our assumption that F contains a primitive p-th root of unity], together with Tchebotarev's density theorem [cf., e.g., [Lang], Chapter VIII, §4, Theorem 10], which implies that if we write $F_0 \subseteq F$ for the subfield fixed by σ , then there exist two distinct nonarchimedean valuations v_1, v_2 of F_0 that split completely in F. That is to say, if w_1, w_2 are valuations of F lying over v_1, v_2 , respectively, then there exists an element $h \in H^2(Q_F, \mathbb{F}_p) \cong H^2(G_F, \mathbb{F}_p(1))$ [where we note that this isomorphism is compatible with the natural actions by σ , up to multiplication by an element of \mathbb{F}_p^{\times}] which maps to a nonzero element of the direct sum in the above sequence whose unique nonzero components are the components labeled by v_1, v_2 ; thus, $\sigma(\mathbb{F}_p \cdot h) \neq \mathbb{F}_p \cdot h$, as desired. \bigcirc

Before proceeding, we recall that a profinite group Δ is *slim* if every open subgroup of Δ has *trivial centralizer* in Δ [cf. [Mzk1], Definition 0.1, (i)].

Corollary 1.3. (Slimness) *Every* solvably closed Galois group *of a number field is* slim.

Proof. Suppose that Q_F is solvably closed. Let $H_Q \subseteq Q_F$ be an open subgroup, $\sigma \in Q_F$ an element of the centralizer of H_Q . Write $F_H \subseteq \tilde{F}$ for the extension of Fdefined by H_Q . Since Q_F is solvably closed, by taking H_Q to be sufficiently small, we may assume that F_H contains a p-th root of unity, for some prime number p. Note that since σ commutes with H_Q , it follows that σ acts trivially on $H^2(H_Q, \mathbb{F}_p)$. Thus, by applying Proposition 1.2, (ii), to the action of σ on \tilde{F}/F_H , we conclude that σ acts trivially on F_H , i.e., that $\sigma \in H_Q$. On the other hand, since H_Q may be taken to be arbitrarily small, it thus follows that $\sigma = 1$, as desired. \bigcirc

5

The next two results, concerning *decomposition groups* and *topologically finitely* generated closed normal subgroups, respectively, are well-known in the case of absolute Galois groups [cf., e.g., [NSW], Corollary 12.1.3; [FJ], Proposition 16.11.6].

Proposition 1.4. (Decomposition Groups) Suppose that Q_F is a solvably closed Galois group of the number field F. Let v, w be valuations of F such that $v \neq w$; write $G_v, G_w \subseteq Q_F$ for the corresponding decomposition groups [which are well-defined up to conjugation] in Q_F and F_v , F_w for the corresponding completions of F. Then:

(i) Suppose that F contains a square root of -1, and that v, w are nonarchimedean; let K be a finite extension of F_v . Then there exists a finite Galois extension of F contained in \tilde{F} whose restriction to F_v contains K and whose restriction to F_w is the trivial extension.

(ii) Suppose that v, w are **archimedean**; let K be a nontrivial finite extension of F_v . Then there exists a quadratic extension of F contained in \widetilde{F} whose restriction to F_v contains K and whose restriction to F_w is the trivial extension.

(iii) The surjection $G_F \rightarrow Q_F$ induces an isomorphism of G_v with the decomposition group of v in G_F . In particular, if v is nonarchimedean, then G_v is slim and torsion-free.

(*iv*) $G_v \cap G_w = \{1\}.$

(v) Suppose that v is archimedean (respectively, nonarchimedean). Then the normalizer (respectively, commensurator) of G_v in Q_F is equal to G_v .

First, we consider assertion (i). Since the absolute Galois group of F_v is Proof. pro-solvable [cf., e.g., [NSW], Chapter VII, §5], we may assume, by recursion, that K is an abelian extension of F_v . Since, moreover, F contains a square root of -1, it follows that we may apply the *Grunwald-Wang Theorem* [cf., e.g., [NSW], Corollary 9.2.3] to F. Now assertion (i) follows immediately by applying the Grunwald-Wang Theorem to F. Assertion (ii) follows by considering the quadratic extension of Fdetermined by taking the square root of an element $f \in F$ which is < 0 at v and either > 0 or nonreal at w [where we note that the *existence* of such an f follows immediately from the fact that the valuations v, w are *distinct*. In the *nonar*chimedean case, assertion (iii) follows formally from assertion (i), together with the well-known facts that the absolute Galois group of a nonarchimedean local field is slim [cf., e.g., [Mzk1], Theorem 1.1.1, (ii)] and [of finite cohomological dimension - cf., e.g., [NSW], Corollary 7.2.5 — hence] torsion-free. In the archimedean case, assertion (iii) follows, for instance, by considering the extension of F obtained by adjoining a square root of -1. To verify assertion (iv), let us first observe that if at least one of v, w is nonarchimedean, then it follows from the torsion-free-ness portion of assertion (iii) that both v, w are nonarchimedean [cf. also the wellknown fact that the absolute Galois group of an archimedean local field is finite, of order < 2!, and, moreover, that from the point of view of verifying assertion (iv)

one may replace F by a finite abelian extension of F that satisfies the hypothesis of assertion (i). Now assertion (iv) follows immediately from assertions (i), (ii), (iii). Finally, assertion (v) follows formally from assertion (iv) [together with the *torsion-free-ness* portion of assertion (iii) in the *nonarchimedean* case].

Theorem 1.5. (Topologically Finitely Generated Closed Normal Subgroups) Suppose that \widetilde{F} is a Galois extension of the number field F such that for some prime number p, \widetilde{F} has no cyclic extensions of degree p [e.g., a solvably closed extension of F]. Then every topologically finitely generated closed normal subgroup $N \subseteq Q_F$ is trivial.

Proof. Although this fact only follows formally from the statement of [FJ], Proposition 16.11.6, in the case where \widetilde{F} is algebraically closed, as was explained to the author by A. Tamagawa, the proof given in [FJ] generalizes immediately to the case of arbitrary \widetilde{F} [i.e., as in the statement of Theorem 1.5]: Indeed, if we write $L \subseteq \widetilde{F}$ for the Galois [since N is normal] field extension of F determined by N, and assume that N is nontrivial, then it follows that there exists a proper normal open subgroup $N_1 \subseteq N$ of N. Thus, N_1 determines a finite Galois extension L_1/L of degree > 1. But, by [FJ], Theorem 13.9.1, (b) [i.e., "Weissauer's extension theorem for Hilbertian fields"], this implies that L_1 is Hilbertian, hence, by [repeated application of] [FJ], Theorem 16.11.2, that L_1 admits Galois extensions with Galois group isomorphic to a product of an arbitrary finite number of copies of $\mathbb{Z}/p\mathbb{Z}$. By our assumption on \widetilde{F} , it follows that such Galois extensions of L_1 are contained in \widetilde{F} , hence that N_1 admits finite quotients isomorphic to a product of an arbitrary finite number of copies of $\mathbb{Z}/p\mathbb{Z}$. But this contradicts the assumption that N is topologically finitely generated. \bigcirc

Section 2: Anabelian Results

Next, we consider the anabelian geometry of hyperbolic curves, in the context of solvably closed Galois groups of number fields.

The following result is due to K. Uchida [cf. the main theorem of [Uchida]]:

Theorem 2.1. (Solvably Closed Galois Groups are Anabelian) For $i = 1, 2, let \tilde{F}_i/F_i$ be a solvably closed extension of a number field F_i ; write $Q_i \stackrel{\text{def}}{=} \text{Gal}(\tilde{F}_i/F_i)$. Then passing to the induced morphism on Galois groups determines a bijection between the set of isomorphisms of topological groups

$$Q_1 \xrightarrow{\sim} Q_2$$

and the set of isomorphisms of fields $\widetilde{F}_1 \xrightarrow{\sim} \widetilde{F}_2$ that map F_1 onto F_2 .

Next, let us assume that we have been given a hyperbolic curve [cf., e.g., [Mzk1], §0, for a discussion of hyperbolic curves] over F. Let Σ be a nonempty set of prime numbers. Write

Δ_X

for the maximal pro- Σ quotient of the geometric fundamental group $\pi_1(X \times_F \overline{F})$ of X [relative to some basepoint]. Here, we note in passing that Σ may be recovered from Δ_X as the set of prime numbers that occur as factors of orders of finite quotients of Δ_X . Thus, one has a natural outer action

$$G_F \to \operatorname{Out}(\Delta_X)$$

of G_F on Δ_X .

Lemma 2.2. (Slimness) Δ_X is slim.

Proof. This follows immediately by considering Galois actions on abelianizations of open subgroups of Δ_X — cf. the proof of [Mzk1], Lemma 1.3.1, in the case where Σ is the set of all prime numbers. \bigcirc

Definition 2.3. We shall say that the [not necessarily solvably closed!] extension \widetilde{F}/F , or, alternatively, the Galois group Q_F , is Σ -compatible with X if the natural outer action

$$G_F \to \operatorname{Out}(\Delta_X)$$

factors through the quotient $G_F \twoheadrightarrow Q_F$. Thus, if Q_F is Σ -compatible with X, then one obtains an exact sequence of profinite groups

$$1 \to \Delta_X \to \Pi_X \to Q_F \to 1$$

by taking $\Pi_X \stackrel{\text{def}}{=} \operatorname{Aut}(\Delta_X) \times_{\operatorname{Out}(\Delta_X)} Q_F$ [cf. Lemma 2.2!].

Proposition 2.4. (Geometric Subgroups are Characteristic) For i = 1, 2, let \tilde{F}_i/F_i be a solvably closed extension of a number field F_i ; $Q_i \stackrel{\text{def}}{=} \operatorname{Gal}(\tilde{F}_i/F_i)$; Σ_i a nonempty set of prime numbers; X_i a hyperbolic curve over F_i with which Q_i is Σ_i -compatible; $1 \to \Delta_{X_i} \to \Pi_{X_i} \to Q_i \to 1$ the resulting exact sequence of profinite groups [cf. Definition 2.3]. Then any isomorphism of topological groups

$$\Pi_{X_1} \xrightarrow{\sim} \Pi_{X_2}$$

maps Δ_{X_1} isomorphically onto Δ_{X_2} . In particular, $\Sigma_1 = \Sigma_2$.

Proof. We give two proofs of Proposition 2.4. The first proof consists of simply observing [cf. the proof of [Mzk1], Lemma 1.1.4, (i), via [Mzk1], Theorem 1.1.2] that the image of Δ_{X_1} under the composite of the isomorphism $\Pi_{X_1} \xrightarrow{\sim} \Pi_{X_2}$ with

the surjection $\Pi_{X_2} \twoheadrightarrow Q_2$ forms a topologically finitely generated closed normal subgroup of Q_2 , hence is trivial, by Theorem 1.5.

The second proof of Proposition 2.4 only uses Theorem 1.5 in the well-known case of an absolute Galois group of a number field. Moreover, when either Σ_1 or Σ_2 is not equal to the set of all prime numbers, then this second proof does not use Theorem 1.5 at all.

For i = 1, 2, let $H_i \subseteq \Pi_{X_i}$ be corresponding normal open subgroups; write $H_i \twoheadrightarrow J_i$ for the quotients determined by the quotients $\Pi_{X_i} \twoheadrightarrow Q_i$. By taking the H_i to be sufficiently small, we may also assume that the number fields determined by the J_i contain square roots of -1. Thus, by Proposition 1.2, (i), it follows that

$$\operatorname{cd}_p(H_i) = 2 + d(p, i)$$

where d(p, i) is equal to 1 or 2 [depending on whether X_i is affine or proper] if $p \in \Sigma_i$ and d(p, i) = 0 if $p \notin \Sigma_i$. Since $H_1 \xrightarrow{\sim} H_2$, we thus conclude that $\Sigma_1 = \Sigma_2$, and that X_1 is affine if and only if X_2 is. Now if $\Sigma_1 = \Sigma_2$ is the set of all prime numbers, and X_1 , X_2 are affine, then it follows from Matsumoto's injectivity theorem [cf. [Mtmo], Theorem 2.1] that the field \widetilde{F}_i is an algebraic closure of F_i ; thus, in this case, Proposition 2.4 follows from [Mzk1], Lemma 1.1.4, (i) [i.e., Theorem 1.5 for absolute Galois groups of number fields].

Next, let us suppose that there exists a prime number p such that $p \notin \Sigma_1$, $p \notin \Sigma_2$. This implies that, for i = 1, 2, the natural homomorphism

$$H^2(J_i, \mathbb{F}_p) \to H^2(H_i, \mathbb{F}_p)$$

is an isomorphism, hence that Δ_{X_i} acts trivially on $H^2(H_i, \mathbb{F}_p)$. Thus, the natural action of Π_{X_i} on $H^2(H_i, \mathbb{F}_p)$ factors through the quotient $\Pi_{X_i} \twoheadrightarrow Q_i/J_i$. Now, by taking H_i to be sufficiently small, we may assume [since Q_i is solvably closed!] that the extension field of F_i determined by J_i contains a primitive p-th root of unity. Thus, by Proposition 1.2, (ii), we conclude that the action of Q_i/J_i on $H^2(H_i, \mathbb{F}_p)$ is faithful. Since the isomorphism $\Pi_{X_1} \xrightarrow{\sim} \Pi_{X_2}$ induces an isomorphism $H_1 \xrightarrow{\sim} H_2$, hence an isomorphism $H^2(H_1, \mathbb{F}_p) \xrightarrow{\sim} H^2(H_2, \mathbb{F}_p)$ which is compatible with the respective actions of Π_{X_1}, Π_{X_2} , we thus conclude that the isomorphism $\Pi_{X_1} \xrightarrow{\sim} \Pi_{X_2}$ preserves the kernels of the surjections $\Pi_{X_i} \twoheadrightarrow Q_i/J_i$, hence that the subgroup $\Delta_{X_i} = \text{Ker}(\Pi_{X_i} \twoheadrightarrow Q_i)$ may be recovered as the intersection of the kernels of the surjections $\Pi_{X_i} \twoheadrightarrow Q_i/J_i$, by letting the H_i range over all sufficiently small normal open subgroups of Π_{X_i} . This completes the proof of Proposition 2.4 in the case where there exists a prime number p such that $p \notin \Sigma_1, p \notin \Sigma_2$.

Finally, we consider the case where X_1 , X_2 are proper. Let p be a prime number; suppose that the H_i have been taken to be sufficiently small so that the number fields determined by the J_i contain a primitive p-th root of unity and a square root of -1. Then it follows from the Leray-Serre spectral sequence associated to the extension $1 \to D_i \to H_i \to J_i \to 1$ [where we write $D_i \stackrel{\text{def}}{=} \text{Ker}(H_i \twoheadrightarrow J_i)$] and Proposition 1.2, (i), that there is a natural isomorphism

$$H^4(H_i, \mathbb{F}_p) \cong H^2(J_i, \mathbb{F}_p) \otimes H^2(D_i, \mathbb{F}_p)$$

which is *compatible* with the natural action of Π_{X_i} on the various cohomology modules involved. Here, we note that [by the well-known structure of the cohomology of the geometric fundamental group of an algebraic curve] $\Delta_{X_i} \subseteq \Pi_{X_i}$ acts *trivially* on $H^2(D_i, \mathbb{F}_p)$. Thus, Proposition 2.4 follows in the present case by applying Proposition 1.2, (ii), as in the argument given in the preceding paragraph. \bigcirc

Theorem 2.5. (The Anabelian Geometry of Hyperbolic Curves over Solvably Closed Galois Groups) For i = 1, 2, let \tilde{F}_i/F_i be a solvably closed extension of a number field F_i ; $Q_i \stackrel{\text{def}}{=} \operatorname{Gal}(\tilde{F}_i/F_i)$; Σ_i a nonempty set of prime numbers; X_i a hyperbolic curve over F_i with which Q_i is Σ_i -compatible; $1 \rightarrow \Delta_{X_i} \rightarrow \Pi_{X_i} \rightarrow Q_i \rightarrow 1$ the resulting exact sequence of profinite groups [cf. Definition 2.3]; $\tilde{X}_i \rightarrow X_i$ the pro-finite étale covering of X_i determined by Π_{X_i} [regarded as a quotient of the étale fundamental group of X_i]. Then passing to the induced morphism on fundamental groups determines a bijection between the set of isomorphisms of topological groups

$$\Pi_{X_1} \xrightarrow{\sim} \Pi_{X_2}$$

and the set of compatible pairs of isomorphisms of schemes $\widetilde{X}_1 \xrightarrow{\sim} \widetilde{X}_2$, $X_1 \xrightarrow{\sim} X_2$.

Proof. By Proposition 2.4, any isomorphism $\Pi_{X_1} \xrightarrow{\sim} \Pi_{X_2}$ induces an isomorphism $Q_1 \xrightarrow{\sim} Q_2$, hence, by Theorem 2.1, a compatible pair of isomorphisms of fields $\widetilde{F}_1 \xrightarrow{\sim} \widetilde{F}_2, F_1 \xrightarrow{\sim} F_2$. Thus, we may apply "Theorem A" of [Mzk2] to the isomorphism $\Pi_{X_1} \xrightarrow{\sim} \Pi_{X_2}$ to conclude that this isomorphism arises from a unique compatible pair of isomorphisms of schemes $\widetilde{X}_1 \xrightarrow{\sim} \widetilde{X}_2, X_1 \xrightarrow{\sim} X_2$, as desired. \bigcirc

Section 3: Some Examples

Finally, we conclude by observing that in various situations, Σ -compatible solvably closed extensions which are, moreover, "relatively small" [e.g., by comparison to the entire absolute Galois group of a number field] exist in substantial abundance.

Proposition 3.1. (The Case of a Single Prime Number) Let $\Sigma \stackrel{\text{def}}{=} \{r\}$, where r is a prime number.

(i) Let Δ be a **topologically finitely generated pro-r group**. [Thus, since Δ is topologically finitely generated, its topology admits a base of **characteristic** open subgroups, which determine a natural profinite topology on $Out(\Delta)$.] Write $\Delta \twoheadrightarrow \Delta^{ab}$ for the **abelianization** of Δ . Then the kernel of the natural morphism of profinite groups

$$\operatorname{Out}(\Delta) \to \operatorname{Aut}(\Delta^{\operatorname{ab}} \otimes \mathbb{F}_r)$$

is a **pro-***r* /hence, in particular, **pro-solvable**! group.

(ii) Let X be a hyperbolic curve over F. Then there exists a finite Galois extension F_1 over F such that the maximal solvable extension [which is solvably closed — cf. Remark 1.1.2] $\tilde{F} \stackrel{\text{def}}{=} F_1^{\text{sol}}$ of F_1 is Σ -compatible with X.

Proof. Assertion (i) follows immediately by considering the action of an outer automorphism in the kernel of $\operatorname{Out}(\Delta) \to \operatorname{Aut}(\Delta^{\operatorname{ab}} \otimes \mathbb{F}_r)$ on the *lower central series* of Δ . Assertion (ii) follows formally from assertion (i) and the definitions.

Proposition 3.2. (Basic Properties of Special Linear Groups) Let l be a prime number. Write $SL_2(\mathbb{F}_l)$ for the special linear group of 2 by 2 matrices with coefficients in \mathbb{F}_l , $PSL_2(\mathbb{F}_l) \stackrel{\text{def}}{=} SL_2(\mathbb{F}_l)/\{\pm 1\}$.

(i) Suppose that $l \geq 5$. Then $PSL_2(\mathbb{F}_l)$ is a simple finite group.

(ii) No proper subgroup of $SL_2(\mathbb{F}_l)$ surjects onto $PSL_2(\mathbb{F}_l)$.

(iii) $PSL_2(\mathbb{F}_2)$, $PSL_2(\mathbb{F}_3)$, as well as every proper subgroup of $PSL_2(\mathbb{F}_l)$ [for arbitrary l], is either solvable or isomorphic to $PSL_2(\mathbb{F}_5)$.

Proof. Assertions (i), (ii), (iii) are well-known — cf., e.g., [Serre], Chapter IV, $\S3.4$, Lemmas 1, 2; [Carter], $\S1.2$. \bigcirc

Remark 3.2.1. The proper subgroups H of $SL_2(\mathbb{F}_l)$ may be analyzed as follows: If H is of order divisible by l, then H contains an element of order l — i.e., [since \mathbb{F}_l^{\times} , $\mathbb{F}_{l^2}^{\times}$ are of order prime to l] a unipotent matrix — and so it is easy to show [using the fact that $SL_2(\mathbb{F}_l)$ is generated by $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$, $\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$] that *H* is *solvable* [assuming that it is proper]. On the other hand, if the order of H is prime to l, then H may be classified by applying the Hurwitz formula to the Galois covering $\mathbb{P}^1_{\overline{\mathbb{F}}_l} \to \mathbb{P}^1_{\overline{\mathbb{F}}_l}/H$ [arising from the natural action of SL_2 on $\mathbb{P}^1_{\overline{\mathbb{F}}_l}$, where $\overline{\mathbb{F}}_l$ is an algebraic closure of \mathbb{F}_l , which gives rise to fairly *restrictive conditions* on the ramification indices of this covering. In particular, if H is *non-abelian*, then, by taking an appropriate isomorphism of $\mathbb{P}^1_{\mathbb{F}_l}/H$ with $\mathbb{P}^1_{\mathbb{F}_l}$, one concludes that this covering is ramified over the three points " $\vec{0}$ ", "1", and " ∞ " of $\mathbb{P}^1_{\mathbb{F}}$, with ramification index 2 at "0", ramification index $\in \{2,3\}$ at "1", and ramification index $\in \{3,4,5\}$ (respectively, arbitrary, ≥ 2) at " ∞ " if the ramification index at "1" is equal to 3 (respectively, 2). Now it is an elementary exercise to classify the possible groups H that may occur. For instance, by considering modular curves, it follows immediately that the case $H = PSL_2(\mathbb{F}_5)$ corresponds to the case where the ramification indices are (2, 3, 5).

Proposition 3.3. (Linear Disjointness I) Let l > 5 be a prime number; r a prime number $\neq l$; $\Sigma \stackrel{\text{def}}{=} \{r\}$; X a once-punctured elliptic curve over a number field F. Suppose further that F contains an l-th root of unity, and that the resulting homomorphism

$$G_F \to SL_2(\mathbb{F}_l)$$

determined by the action of the absolute Galois group G_F of F on the *l*-torsion points of the elliptic curve E compactifying X is **surjective**. Then there exists a **solvably closed extension** \widetilde{F}/F which is Σ -compatible with X, and, moreover, linearly disjoint [over F] from the extension K of F determined by the kernel of the homomorphism $G_F \to SL_2(\mathbb{F}_l)$.

Proof. Write $L \subseteq K$ for the extension of F determined by the kernel of the homomorphism $G_F \to PSL_2(\mathbb{F}_l)$. Then it follows immediately from Proposition 3.2, (ii), that any Galois extension of F is linearly disjoint from K if and only if it is linearly disjoint from L. Now observe that $Gal(L/F) \cong PSL_2(\mathbb{F}_l)$ is simple [cf. Proposition 3.2, (i)] and non-abelian. Thus, by Proposition 3.1, (i), it suffices to show that the finite Galois extension R of F determined by the kernel of the homomorphism $G_F \to GL_2(\mathbb{F}_r)$ arising from the Galois action on the r-torsion points of E is linearly disjoint from L. On the other hand, again since Gal(L/F)is simple and non-abelian, this linear disjointness property follows from the fact [cf. Proposition 3.2, (iii); our assumption that $r \neq l > 5$] that no subquotient of $GL_2(\mathbb{F}_r)$ [or, equivalently, $PSL_2(\mathbb{F}_r)$, since $PSL_2(\mathbb{F}_l)$ is simple and nonabelian] is isomorphic to $PSL_2(\mathbb{F}_l)$. This completes the proof of Proposition 3.3. ()

Proposition 3.4. (Linear Disjointness II) Let l > 5 be a prime number; Σ a nonempty set of prime numbers such that $l \notin \Sigma$; X a once-punctured elliptic curve over a number field F with stable reduction over the ring of integers \mathcal{O}_F of F; F_{μ} the extension of F obtained by adjoining an *l*-th root of unity. Suppose further that $l \ge [F : \mathbb{Q}] + 2$; that $[F_{\mu} : F]$ divides (l-1)/2 [which implies that the homomorphism

$$G_F \to PGL_2(\mathbb{F}_l) \stackrel{\text{def}}{=} GL_2(\mathbb{F}_l) / \mathbb{F}_l^{\times}$$

determined by the action of the absolute Galois group G_F of F on the *l*-torsion points of the elliptic curve E compactifying X factors through the image of $PSL_2(\mathbb{F}_l)$ in $PGL_2(\mathbb{F}_l)$; that the resulting homomorphism $G_F \to PSL_2(\mathbb{F}_l)$ is surjective; and that, for each prime \mathfrak{l} of F lying over l at which E has bad reduction, the following condition is satisfied:

Write $F_{\mathfrak{l}}$ for the completion of F at \mathfrak{l} . Thus, the elliptic curve $E \times_F F_{\mathfrak{l}}$ is a **Tate curve**, hence has a well-defined "**q-parameter**" $q_{\mathfrak{l}}$ in the ring of integers $\mathcal{O}_{F_{\mathfrak{l}}}$. Then the valuation of $q_{\mathfrak{l}}$ is **prime to** l.

Then:

(i) There exists an extension \overline{F}/F which is Σ -compatible with X, and, moreover, linearly disjoint [over F] from the extension K of F determined by the kernel of the homomorphism $G_F \to PSL_2(\mathbb{F}_l)$.

(ii) Write K_{μ} for the extension of F determined by the kernel of the homomorphism $G_F \to GL_2(\mathbb{F}_l)$ [arising from the Galois action on the l-torsion points of E]. Thus, $F_{\mu} \subseteq K_{\mu}$; write $\widetilde{F}_{\mu} \stackrel{\text{def}}{=} F_{\mu} \cdot \widetilde{F}$ for the composite extension [over F]. Then the maximal solvable extension $\widetilde{F}_{\mu}^{\text{sol}}$ of \widetilde{F}_{μ} forms a solvably closed extension of F_{μ} which is Σ -compatible with X and, moreover, linearly disjoint over F_{μ} from the extension K_{μ} of F_{μ} .

Proof. First, we consider assertion (i). Let \widetilde{F}/F be the extension determined by the kernel of the homomorphism $G_F \to \operatorname{Out}(\Delta_X)$ [cf. Definition 2.3]. Let \mathfrak{l} be a prime of F lying over l. Since $PSL_2(\mathbb{F}_l)$ is simple [cf. Proposition 3.2, (i)], to complete the proof of assertion (i), it suffices to show that the composite [i.e., over F] field extension $K \cdot \widetilde{F}$ is not equal to \widetilde{F} . Thus, suppose that $K \cdot \widetilde{F} = \widetilde{F}$. Since $l \notin \Sigma$, if E has good reduction at \mathfrak{l} , then it follows that \widetilde{F}/F is unramified at \mathfrak{l} ; similarly, if E has bad reduction at \mathfrak{l} , then the fact that $l \notin \Sigma$ implies that \widetilde{F}/F is tamely ramified at \mathfrak{l} . On the other hand, if E has good reduction at \mathfrak{l} , then the fact that $K \subseteq K \cdot \widetilde{F} = \widetilde{F}$ is unramified at \mathfrak{l} implies, by applying, for instance, results of Raynaud on the "fully faithfulness of restriction to the generic fiber" for finite flat group schemes over moderately ramified discrete valuation rings [cf. [Rayn], Corollaire 3.3.6, (1); our assumption that $l \geq [F : \mathbb{Q}] + 2$, which implies that the ring of integers \mathcal{O}_{F_1} is indeed "moderately ramified"], that, if we write \mathcal{E} for the stable model of the elliptic curve E over \mathcal{O}_{F_1} and $\mathcal{E}[l]$ for the kernel of multiplication by l on \mathcal{E} , then $\mathcal{E}[l]$ may be written as a direct product

$$\mathcal{E}[l] \cong \mathcal{G} imes \mathcal{G}$$

of two copies of some finite flat group scheme \mathcal{G} over $\mathcal{O}_{F_{\mathfrak{l}}}$ [which implies, for instance, that the tangent space of $\mathcal{E}[l]$, hence also of \mathcal{E} , is *even-dimensional*!] — a contradiction. Finally, if E has *bad reduction* at \mathfrak{l} , then the fact that $K \subseteq K \cdot \widetilde{F} = \widetilde{F}$ is tamely ramified at \mathfrak{l} contradicts our assumption concerning the "valuation of the q-parameter" [which implies that K is *wildly ramified* at \mathfrak{l}]. This completes the proof of assertion (i).

To verify assertion (ii), let us first observe that by Proposition 3.2, (i) [cf. our assumption that l > 5], (ii), and the *surjectivity* assumption in the statement of the present Proposition 3.4, we have $\operatorname{Gal}(K_{\mu}/F_{\mu}) \cong SL_2(\mathbb{F}_l)$. Now, by applying Proposition 3.2, (ii), as in the proof of Proposition 3.3, assertion (ii) follows immediately from assertion (i), together with the *simplicity* [and *non-solvability*] of $PSL_2(\mathbb{F}_l)$. \bigcirc

Bibliography

- [Carter] R. W. Carter, Simple groups of Lie type, Pure and Applied Mathematics 28, John Wiley & Sons (1972).
 - [FJ] M. Fried and M. Jarden, *Field Arithmetic* (second edition), Springer-Verlag (2005).
 - [Lang] S. Lang, Algebraic number theory, Addison-Wesley Publishing Co. (1970).
- [Mtmo] M. Matsumoto, Galois representations on profinite braid groups on curves, J. Reine Angew. Math. 474 (1996), pp. 169-219.
- [Mzk1] S. Mochizuki, The Absolute Anabelian Geometry of Hyperbolic Curves, *Galois Theory and Modular Forms*, Kluwer Academic Publishers (2003), pp. 77-122.
- [Mzk2] S. Mochizuki, The Local Pro-p Anabelian Geometry of Curves, *Invent. Math.* 138 (1999), pp. 319-423.
- [NSW] J. Neukirch, A. Schmidt, K. Wingberg, *Cohomology of number fields*, *Grundlehren der Mathematischen Wissenschaften* **323**, Springer-Verlag (2000).
- [Rayn] M. Raynaud, Schémas en groupes de type (p, \ldots, p) , Bull. Soc. Math. France **102** (1974), pp. 241-280.
- [Serre] J.-P. Serre, Abelian l-adic Representations and Elliptic Curves, Benjamin (1968).
- [Uchida] K. Uchida, Isomorphisms of Galois groups of solvably closed Galois extensions, *Tôhoku Math. J.* **31** (1979), pp. 359-362.