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**On the Averages of Generalized Hasse-Witt Invariants  
of Pointed Stable Curves in Positive Characteristic**

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## Abstract

In the present paper, we study fundamental groups of curves in positive characteristic. Let  $X^\bullet$  be a pointed stable curve of type  $(g_X, n_X)$  over an algebraically closed field of characteristic  $p > 0$ ,  $\Gamma_{X^\bullet}$  the dual semi-graph of  $X^\bullet$ , and  $\Pi_{X^\bullet}$  the admissible fundamental group of  $X^\bullet$ . In the present paper, we study a kind of group-theoretically invariant  $\text{Avr}_p(\Pi_{X^\bullet})$  associated to the isomorphism class of  $\Pi_{X^\bullet}$  called the limit of  $p$ -averages of  $\Pi_{X^\bullet}$ , which plays a central role in the theory of anabelian geometry of curves over algebraically closed fields of positive characteristic. Without any assumptions concerning  $\Gamma_{X^\bullet}$ , we give a lower bound and an upper bound of  $\text{Avr}_p(\Pi_{X^\bullet})$ . In particular, we prove an explicit formula for  $\text{Avr}_p(\Pi_{X^\bullet})$  under a certain assumption concerning  $\Gamma_{X^\bullet}$  which generalizes a formula for  $\text{Avr}_p(\Pi_{X^\bullet})$  obtained by A. Tamagawa. Moreover, if  $X^\bullet$  is a component-generic pointed stable curve, then we prove an explicit formula for  $\text{Avr}_p(\Pi_{X^\bullet})$  without any assumptions concerning  $\Gamma_{X^\bullet}$ , which can be regarded as an averaged analogue of the results of S. Nakajima, B. Zhang, E. Ozman-R. Pries concerning  $p$ -rank of abelian étale coverings of projective generic curve for admissible coverings of component-generic pointed stable curves.

Keywords: pointed stable curve, admissible fundamental group, generalized Hasse-Witt invariant, Raynaud-Tamagawa theta divisor, positive characteristic.

Mathematics Subject Classification: Primary 14H30; Secondary 14H32.

## Contents

<b>1</b>	<b>Introduction</b>	<b>2</b>
<b>2</b>	<b>Preliminaries</b>	<b>8</b>
<b>3</b>	<b>Images and kernels of homomorphisms of abelianizations of admissible fundamental groups</b>	<b>12</b>
<b>4</b>	<b>Averages of generalized Hasse-Witt invariants</b>	<b>17</b>
4.1	Generalized Hasse-Witt invariants and line bundles . . . . .	17
4.2	Raynaud-Tamagawa theta divisor . . . . .	20
4.3	Lower bounds and upper bounds of the limit of $p$ -averages . . . . .	23

<b>5</b>	<b>Lower bounds and upper bounds for the limits of <math>p</math>-averages of admissible fundamental groups</b>	<b>32</b>
<b>6</b>	<b>A formula for the limits of <math>p</math>-averages of admissible fundamental groups of component-generic pointed stable curves</b>	<b>34</b>
6.1	Degeneration and existence of Raynaud-Tamagawa theta divisor . . . . .	35
6.2	A formula for the limits of $p$ -averages . . . . .	41

# 1 Introduction

In the present paper, we study admissible fundamental groups of pointed stable curves over algebraically closed fields of positive characteristic. Let

$$X^\bullet = (X, D_X)$$

be a pointed stable curve of type  $(g_X, n_X)$  over an algebraically closed field  $k$ . Here  $X$  denotes the underlying curve of  $X^\bullet$ , and  $D_X$  denotes the set of marked points of  $X^\bullet$ . Write  $U_X$  for  $X \setminus D_X$ ,  $\Gamma_{X^\bullet}$  for the dual semi-graph of  $X^\bullet$ ,  $v(\Gamma_{X^\bullet})$  for the set of vertices of  $\Gamma_{X^\bullet}$ , and  $r_X$  for the Betti number of  $\Gamma_{X^\bullet}$ . Moreover, by choosing a suitable base point of  $X^\bullet$ , we obtain the admissible fundamental group

$$\Pi_{X^\bullet}$$

of  $X^\bullet$  (cf. Definition 2.2). In particular,  $\Pi_{X^\bullet}$  is naturally (outer) isomorphic to the tame fundamental group  $\pi_1^t(U_X)$  if  $X^\bullet$  is smooth over  $k$ .

Write  $\Pi_{X^\bullet}^{p'}$  for the maximal prime-to- $p$  quotient of  $\Pi_{X^\bullet}^{p'}$  if the characteristic  $\text{char}(k)$  of  $k$  is  $p > 0$ . We denote by

$$\Pi := \begin{cases} \Pi_{X^\bullet}, & \text{if } \text{char}(k) = 0, \\ \Pi_{X^\bullet}^{p'}, & \text{if } \text{char}(k) = p > 0. \end{cases}$$

Then the structures of  $\Pi$  are well-known, which are isomorphic to the profinite completion and the maximal prime-to- $p$  quotient of the profinite completion of the following free group (cf. [G, XIII.2.12], [V, Théorème 2.2])

$$\langle a_1, \dots, a_{g_X}, b_1, \dots, b_{g_X}, c_1, \dots, c_{n_X} \mid \prod_{i=1}^{g_X} [a_i, b_i] \prod_{j=1}^{n_X} c_j = 1 \rangle$$

if  $\text{char}(k) = 0$  and  $\text{char}(k) = p$ , respectively. In particular,  $\Pi_{X^\bullet}$  and  $\Pi_{X^\bullet}^{p'}$  are free profinite group with  $2g_X + n_X - 1$  generators if  $n_X > 0$  and with  $2g_X$  generators if  $n_X = 0$ . Note that we can not determine whether  $U_X$  is affine (i.e.,  $n_X \neq 0$ ) or not group-theoretically from the isomorphism class of  $\Pi$ . Moreover,  $(g_X, n_X)$  can not be determined group-theoretically from the isomorphism class of  $\Pi$ .

If  $\text{char}(k) = p > 0$ ,  $\Pi_{X^\bullet}$  is very mysterious, and the structure of  $\Pi_{X^\bullet}$  is no longer known. In the remainder of the introduction, we assume that  $\text{char}(k) = p > 0$ . First, since all the admissible coverings in positive characteristic can be lifted to characteristic

0 (cf. [V, Théorème 2.2]), we obtain that  $\Pi_{X^\bullet}$  is topologically finitely generated. Then the isomorphism class of  $\Pi_{X^\bullet}$  is determined by the set of finite quotients of  $\Pi_{X^\bullet}$  (cf. [FJ, Proposition 16.10.6]). Moreover, the theory developed in [T1] and [Y1] implies that the isomorphism class of  $X^\bullet$  as a scheme can possibly be determined by not only the isomorphism class of  $\Pi_{X^\bullet}$  as a profinite group but also the isomorphism class of the maximal pro-solvable quotient of  $\Pi_{X^\bullet}$  as a profinite group. Then we may ask the following question.

Which finite solvable group can appear as a quotient of  $\Pi_{X^\bullet}$ ?

Let  $H \subseteq \Pi_{X^\bullet}$  be an arbitrary open normal subgroup and  $X_H^\bullet = (X_H, D_{X_H})$  the pointed stable curve of type  $(g_{X_H}, n_{X_H})$  over  $k$  corresponding to  $H$ . We have an important invariant associated to  $X_H^\bullet$  (or  $H$ ) called  $p$ -rank (or *Hasse-Witt invariant*) which is defined to be

$$\sigma(X_H^\bullet) := \dim_{\mathbb{F}_p}(H^{\text{ab}} \otimes \mathbb{F}_p),$$

where  $(-)^{\text{ab}}$  denotes the abelianization of  $(-)$ . Note that we have  $\sigma(X_H^\bullet) \leq g_{X_H}$ . Roughly speaking,  $\sigma(X_H^\bullet)$  controls the quotients of  $\Pi_{X^\bullet}$  which are an extension of group  $\Pi_{X^\bullet}/H$  by a  $p$ -group. Since the structures of maximal prime-to- $p$  quotients of admissible fundamental groups have been known, in order to solve the question mentioned above, we need compute the  $p$ -rank  $\sigma(X_H^\bullet)$  when  $\Pi_{X^\bullet}/H$  is abelian. If  $\Pi_{X^\bullet}/H$  is a  $p$ -group, then  $\sigma(X_H^\bullet)$  can be computed by applying the Deuring-Shafarevich formula (cf. [C]). If  $H$  is not a  $p$ -group, the situation of  $\sigma(X_H^\bullet)$  is very complicated. The Deuring-Shafarevich formula implies that, to compute  $\sigma(X_H^\bullet)$ , we only need to assume that  $H$  is a prime-to- $p$  group.

Suppose that  $n_X = 0$ , and that  $X^\bullet$  is smooth over  $k$  (i.e.,  $X^\bullet = X$ ). If  $X$  is a curve corresponding to a geometric generic point of moduli space (i.e., a geometric generic curve), S. Nakajima (cf. [N]) proved that, if  $\Pi_{X^\bullet}/H$  is a cyclic group with a prime order, then  $\sigma(X_H^\bullet) = g_{X_H}$  (i.e.,  $\sigma(X_H^\bullet)$  attains the maximum). Moreover, B. Zhang (cf. [Z]) extended Nakajima's result to the case where  $\Pi_{X^\bullet}/H$  is an arbitrary abelian group. Recently, E. Ozman and R. Pries (cf. [OP]) generalized Nakajima's result to the case where  $X$  is a curve corresponding to an arbitrary geometric point of  $p$ -rank stratas of moduli space. Let  $n \in \mathbb{N}$  such that  $(n, p) = 1$ . In other words, the results of Nakajima, Zhang, and Ozman-Pries show that, for each Galois étale covering of  $X$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$ , the *generalized Hasse-Witt invariants* (cf. [N]) associated to non-trivial characters of  $\mathbb{Z}/n\mathbb{Z}$  attain the maximum  $g_X - 1$  except for the eigenspaces associated with eigenvalue 1. However, if  $X$  is not geometric generic,  $\sigma(X_H^\bullet)$  can not be computed explicitly in general. On the other hand, M. Raynaud (cf. [R]) developed his theory of theta divisor and proved that, if  $n \gg 0$ , then the generalized Hasse-Witt invariants attain the maximum  $g_X - 1$  for almost all the Galois étale coverings of  $X$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$ . As a consequence, Raynaud obtained that  $\Pi_{X^\bullet}$  is not a prime-to- $p$  profinite group.

Suppose that  $n_X \neq 0$ , and that  $X^\bullet$  is smooth over  $k$ . The computations of generalized Hasse-invariants of admissible coverings of  $X^\bullet$  (i.e., tame coverings of  $U_X$ ) are much more difficult than case where  $n_X = 0$ . Note that the results of Nakajima, Zhang, Ozman-Pries do not hold for tame coverings in general, and that the generalized Hasse-Witt invariants of each Galois admissible coverings of  $X^\bullet$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$  are less than  $g_X + n_X - 1$ .

In the remainder of the introduction, let  $t$  be an arbitrary positive natural number and  $n = p^t - 1$ . For each Galois admissible covering  $Y^\bullet \rightarrow X^\bullet$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$ , the Kummer theory implies that there exists a line bundle  $\mathcal{L}$  on  $X$  such that  $\mathcal{L}^{\otimes n} \cong \mathcal{O}_X(-D)$ , where  $D$  is an effective divisor on  $X$  of degree  $\deg(D) = s(D)n$  whose support is contained in  $D_X$ , where we have

$$0 \leq s(D) \leq \begin{cases} n_X, & \text{if } n_X \leq 1, \\ n_X - 1, & \text{if } n_X > 1. \end{cases}$$

A. Tamagawa observed that Raynaud's theory of theta divisor can be generalized to the case of tame coverings, and established a theory of theta divisor under the assumption that  $s(D) \leq 1$ . In particular, Tamagawa proved that, if  $n \gg 0$ ,  $n_X > 1$ , and  $s(D) = 1$ , then the generalized Hasse-Witt invariants are equal to  $g_X$  for almost all the Galois admissible coverings of  $X^\bullet$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$ . Furthermore, he introduced a kind of group-theoretically invariant associated to  $\Pi_{X^\bullet}$  called the limit of  $p$ -averages (see also Definition 2.4)

$$\text{Avr}_p(\Pi_{X^\bullet}) := \lim_{t \rightarrow \infty} \frac{\dim_{\mathbb{F}_p}(K_n^{\text{ab}} \otimes \mathbb{F}_p)}{\#(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z})},$$

where  $K_n$  denotes the kernel of the natural continuous surjective homomorphism  $\Pi_{X^\bullet} \rightarrow \Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z}$ , and proved the following formula (cf. [T1, Theorem 0.5]).

**Theorem 1.1.** *Suppose that  $X^\bullet$  is smooth over  $k$ . Then we have*

$$\text{Avr}_p(\Pi_{X^\bullet}) = \begin{cases} g_X - 1, & \text{if } n_X \leq 1, \\ g_X, & \text{if } n_X > 1. \end{cases}$$

**Remark 1.1.1.** As an application, Tamagawa obtained that  $(g_X, n_X)$  can be reconstructed group-theoretically from the isomorphism class of  $\Pi_X$  (cf. [T1, Theorem 0.1]), and proved that *the weak Isom-version of the Grothendieck conjecture for curves over algebraically closed fields of characteristic  $p > 0$*  (=Weak Isom-version Conjecture) holds when  $g = 0$  and  $X^\bullet$  is smooth over an algebraic closure of  $\mathbb{F}_p$  (cf. [T1, Theorem 0.2]). This means that the isomorphism class of  $U_X$  as a scheme can be determined group-theoretically from the isomorphism class of  $\Pi_{X^\bullet}$  as a profinite group. The original anabelian conjectures of A. Grothendieck require the using of the highly non-trivial outer Galois actions induced by the fundamental exact sequences of étale (or tame) fundamental groups. Weak Isom-version Conjecture showed evidence for very strong anabelian phenomena for curves over algebraically closed fields of characteristic  $p > 0$ . In this situation, the Galois group of the base field is trivial, and étale (or tame) fundamental group coincides with the geometric fundamental group, thus in a total absence of a Galois action of the base field. Note that, in the case of algebraically closed fields of characteristic 0, since the geometric fundamental groups of curves depend only on the types of curves,  $(g_X, n_X)$  can not be reconstructed group-theoretically from the isomorphism class of  $\Pi_X$ , and the anabelian geometry of curves does not exist in this situation.

Furthermore, the following theorem was proved by Tamagawa (cf. [T2, Theorem 3.10], Remark 5.2.1 and Remark 5.2.2 of the present paper), which is a generalized version of

Theorem 1.2 to the case of pointed stable curves under certain assumptions of dual semi-graphs (see Definition 5.1 for the definitions of  $V_{X^\bullet}^{\text{tre}}$  and  $E_{X^\bullet}^{\text{tre}}$ ). This theorem is a key step toward proving a theorem concerning resolution of non-singularities (cf. [T2, Theorem 0.2]).

**Theorem 1.2.** *Suppose that  $\Gamma_{X^\bullet}^{\text{cpt}}$  is 2-connected (cf. Definition 2.1). Then we have*

$$\text{Avr}_p(\Pi_{X^\bullet}) = g_X - r_X - \#V_{X^\bullet}^{\text{tre}} + \#E_{X^\bullet}^{\text{tre}}.$$

**Remark 1.2.1.** Theorem 1.2 means that, if  $n \gg 0$ , the generalized Hasse-Witt invariants are equal to  $g_X - r_X - \#V_{X^\bullet}^{\text{tre}} + \#E_{X^\bullet}^{\text{tre}}$  for almost all the Galois admissible coverings of  $X^\bullet$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$ .

**Remark 1.2.2.** Let  $v \in v(\Gamma_{X^\bullet})$ . Write  $\tilde{X}_v$  for the normalization of the irreducible component of  $X$  corresponding to  $v$  and  $\text{nom}_v : \tilde{X}_v \rightarrow X_v$  for the normalization morphism. We define a smooth pointed stable curve of type  $(g_v, n_v)$  to be

$$\tilde{X}_v^\bullet = (\tilde{X}_v, D_{\tilde{X}_v} := \text{nom}_v^{-1}((X_v \cap X^{\text{sing}}) \cup (D_X \cap X_v))).$$

We denote by  $\Pi_v$  the admissible fundamental group of  $\tilde{X}_v^\bullet$ . Then we have a homomorphism  $\phi_v : \Pi_v^{\text{ab}} \rightarrow \Pi_{X^\bullet}^{\text{ab}}$  induced by the natural (outer) injective homomorphism  $\Pi_v \hookrightarrow \Pi_{X^\bullet}$ . Note that  $\phi_v$  is not an injection in general. The key of the proof of Theorem 1.2 is to prove that  $\phi_v$  is an injection for each  $v \in v(\Gamma_{X^\bullet})$  when  $\Gamma_{X^\bullet}^{\text{cpt}}$  is 2-connected (cf. [T2, Proposition 3.4] or Corollary 3.5 of the present paper). This means that each Galois admissible covering of  $\tilde{X}_v^\bullet$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$  can be extended to a Galois admissible covering of  $X^\bullet$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$ . Then Theorem 1.2 follows immediately from Theorem 1.1.

**Remark 1.2.3.** On the other hand, the author observed that the following.

The set of limits of  $p$ -averages

$$\{\text{Avr}_p(H) \mid H \subseteq \Pi_{X^\bullet} \text{ open normal}\}$$

plays a role of (outer) Galois actions in the theory of the anabelian geometry of curves over algebraically closed fields of characteristic  $p > 0$ .

Moreover, by applying Theorem 1.2, the author proved the *combinatorial Grothendieck conjecture* for curves over algebraically closed fields of characteristic  $p > 0$  (cf. [Y1, Theorem 1.2]), and generalized Tamagawa's result concerning Weak Isom-version Conjecture to the case of (possibly singular) pointed stable curves (cf. [Y1, Theorem 1.3]).

Next, let us explain another motivation of the theory developed in the present paper. Since  $(g_X, n_X)$  can be reconstructed group-theoretically from the isomorphism class of  $\Pi_{X^\bullet}$ , Weak Isom-version Conjecture can be reformulated from the point of view of moduli spaces (cf. [Y2]). Then Weak Isom-version Conjecture means that the moduli spaces of curves can be reconstructed group-theoretically *as sets* from the isomorphism classes of admissible fundamental groups of curves. However, Weak Isom-version Conjecture can not tell us any further information of moduli spaces (e.g. topological structure). In [Y2], the

author posed a new conjecture which is called *the weak Hom-version of the Grothendieck conjecture for curves over algebraically closed fields of characteristic  $p > 0$*  (=Weak Hom-version Conjecture). Roughly speaking, Weak Hom-version Conjecture means that the moduli spaces of curves can be reconstructed group-theoretically *as topological spaces* from the sets of continuous open homomorphisms of admissible fundamental groups of curves with a fixed type.

Let  $X_i^\bullet$ ,  $i \in \{1, 2\}$ , be a pointed stable curve of type  $(g_X, n_X)$  over an algebraically closed field  $k_i$  of characteristic  $p > 0$  and  $\Pi_{X_i^\bullet}$  the admissible fundamental group of  $X_i^\bullet$ . The first step toward proving Weak Hom-version Conjecture is to prove that each continuous open surjective homomorphism  $\phi : \Pi_{X_1} \rightarrow \Pi_{X_2}$  induces a morphism of semi-graphs of anabelioids (cf. [M2] for the definition of semi-graphs of anabelioids) associated to  $X_i^\bullet$ . In order to prove this, we have the following key observation.

The set of inequalities of the limit of  $p$ -averages

$$\{\text{Avr}_p(\phi^{-1}(H_2)) \geq \text{Avr}_p(H_2) \mid H_2 \subseteq \Pi_{X_2^\bullet} \text{ open normal}\}$$

induced by the surjection  $\phi$  plays a role of the comparability of (outer) Galois actions in the theory of the anabelian geometry of curves over algebraically closed fields of characteristic  $p > 0$ .

Let  $H_2$  be arbitrary open normal subgroup of  $\Pi_{X_2^\bullet}$ ,  $H_1 := \phi^{-1}(H_2)$ ,  $X_{H_i}^\bullet$ ,  $i \in \{1, 2\}$ , the pointed stable curve over  $k_i$  corresponding to  $H_i$ , and  $\Gamma_{X_{H_i}^\bullet}$  the dual semi-graph of  $X_{H_i}^\bullet$ . Since  $\Gamma_{X_{H_i}^\bullet}^{\text{cpt}}$ ,  $i \in \{1, 2\}$ , is not 2-connected in general even in the case where  $\Gamma_{X_i^\bullet}^{\text{cpt}}$  is 2-connected, we can not use Theorem 1.1 to compute  $\text{Avr}_p(H_i)$ . Thus, we need a generalized version of Theorem 1.2.

For each  $v \in v(\Gamma_{X^\bullet})$ , we introduce two sets  $E_v^{>1}$  and  $E_v^{=1}$  associated to  $v$  which only depend on  $\Gamma_{X^\bullet}$  and  $v$  (cf. Definition 3.3). The first main theorem of the present paper is the following (cf. Theorem 5.2), which gives a lower bound and an upper bound of the generalized Hasse-Witt invariants for almost all the Galois admissible coverings of an arbitrary pointed stable curve  $X^\bullet$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$  when  $n \gg 0$  (see Definition 5.1 for the definition of  $V_{X^\bullet}^{\text{tre}, g_v=0}$ ).

**Theorem 1.3.** *We have*

$$\begin{aligned} & g_X - r_X - \#V_{X^\bullet}^{\text{tre}} + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} - \sum_{v \in v(\Gamma_{X^\bullet}) \text{ s.t. } \#E_v^{>1} > 1} g_v \\ & \leq \text{Avr}_p(\Pi_{X^\bullet}) \leq g_X - r_X - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1}. \end{aligned}$$

*In particular, if  $\#E_v^{>1} \leq 1$  for each  $v \in v(\Gamma_{X^\bullet})$ , then we have*

$$\begin{aligned} \text{Avr}_p(\Pi_{X^\bullet}) &= g_X - r_X - \#V_{X^\bullet}^{\text{tre}} + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} - \sum_{v \in v(\Gamma_{X^\bullet}) \text{ s.t. } \#E_v^{>1} > 1} g_v \\ &= g_X - r_X - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1} \\ &= g_X - r_X - \#V_{X^\bullet}^{\text{tre}} + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}}. \end{aligned}$$

**Remark 1.3.1.** Since the condition that  $\#E_v^{>1} \leq 1$  for each  $v \in v(\Gamma_{X^\bullet})$  is weaker than the condition that  $\Gamma_{X^\bullet}^{\text{cpt}}$  is 2-connected, Theorem 1.3 is a generalized version of Theorem 1.2 (cf. Remark 5.2.1).

To verify Theorem 1.3, first, we give an explicit description of the image  $\phi_v : \Pi_v^{\text{ab}} \rightarrow \Pi_{X^\bullet}^{\text{ab}}$  for each  $v \in v(\Gamma_{X^\bullet})$  (cf. Proposition 3.4). Then we obtain an explicit description of the set of the Galois admissible coverings of  $\tilde{X}_v^\bullet$ ,  $v \in v(\Gamma_{X^\bullet})$ , with Galois group  $\mathbb{Z}/n\mathbb{Z}$  which can be extended to a Galois admissible covering of  $X^\bullet$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$ , and compute the generalized Hasse-Witt invariants of the Galois admissible coverings contained in the set. Then we obtain the lower bound and the upper bound of Theorem 1.3. On the other hand, we do not know whether  $\text{Avr}_p(\Pi_{X^\bullet})$  can attain the upper bound or not in general. The main difficulty is as follows. Let  $v \in v(\Gamma_{X^\bullet})$  and  $\mathcal{L}_v$  a line bundle on  $\tilde{X}_v$  such that  $\mathcal{L}_v^{\otimes n} \cong \mathcal{O}_{\tilde{X}_v}(-D_v)$ , where  $D_v$  is an effective divisor on  $\tilde{X}_v$  of degree

$$\deg(D_v) = s(D_v)n$$

whose support is contained in  $D_{\tilde{X}_v}$ . We do not know whether or not the theta divisor defined by Raynaud and Tamagawa associated to  $D_v$  exist in general (if  $s(D_v) = 0$  or  $s(D_v) = 1$ , the existence of theta divisor proved by Raynaud and Tamagawa, respectively). In fact, there is an example that the theta divisor associated to  $D_v$  does not exist when  $s(D_v) \geq 2$  (cf. Remark 4.5.2). Thus, we can not use the theory of theta divisor to compute the cardinality of the set of the Galois admissible coverings of  $\tilde{X}_v^\bullet$ ,  $v \in v(\Gamma_{X^\bullet})$ , with Galois group  $\mathbb{Z}/n\mathbb{Z}$  whose generalized Hasse-Witt invariants are equal to  $g_X + \#E_v^{>1} - 1$ .

On the other hand, if  $X^\bullet$  is a component-generic pointed stable curve over  $k$  (i.e.,  $\tilde{X}_v^\bullet$ ,  $v \in v(\Gamma_{X^\bullet})$ , is a geometric generic curve of  $p$ -rank stratas of moduli space (cf. Definition 6.2)), we prove that the theta divisor defined by Raynaud and Tamagawa associated to  $D_v$  exists under a certain assumption concerning  $D_v$  (cf. Proposition 6.4). Then we obtain the following formula of  $\text{Avr}_p(\Pi_{X^\bullet})$  for component-generic pointed stable curves without any assumptions of dual semi-graphs, which is the second main theorem of the present paper (cf. Theorem 6.6).

**Theorem 1.4.** *Suppose that  $X^\bullet$  is a component-generic pointed stable curve over  $k$ . Then we have*

$$\text{Avr}_p(\Pi_{X^\bullet}) = g_X - r_X - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1}.$$

**Remark 1.4.1.** Theorem 1.4 means that, if  $n \gg 0$ , the generalized Hasse-Witt invariants attain the upper bound for almost all the Galois admissible coverings of  $X^\bullet$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$ . Then Theorem 1.4 can be regarded as an averaged analogue of the results of Nakajima, Zhang, Ozman-Pries for admissible coverings of pointed stable curves.

The present paper is organized as follows. In Section 2, we fix some notation and give some definitions which will be used in the present paper. In Section 3, we analyze images and kernels of homomorphisms between the abelianizations of admissible fundamental groups. In Section 4, we compute the limits of  $p$ -averages of images of homomorphisms between the abelianizations of admissible fundamental groups. In Section 5, we prove the



first main theorem of the present paper. In Section 6, we prove the second main theorem of the present paper.

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## 2 Preliminaries

In this section, we recall some definitions and results which will be used in the present paper.

**Definition 2.1.** Let  $\mathbb{G} := (v(\mathbb{G}), e^{\text{cl}}(\mathbb{G}) \cup e^{\text{op}}(\mathbb{G}), \{\zeta_e^{\mathbb{G}}\}_{e \in e(\mathbb{G})})$  be a semi-graph (cf. [M2, Section 1]). Here,  $v(\mathbb{G})$ ,  $e^{\text{cl}}(\mathbb{G})$ ,  $e^{\text{op}}(\mathbb{G})$ , and  $\{\zeta_e^{\mathbb{G}}\}_{e \in e(\mathbb{G})}$  denote the set of vertices of  $\mathbb{G}$ , the set of closed edges of  $\mathbb{G}$ , the set of open edges of  $\mathbb{G}$ , and the set of coincidence maps of  $\mathbb{G}$ , respectively.

We define an one-point compactification  $\mathbb{G}^{\text{cpt}}$  of  $\mathbb{G}$  as follows: if  $e^{\text{op}}(\mathbb{G}) = \emptyset$ , we set  $\mathbb{G}^{\text{cpt}} = \mathbb{G}$ ; otherwise, the set of vertices of  $\mathbb{G}^{\text{cpt}}$  is  $v(\mathbb{G}^{\text{cpt}}) := v(\mathbb{G}) \amalg \{v_\infty\}$ , the set of closed edges of  $\mathbb{G}^{\text{cpt}}$  is  $e^{\text{cl}}(\mathbb{G}^{\text{cpt}}) := e^{\text{cl}}(\mathbb{G}) \cup e^{\text{op}}(\mathbb{G})$ , the set of open edges of  $\mathbb{G}$  is empty, and each edge  $e \in e^{\text{op}}(\mathbb{G}) \subseteq e(\mathbb{G}^{\text{cpt}})$  connects  $v_\infty$  with the vertex that is abutted by  $e$ .

Let  $v \in v(\mathbb{G})$ . We shall call that  $\mathbb{G}$  is 2-connected at  $v$  if  $\mathbb{G} \setminus \{v\}$  is either empty or connected. Moreover, we shall call that  $\mathbb{G}$  is 2-connected if  $\mathbb{G}$  is 2-connected at each  $v \in v(\mathbb{G})$ . Note that, if  $\mathbb{G}$  is connected, then  $\mathbb{G}^{\text{cpt}}$  is 2-connected at each  $v \in v(\mathbb{G}) \subseteq v(\mathbb{G}^{\text{cpt}})$  if and only if  $\mathbb{G}^{\text{cpt}}$  is 2-connected.

Let  $k$  be an algebraically closed field and

$$X^\bullet = (X, D_X)$$

a pointed stable curve of type  $(g_X, n_X)$  over  $k$ . Here,  $X$  denotes the underlying curve of  $X^\bullet$ , and  $D_X$  denotes the set of marked points of  $X^\bullet$ . Write  $\Gamma_{X^\bullet}$  for the dual semi-graph of  $X^\bullet$ ,  $\Pi_{X^\bullet}^{\text{top}}$  for the profinite completion of the topological fundamental group of  $\Gamma_{X^\bullet}$ , and  $r_X := \dim_{\mathbb{Q}}(H^1(\Gamma_{X^\bullet}, \mathbb{Q}))$  for the Betti number of the semi-graph  $\Gamma_{X^\bullet}$ . Let  $v \in v(\Gamma_{X^\bullet})$  and  $e \in e^{\text{cl}}(\Gamma_{X^\bullet}) \cup e^{\text{op}}(\Gamma_{X^\bullet})$ . We shall write  $X_v$  for the irreducible component of  $X$  corresponding to  $v$ , write  $x_e$  for the node corresponding to  $e$  of  $X$  if  $e \in e^{\text{cl}}(\Gamma_{X^\bullet})$ , and write  $x_e$  for the marked point corresponding to  $e$  of  $X$  if  $e \in e^{\text{op}}(\Gamma_{X^\bullet})$ .

**Definition 2.2.** Let  $Y^\bullet = (Y, D_Y)$  be a pointed stable curve over  $k$  and  $f^\bullet : Y^\bullet \rightarrow X^\bullet$  a morphism of pointed stable curves over  $k$ .

We shall call  $f^\bullet$  a *Galois admissible covering* over  $k$  (or Galois admissible covering for short) if the following conditions are satisfied:

- (i) there exists a finite group  $G \subseteq \text{Aut}_k(Y^\bullet)$  such that  $Y^\bullet/G = X^\bullet$ , and  $f^\bullet$  is equal to the quotient morphism  $Y^\bullet \rightarrow Y^\bullet/G$ ;
- (ii) for each  $y \in Y^{\text{sm}} \setminus D_Y$ ,  $f^\bullet$  is étale at  $y$ , where  $(-)^{\text{sm}}$  denotes the smooth locus of  $(-)$ ;
- (iii) for any  $y \in Y^{\text{sing}}$ , the image  $f^\bullet(y)$  is contained in  $X^{\text{sing}}$ , where  $(-)^{\text{sing}}$  denotes the set of singular points of  $(-)$ ;
- (iv) for each  $y \in Y^{\text{sing}}$ , the local morphism between two nodes induced by  $f^\bullet$  may be described as follows:

$$\begin{array}{ccc} \widehat{\mathcal{O}}_{X, f^\bullet(y)} \cong k[[u, v]]/uv & \rightarrow & \widehat{\mathcal{O}}_{Y, y} \cong k[[s, t]]/st \\ u & \mapsto & s^n \\ v & \mapsto & t^n, \end{array}$$

where  $(n, \text{char}(k)) = 1$  if  $\text{char}(k) > 0$ ; moreover, write  $D_y \subseteq G$  for the decomposition group of  $y$  and  $\#D_y$  for the cardinality of  $D_y$ ; then  $\tau(s) = \zeta_{\#D_y} s$  and  $\tau(t) = \zeta_{\#D_y}^{-1} t$  for each  $\tau \in D_y$ , where  $\zeta_{\#D_y}$  is a primitive  $\#D_y$ -th root of unit, and  $\#(-)$  denotes the cardinality of  $(-)$ ;

- (v) the local morphism between two marked points induced by  $f^\bullet$  may be described as follows:

$$\begin{array}{ccc} \widehat{\mathcal{O}}_{X, f^\bullet(y)} \cong k[[a]] & \rightarrow & \widehat{\mathcal{O}}_{Y, y} \cong k[[b]] \\ a & \mapsto & b^m, \end{array}$$

where  $(m, \text{char}(k)) = 1$  if  $\text{char}(k) > 0$  (i.e., a tamely ramified extension).

Moreover, we shall call  $f^\bullet$  an *admissible covering* if there exists a morphism of pointed stable curves  $(f^\bullet)^\prime : (Y^\bullet)^\prime \rightarrow Y^\bullet$  over  $k$  such that the composite morphism  $f^\bullet \circ (f^\bullet)^\prime : (Y^\bullet)^\prime \rightarrow X^\bullet$  is a Galois admissible covering over  $k$ .

Let  $Z^\bullet$  be the disjoint union of finitely many pointed stable curves over  $k$ . We shall call a morphism  $Z^\bullet \rightarrow X^\bullet$  over  $k$  *multi-admissible covering* if the restriction of  $Z^\bullet \rightarrow X^\bullet$  to each connected component of  $Z^\bullet$  is admissible. We use the notation  $\text{Cov}^{\text{adm}}(X^\bullet)$  to denote the category which consists of (an empty object and) all the multi-admissible coverings of  $X^\bullet$ . It is well-known that  $\text{Cov}^{\text{adm}}(X^\bullet)$  is a Galois category. Thus, by choosing a base point  $x \in X^{\text{sm}} \setminus D_X$ , we obtain a fundamental group  $\pi_1^{\text{adm}}(X^\bullet, x)$  which is called the *admissible fundamental group* of  $X^\bullet$ . For simplicity of notation, we omit the base point and denote the admissible fundamental group by  $\Pi_{X^\bullet}$ . Write  $\Pi_{X^\bullet}^{\text{ét}}$  for the étale fundamental group of the underlying curve  $X$  of  $X^\bullet$ . Note that we have the following natural continuous surjective homomorphisms (for suitable choices of base points)

$$\Pi_{X^\bullet} \twoheadrightarrow \Pi_{X^\bullet}^{\text{ét}} \twoheadrightarrow \Pi_{X^\bullet}^{\text{top}}.$$

For more details on the theory of admissible coverings and admissible fundamental groups for pointed stable curves, see [M1].

**Remark 2.2.1.** Let  $\overline{\mathcal{M}}_{g_X, n_X}$  be the moduli stack of pointed stable curves of type  $(g_X, n_X)$  over  $\text{Spec } \mathbb{Z}$  and  $\mathcal{M}_{g_X, n_X}$  the open substack of  $\overline{\mathcal{M}}_{g_X, n_X}$  parametrizing pointed smooth

curves. Write  $\overline{\mathcal{M}}_{g_X, n_X}^{\log}$  for the log stack obtained by equipping  $\overline{\mathcal{M}}_{g_X, n_X}$  with the natural log structure associated to the divisor with normal crossings  $\overline{\mathcal{M}}_{g_X, n_X} \setminus \mathcal{M}_{g_X, n_X} \subset \overline{\mathcal{M}}_{g, n}$  relative to  $\text{Spec } \mathbb{Z}$ .

The pointed stable curve  $X^\bullet \rightarrow \text{Spec } k$  induces a morphism  $\text{Spec } k \rightarrow \overline{\mathcal{M}}_{g_X, n_X}$ . Write  $s_X^{\log}$  for the log scheme whose underlying scheme is  $\text{Spec } k$ , and whose log structure is the pulling-back log structure induced by the morphism  $\text{Spec } k \rightarrow \overline{\mathcal{M}}_{g_X, n_X}$ . We obtain a natural morphism  $s_X^{\log} \rightarrow \overline{\mathcal{M}}_{g_X, n_X}^{\log}$  induced by the morphism  $\text{Spec } k \rightarrow \overline{\mathcal{M}}_{g_X, n_X}$  and a stable log curve  $X^{\log} := s_X^{\log} \times_{\overline{\mathcal{M}}_{g_X, n_X}^{\log}} \overline{\mathcal{M}}_{g_X, n_X+1}^{\log}$  over  $s_X^{\log}$  whose underlying scheme is  $X$ . Then the admissible fundamental group  $\Pi_{X^\bullet}$  of  $X^\bullet$  is naturally isomorphic to the geometric log étale fundamental group of  $X^{\log}$  (i.e.,  $\ker(\pi_1(X^{\log}) \rightarrow \pi_1(s_X^{\log}))$ ).

**Remark 2.2.2.** If  $X^\bullet$  is smooth over  $k$ , by the definition of admissible fundamental groups, then the admissible fundamental group of  $X^\bullet$  is naturally (outer) isomorphic to the tame fundamental group of  $X \setminus D_X$ .

In the remainder of the present paper, we suppose that the characteristic of  $k$  is  $p > 0$ .

**Definition 2.3.** We define the  $p$ -rank (or *Hasse-Witt invariant*) of  $X^\bullet$  to be

$$\sigma(X^\bullet) := \dim_{\mathbb{F}_p}(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{F}_p) = \dim_{\mathbb{F}_p}(\Pi_{X^\bullet}^{\text{ét, ab}} \otimes \mathbb{F}_p),$$

where  $(-)^{\text{ab}}$  denotes the abelianization of  $(-)$ .

**Remark 2.3.1.** For each  $v \in v(\Gamma_{X^\bullet})$ , write  $\tilde{X}_v$  for the normalization of the irreducible component  $X_v$  of  $X$  corresponding to  $v$ . Then it is easy to see that

$$\sigma(X^\bullet) = \sigma(X) = \sum_{v \in v(\Gamma_{X^\bullet})} \sigma(\tilde{X}_v) + r_X.$$

**Definition 2.4.** Let  $t$  be an arbitrary positive natural number,  $n := p^t - 1$ , and  $K_n$  the kernel of the natural surjective homomorphism  $\Pi_{X^\bullet} \twoheadrightarrow \Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z}$ . For each  $n$ , we define the  $p$ -average of  $\Pi_{X^\bullet}$  to be

$$\gamma_{p, n}^{\text{av}}(\Pi_{X^\bullet}) := \frac{\dim_{\mathbb{F}_p}(K_n^{\text{ab}} \otimes \mathbb{F}_p)}{\#(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z})}.$$

Moreover, we put

$$\text{Avr}_p(\Pi_{X^\bullet}) := \lim_{t \rightarrow \infty} \gamma_{p, n}^{\text{av}}(\Pi_{X^\bullet})$$

and call  $\text{Avr}_p(\Pi_{X^\bullet})$  the *limit of  $p$ -averages* of  $\Pi_{X^\bullet}$ .

**Remark 2.4.1.** Let  $\ell$  be a prime number distinct from  $p$ ,  $m$  an arbitrary positive natural number such that  $(p, m) = 1$ , and  $K_m$  the kernel of the natural surjective homomorphism  $\Pi_{X^\bullet} \twoheadrightarrow \Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/m\mathbb{Z}$ . Then we may also define the  $\ell$ -average of  $\Pi_{X^\bullet}$  to be

$$\gamma_{\ell, m}^{\text{av}}(\Pi_{X^\bullet}) := \frac{\dim_{\mathbb{F}_\ell}(K_m^{\text{ab}} \otimes \mathbb{F}_\ell)}{\#(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/m\mathbb{Z})}.$$

To compute  $\lim_{m \rightarrow \infty} \gamma_{\ell, m}^{\text{av}}(\Pi_{X^\bullet})$ , by applying the specialization theorem of the maximal prime-to- $p$  quotients of admissible fundamental groups (cf. [V, Théorème 2.2]), we may assume that  $X^\bullet$  is smooth over  $k$ . Thus, the Riemann-Hurwitz formula implies that

$$\lim_{m \rightarrow \infty} \gamma_{\ell, m}^{\text{av}}(\Pi_{X^\bullet}) = 2g_X + n_X - 2 = \dim_{\mathbb{F}_\ell}(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{F}_\ell) - 1.$$

Let  $X_{v_\infty}^\bullet = (X_{v_\infty}, D_{X_{v_\infty}})$  be a smooth pointed stable curve of type  $(g_{v_\infty}, n_{v_\infty})$  over  $k$  such that  $g_{v_\infty} \geq 2$  and  $n_{v_\infty} = n_X$ . Write  $\Gamma_{v_\infty}$  for the dual semi-graph of  $X_{v_\infty}^\bullet$ . If  $n_X \neq 0$ , we fix a bijection  $D_{X_{v_\infty}} \xrightarrow{\sim} D_X$ . Then we may glue  $X^\bullet$  and  $X_{v_\infty}^\bullet$  along the sets of marked points  $D_X$  and  $D_{X_{v_\infty}}$  and obtain a stable curve  $X'_\infty$  of type  $(g_X + g_{v_\infty} + n_X - 1, 0)$  over  $k$ . We define a stable curve  $X_\infty$  of type  $(g_{X_\infty}, 0)$  over  $k$  to be

$$X_\infty = \begin{cases} X, & \text{if } n_X = 0, \\ X'_\infty, & \text{if } n_X \neq 0. \end{cases}$$

Write  $\Pi_{X_\infty}$  for the admissible fundamental group of  $X_\infty$  and  $\Gamma_{X_\infty}$  for the dual graph of  $X_\infty$ . Then we have a natural continuous (outer) injective homomorphism

$$\Pi_{X^\bullet} \hookrightarrow \Pi_{X_\infty},$$

and that, by the construction of  $X_\infty$ ,  $\Gamma_{X^\bullet}^{\text{cpt}}$  is naturally isomorphic to  $\Gamma_{X_\infty}$ . Moreover, the natural (outer) injective homomorphism above induces a homomorphism of abelian profinite groups

$$\psi : \Pi_{X^\bullet}^{\text{ab}} \rightarrow \Pi_{X_\infty}^{\text{ab}}.$$

Let  $R$  be a complete discrete valuation ring of equal characteristic with residue field  $k$ ,  $K$  the quotient field of  $R$ , and  $\bar{K}$  an algebraic closure of  $K$ . Let

$$L \subseteq e^{\text{cl}}(\Gamma_{X_\infty})$$

be an arbitrary subset of closed edges. We claim that we may deform the pointed stable curve  $X_\infty$  along  $L$  to obtain a new pointed stable curve over  $\bar{K}$  such that the set of edges of the dual graph of the new stable curve may be naturally identified with  $e(\Gamma_{X_\infty}) \setminus L$ . Suppose that

$$\phi_s : \text{Spec } k \rightarrow \overline{\mathcal{M}}_{g_{X_\infty} R} := \overline{\mathcal{M}}_{g_{X_\infty}} \times_{\mathbb{Z}} R$$

is the classifying morphism determined by  $X_\infty \rightarrow \text{Spec } k$ . Thus the completion of the local ring of the moduli stack at  $\phi_s$  is isomorphic to  $R[[t_1, \dots, t_{3g_{X_\infty}-3}]]$ , where  $t_1, \dots, t_{3g_{X_\infty}-3}$  are indeterminates. Furthermore, the indeterminates  $t_1, \dots, t_m$  may be chosen so as to correspond to the deformations of the nodes of  $X_\infty$ . Suppose that  $\{t_1, \dots, t_d\}$  is the subset of  $\{t_1, \dots, t_m\}$  corresponding to the subset  $L \subseteq e^{\text{cl}}(\Gamma_{X_\infty})$ . Now fix a morphism  $\text{Spec } R \rightarrow \text{Spec } R[[t_1, \dots, t_{3g_{X_\infty}-3}]]$  such that  $t_{d+1}, \dots, t_{3g_{X_\infty}-3} \mapsto 0 \in R$ , but  $t_1, \dots, t_d$  map to nonzero elements of  $R$ . Then the composite morphism

$$\phi : \text{Spec } R \rightarrow \text{Spec } R[[t_1, \dots, t_{3g_{X_\infty}-3}]] \rightarrow \overline{\mathcal{M}}_{g_{X_\infty}, R}$$

determines a pointed stable curve  $\mathcal{X}_\infty \rightarrow \text{Spec } R$ . Moreover, the special fiber  $\mathcal{X}_\infty \times_R k$  of  $\mathcal{X}_\infty$  is naturally isomorphic to  $X_\infty$  over  $k$ . Write

$$X_\infty^{\setminus L}$$

for the geometric generic fiber  $X_\infty \times_K \overline{K}$  of  $\mathcal{X}_\infty$  over  $\overline{K}$  and  $\Gamma_{X_\infty \setminus L}$  for the dual graph of  $X_\infty \setminus L$ . It follows from the construction of  $X_\infty \setminus L$  that we have a natural bijective map

$$e(\Gamma_{X_\infty}) \setminus L \xrightarrow{\sim} e(\Gamma_{X_\infty \setminus L}).$$

Let  $v \in v(\Gamma_{X^\bullet}) \subseteq v(\Gamma_{X_\infty})$  be an arbitrary vertex of  $\Gamma_{X^\bullet}$  and

$$L_v := \{e \in e^{\text{cl}}(\Gamma_{X_\infty}) \mid e \text{ does not meet } v\}.$$

We shall denote by

$$X_v^{\text{def}} := X_\infty \setminus L_v.$$

Write  $\Pi_{X_v^{\text{def}}}$  for the admissible fundamental group of  $X_v^{\text{def}}$  and  $\Gamma_{X_v^{\text{def}}}$  for the dual graph of  $X_v^{\text{def}}$ .

### 3 Images and kernels of homomorphisms of abelianizations of admissible fundamental groups

We maintain the notation introduced in Section 2. Let  $v \in v(\Gamma_{X^\bullet}) \subseteq v(\Gamma_{X_\infty})$  be an arbitrary vertex of  $\Gamma_{X^\bullet}$ . Write  $\tilde{X}_v$  for the normalization of the irreducible component  $X_v$  of  $X$  corresponding to  $v$  and  $\text{nom}_v : \tilde{X}_v \rightarrow X_v$  for the normalization morphism. We define a smooth pointed stable curve of type  $(g_v, n_v)$  to be

$$\tilde{X}_v^\bullet = (\tilde{X}_v, D_{\tilde{X}_v} := \text{nom}_v^{-1}((X_v \cap X^{\text{sing}}) \cup (D_X \cap X_v))).$$

Moreover, we denote by  $\Pi_v$  the admissible fundamental group of  $\tilde{X}_v^\bullet$  and by  $\Gamma_v$  the dual semi-graph of  $\tilde{X}_v^\bullet$ . Note that there is a natural map of semi-graphs  $\rho_v : \Gamma_v \rightarrow \Gamma_{X^\bullet}$  induced by the natural morphism  $\tilde{X}_v \xrightarrow{\text{nom}_v} X_v \hookrightarrow X$  and the natural map of sets of marked points  $D_{\tilde{X}_v} \rightarrow D_X$ . We have a natural (outer) injective homomorphism  $\Pi_v \hookrightarrow \Pi_{X^\bullet}$ , which induces a natural homomorphism

$$\phi_v : \Pi_v^{\text{ab}} \rightarrow \Pi_{X^\bullet}^{\text{ab}}.$$

Note that  $\phi_v$  is not an injection in general. We write

$$M_v$$

for the image of  $\phi_v$ .

Let  $X^{\bullet,*} = (X^*, D_{X^*}) \rightarrow X^\bullet$  be a universal admissible covering corresponding to  $\Pi_{X^\bullet}$ . For each  $e \in e^{\text{cl}}(\Gamma_{X^\bullet}) \cup e^{\text{op}}(\Gamma_{X^\bullet})$ , write  $x_e$  for the marked point corresponding to  $e$ , and let  $x_{e^*}$  be a point of the inverse image of  $x_e$  in  $D_{X^*}$ . Write  $I_{e^*} \subseteq \Pi_{X^\bullet}$  for the inertia subgroup of  $x_{e^*}$ . Note that  $I_{e^*}$  is isomorphic to  $\widehat{\mathbb{Z}}(1)^{p'}$ , where  $(-)^{p'}$  denotes the maximal prime-to- $p$  quotient of  $(-)$ . Suppose that  $x_e$  is contained in  $X_v$ . Then we have the following (outer) injective homomorphisms  $I_{e^*} \hookrightarrow \Pi_v \hookrightarrow \Pi_X$ , which induces an injection

$$\phi_{e^*} : I_{e^*} \hookrightarrow \Pi_X^{\text{ab}}.$$

Since the image of  $\phi_{e^*}$  depends only on  $e$ , we may write  $I_e$  for the image  $\phi_{e^*}(I_{e^*})$ .

We denote by  $\phi_v^{\text{ét}} : \Pi_v^{\text{ab,ét}} \rightarrow \Pi_{X^\bullet}^{\text{ab,ét}}$  and  $\psi^{\text{ét}} : \Pi_{X^\bullet}^{\text{ab,ét}} \rightarrow \Pi_{X_\infty}^{\text{ab,ét}}$  for the homomorphisms induced by  $\phi_v$  and  $\psi$ , respectively. First, we have the following two lemmas.

**Lemma 3.1.** *The homomorphisms  $\phi_v^{\text{ét}} : \Pi_v^{\text{ab,ét}} \rightarrow \Pi_{X^\bullet}^{\text{ab,ét}}$  and  $\psi^{\text{ét}} : \Pi_{X^\bullet}^{\text{ab,ét}} \rightarrow \Pi_{X_\infty}^{\text{ab,ét}}$  are injections.*

*Proof.* The lemma follows immediately from the structures of the Picard schemes  $\text{Pic}_{X/k}^0$  and  $\text{Pic}_{X_\infty/k}^0$ .  $\square$

**Lemma 3.2.** *The homomorphism*

$$\psi : \Pi_{X^\bullet}^{\text{ab}} \rightarrow \Pi_{X_\infty}^{\text{ab}}$$

*is an injection.*

*Proof.* Suppose that  $n_X = 0$ . Then the lemma follows immediately from the definition of  $X_\infty$  (i.e.,  $X^\bullet = X_\infty$ ).

Suppose that  $n_X \neq 0$ . Since each  $p$ -Galois admissible covering (i.e., a Galois admissible covering whose Galois group is isomorphic to a  $p$ -group) is a Galois étale covering, to verify the lemma, it is sufficient to prove that

$$\psi^{p'} : \Pi_{X^\bullet}^{\text{ab},p'} \rightarrow \Pi_{X_\infty}^{\text{ab},p'}$$

is an injection. Write  $I_{X^\bullet}^{\text{op}}$  for the subgroup  $\Pi_{X^\bullet}^{\text{ab}}$  generated by  $I_e$ ,  $e \in e^{\text{op}}(\Gamma_{X^\bullet})$ . Note that  $I_{X^\bullet}^{\text{op}}$  is a free  $\widehat{\mathbb{Z}}^{p'}$ -module with rank  $n_X - 1$ . We have two exact sequences

$$1 \rightarrow I_{X^\bullet}^{\text{op}} \rightarrow \Pi_{X^\bullet}^{\text{ab}} \rightarrow \Pi_{X^\bullet}^{\text{ab,ét}} \rightarrow 1,$$

$$1 \rightarrow I_{X^\bullet}^{\text{op}} \rightarrow \Pi_{X^\bullet}^{\text{ab},p'} \rightarrow \Pi_{X^\bullet}^{\text{ab,ét},p'} \rightarrow 1,$$

and the following commutative diagram:

$$\begin{array}{ccc} \Pi_{X^\bullet}^{\text{ab},p'} & \xrightarrow{\psi^{p'}} & \Pi_{X_\infty}^{\text{ab}} \\ \downarrow & & \downarrow \\ \Pi_{X^\bullet}^{\text{ab,ét},p'} & \xrightarrow{\psi^{\text{ét},p'}} & \Pi_{X_\infty}^{\text{ab,ét},p'}. \end{array}$$

By Lemma 3.1, to verify the lemma, we only need to prove that the composition morphism

$$I_{X^\bullet}^{\text{op}} \hookrightarrow \Pi_{X^\bullet}^{\text{ab},p'} \rightarrow \Pi_{X_\infty}^{\text{ab},p'}$$

is an injection. The specialization theorem of the maximal prime-to- $p$  quotients of admissible fundamental groups implies that we only need to treat the case where  $X^\bullet$  is a smooth pointed stable curve over  $k$ . Thus, the image of the homomorphism  $I_{X^\bullet}^{\text{op}} \hookrightarrow \Pi_{X^\bullet}^{\text{ab},p'} \rightarrow \Pi_{X_\infty}^{\text{ab},p'}$  is the subgroup

$$S_{X_\infty} \subseteq \Pi_{X_\infty}^{\text{ab},p'}$$

generated by  $I_e$ ,  $e \in e^{\text{cl}}(\Gamma_{X_\infty})$ . The Poincaré duality for prime-to- $p$  étale cohomology implies that

$$S_{X_\infty} \cong \text{Hom}(\Pi_{X_\infty}^{\text{top},p'}, \widehat{\mathbb{Z}}(1)^{p'}).$$

Then  $S_{X_\infty}$  is a free  $\widehat{\mathbb{Z}}^{p'}$ -module with rank  $n_X - 1$ . Thus, we have that the homomorphism  $I_{X^\bullet}^{\text{op}} \hookrightarrow \Pi_{X^\bullet}^{\text{ab},p'} \rightarrow \Pi_{X_\infty}^{\text{ab},p'}$  is an injection. This completes the proof of the lemma.  $\square$

**Definition 3.3.** For each  $v \in v(\Gamma_{X^\bullet}) \subseteq v(\Gamma_{X^\bullet}^{\text{cpt}})$ . We denote by  $\pi_0(v)$  the set of connected components of  $\Gamma_{X^\bullet}^{\text{cpt}} \setminus \{v\}$ . For each  $v \in v(\Gamma_{X^\bullet}) \subseteq v(\Gamma_{X^\bullet}^{\text{cpt}})$  and each  $C \in \pi_0(v)$ , we put

$$\begin{aligned} E_{v,C} &:= \{e \in e^{\text{op}}(\Gamma_v) \mid \rho_v(e) \cap C \neq \emptyset\}, \\ E_v^{>1} &:= \{C \in \pi_0(v) \mid \#E_{v,C} > 1\}, \\ E_v^{=1} &:= \{C \in \pi_0(v) \mid \#E_{v,C} = 1\}. \end{aligned}$$

Note that we have  $e^{\text{op}}(\Gamma_v) = \bigcup_{C \in \pi_0(v)} E_{v,C}$  and  $\#\pi_0(v) = \#E_v^{=1} + \#E_v^{>1}$ .

For each  $e \in e^{\text{op}}(\Gamma_v)$ , we write  $[s_e]$  for a generator of  $I_e$  and  $I_v^{\text{op}}$  for the subgroup of  $\Pi_v^{\text{ab}}$  generated by  $I_e$ ,  $e \in e^{\text{op}}(\Gamma_v)$ . The structure of maximal prime-to- $p$  quotients of admissible (or tame) fundamental groups of smooth curves implies that

$$\sum_{e \in e^{\text{op}}(\Gamma_v)} [s_e] = 0.$$

Note that, if  $n_v \neq 0$ , then  $I_v^{\text{op}}$  is a free  $\widehat{\mathbb{Z}}^{p'}$ -module with rank  $n_v - 1$ , and we have

$$1 \rightarrow I_v^{\text{op}} \rightarrow \Pi_v^{\text{ab}} \rightarrow \Pi_v^{\text{ét,ab}} \rightarrow 1.$$

Next, we have the following proposition.

**Proposition 3.4.** *Let  $v \in v(\Gamma_{X^\bullet}) \subseteq v(\Gamma_{X_\infty})$  be an arbitrary vertex of  $\Gamma_{X^\bullet}$ . Then the following holds:*

- (i) *Suppose that  $n_v = 0$ . We have  $\Pi_v^{\text{ab}} = \Pi_{X^\bullet}^{\text{ab}}$ .*
- (ii) *Suppose that  $n_v \neq 0$ . We have that*

$$K_v := \left\langle \sum_{e \in E_{v,C}} [s_e], C \in \pi_0(v) \right\rangle \subseteq \Pi_v^{\text{ab}}$$

*is the kernel  $\ker(\phi_v)$  of  $\phi_v$ , where  $\langle(-)\rangle$  denotes the subgroup generated by  $(-)$ .*

*Moreover,  $M_v^{p'}$  and  $K_v$  are free  $\widehat{\mathbb{Z}}^{p'}$ -modules with rank*

$$2g_v + \sum_{C \in \pi_0(v)} (\#E_{v,C} - 1) \text{ and } n_v - 1 - \sum_{C \in \pi_0(v)} (\#E_{v,C} - 1),$$

*respectively.*

*Proof.* (i) is trivial. We only prove (ii). Note that Lemma 3.1 implies that there is a natural surjection  $M_v \twoheadrightarrow \Pi_v^{\text{ab,ét}}$ . Then  $K_v \subseteq I_v^{\text{op}}$ . To verify the proposition, we only need to prove that  $K_v$  is the kernel of the homomorphism

$$\phi_v^{p'} : \Pi_v^{\text{ab},p'} \twoheadrightarrow M_v^{p'}$$

induced by  $\phi_v$ , and that  $M_v^{p'}$  is a free  $\widehat{\mathbb{Z}}^{p'}$ -module with rank

$$2g_v + \sum_{C \in \pi_0(v)} (\#E_{v,C} - 1).$$

On the other hand, Lemma 3.2 implies that  $M_v$  and  $\ker(\phi_v)$  coincide with  $\text{Im}(\psi \circ \phi_v)$  and  $\ker(\psi \circ \phi_v)$ , respectively. Then we may assume that  $X^\bullet = X_\infty$ . By applying the specialization theorem of prime-to- $p$  of admissible fundamental groups, we obtain that

$$\Pi_{X_v^{\text{def}}}^{p'} \cong \Pi_{X_\infty}^{p'}.$$

To verify the proposition, we may assume that  $X^\bullet = X_\infty = X_v^{\text{def}}$ . This means that we may identify  $\pi_0(v)$  with  $v(\Gamma_{X^\bullet}) \setminus \{v\}$ , and that, for each  $C \in \pi_0(v) = v(\Gamma_{X^\bullet}) \setminus \{v\}$ , the irreducible component  $X_C$  is smooth over  $k$ .

Moreover, in order to prove that  $K_v$  is the kernel of  $\phi_v^{p'}$ , it is sufficient to prove that, for each positive natural number  $n$  such that  $(p, n) = 1$ ,  $K_v \otimes \mathbb{Z}/n\mathbb{Z}$  is the kernel of the homomorphism

$$\phi_{v,n}^{p'} : \Pi_v^{\text{ab},p'} \otimes \mathbb{Z}/n\mathbb{Z} \twoheadrightarrow M_v^{p'} \otimes \mathbb{Z}/n\mathbb{Z}$$

induced by  $\phi_v^{p'}$ .

Let  $\alpha$  be an arbitrary element of  $\text{Hom}(\Pi_{X^\bullet}^{\text{ab},p'} \otimes \mathbb{Z}/n\mathbb{Z}, \mathbb{Z}/n\mathbb{Z})$  and  $\alpha_v$  the composition of the morphisms

$$\Pi_v^{\text{ab},p'} \otimes \mathbb{Z}/n\mathbb{Z} \xrightarrow{\phi_{v,n}^{p'}} M_v^{p'} \otimes \mathbb{Z}/n\mathbb{Z} \hookrightarrow \Pi_{X^\bullet}^{\text{ab},p'} \otimes \mathbb{Z}/n\mathbb{Z} \xrightarrow{\alpha} \mathbb{Z}/n\mathbb{Z}.$$

Write  $f_\alpha^\bullet : Y_\alpha^\bullet = (Y_\alpha, D_{Y_\alpha}) \rightarrow X^\bullet$  for the Galois multi-admissible covering with Galois group  $\mathbb{Z}/n\mathbb{Z}$  over  $k$  corresponding to  $\alpha$ . Then by restricting  $f_\alpha^\bullet$  to  $\tilde{X}_v^\bullet$ , we obtain a morphism

$$f_{\alpha,v}^\bullet : Y_{\alpha,v}^\bullet = (Y_{\alpha,v}, D_{Y_{\alpha,v}}) \rightarrow \tilde{X}_v^\bullet,$$

where  $Y_{\alpha,v} = \tilde{X}_v \times_X Y_\alpha$ , and  $D_{Y_{\alpha,v}}$  is the inverse image of  $D_{\tilde{X}_v}$  of the first projection  $\tilde{X}_v \times_X Y_\alpha \rightarrow \tilde{X}_v$ . Note that  $f_{\alpha,v}^\bullet$  is a Galois multi-admissible covering with Galois group  $\mathbb{Z}/n\mathbb{Z}$  of smooth pointed stable curves over  $k$  corresponding to  $\alpha_v$ . On the other hand, for each  $C \in \pi_0(v) = v(\Gamma_{X^\bullet}) \setminus \{v\}$ , by restricting  $f_\alpha^\bullet$  to  $\tilde{X}_C^\bullet$ , we obtain a morphism

$$f_{\alpha,C}^\bullet : Y_{\alpha,C}^\bullet = (Y_{\alpha,C}, D_{Y_{\alpha,C}}) \rightarrow \tilde{X}_C^\bullet,$$

where  $Y_{\alpha,C} = \tilde{X}_C \times_X Y_\alpha$ , and  $D_{Y_{\alpha,C}}$  is the inverse image of  $D_{\tilde{X}_C}$  of the first projection  $\tilde{X}_C \times_X Y_\alpha \rightarrow \tilde{X}_C$ . Note that  $f_{\alpha,C}^\bullet$  is a Galois multi-admissible covering with Galois group  $\mathbb{Z}/n\mathbb{Z}$  of smooth pointed stable curves over  $k$  corresponding to  $\alpha_C$ .

For each  $C \in \pi_0(v) = v(\Gamma_{X^\bullet}) \setminus \{v\}$ , we write  $I_{E_{v,C}}^{\text{op}} \subseteq I_v^{\text{op}}$  for the subgroup  $\langle [s_e] \rangle_{e \in E_{v,C}}$ . Note that  $I_{E_{v,C}}^{\text{op}}$  and  $I_C^{\text{op}}$  can be regarded as subgroups of  $\Pi_{X^\bullet}^{\text{ab},p'}$ , and that  $I_{E_{v,C}}^{\text{op}} = I_C^{\text{op}}$  in  $\Pi_{X^\bullet}^{\text{ab},p'}$ . The definition of Galois admissible fundamental coverings implies that

$$\alpha|_{I_{E_{v,C}}^{\text{op}}} = -\alpha_C|_{I_C^{\text{op}}}, \quad C \in \pi_0(v).$$

Then the structure of the maximal prime-to- $p$  quotients of admissible (or tame) fundamental groups implies that

$$\sum_{e \in E_{v,C}} \alpha([s_e]) = 0.$$



This means that  $K_v \otimes \mathbb{Z}/n\mathbb{Z} \subseteq \ker(\alpha)$  for each  $\alpha \in \text{Hom}(\Pi_{X^\bullet}^{\text{ab},p'} \otimes \mathbb{Z}/n\mathbb{Z}, \mathbb{Z}/n\mathbb{Z})$ . Thus, we obtain that  $K_v \otimes \mathbb{Z}/n\mathbb{Z} \subseteq \ker(\phi_{v,n}^{p'})$ , and that  $\phi_{v,n}^{p'}$  induces a surjection

$$(\Pi_v^{\text{ab},p'}/K_v) \otimes \mathbb{Z}/n\mathbb{Z} \twoheadrightarrow M_v \otimes \mathbb{Z}/n\mathbb{Z}.$$

To verify the proposition, we only need to prove that the surjection  $(\Pi_v^{\text{ab},p'}/K_v) \otimes \mathbb{Z}/n\mathbb{Z} \twoheadrightarrow M_v \otimes \mathbb{Z}/n\mathbb{Z}$  above is also an injection (or, equivalently, for each non-trivial homomorphism  $\beta_v : \Pi_v^{\text{ab},p'} \rightarrow \mathbb{Z}/n\mathbb{Z}$  such that  $K_v \subseteq \ker(\beta_v)$ , there exists  $\beta : \Pi_{X^\bullet}^{\text{ab},p'} \rightarrow \mathbb{Z}/n\mathbb{Z}$  such that the composite morphism

$$\Pi_v^{\text{ab},p'} \xrightarrow{\phi_v^{p'}} M_v^{p'} \hookrightarrow \Pi_{X^\bullet}^{\text{ab},p'} \xrightarrow{\beta} \mathbb{Z}/n\mathbb{Z}$$

is  $\beta_v$ ). We write

$$g_v^\bullet : Z_v^\bullet = (Z_v, D_{Z_v}) \rightarrow \tilde{X}_v^\bullet$$

for the Galois multi-admissible covering with Galois group  $\mathbb{Z}/n\mathbb{Z}$  over  $k$  corresponding to the surjection  $\beta_v$ . Then the definition of  $K_v$  and the structure of the maximal prime-to- $p$  quotients of admissible (or tame) fundamental groups imply that, for each  $C \in \pi_0(v) = v(\Gamma_{X^\bullet}) \setminus \{v\}$ , we may construct a Galois multi-admissible covering

$$g_C^\bullet : Z_C^\bullet = (Z_C, D_{Z_{\alpha,C}}) \rightarrow \tilde{X}_C^\bullet$$

with Galois group  $\mathbb{Z}/n\mathbb{Z}$  over  $k$  such that the following holds:

write  $\beta_C$  for the surjection  $\Pi_C^{\text{ab},p'} \rightarrow \mathbb{Z}/n\mathbb{Z}$  corresponding to  $g_C^\bullet$ , then

$$\beta_C|_{I_C^{\text{op}}} = -\beta_v|_{I_v^{\text{op}}}.$$

Thus, by the definition of Galois multi-admissible coverings, we may glue  $g_v^\bullet : Z_v^\bullet = (Z_v, D_{Z_v}) \rightarrow \tilde{X}_v^\bullet$  and  $g_C^\bullet : Z_C^\bullet = (Z_C, D_{Z_{\alpha,C}}) \rightarrow \tilde{X}_C^\bullet$ ,  $C \in \pi_0(v)$ , and obtain a Galois multi-admissible covering

$$g_\beta^\bullet : Z_\beta^\bullet \rightarrow X^\bullet$$

over  $k$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$ . Write  $\beta$  for the element of  $\text{Hom}(\Pi_{X^\bullet}^{\text{ab},p'}, \mathbb{Z}/n\mathbb{Z})$  corresponding to  $g_\beta^\bullet$ . Then by the construction above, the composition of the morphisms

$$\Pi_v^{\text{ab},p'} \xrightarrow{\phi_v^{p'}} \Pi_{X^\bullet}^{\text{ab},p'} \xrightarrow{\beta} \mathbb{Z}/n\mathbb{Z}$$

is equal to  $\beta_v$ .

Finally, let us compute the rank of  $M_v^{p'}$ . Note that since we assume that  $X^\bullet = X_v^{\text{def}}$ , we obtain that the kernel of the natural surjection  $M_v^{p'} \twoheadrightarrow \Pi_v^{\text{ét,ab},p'}$  is the subgroup

$$S_{X^\bullet} \subseteq \Pi_{X^\bullet}^{\text{ab},p'}$$

generated by  $I_e$ ,  $e \in e^{\text{cl}}(X^\bullet)$ . The Poincaré duality for prime-to- $p$  étale cohomology implies that

$$S_{X^\bullet} \cong \text{Hom}(\Pi_{X^\bullet}^{\text{top},p'}, \widehat{\mathbb{Z}}(1)^{p'}).$$

Then we have  $S_{X^\bullet}$  is a free  $\widehat{\mathbb{Z}}^{p'}$ -module with rank  $r_X = \sum_{C \in \pi_0(v)} (\#E_{v,C} - 1)$ . Thus, we obtain that  $M_v^{p'}$  is a free  $\widehat{\mathbb{Z}}^{p'}$ -module with rank

$$2g_v + \sum_{C \in \pi_0(v)} (\#E_{v,C} - 1).$$

This completes the proof of the proposition.  $\square$

**Corollary 3.5.** *The following conditions are all equivalent.*

- (i) *The homomorphism  $\phi_v : \Pi_v^{\text{ab}} \rightarrow \Pi_{X^\bullet}^{\text{ab}}$  is an injection.*
- (ii)  *$\Gamma_{X^\bullet}^{\text{cpt}}$  is 2-connected at  $v$ .*
- (iii)  *$\Gamma_{X_v^{\text{def}}}$  is 2-connected at  $v$ .*

*Proof.* If  $n_v = 0$ , the corollary is trivial. We may assume that  $n_v \neq 0$ . The constructions of  $\Gamma_{X^\bullet}^{\text{cpt}}$  and  $\Gamma_{X_v^{\text{def}}}$  imply that (ii)  $\Leftrightarrow$  (iii). We only prove that (i)  $\Leftrightarrow$  (iii).

First, let us prove “ $\Rightarrow$ ”. Proposition 3.4 implies that  $K_v = 0$ . Then we have

$$n_v - 1 = \sum_{C \in \pi_0(v)} (\#E_{v,C} - 1).$$

This means that  $\#\pi_0(v) = 1$  and  $\#E_{v,C} = n_v$ . Thus,  $\Gamma_{X_v^{\text{def}}}$  is 2-connected at  $v$ .

Next, let us prove “ $\Leftarrow$ ”. Since  $\Gamma_{X_v^{\text{def}}}$  is 2-connected at  $v$ , we have

$$n_v = \#E_{v,C} \text{ and } \#\pi_0(v) = 1.$$

Then Proposition 3.4 implies that  $K_v = 0$ . This means that the homomorphism  $\phi_v : \Pi_v^{\text{ab}} \rightarrow \Pi_{X^\bullet}^{\text{ab}}$  is an injection. This completes the proof of the corollary.  $\square$

**Remark 3.5.1.** Corollary 3.4 also obtained by Tamagawa (cf. [T2, Proposition 3.4]) by using different methods.

## 4 Averages of generalized Hasse-Witt invariants

In this section, we compute the limits of averages of generalized Hasse-Witt invariants.

### 4.1 Generalized Hasse-Witt invariants and line bundles

Let  $X^\bullet := (X, D_X)$  be a pointed stable curve of type  $(g_X, n_X)$  over  $k$ ,  $\Pi_{X^\bullet}$  the admissible fundamental group of  $X^\bullet$ , and  $U_X := X \setminus D_X$ . Moreover, in this subsection, we assume that  $X^\bullet$  is smooth over  $k$ . Let  $t$  be an arbitrary positive natural number,  $n := p^t - 1$ , and  $\mu_n \subseteq k^\times$  the group of  $n^{\text{th}}$  roots of unity. Fix a  $n^{\text{th}}$  root of unity  $\zeta \neq 1$ , we may identify  $\mu_n$  with  $\mathbb{Z}/n\mathbb{Z}$  via the map  $\zeta^i \mapsto i$ . For each  $\alpha \in H_{\text{et}}^1(U_X, \mu_n)$ , we denote by  $U_{X_\alpha}$  for the  $\mu_n$ -torsor corresponding to  $\alpha$ , and by  $X_\alpha$  for the normalization of  $X$  in  $U_{X_\alpha}$ . Write  $F_{X_\alpha}$  for the absolute Frobenius morphism on  $X_\alpha$ . Then there exist a decomposition (cf. [S, Section 9])

$$H^1(X_\alpha, \mathcal{O}_X) = H^1(X_\alpha, \mathcal{O}_X)^{\text{st}} \oplus H^1(X_\alpha, \mathcal{O}_X)^{\text{ni}},$$

where  $F_{X_\alpha}$  is a bijection on  $H^1(X_\alpha, \mathcal{O}_X)^{\text{st}}$  and is nilpotent on  $H^1(X_\alpha, \mathcal{O}_X)^{\text{ni}}$ ; moreover, we have

$$H^1(X_\alpha, \mathcal{O}_X)^{\text{st}} = H^1(X_\alpha, \mathcal{O}_X)^{F_{X_\alpha}} \otimes_{\mathbb{F}_p} k,$$

where  $(-)^{F_{X_\alpha}}$  denotes the subspace of  $(-)$  on which  $F_{X_\alpha}$  acts trivially. Then Artin-Schreier theory implies that we may identify  $H_\alpha := H_{\text{ét}}^1(X_\alpha, \mathbb{F}_p) \otimes_{\mathbb{F}_p} k$  with the largest subspace of  $H^1(X_\alpha, \mathcal{O}_X)$  on which  $F_{X_\alpha}$  is a bijection.

The finite dimensional  $k$ -vector spaces  $H_\alpha$  is a finitely generated  $k[\mu_n]$ -module induced by the natural action of  $\mu_n$  on  $X_\alpha$ . We have the following canonical decomposition

$$H_\alpha = \bigoplus_{i \in \mathbb{Z}/n\mathbb{Z}} H_{\alpha, i},$$

where  $\zeta \in \mu_n$  acts on  $H_{\alpha, i}$  as the  $\zeta^i$ -multiplication. We define

$$\gamma_{\alpha, i} := \dim_k(H_{\alpha, i}), \quad i \in \mathbb{Z}/n\mathbb{Z}.$$

These invariants are called *generalized Hasse-Witt invariants* (cf. [N]). Moreover, the decomposition above implies that

$$\dim_k(H_\alpha) = \sum_{i \in \mathbb{Z}/n\mathbb{Z}} \gamma_{\alpha, i}.$$

Note that, if  $X_\alpha$  is connected, then  $\dim_k(H_\alpha) = \sigma(X_\alpha)$ .

The generalized Hasse-Witt invariants can be also described in terms of line bundles and divisors. We denote by  $\text{Pic}(X)$  the Picard group of  $X$  and by  $\mathbb{Z}[D_X]$  the group of divisors whose supports are contained in  $D_X$ . Note that  $\mathbb{Z}[D_X]$  is a free  $\mathbb{Z}$ -module with basis  $D_X$ . Consider the following complex of abelian groups:

$$\mathbb{Z}[D_X] \xrightarrow{a_n} \text{Pic}(X) \oplus \mathbb{Z}[D_X] \xrightarrow{b_n} \text{Pic}(X),$$

where  $a_n(D) = (\mathcal{O}_X(-D), nD)$ , and  $b_n([\mathcal{L}], D) = [\mathcal{L}^n \otimes \mathcal{O}_X(-D)]$ . We denote by

$$P_{X^\bullet, n} := \ker(b_n) / \text{Im}(a_n)$$

the homology group of the complex. Moreover, we have the following exact sequence

$$0 \rightarrow \text{Pic}(X)[n] \xrightarrow{a'_n} P_{X^\bullet, n} \xrightarrow{b'_n} \mathbb{Z}/n\mathbb{Z}[D_X] := \mathbb{Z}[D_X] \otimes \mathbb{Z}/n\mathbb{Z} \xrightarrow{c'_n} \mathbb{Z}/n\mathbb{Z},$$

where  $[n]$  means the  $n$ -torsion subgroup, and

$$\begin{aligned} a'_n([\mathcal{L}]) &= ([\mathcal{L}], 0) \text{ mod } \text{Im}(a_n), \\ b'_n([\mathcal{L}], D) \text{ mod } \text{Im}(a_n) &= D \text{ mod } n, \\ c'_n(D \text{ mod } n) &= \deg(D) \text{ mod } n. \end{aligned}$$

Then  $\ker(c'_n)$  can be regarded as a subset of  $(\mathbb{Z}/n\mathbb{Z})^\sim[D_X]$ , where  $(\mathbb{Z}/n\mathbb{Z})^\sim$  denotes the set  $\{0, 1, \dots, n-1\}$ , and  $(\mathbb{Z}/n\mathbb{Z})^\sim[D_X]$  denotes the subset of  $\mathbb{Z}[D_X]$  consisting of the elements whose coefficients are contained in  $(\mathbb{Z}/n\mathbb{Z})^\sim$ . We shall define

$$\tilde{P}_{X^\bullet, n}$$

to be the inverse image of  $\ker(c'_n) \subseteq (\mathbb{Z}/n\mathbb{Z})^\sim[D_X] \subseteq \mathbb{Z}[D_X]$  under the projection  $\ker(b_n) \rightarrow \mathbb{Z}[D_X]$ . It is easy to see that  $P_{X^\bullet, n}$  and  $\tilde{P}_{X^\bullet, n}$  are free  $\mathbb{Z}/n\mathbb{Z}$ -groups with rank  $2g_X + n_X - 1$  if  $n_X \neq 0$  and with rank  $2g_X$  if  $n_X = 0$ . Moreover, we have (cf. [T1, Proposition 3.5])

$$\tilde{P}_{X^\bullet, n} \cong P_{X^\bullet, n} \cong H_{\text{ét}}^1(U_X, \mu_n).$$

Let  $([\mathcal{L}], D) \in \tilde{P}_{X^\bullet, n}$ . We fix an isomorphism  $\mathcal{L}^n \cong \mathcal{O}_X(-D)$ . Note that  $D$  is an effective divisor on  $X$ . We have the following composition of morphisms of line bundles

$$\mathcal{L} \xrightarrow{p^t} \mathcal{L}^{\otimes p^t} = \mathcal{L}^{\otimes n} \otimes \mathcal{L} \xrightarrow{\sim} \mathcal{O}_X(-D) \otimes \mathcal{L} \hookrightarrow \mathcal{L}.$$

The composite morphism induces a morphism

$$\phi_{([\mathcal{L}], D)} : H^1(X, \mathcal{L}) \rightarrow H^1(X, \mathcal{L}).$$

We denote by  $\gamma_{([\mathcal{L}], D)} := \dim_k(\bigcap_{r \geq 1} \text{Im}(\phi_{([\mathcal{L}], D)}^r))$ . Write  $\alpha_{\mathcal{L}} \in H_{\text{ét}}^1(U_X, \mu_n)$  for the element corresponding to  $([\mathcal{L}], D)$  and  $F_X$  for the absolute Frobenius morphism on  $X$ . Then [S, Section 9] implies that  $\gamma_{\alpha_{\mathcal{L}}, 1}$  is equal to the dimension over  $k$  of the largest subspace of  $H^1(X, \mathcal{L})$  on which  $F_X$  is a bijection. Moreover, we have

$$\gamma_{\alpha_{\mathcal{L}}, 1} = \dim_k(H^1(X, \mathcal{L})^{F_X} \otimes_{\mathbb{F}_p} k),$$

where  $(-)^{F_X}$  denotes the subspace of  $(-)$  on which  $F_X$  acts trivially. It is easy to check that

$$H^1(X, \mathcal{L})^{F_X} \otimes_{\mathbb{F}_p} k = \bigcap_{r \geq 1} \text{Im}(\phi_{([\mathcal{L}], D)}^r).$$

Then we obtain that  $\gamma_{([\mathcal{L}], D)} = \gamma_{\alpha_{\mathcal{L}}, 1}$ .

On the other hand, the Riemann-Roch theorem implies that

$$\begin{aligned} \dim_k(H^1(X, \mathcal{L})) &= g_X - 1 - \deg(\mathcal{L}) + \dim_k(H^0(X, \mathcal{L})) \\ &= g_X - 1 + \frac{1}{n} \deg(D) + \dim_k(H^0(X, \mathcal{L})) \\ &\leq g_X - 1 + \left\lceil \frac{n_X(n-1)}{n} \right\rceil + \dim_k(H^0(X, \mathcal{L})) \\ &= g_X - 1 + n_X + \left\lfloor -\frac{n_X}{n} \right\rfloor + \dim_k(H^0(X, \mathcal{L})). \end{aligned}$$

Then we obtain the following rough estimate:

$$\gamma_{\alpha_{\mathcal{L}}, 1} \leq \dim_k(H^1(X, \mathcal{L})) \leq \begin{cases} g_X, & \text{if } ([\mathcal{L}], D) = ([\mathcal{O}_X], 0), \\ g_X - 1, & \text{if } n_X = 0, \\ g_X - 2 + n_X, & \text{if } n_X \neq 0. \end{cases}$$

## 4.2 Raynaud-Tamagawa theta divisor

We maintain the notation introduced in Section 4.1. Let  $F_k$  be the absolute Frobenius morphism on  $\text{Spec } k$  and  $F_{X/k}$  the relative Frobenius morphism  $X \rightarrow X_1 := X \times_{k, F_k} k$  over  $k$ . We define

$$X_t := X \times_{k, F_k^t} k,$$

and define a morphism

$$F_{X/k}^t : X \rightarrow X_t$$

over  $k$  to be the composition of the  $t$  relative Frobenius morphism  $F_{X/k}^t := F_{X_{t-1}/k} \circ \cdots \circ F_{X_1/k} \circ F_{X/k}$ .

On the other hand, we denote by  $\mathbb{Z}/n\mathbb{Z}[D_X]^0$  the kernel of  $c'_n$  and by  $(\mathbb{Z}/n\mathbb{Z})^\sim[D_X]^0$  the subset of  $(\mathbb{Z}/n\mathbb{Z})^\sim[D_X]$  corresponding to  $\mathbb{Z}/n\mathbb{Z}[D_X]^0$  under the natural bijection  $(\mathbb{Z}/n\mathbb{Z})^\sim[D_X] \xrightarrow{\sim} \mathbb{Z}/n\mathbb{Z}[D_X]$ . Note that, for each  $D \in (\mathbb{Z}/n\mathbb{Z})^\sim[D_X]^0$ , we have  $n \mid \deg(D)$ . Then

$$\deg(D) = s(D)n$$

for some integer  $s(D)$  such that  $s(D) = 0$  if  $n_X \leq 1$  and  $0 \leq s(D) \leq n_X - 1$  if  $n_X > 1$ .

Let  $D \in (\mathbb{Z}/n\mathbb{Z})^\sim[D_X]^0$ ,  $\mathcal{L}$  a line bundle on  $X$  such that  $\mathcal{L}^{\otimes n} \cong \mathcal{O}_X(-D)$ , and  $\mathcal{L}_t$  the pull-back of  $\mathcal{L}$  by the natural morphism  $X_t \rightarrow X$ . Note that  $\mathcal{L}$  and  $\mathcal{L}_t$  are line bundles of degree  $-s(D)$ . We put

$$B_D^t := ((F_{X/k}^t)_* \mathcal{O}_X(D)) / \mathcal{O}_{X_t}, \quad E_D := B_D^t \otimes \mathcal{L}_t.$$

Write  $\text{rk}(E_D)$  for the rank of  $E_D$ . Then we have

$$\chi(E_D) = \deg(\det(E_D)) - (g_X - 1)\text{rk}(E_D).$$

Moreover,  $\chi(E_D) = 0$  (cf. [T1, Lemma 2.3 (ii)]). In [R], Raynaud investigated the following property of the vector bundle  $E_D$  on  $X$ .

**Condition 4.1.** We shall call that  $E_D$  satisfies  $(\star)$  if there exists a line bundle  $\mathcal{L}'_t$  of degree 0 on  $X_t$  such that

$$0 = \min\{\dim_k(H^0(X_t, E_D \otimes \mathcal{L}'_t)), \dim_k(H^1(X_t, E_D \otimes \mathcal{L}'_t))\}.$$

Let  $J_{X_t}$  be the Jacobian variety of  $X_t$ , and  $\mathcal{L}_t$  a universal line bundle on  $X_t \times J_{X_t}$ . Let  $\text{pr}_{X_t} : X_t \times J_{X_t} \rightarrow X_t$  and  $\text{pr}_{J_{X_t}} : X_t \times J_{X_t} \rightarrow J_{X_t}$  be the natural projections. We denote by  $\mathcal{F}$  the coherent  $\mathcal{O}_{X_t}$ -module  $\text{pr}_{X_t}^*(E_D) \otimes \mathcal{L}_t$ , and by

$$\chi_{\mathcal{F}} := \dim_k(H^0(X_t \times_k k(y), \mathcal{F} \otimes k(y))) - \dim_k(H^1(X_t \times_k k(y), \mathcal{F} \otimes k(y)))$$

for each  $y \in J_{X_t}$ , where  $k(y)$  denotes the residue field of  $y$ . Note that since  $\text{pr}_{J_{X_t}}$  is flat,  $\chi_{\mathcal{F}}$  is independent of  $y \in J_{X_t}$ . Write  $(-\chi_{\mathcal{F}})^+$  for  $\max\{0, -\chi_{\mathcal{F}}\}$ . We denote by

$$\Theta_{E_D} \subseteq J_{X_t}$$

the closed subscheme of  $J_{X_t}$  defined by the  $(-\chi_{\mathcal{F}})^+$ -th Fitting ideal

$$\text{Fitt}_{(-\chi_{\mathcal{F}})^+}(R^1(\text{pr}_{J_{X_t}})_*(\text{pr}_{X_t}^*(E_D) \otimes \mathcal{L}_t)).$$

The definition of  $\Theta_{E_D}$  is independent of the choice of  $\mathcal{L}_t$ . Moreover, for each line bundle  $\mathcal{L}''$  of degree 0 on  $X_t$ , we have that  $[\mathcal{L}''] \notin \Theta_{E_D}$  if and only if

$$0 = \min\{\dim_k(\mathrm{H}^0(X_t, E_D \otimes \mathcal{L}'')), \dim_k(\mathrm{H}^1(X_t, E_D \otimes \mathcal{L}''))\},$$

where  $[\mathcal{L}'']$  denotes the point of  $J_{X_t}$  corresponding to  $\mathcal{L}''$  (cf. [T1, Proposition 2.2 (i) (ii)]).

Suppose that  $E_D$  satisfies  $(\star)$ . [R, Proposition 1.8.1] implies that  $\Theta_{E_D}$  is algebraically equivalent to  $\mathrm{rk}(E_D)\Theta$ , where  $\Theta$  is the classical theta divisor (i.e., the image of  $X_t^{g_X-1}$  in  $J_{X_t}$ ). Then we have the following definition.

**Definition 4.2.** We shall call  $\Theta_{E_D} \subseteq J_{X_t}$  the *Raynaud-Tamagawa theta divisor* associated to  $E_D$  if  $E_D$  satisfies  $(\star)$ .

First, we have the following theorem.

**Theorem 4.3.** *Suppose that  $s(D) \in \{0, 1\}$ . Then the Raynaud-Tamagawa theta divisor associated to  $E_D$  exists.*

**Remark 4.3.1.** Theorem 4.3 was proved by Raynaud if  $s(D) = 0$  (cf. [R, Théorème 4.1.1]), and by Tamagawa if  $s(D) = 1$  (cf. Theorem 2.5).

Note that we have the following natural exact sequence

$$0 \rightarrow \mathcal{L}_t \rightarrow (F_{X/k}^t)_*(\mathcal{O}_X(D)) \otimes \mathcal{L}_t \rightarrow E_D \rightarrow 0.$$

Let  $\mathcal{I}$  be a line bundle of degree 0 on  $X$ . Write  $\mathcal{I}_t$  for the pull-back of  $\mathcal{I}$  by the natural morphism  $X_t \rightarrow X$ . we obtain the following exact sequence

$$\begin{aligned} \dots \rightarrow \mathrm{H}^0(X_t, E_D \otimes \mathcal{I}_t) \rightarrow \mathrm{H}^1(X_t, \mathcal{L}_t \otimes \mathcal{I}_t) \xrightarrow{\phi_{\mathcal{L}_t \otimes \mathcal{I}_t}} \mathrm{H}^1(X_t, (F_{X/k}^t)_*(\mathcal{O}_X(D)) \otimes \mathcal{L}_t \otimes \mathcal{I}_t) \\ \rightarrow \mathrm{H}^1(X_t, E_D \otimes \mathcal{I}_t) \rightarrow \dots \end{aligned}$$

Note that we have that

$$\mathrm{H}^1(X_t, \mathcal{L}_t \otimes \mathcal{I}_t) \cong \mathrm{H}^1(X, \mathcal{L} \otimes \mathcal{I}),$$

and that

$$\begin{aligned} \mathrm{H}^1(X_t, (F_{X/k}^t)_*(\mathcal{O}_X(D)) \otimes \mathcal{L}_t \otimes \mathcal{I}_t) &\cong \mathrm{H}^1(X, \mathcal{O}_X(D) \otimes (F_{X/k}^t)^*(\mathcal{L}_t \otimes \mathcal{I}_t)) \\ &\cong \mathrm{H}^1(X, \mathcal{O}_X(D) \otimes (\mathcal{L} \otimes \mathcal{I})^{\otimes n}) \cong \mathrm{H}^1(X, \mathcal{L} \otimes \mathcal{I}). \end{aligned}$$

Moreover, it is easy to see that the homomorphism

$$\mathrm{H}^1(X, \mathcal{L} \otimes \mathcal{I}) \rightarrow \mathrm{H}^1(X, \mathcal{L} \otimes \mathcal{I})$$

induced by  $\phi_{\mathcal{L}_t \otimes \mathcal{I}_t}$  coincides with  $\phi_{([\mathcal{L} \otimes \mathcal{I}], D)}$ . Suppose that the Raynaud-Tamagawa theta divisor  $\Theta_{E_D}$  associated to  $E_D$  exists. Then we obtain that  $[\mathcal{I}_t] \notin \Theta_{E_D}$  if and only if

$$\gamma_{([\mathcal{L} \otimes \mathcal{I}], D)} = \dim_k(\mathrm{H}^1(X, \mathcal{L} \otimes \mathcal{I})).$$

**Definition 4.4.** Let  $D$  be an arbitrary effective divisor on  $X$ .

(i) For each natural number  $m$ , we put

$$[D/m] := \sum_{x \in X} [\text{ord}_x(D)/m]x,$$

which is an effective divisor on  $X$ .

(ii) For  $u \in \{0, 1, \dots, n\}$ , let  $u = \sum_{j=0}^{t-1} u_j p^j$  be the  $p$ -adic expansion with  $u_j \in \{0, 1, \dots, p-1\}$ . We identify  $\{0, 1, \dots, t-1\}$  with  $\mathbb{Z}/t\mathbb{Z}$  naturally, we put

$$u^{(i)} := \sum_{j=0}^{t-1} u_{i+j} p^j.$$

Suppose that  $D \in (\mathbb{Z}/n\mathbb{Z}) \sim [D_X]$ . Then, we put

$$D^{(i)} := \sum_{x \in X} (\text{ord}_x(D))^{(i)} x,$$

which is an effective divisor on  $X$ .

By applying [T1, Corollary 3.10], we obtain the following theorem.

**Theorem 4.5.** *We put*

$$C(g_X) := \begin{cases} 0, & \text{if } g_X = 0, \\ 3^{g_X-1} g_X!, & \text{if } g_X > 0. \end{cases}$$

Let  $([\mathcal{L}], D) \in \tilde{P}_{X^\bullet, n}$ . Suppose that the Raynaud-Tamagawa theta divisor  $\Theta_{E_D}$  associated to  $E_D$  exists. Then the following statements hold.

(i) *We have*

$$\#\{[\mathcal{L}'] \in \text{Pic}(X) \mid \phi_{([\mathcal{L} \otimes \mathcal{L}'], D)} \text{ is bijective}\} \geq n^{2g_X} - C(g_X)n^{2g_X-1}.$$

(ii) *We have*

$$\#\{[\mathcal{L}'] \in \text{Pic}(X) \mid \gamma_{([\mathcal{L} \otimes \mathcal{L}'], D)} \geq g_X - 1 + s(D)\} \geq n^{2g_X} - C(g_X)n^{2g_X-1}$$

and

$$\begin{aligned} & \#\{[\mathcal{L}'] \in \text{Pic}(X) \mid \gamma_{([\mathcal{L} \otimes \mathcal{L}'], D)} = g_X - 1 + s(D)\} \\ & \geq \begin{cases} n^{2g_X} - C(g_X)n^{2g_X-1} - 1, & \text{if } s(D) = 0, \\ n^{2g_X} - C(g_X)n^{2g_X-1}, & \text{if } s(D) \geq 1. \end{cases} \end{aligned}$$

*In particular, suppose that there exists  $i \in \{0, 1, \dots, t-1\}$  such that  $s(D^{(i)}) = 1$ . Then we have*

$$\#\{[\mathcal{L}'] \in \text{Pic}(X) \mid \gamma_{([\mathcal{L} \otimes \mathcal{L}'], D)} = g_X\} \geq n^{2g_X} - C(g_X)n^{2g_X-1}.$$

**Remark 4.5.1.** If  $s(D) \in \{0, 1\}$ , Theorem 4.5 was proved by Tamagawa (cf. [T1, Theorem 3.12 and Corollary 3.16]).

**Remark 4.5.2.** Let  $D \in (\mathbb{Z}/n\mathbb{Z})^\sim[D_X]^0$ . We may also consider the following problem.

*Suppose that  $s(D) \geq 2$ . Does the Raynaud-Tamagawa theta divisor  $\Theta_{E_D}$  exist?*

In fact, the Raynaud-Tamagawa theta divisor  $\Theta_{E_D}$  associated to  $E_D$  does not exist in general. Here, we have an example as follows. Suppose that  $p = 3$ . Let  $X = \mathbb{P}_k^1$ ,  $D_X = \{0, 1, \infty, \omega\}$ , where  $\omega \notin \{0, 1\}$ , and

$$D = \sum_{x \in D_X} \frac{p-1}{2} x.$$

Then we have  $s(D) = 2$ . Let  $([\mathcal{L}], D)$  be an arbitrary element of  $\tilde{P}_{X^\bullet, n}$ . We see immediately that  $E_D$  satisfies  $(\star)$  if and only if the elliptic curve defined by the equation

$$y^2 = x(x-1)(x-\omega)$$

is ordinary. Thus, we can not expect that  $\Theta_{E_D}$  exists in general. On the other hand, we have the following open problem posed by Tamagawa (cf. [T1, Question 2.20]).

**Problem .** *Let  $\bar{\mathbb{F}}_p$  be the algebraic closure of  $\mathbb{F}_p$  in  $k$ , and  $\bar{M}_{g_X, n_X}$  the coarse moduli space of the moduli stack  $\mathcal{M}_{g_X, n_X} \times_{\mathbb{Z}} \bar{\mathbb{F}}_p$ . Suppose that  $X^\bullet$  is a geometric generic curve of  $\bar{M}_{g_X, n_X}$ . Let  $([\mathcal{L}], D)$  be an arbitrary element of  $\tilde{P}_{X^\bullet, n}$ . Does the Raynaud-Tamagawa theta divisor  $\Theta_{E_D}$  associated to  $E_D$  exist?*

In Section 6, we will prove that Problem is true under a certain assumption of  $D$ .

On the other hand, Tamagawa proved the following result (cf. [T1, Proposition 3.18]).

**Proposition 4.6.** *Let  $d \geq \log_p(n_X - 1)$  be an arbitrary positive natural number and  $\epsilon < 1$  an arbitrary positive real number. We put*

$$\Lambda = \frac{d}{\epsilon}, \text{ and } \lambda = \left(1 - \frac{1}{p^{d(n_X-1)}} \binom{n_X-1}{p^d}\right)^{\frac{(1-\epsilon)}{d}},$$

where  $\binom{\_}{\_}$  denotes the binomial coefficient. Then if  $n_X > 1$ , we have

$$\#\{D \in (\mathbb{Z}/n\mathbb{Z})^\sim[D_X]^0 \mid s(D^{(i)}) = 1 \text{ for some } i \in \{0, 1, \dots, t-1\}\} \geq n^{n_X-1}(1-\lambda^t) - 1$$

for all  $t \geq \Lambda$ .

### 4.3 Lower bounds and upper bounds of the limit of $p$ -averages

**Definition 4.7.** Let  $G$  be an arbitrary cyclic group of order prime to  $p$  and  $M$  a finitely generated  $\mathbb{F}_p[G]$ -module. For any given character  $\chi : G \rightarrow k^\times$ , we set

$$(M \otimes_{\mathbb{F}_p} k)[\chi] := \{m \in M \otimes_{\mathbb{F}_p} k \mid \tau(m) = \chi(\tau)m \text{ for all } \tau \in G\},$$



and define  $\gamma_\chi(M) := \dim_k((M \otimes_{\mathbb{F}_p} k)[\chi])$ . Moreover, we define the primitive part of  $M$  to be

$$M^{\text{pri}} := M / \left( \sum_{1 \neq \tau \in G} M^\tau \right),$$

where  $M^\tau := \{m \in M \mid \tau(m) = m\}$  for each  $\tau \in G$ . We put  $\gamma^{\text{pri}}(M) := \dim_{\mathbb{F}_p}(M)$ .

**Remark 4.7.1.** We see immediately that

$$M^{\text{pri}} \otimes_{\mathbb{F}_p} k = \bigoplus_{\chi: G \rightarrow k^\times} (M \otimes_{\mathbb{F}_p} k)[\chi].$$

Then we have

$$\gamma^{\text{pri}}(M) = \sum_{\chi: G \rightarrow k^\times} \gamma_\chi(M).$$

On the other hand, we can define a  $\mathbb{F}_p[G]$ -module  $M^\vee := \text{Hom}(M, \mathbb{F}_p)$  via  $(\tau(a))(m) = a(\tau^{-1}(m))$  for each  $\tau \in G$ ,  $a \in M^\vee$ , and  $m \in M$ . Then we have  $\gamma_\chi(M) = \gamma_{\chi^{-1}}(M^\vee)$ . Thus, we obtain

$$\gamma^{\text{pri}}(M) = \gamma^{\text{pri}}(M^\vee).$$

Let us return to the case where  $X^\bullet$  is an arbitrary pointed stable curve and maintain the notation introduced in Section 3. Let  $t$  be an arbitrary positive natural number,

$$n := p^t - 1,$$

and  $\mu_n \subseteq k^\times$  the group of  $n^{\text{th}}$  roots of unity. Fix a  $n^{\text{th}}$  root of unity  $\zeta \neq 1$ , we may identify  $\mu_n$  with  $\mathbb{Z}/n\mathbb{Z}$  via the map  $\zeta^i \mapsto i$ . Let  $v \in v(\Gamma_{X^\bullet})$ ,  $U_v := \tilde{X}_v \setminus D_{\tilde{X}_v}$ ,  $\tilde{P}_{\tilde{X}_v, n}$  the abelian group associated to  $\tilde{X}_v^\bullet$  defined in Section 4.1, and

$$\mathcal{T}_{v,n} = \text{Hom}(\Pi_v^{\text{ab}}, \mu_n) \cong H_{\text{ét}}^1(U_v, \mu_n).$$

For each  $e \in e^{\text{op}}(\Gamma_v)$ , we fix a generator  $[s_e]$  of  $I_e$ . Then the structure of the maximal prime-to- $p$  quotients of admissible fundamental groups implies that, for each  $\alpha \in \mathcal{T}_{v,n}$ ,  $\alpha([s_e]) = \zeta^{a_e}$  for each  $e \in e^{\text{op}}(\Gamma_v)$  and

$$\prod_{e \in e^{\text{op}}(\Gamma_v)} \alpha([s_e]) = 1.$$

Note that the image  $\text{Im}(\alpha) = \langle \xi := \zeta^{n/m} \rangle$  is a cyclic subgroup of  $\mu_n$  with order  $m$ , and  $\Pi_v^{\text{ab}}/\ker(\alpha) = \langle \tau \rangle$  is isomorphic to the image  $\text{Im}(\alpha)$  via  $\tau \mapsto \xi$ .

Let  $\bar{a}_e := a_e m/n$ , and let  $f_\alpha^\bullet : Y_{v,\alpha}^\bullet \rightarrow \tilde{X}_v^\bullet$  be the  $\mu_n$ -torsor induced by  $\alpha$  and  $Z^\bullet = (Z, D_Z)$  a connected component of  $Y_{v,\alpha}^\bullet$ . Then  $f_\alpha^\bullet$  induces a connected Galois admissible covering

$$f^\bullet : Z^\bullet = (Z, D_Z) \rightarrow \tilde{X}_v^\bullet$$

over  $k$  with Galois group  $\Pi_v^{\text{ab}}/\ker(\alpha)$ . Write  $f : Z \rightarrow \tilde{X}_v$  for the underlying morphism induced by  $f^\bullet$ . Then we have

$$f_*(\mathcal{O}_Z) = \bigoplus_{i \in \mathbb{Z}/m\mathbb{Z}} \mathcal{L}_i,$$

where locally  $\mathcal{L}_i$  is the eigenspace of the natural action of  $\tau$  with eigenvalue  $\xi^i$ . By consider the action of  $\tau$ , we have  $\mathcal{L}_1^{\otimes m} \rightarrow \mathcal{O}_{\tilde{X}_v}$ . Moreover, since  $\mathcal{L}_1^{\otimes m}|_{U_v} \cong \mathcal{O}_{\tilde{X}_v}|_{U_v}$ , we have  $\mathcal{L}_1^{\otimes m} \subseteq \mathcal{O}_{\tilde{X}_v}$ . Then there is a unique effective divisor  $D_{\bar{\alpha}}$  on  $\tilde{X}_v$  such that  $\text{Supp}(D_{\bar{\alpha}}) \subseteq D_{\tilde{X}_v}$  and  $\mathcal{L}_1^m \cong \mathcal{O}_{\tilde{X}_v}(-D_{\bar{\alpha}})$ , where  $\text{Supp}(-)$  denotes the support of  $(-)$ . We have the following lemma.

**Lemma 4.8.** *For each  $e \in e^{\text{op}}(\Gamma_v)$ , we write  $x_e \in D_{\tilde{X}_v}$  for the marked point corresponding to  $e$ . Then we have*

$$D_{\bar{\alpha}} = \sum_{e \in e^{\text{op}}(\Gamma_v)} \bar{a}_e x_e.$$

*Proof.* Let  $e \in e^{\text{op}}(\Gamma_v)$ . We write  $I_{x_e} \subseteq \Pi_v^{\text{ab}}/\ker(\alpha)$  for the inertia subgroup of  $x_e$ ,  $m_e$  for  $\#I_{x_e}$ ,  $q_e$  for  $m/m_e$ . Let  $W_e := Z/I_{x_e}$  and  $f_1 : Z \rightarrow W_e$  the quotient morphism over  $k$ . We define a smooth pointed stable curve over  $k$  to be

$$W_e^\bullet := (W_e, D_W := f_1(D_Z)).$$

Then  $f^\bullet$  and  $f_1$  induce the following morphisms of smooth pointed stable curves

$$Z^\bullet \xrightarrow{f_1^\bullet} W_e^\bullet \xrightarrow{f_2^\bullet} \tilde{X}_v^\bullet$$

over  $k$  such that  $f_2^\bullet \circ f_1^\bullet = f^\bullet$ . Write  $f_2$  for the underlying morphism of  $f_2^\bullet$ . Moreover, we have

$$(f_1)_*(\mathcal{O}_Z) = \bigoplus_{j \in \mathbb{Z}/m_e\mathbb{Z}} \mathcal{L}_{W,j},$$

where locally  $\mathcal{L}_{W,j}$  is the eigenspace of the natural action of  $\tau^{q_e}$  with eigenvalue  $\xi^{jq_e}$ .

Let  $\pi_{x_e}$  be a uniformizer of the discrete valuation ring  $\mathcal{O}_{\tilde{X}_v, x_e}$ ,  $w_e$  a point of  $f_2^{-1}(x_e) := \{w_e, \tau(w_e), \dots, \tau^{q_e-1}(w_e)\}$ ,  $z_e$  the point  $f_2^{-1}(w_e)$ ,  $\pi_{w_e}$  a uniformizer of the maximal ideal of the discrete valuation ring  $\mathcal{O}_{W_e, w_e}$ , and  $\pi_{z_e}$  a uniformizer of the maximal ideal of the discrete valuation ring  $\mathcal{O}_{Z, z_e}$ . The Kummer theory implies that

$$\tau^{q_e}(\pi_{z_e}) = \xi^{q_e r_e} \pi_{z_e} \pmod{\pi_{z_e}^2},$$

where  $r_e \bar{a}_e / q_e \equiv 1 \pmod{m_e}$ . Then we obtain that

$$\tau^{q_e}(\pi_{z_e}^{\bar{a}_e/q_e}) = \xi^{q_e} \pi_{z_e}^{\bar{a}_e/q_e}.$$

This means that  $\mathcal{L}_{W,1}$  is locally generated by  $\pi_{z_e}^{\bar{a}_e/q_e}$  at  $w_e$ . Moreover, since  $(\pi_{z_e})^{m_e} = \pi_{w_e}$ , we have  $(\pi_{z_e}^{\bar{a}_e/q_e})^{m_e} = \pi_{w_e}^{\bar{a}_e/q_e}$ . Thus,  $\mathcal{L}_{W,1}^{\otimes m_e}$  is locally isomorphic to  $\mathcal{O}_{W_e}(-(\bar{a}_e/q_e)w_e)$  at  $w_e$ .

We put

$$\pi := \prod_{i=0}^{q_e-1} \tau^i(\pi_{z_e}^{\bar{a}_e/q_e}).$$

Note that  $\tau(\pi) = \xi^{q_e} \pi$ . We obtain that  $\mathcal{L}_1^{\otimes q_e}$  is locally generated by  $\pi$  at  $x_e$ . Since  $\pi^m = \pi_{z_e}^{\bar{a}_e}$ , we have

$$\mathcal{L}_1^{\otimes q_e m} \cong \det((f_2)_*(\mathcal{L}_{W,1}^{\otimes m_e}))^{\otimes q_e}$$

locally at  $x_e$ , where  $\det(-)$  denotes the determinate of the sheaf  $(-)$ . On the other hand, by applying [H, Chapter IV Exercises 2.6], we obtain the following isomorphisms

$$\begin{aligned} \det((f_2)_*(\mathcal{L}_{W,1}^{\otimes m_e})) &\cong \det((f_2)_*(\mathcal{O}_{W_e}(-\sum_{\tau^i(w_e) \in f_2^{-1}(x_e)} (\bar{a}_e/q_e)\tau^i(w_e)))) \\ &\cong \det((f_2)_*\mathcal{O}_{W_e}) \otimes \mathcal{O}_{\tilde{X}_v}((f_2)_*(-\sum_{\tau^i(w_e) \in f_2^{-1}(x_e)} (\bar{a}_e/q_e)\tau^i(w_e))) \\ &\cong \det((f_2)_*\mathcal{O}_{W_e}) \otimes \mathcal{O}_{\tilde{X}_v}(-\bar{a}_e x_e) \end{aligned}$$

locally at  $x_e$ . Moreover, since  $f_2$  is étale over  $x_e$ , we have  $\det((f_2)_*\mathcal{O}_{W_e})^{\otimes q_e} \cong \mathcal{O}_{\tilde{X}_v}$  locally at  $x_e$ . Then we obtain

$$\mathcal{L}_1^{\otimes q_e m} \cong \mathcal{O}_{\tilde{X}_v}(-q_e \sum_{e \in e^{\text{op}}(\Gamma_v)} \bar{a}_e x_e).$$

Thus, we obtain that

$$q_e D_{\bar{\alpha}} = q_e \sum_{e \in e^{\text{op}}(\Gamma_v)} \bar{a}_e x_e.$$

Then  $D_{\bar{\alpha}} = \sum_{e \in e^{\text{op}}(\Gamma_v)} \bar{a}_e x_e$ . This completes the proof of the lemma.  $\square$

We denote by  $\mathcal{L}_\alpha$  the line bundle  $\mathcal{L}_1$  and by  $D_\alpha$  the effective divisor  $\sum_{e \in e^{\text{op}}(\Gamma_v)} a_e x_e$ . Note that  $\mathcal{L}_\alpha^{\otimes n} \cong \mathcal{O}_{\tilde{X}_v}(-D_\alpha)$ . Then we obtain a morphism

$$\begin{aligned} \mathcal{T}_{v,n} &\rightarrow \tilde{P}_{\tilde{X}_v^\bullet, n} \\ \alpha &\mapsto ([\mathcal{L}_\alpha], D_\alpha). \end{aligned}$$

It is easy to check that this morphism is an isomorphism.

Let  $H_{v,n}$  be the kernel of the composition of surjective homomorphisms

$$\Pi_v \rightarrow \Pi_v^{\text{ab}} \xrightarrow{\phi_v} M_v \otimes \mathbb{Z}/n\mathbb{Z}$$

and  $X_{H_{v,n}}^\bullet := (X_{H_{v,n}}, D_{X_{H_{v,n}}}) \rightarrow \tilde{X}_v^\bullet$  the Galois admissible covering over  $k$  corresponding to  $H_{v,n}$ . For each  $C \in \pi_0(v)$ , we put

$$D'_{\tilde{X}_{v,C}} := \{x_e \in D_{\tilde{X}_v} \mid e \in E_{v,C}\}.$$

We define a smooth pointed semi-stable curve of type  $(g_v, n_{v,C} := \#E_{v,C})$  over  $k$  to be

$$\tilde{X}_{v,C}^\bullet = (\tilde{X}_{v,C}, D_{\tilde{X}_{v,C}}) := (\tilde{X}_v, D'_{\tilde{X}_{v,C}}).$$

Then we have the following proposition.

**Proposition 4.9.** (i) Suppose that  $(g_v, \#E_v^{>1}) = (0, 0)$ . Then

$$\lim_{t \rightarrow \infty} \frac{\sigma(X_{H_{v,n}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} = 0.$$

(ii) Suppose that  $(g_v, \#E_v^{>1}) \neq (0, 0)$ . Then we have

$$0 \leq \lim_{t \rightarrow \infty} \frac{\sigma(X_{H_{v,n}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} \leq g_v + \#E_v^{>1} - 1.$$

Moreover, we have

$$\lim_{t \rightarrow \infty} \frac{\sigma(X_{H_{v,n}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} = \begin{cases} g_v - 1, & \text{if } \#E_v^{>1} = 0, \\ g_v, & \text{if } \#E_v^{>1} = 1. \end{cases}$$

*Proof.* Frist, we prove (i). Since  $H_{v,n}$  is trivial, we have  $\sigma(X_{H_{v,n}}^\bullet) = \sigma(\mathbb{P}_k^1) = 0$ . Then we have

$$\lim_{t \rightarrow \infty} \frac{\sigma(X_{H_{v,n}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} = 0.$$

Next, we prove (ii). We put

$$\mathcal{N}_{v,n} := \{H \subseteq \Pi_v \text{ open normal} \mid H_{v,n} \subseteq H \text{ and } \Pi_v/H \text{ is cyclic}\}.$$

Note that the order of  $\Pi_v/H$ ,  $H \in \mathcal{N}_{v,n}$ , is prime to  $p$ . Write  $X_H^\bullet := (X_H, D_{X_H})$  for the pointed stable curve over  $k$  corresponding to  $H$ . Since  $M_v \otimes \mathbb{Z}/n\mathbb{Z}$  is an abelian group, we have the following canonical decomposition

$$\begin{aligned} H_{\text{ét}}^1(X_{H_{v,n}}, \mathbb{F}_p) &= \bigoplus_{H \in \mathcal{N}_{v,n}} (H_{\text{ét}}^1(X_{H_{v,n}}, \mathbb{F}_p)^{H/H_{v,n}})^{(\Pi_v/H)\text{-pri}} \\ &= \bigoplus_{H \in \mathcal{N}_{v,n}} H_{\text{ét}}^1(X_H, \mathbb{F}_p)^{(\Pi_v/H)\text{-pri}}, \end{aligned}$$

where  $(-)\text{-pri}$  means the primitive part as an  $\mathbb{F}_p[(-)]$ -module. Then we have

$$\sigma(X_{H_{v,n}}^\bullet) = \dim_{\mathbb{F}_p}(H_{\text{ét}}^1(X_{H_{v,n}}, \mathbb{F}_p)^\vee) = \sum_{H \in \mathcal{N}_{v,n}} \sum_{\chi: \Pi_v/H \hookrightarrow k^\times} \gamma_\chi(H_{\text{ét}}^1(X_H, \mathbb{F}_p)).$$

Moreover, we put

$$\mathcal{Q}_{v,n} := \{(H, \chi) \mid H \in \mathcal{N}_{v,n} \text{ and } \chi: \Pi_v/H \hookrightarrow k^\times\}.$$

For each pair  $(H, \chi) \in \mathcal{Q}_{v,n}$ , the composition of the homomorphisms  $\Pi_v \twoheadrightarrow \Pi_v/H \xrightarrow{\chi} \mu_n \subseteq k^\times$  induces an element

$$\alpha_{(H,\chi)} \in \mathcal{T}_{v,n}.$$

Moreover, [T1, 4.7] implies that  $\gamma_{\alpha_{(H,\chi)},1} = \gamma_\chi(H_{\text{ét}}^1(X_H, \mathbb{F}_p))$ . We obtain that

$$\sigma(X_{H_{v,n}}^\bullet) = \sum_{(H,\chi) \in \mathcal{Q}_{v,n}} \gamma_{\alpha_{(H,\chi)},1}.$$

We put

$$\mathcal{A}_{v,n} := \{\alpha \in \mathcal{T}_{v,n} \mid K_v \subseteq \ker(\alpha)\}.$$

Then we have  $\#\mathcal{A}_{v,n} = \#M_v \otimes \mathbb{Z}/n\mathbb{Z}$ . Moreover, Proposition 3.4 implies that

$$\prod_{e \in E_{v,C}} \alpha([s_e]) = 1, \quad C \in \pi_0(v).$$

Let  $(H, \chi) \in \mathcal{Q}_{v,n}$  and  $\alpha_{(H,\chi)} \in \mathcal{T}_{v,n}$  induced by  $(H, \chi)$ . The definition of  $\mathcal{N}_{v,n}$  implies that, the homomorphism  $\Pi_v \rightarrow \Pi_v/H$  factors through the natural surjective homomorphism  $\Pi_v \twoheadrightarrow M_v \otimes \mathbb{Z}/n\mathbb{Z}$ . Then we obtain a map

$$\mathcal{Q}_{v,n} \rightarrow \mathcal{A}_{v,n}$$

defined by  $(H, \chi) \mapsto \alpha_{(H,\chi)}$ . Moreover, it is easy to check that this map is a bijection. Thus, we have

$$\sigma(X_{H_{n,v}}^\bullet) = \sum_{\alpha \in \mathcal{A}_{v,n}} \gamma_{([\mathcal{L}_\alpha], D_\alpha)}.$$

Note that we have  $n \mid \deg(D_\alpha)$ .

On the other hand, let  $\gamma \in \mathcal{A}_{v,n}$  such that  $s(D_\gamma^{(i)})=1$  for some  $i \in \{0, 1, \dots, t-1\}$ . We have the following claim.

**Claim:** There exists  $\beta \in \mathcal{A}_{v,n}$  such that  $D_\beta = D_\gamma^{(i)}$  (cf. Definition 4.4 (ii)).

Let us prove the claim. We see that

$$D_\gamma^{(i)} = D_\gamma(p^{t-i}),$$

where  $D_\gamma(p^{t-i}) := p^{t-i}D_\gamma - n[p^{t-i}D_\gamma/n]$ . Then we have that  $s(D_\gamma(p^{t-i})) = 1$ , and that

$$\text{Supp}(D_\gamma(p^{t-i})) = \text{Supp}(D_\gamma) \subseteq D_{\tilde{X}_v, C_\gamma}$$

for a unique  $C_\gamma \in \pi_0(v)$ . For each  $e \in e^{\text{op}}(\Gamma_v)$ , we write  $\overline{[s_e]}$  for the image of  $[s_e]$  under the natural surjection  $I_e \twoheadrightarrow I_e \otimes \mathbb{Z}/n\mathbb{Z}$ . Then the structure of the maximal prime-to- $p$  quotients of admissible fundamental groups implies that

$$\begin{aligned} & \Pi_v^{\text{ab}, p'} \otimes \mathbb{Z}/n\mathbb{Z} \cong \\ & \cong (\langle a_1, \dots, a_{g_v}, b_1, \dots, b_{g_v} \rangle^{\text{ab}} \oplus \langle \{[s_e]\}_{e \in e^{\text{op}}(\Gamma_v)} \mid \sum_{e \in e^{\text{op}}(\Gamma_v)} [s_e] = 0 \rangle) \otimes \mathbb{Z}/n\mathbb{Z}. \end{aligned}$$

Write  $\Pi_{v,n}^{\text{unr}}$  for the subgroup of  $\Pi_v^{\text{ab}, p'} \otimes \mathbb{Z}/n\mathbb{Z}$  generated by  $a_1, \dots, a_{g_X}, b_1, \dots, b_{g_X}$ , and  $\Pi_{v,n}^{\text{ram}}$  for the subgroup  $I_v^{\text{op}} \otimes \mathbb{Z}/n\mathbb{Z} = \langle \{\overline{[s_e]}\}_{e \in e^{\text{op}}(\Gamma_v)} \rangle$ . Then we have

$$\Pi_v^{\text{ab}, p'} \otimes \mathbb{Z}/n\mathbb{Z} \cong \Pi_{v,n}^{\text{unr}} \oplus \Pi_{v,n}^{\text{ram}}.$$

Note that since  $\mathcal{T}_{v,n}$  is naturally isomorphic to  $\mathcal{T}'_{v,n} := \text{Hom}(\Pi_v^{\text{ab}, p'} \otimes \mathbb{Z}/n\mathbb{Z}, \mu_n)$ ,  $\gamma$  can be regarded as an element of  $\mathcal{T}'_{v,n}$ . We define an element  $\beta \in \mathcal{T}'_{v,n}$  to be

$$\beta|_{\Pi_{v,n}^{\text{unr}}} := (\gamma|_{\Pi_{v,n}^{\text{unr}}})^{p^{t-i}}, \quad \beta(\overline{[s_e]}) = \zeta^{\text{ord}_{x_e}(D_\gamma^{(i)})}.$$

Note that since  $\prod_{e \in E_{v,C}} \gamma([s_e]) = 1$  for each  $C \in \pi_0(v)$ , we have  $\beta \in \mathcal{A}_{v,n}$ . Moreover, we have

$$\text{Supp}(D_\beta) = \text{Supp}(D_\gamma) \subseteq D_{\tilde{X}_{v,C_\gamma}}, \quad D_\beta = D_\gamma(p^{t-i}).$$

This completes the proof of the claim.

Write  $\mathcal{L}_\gamma(p^{t-i})$  for  $\mathcal{L}_\gamma^{\otimes p^{t-i}} \otimes \mathcal{O}_X([p^{t-i}D/n])$ . Then we observe that

$$([\mathcal{L}_\gamma(p^{t-i})], D_\gamma(p^{t-i})) \in \tilde{P}_{X_v^\bullet, n}, \quad ([\mathcal{L}_\beta], D_\beta) = ([\mathcal{L}_\gamma(p^{t-i})], D_\gamma(p^{t-i})), \quad s(D_\beta) = 1.$$

Furthermore, [T1, Claim 3.8] implies that

$$\gamma([\mathcal{L}_\beta], D_\beta) = \gamma([\mathcal{L}_\gamma], D_\gamma).$$

Suppose that  $\#E_v^{>1} = 0$ . Since  $(g_v, \#E_v^{>1}) \neq (0, 0)$ , we have  $g_v > 0$ . Then

$$\#\mathcal{A}_{v,n} = \#(M_v \otimes \mathbb{Z}/n\mathbb{Z}) = n^{2g_v}.$$

Moreover, for each  $\alpha \in \mathcal{A}_{v,n}$ , we have

$$\gamma([\mathcal{L}_\alpha], D_\alpha) \leq \begin{cases} g_v, & \text{if } \mathcal{L}_\alpha \cong \mathcal{O}_{\tilde{X}_v}, \\ g_v - 1, & \text{otherwise.} \end{cases}$$

Thus, we obtain

$$\sigma(X_{H_{n,v}}^\bullet) \leq (g_v - 1)(n^{2g_v} - 1) + g_v.$$

On the other hand, note that for each  $\alpha \in \mathcal{A}_{v,n}$ , we have  $D_\alpha = 0$ . Then by applying Theorem 4.5 (i), we obtain that

$$\sigma(X_{H_{n,v}}^\bullet) \geq (g_v - 1)(n^{2g_v} - C(g_v)n^{2g_v-1}).$$

Thus,

$$\lim_{t \rightarrow \infty} \frac{\sigma(X_{H_{n,v}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} = g_v - 1.$$

Suppose that  $\#E_v^{>1} \geq 1$ . Let  $d_{v,C} \geq \log_p(n_X - 1)$ ,  $C \in E_v^{>1}$ , be an arbitrary positive natural number and  $\epsilon_{v,C} < 1$  an arbitrary positive real number. We put

$$\Lambda_{v,C} = \frac{d_{v,C}}{\epsilon_{v,C}}, \quad \text{and } \lambda_{v,C} = \left(1 - \frac{1}{p^{d_{v,C}(n_{v,C}-1)}} \left(\frac{n_{v,C}-1}{p^{d_{v,C}}}\right)\right)^{\frac{(1-\epsilon_{v,C})}{d_{v,C}}},$$

and suppose that  $t \geq \max\{\Lambda_{v,C}\}_{C \in \pi_0(v)}$ . Dividing the sum

$$\sigma(X_{H_{n,v}}^\bullet) = \sum_{\alpha \in \mathcal{A}_{v,n}} \gamma([\mathcal{L}_\alpha], D_\alpha) = S_1 + S_2 + S_3$$

into three parts, where  $S_l$ ,  $l \in \{1, 2, 3\}$ , denotes the sum of  $\gamma([\mathcal{L}_\alpha], D_\alpha)$  that the  $D_\alpha$  satisfies the condition (l): (1)  $s(D_\alpha) = 0$ ; (2)  $s(D_\alpha^{(i)}) = 1$  for some  $i \in \{0, 1, \dots, t-1\}$ ; (3) otherwise.

Suppose that  $\#E_v^{>1} = 1$ . Then we may assume that  $E_v^{>1} = E_{v,C}$  for some  $C \in \pi_0(v)$ . By applying Corollary 3.5, we have

$$\#\mathcal{A}_v = \#(M_v \otimes \mathbb{Z}/n\mathbb{Z}) = n^{2g_v + n_{v,C} - 1}.$$

Theorem 4.5 and Proposition 4.6 implies that

$$\begin{aligned} \sigma(X_{H_{n,v}}^\bullet) &\leq (g_v - 1)n^{2g_v} + g_v n^{2g_v} (n^{n_{v,C} - 1} (1 - \lambda_{v,C}^t) - 1) \\ &\quad + (g_v + n_{v,C} - 2)n^{2g_v} (n^{n_{v,C} - 1} \lambda_{v,C}^t). \end{aligned}$$

On the other hand, we have

$$\begin{aligned} \sigma(X_{H_{n,v}}^\bullet) &\geq S_1 + S_2 \\ &\geq (g_v - 1)(n^{2g_v} - C(g_v)n^{2g_v - 1}) + g_v(n^{2g_v} - C(g_v)n^{2g_v - 1})(n^{n_{v,C} - 1} (1 - \lambda_{v,C}^t) - 1). \end{aligned}$$

Thus, we obtain that

$$\lim_{t \rightarrow \infty} \frac{\sigma(X_{H_{n,v}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} = g_v.$$

Suppose that  $\#E_v^{>1} > 1$ . We divide

$$S_3 = \sum_{j=0}^{\#E_v^{>1}} T_j$$

into  $\#E_v^{>1} + 1$  parts, where  $T_j$ ,  $j \in \{0, 1, \dots, \#E_v^{>1}\}$ , denotes the sum of  $\gamma_{([\mathcal{L}_\alpha], D_\alpha)}$  that  $D_\alpha$  satisfies the following conditions:

- (i)  $s(D_\alpha^{(i)}) > 1$  for each  $i \in \{0, 1, \dots, t-1\}$ ;
- (ii) there exist a subset  $E_\alpha \subseteq E_v^{>1}$  and a set of divisors

$$\{D_{\alpha,C} := \sum_{e \in E_{v,C}} \text{ord}_{x_e}(D_\alpha)x_e, C \in E_v^{>1}\}$$

such that  $\#E_\alpha = j$ , that  $s(D_{\alpha,C}^{(i_C)}) = 1$  for some  $i_C \in \{0, 1, \dots, t-1\}$  if  $C \in E_\alpha$ , and that  $s(D_{\alpha,C}^{(i)}) > 1$  for each  $i \in \{0, 1, \dots, t-1\}$  if  $C \notin E_\alpha$ .

Note that since  $\deg(\mathcal{L}_\alpha) = -\deg(D_\alpha)/n = -s(D_\alpha)$ , we have

$$\begin{aligned} \gamma_{([\mathcal{L}_\alpha], D_\alpha)} &= \gamma_{\alpha, \mathcal{L}_\alpha, 1} \leq \dim_k(H^1(\tilde{X}_v, \mathcal{L}_\alpha)) \\ &= g_v - 1 - \deg(\mathcal{L}_\alpha) + \dim_k(H^0(\tilde{X}_v, \mathcal{L}_\alpha)) = g_v + s(D_\alpha) - 1. \end{aligned}$$

We put

$$E_j := \{E \subseteq E_v^{>1} \text{ subset} \mid \#E = j\}.$$

Since  $s(D_\alpha^{(i)}) \leq n_v - 1$  for each  $i \in \{0, 1, \dots, t-1\}$ , by applying Theorem 4.5 to  $\tilde{X}_{v,C}^\bullet$ ,  $C \in E_v^{>1}$ , we have

$$T_j \leq (g_v + n_v - 2)n^{2g_v + \sum_{C \in E_v^{>1}} (n_{v,C} - 1)} \left( \sum_{E \in E_j} \prod_{C \in E_v^{>1} \setminus E} \lambda_{v,C}^t \right)$$

if  $j \in \{0, 1, \dots, \#E_v^{>1} - 1\}$ . Moreover, if  $j = \#E_v^{>1}$ , then we have that, for each  $C \in E_v^{>1}$ ,  $s(D_{\alpha, C}^{(i_C)}) = 1$  for some  $i_C \in \{0, 1, \dots, t-1\}$ . Then there exists an element  $\delta \in \mathcal{A}_{v, n}$  such that

$$D_\delta = \sum_{C \in E_v^{>1}} D_{\alpha, C}^{(i_C)}.$$

Note that  $s(D_\delta) = \#E_v^{>1}$ . Then  $\gamma_{([\mathcal{L}_\delta], D_\delta)} \leq \dim_k(H^1(\tilde{X}_v, \mathcal{L}_\delta)) = g_v + \#E_v^{>1} - 1$ . Thus, we have

$$T_{\#E_v^{>1}} \leq (g_v + \#E_v^{>1} - 1)n^{2g_v + \sum_{C \in E_v^{>1}} (n_{v, C-1})}.$$

Then we obtain that

$$\begin{aligned} \sigma(X_{H_{n, v}}^\bullet) &= S_1 + S_2 + S_3 \leq (g_v - 1)n^{2g_v} + g_v n^{2g_v} \left( \sum_{C \in E_v^{>1}} (n^{n_{v, C-1}}(1 - \lambda_{v, C}^t) - 1) \right) \\ &+ \sum_{j=0}^{\#E_v^{>1}-1} ((g_v + j - 1)n^{2g_v + \sum_{C \in E_v^{>1}} (n_{v, C-1})} \left( \sum_{E \in E_j} \prod_{C \in E_v^{>1} \setminus E} \lambda_{v, C}^t \right)) \\ &+ (g_v + \#E_v^{>1} - 1)n^{2g_v + \sum_{C \in E_v^{>1}} (n_{v, C-1})}. \end{aligned}$$

Note that

$$\sum_{C \in E_v^{>1}} (n_{v, C} - 1) = \sum_{C \in \pi_0(v)} (n_{v, C} - 1).$$

Proposition 3.4 implies that

$$\#\mathcal{A}_{v, n} = \#(M_v \otimes \mathbb{Z}/n\mathbb{Z}) = n^{2g_v + \sum_{C \in \pi_0(v)} (n_{v, C-1})}.$$

Then

$$0 \leq \lim_{t \rightarrow \infty} \frac{\sigma(X_{H_{v, n}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} \leq g_v + \#E_v^{>1} - 1.$$

We complete the proof of the proposition.  $\square$

**Remark 4.9.1.** Suppose that  $(g_v, \#E_v^{>1}) \neq (0, 0)$ . We do not know whether

$$\lim_{t \rightarrow \infty} \frac{\sigma(X_{H_{v, n}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})}$$

can attain the upper bound  $g_v + \#E_v^{>1} - 1$  or not in general. The main difficulty is that we do not know whether or not the Raynaud-Tamagawa theta divisor exist if  $s(D_\alpha) = \#E_v^{>1}$  and  $\sum_{e \in E_{v, C}} \text{ord}_{x_e}(D_\alpha) = n$ ,  $C \in E_v^{>1}$  (cf. Remark 4.5.2).

**Remark 4.9.2.** Motivated by the theory of the combinatorial anabelian geometry of curves over algebraically closed fields of characteristic  $p > 0$ , we may expect that

$$\lim_{t \rightarrow \infty} \frac{\sigma(X_{H_{v, n}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})}$$

admits a better lower bound than 0. We pose the following question.

**Question .** *Does*

$$\lim_{t \rightarrow \infty} \frac{\sigma(X_{H_{v, n}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} \geq g_v - 1$$

*holds?*



## 5 Lower bounds and upper bounds for the limits of $p$ -averages of admissible fundamental groups

In this section, we prove the first main theorem of the present paper. We maintain the notation introduced in Section 4.

Let  $t$  be an arbitrary positive natural number and  $n := p^t - 1$ . We denote by  $K_n$  the kernel of the natural surjective homomorphism  $\Pi_{X^\bullet}^{\text{ab}} \twoheadrightarrow \Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z}$  and denote by

$$X_{K_n}^\bullet = (X_{K_n}, D_{X_{K_n}})$$

the pointed stable curve over  $k$  corresponding to  $K_n$ . Write  $\Gamma_{X_{K_n}^\bullet}$  for the dual semi-graph of  $X_{K_n}^\bullet$  and  $r_{X_{K_n}}$  for the Betti number of  $\Gamma_{X_{K_n}^\bullet}$ .

**Definition 5.1.** Let  $v \in v(\Gamma_{X^\bullet}) \subseteq v(\Gamma_{X_\infty})$  and  $e \in e^{\text{cl}}(\Gamma_{X^\bullet}) \subseteq e^{\text{cl}}(\Gamma_{X_\infty})$ . We shall call that  $v$  is a tree-like vertex if  $\Gamma_{X_v^{\text{def}}}$  is a tree (i.e., the Betti number of  $\Gamma_{X_v^{\text{def}}}$  is 0), and call that  $e$  is a tree-like edge if there exists a vertex  $w \in v(\Gamma_{X^\bullet})$  such that  $E_{w,C} = \{e\}$  for some  $C \in E_w^{-1}$ . We put

$$\begin{aligned} V_{X^\bullet}^{\text{tre}} &:= \{v \in v(\Gamma_{X^\bullet}) \mid v \text{ is tree-like}\}, \\ V_{X^\bullet}^{\text{tre}, g_v=0} &:= \{v \in V_{X^\bullet}^{\text{tre}} \mid g_v = 0\}, \\ E_{X^\bullet}^{\text{tre}} &:= \{e \in e^{\text{cl}}(\Gamma_{X^\bullet}) \mid e \text{ is tree-like}\} = \bigcup_{v \in v(\Gamma_{X^\bullet})} \bigcup_{C \in \pi_0(v) \text{ s.t. } C \in E_v^{-1}} E_{v,C}. \end{aligned}$$

**Remark 5.1.1.** Note that the definition of tree-like vertices and tree-like edges does not depends on the choices of  $X_{v_\infty}^\bullet$ .

Then we have the following formula for the limits of the  $p$ -averages of admissible fundamental groups which generalizes Tamagawa's results (cf. [T1, Theorem 0.5] and [T2, Theorem 3.10]).

**Theorem 5.2.** *We have*

$$\begin{aligned} &g_X - r_X - \#V_{X^\bullet}^{\text{tre}} + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} - \sum_{v \in v(\Gamma_{X^\bullet}) \text{ s.t. } \#E_v^{>1} > 1} g_v \\ &\leq \text{Avr}_p(\Pi_{X^\bullet}) \leq g_X - r_X - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1}. \end{aligned}$$

*In particular, if  $\#E_v^{>1} \leq 1$  for each  $v \in v(\Gamma_{X^\bullet})$ , then we have*

$$\begin{aligned} \text{Avr}_p(\Pi_{X^\bullet}) &= g_X - r_X - \#V_{X^\bullet}^{\text{tre}} + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} - \sum_{v \in v(\Gamma_{X^\bullet}) \text{ s.t. } \#E_v^{>1} > 1} g_v \\ &= g_X - r_X - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1} \\ &= g_X - r_X - \#V_{X^\bullet}^{\text{tre}} + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}}. \end{aligned}$$

*Proof.* Remark 2.3.1 implies that

$$\sigma(X_{K_n}^\bullet) = \sum_{v \in v(\Gamma_{X^\bullet})} \frac{\#(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z})}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} \sigma(X_{H_{v,n}}^\bullet) + r_{X_{K_n}},$$

where  $X_{H_{v,n}}^\bullet$ ,  $v \in v(\Gamma_{X^\bullet})$ , is a pointed stable curve over  $k$  defined in Section 4.2. Moreover, the Euler-Poincaré characteristic formula for dual semi-graphs implies that

$$\begin{aligned} r_{X_{K_n}} &= \#e^{\text{cl}}(\Gamma_{X_{K_n}^\bullet}) - \#v(\Gamma_{X_{K_n}^\bullet}) + 1 \\ &= \sum_{e \in e^{\text{cl}}(\Gamma_{X^\bullet})} \frac{\#(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z})}{\#I_{e,n}} - \sum_{v \in v(\Gamma_{X^\bullet})} \frac{\#(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z})}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} + 1, \end{aligned}$$

where  $I_{e,n}$ ,  $e \in e^{\text{cl}}(\Gamma_{X^\bullet})$ , denotes the image of the inertia subgroup  $I_e$  of  $e$  in  $\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z}$ . Moreover, the structure of the maximal prime-to- $p$  quotients of admissible fundamental groups implies that

$$\#I_{e,n} = \begin{cases} 1, & \text{if } e \in E_{X^\bullet}^{\text{tre}}, \\ n, & \text{otherwise.} \end{cases}$$

Then we obtain that

$$\begin{aligned} \frac{\sigma(X_{K_n}^\bullet)}{\#(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z})} &= \sum_{v \in v(\Gamma_{X^\bullet})} \frac{\sigma(X_{H_{v,n}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} \\ &+ \#E_{X^\bullet}^{\text{tre}} + \sum_{e \in e^{\text{cl}}(\Gamma_{X^\bullet}) \setminus \bigcup_{v \in v(\Gamma_{X^\bullet})} E_v^{-1}} \frac{1}{n} - \sum_{v \in v(\Gamma_{X^\bullet})} \frac{1}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} + \frac{1}{\#(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z})}. \end{aligned}$$

Thus, by applying Proposition 4.9, we obtain that

$$\begin{aligned} g_X - r_X - \#V_{X^\bullet}^{\text{tre}} + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} - \sum_{v \in v(\Gamma_{X^\bullet}) \text{ s.t. } \#E_v^{>1} > 1} g_v \\ &= \sum_{v \in v(\Gamma_{X^\bullet}) \text{ s.t. } g_v \neq 0, \#E_v^{>1} \leq 1} (g_v + \#E_v^{>1} - 1) + \#E_{X^\bullet}^{\text{tre}} \\ &\leq \text{Avr}_p(\Pi_{X^\bullet}) = \lim_{t \rightarrow \infty} \frac{\dim_{\mathbb{F}_p}(K_n^{\text{ab}} \otimes \mathbb{F}_p)}{\#(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z})} = \lim_{t \rightarrow \infty} \frac{\sigma(X_{K_n}^\bullet)}{\#(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z})} \\ &\leq \sum_{v \in v(\Gamma_{X^\bullet}) \text{ s.t. } (g_v, \#E_v^{>1}) \neq (0,0)} (g_v + \#E_v^{>1} - 1) + \#E_{X^\bullet}^{\text{tre}} \\ &= \sum_{v \in v(\Gamma_{X^\bullet})} g_v + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1} - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} \\ &= g_X - r_X - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1}. \end{aligned}$$

This completes the proof of the theorem.  $\square$

**Remark 5.2.1.** Suppose that  $\Gamma_{X^\bullet}^{\text{cpt}}$  is 2-connected. Then we have  $\#E_v^{>1} \leq 1$  and  $\#V_{X^\bullet}^{\text{tre}, g_v=0} = 0$ . Then we have

$$\text{Avr}_p(\Pi_{X^\bullet}) = g_X - r_X - \#V_{X^\bullet}^{\text{tre}} + \#E_{X^\bullet}^{\text{tre}}.$$

This formula has been obtained essentially by Tamagawa (cf. [T2, Theorem 3.10]). Moreover, suppose that  $X^\bullet$  is smooth over  $k$ . Then we have

$$\text{Avr}_p(\Pi_{X^\bullet}) = g_X - \#V_{X^\bullet}^{\text{tre}}.$$

Note that we have

$$\#V_{X^\bullet}^{\text{tre}} = \begin{cases} 1 & \text{if } n_X \leq 1 \\ 0 & \text{if } n_X > 1. \end{cases}$$

This is the formula of Tamagawa obtained in [T1, Theorem 0.5].

**Remark 5.2.2.** For each  $v \in v(\Gamma_{X^\bullet})$ , we put

$$b(v) := \sum_{e \in e^{\text{op}}(\Gamma_{X^\bullet}) \cup e^{\text{cl}}(\Gamma_{X^\bullet})} b_e(v),$$

where  $b_e(v) \in \{0, 1, 2\}$  denotes the number of times that  $e$  meets  $v$ . Moreover, we put

$$v(\Gamma_{X^\bullet})^{b \leq 1} := \{v \in v(\Gamma_{X^\bullet}) \mid b(v) \leq 1\}.$$

Note that if  $\Gamma_{X^\bullet}^{\text{cpt}}$  is 2-connected, then  $\#v(\Gamma_{X^\bullet})^{b \leq 1} = \#V_{X^\bullet}^{\text{tre}}$ . Then the statement of [T2, Theorem 3.10] is as follows.

*Suppose that  $\Gamma_{X^\bullet}^{\text{cpt}}$  is 2-connected. Then we have*

$$\text{Avr}_p(\Pi_{X^\bullet}) = g_X - r_X - v(\Gamma_{X^\bullet})^{b \leq 1}.$$

Since there is an error in the proof of [T2, Theorem 3.10], the statement of the formula for  $\text{Avr}_p(\Pi_{X^\bullet})$  of [T2, Theorem 3.10] is not correct.

## 6 A formula for the limits of $p$ -averages of admissible fundamental groups of component-generic pointed stable curves

In this section, we prove a formula for  $\text{Avr}_p(\Pi_{X^\bullet})$  when each irreducible component is generic. We maintain the notation introduced in Section 4. Let  $t$  be an arbitrary positive natural number,  $n := p^t - 1$ , and  $\mu_n \subseteq k^\times$  the group of  $n^{\text{th}}$  roots of unity. Fix a  $n^{\text{th}}$  root of unity  $\zeta \neq 1$ , we may identify  $\mu_n$  with  $\mathbb{Z}/n\mathbb{Z}$  via the map  $\zeta^i \mapsto i$ .

## 6.1 Degeneration and existence of Raynaud-Tamagawa theta divisor

We introduce a condition concerning degeneration.

**Condition 6.1.** Let  $v \in v(\Gamma_{X^\bullet})$ . We shall call that  $\tilde{X}_v^\bullet$  satisfies (DEG) if there exist a complete discrete valuation ring  $R_v$  with an algebraically closed residue field  $k_{R_v}$  and a pointed stable curve  $\mathcal{X}_v^\bullet = (\mathcal{X}_v, D_{\mathcal{X}_v})$  of type  $(g_v, n_v)$  over  $R_v$  satisfying the following conditions:

- (i)  $k$  contains the quotient field  $K_{R_v}$  of  $R_v$ .
- (ii) Write  $\bar{K}_{R_v}$  for the algebraic closure of  $K_{R_v}$  in  $k$ . Then  $\tilde{X}_v^\bullet$  is  $k$ -isomorphic to  $\mathcal{X}_v^\bullet \times_{R_v} k$ . Moreover, the  $k$ -isomorphism induces a bijection  $\iota_v : D_{\mathcal{X}_v} \xrightarrow{\sim} D_{\tilde{X}_v^\bullet}$ . For each  $C \in \pi_0(v)$ , write  $D_{v,C}$  for  $\iota_v^{-1}(\{x_e\}_{e \in E_{v,C}})$ . Then we have

$$D_{\mathcal{X}_v} = \bigcup_{C \in \pi_0(v)} D_{v,C}.$$

- (iii) Write  $\mathcal{X}_{v,\bar{\eta}}^\bullet = (\mathcal{X}_{v,\bar{\eta}}, D_{\mathcal{X}_{v,\bar{\eta}}})$  for the geometric generic fiber  $\mathcal{X}_v^\bullet \times_{R_v} \bar{K}_{R_v}$  of  $\mathcal{X}_v$  and  $\mathcal{X}_{v,s}^\bullet = (\mathcal{X}_{v,s}, D_{\mathcal{X}_{v,s}})$  for the special fiber  $\mathcal{X}_v^\bullet \times_{R_v} k_{R_v}$  of  $\mathcal{X}_v$ . For each  $C \in \pi_0(v)$ , write  $D_{v,C}^{\bar{\eta}}$  for  $D_{v,C} \times_{R_v} \bar{K}_{R_v}$  and  $D_{v,C}^s$  for  $D_{v,C} \times_{R_v} k_{R_v}$ . Then we have

$$D_{\mathcal{X}_{v,\bar{\eta}}} = \bigcup_{C \in \pi_0(v)} D_{v,C}^{\bar{\eta}}, \quad D_{\mathcal{X}_{v,s}} = \bigcup_{C \in \pi_0(v)} D_{v,C}^s.$$

Moreover, we have

$$\mathcal{X}_{v,s} = \left( \bigcup_{C \in E_v^{>1}} P_{v,C} \right) \cup Z_v$$

such that the following conditions hold: (1)  $D_{v,C}^s$  is contained in  $P_{v,C}$  for each  $C \in E_v^{>1}$ ; (2)  $P_{v,C} \cong \mathbb{P}_{k_{R_v}}^1$ ; (3) the dual semi-graph of  $\mathcal{X}_{v,s}^\bullet$  is a tree; (4) if  $\#E_v^{>1} \neq 0$ , then  $Z_v$  is either a smooth projective curve over  $k_{R_v}$  of genus  $g_v$  when  $g_v \neq 0$  or an empty set when  $g_v = 0$ ; (5) if  $\#E_v^{>1} = 0$ , then  $Z_v$  is a smooth projective curve over  $k_{R_v}$  of genus  $g_v$ .

Let  $\bar{\mathbb{F}}_p$  be an algebraic closure of  $\mathbb{F}_p$  in  $k$ . For each  $v \in v(\Gamma_{X^\bullet})$ , write  $\overline{\mathcal{M}}_{g_v, n_v}$  for the moduli stack  $\overline{\mathcal{M}}_{g_v, n_v, \mathbb{Z}} \times_{\mathbb{Z}} \bar{\mathbb{F}}_p$ . For each  $0 \leq \sigma \leq g_v$ , we denote by

$$\overline{\mathcal{M}}_{g_v, n_v}^\sigma$$

the  $p$ -rank strata of  $\overline{\mathcal{M}}_{g_v, n_v}$  with  $p$ -rank  $\sigma$  (i.e., the locally closed reduced substack of  $\overline{\mathcal{M}}_{g_v, n_v}$  whose geometric points corresponding to pointed stable curves with  $p$ -rank  $\sigma$ ). Note that  $\overline{\mathcal{M}}_{g_v, n_v}^\sigma$  is not irreducible in general.

**Definition 6.2.** For each  $v \in v(\Gamma_{X^\bullet})$ , write  $\overline{M}_{g_v, n_v}^\sigma$  for the coarse moduli space of the substack  $\overline{\mathcal{M}}_{g_v, n_v}^\sigma$ . Let  $q_v^{\sigma\text{-gen}}$  be a generic point of  $\overline{M}_{g_v, n_v}^\sigma$  and  $k(q_v^{\sigma\text{-gen}})$  the residue field of  $q_v^{\sigma\text{-gen}}$ . Suppose that  $k(q_v^{\sigma\text{-gen}}) \subseteq k$  for each  $v \in v(\Gamma_{X^\bullet})$ . Let  $k_{q_v^{\sigma\text{-gen}}}$  be the algebraic closure

of the residue field of  $k(q_v^{\sigma\text{-gen}})$  in  $k$  and  $X_{q_v^{\sigma\text{-gen}}}^\bullet$  the geometric generic curve corresponding to the geometric generic point  $\text{Spec } k_{q_v^{\sigma\text{-gen}}} \rightarrow \text{Spec } k(q_v^{\sigma\text{-gen}}) \rightarrow \overline{M}_{g_v, n_v}^\sigma$ . We shall call that  $X^\bullet$  is a *component-generic pointed stable curve* over  $k$  if  $\tilde{X}_v^\bullet$  is  $k$ -isomorphic to  $X_{q_v^{\sigma\text{-gen}}}^\bullet \times_{k_{q_v^{\sigma\text{-gen}}}} k$  for each  $v \in v(\Gamma_{X^\bullet})$ .

We have the following proposition.

**Proposition 6.3.** *Suppose that  $X^\bullet$  is a component-generic pointed stable curve over  $k$ . Then  $\tilde{X}_v^\bullet$  satisfies (DEG) for each  $v \in v(\Gamma_{X^\bullet})$ .*

*Proof.* If  $E_v^{>1} = \emptyset$ , then the proposition is trivial. We may assume that  $E_v^{>1} \neq \emptyset$ , and let  $E_v^{>1} := \{C_1, \dots, C_q\}$ . For each  $C_i \in E_v^{>1}$ , we put

$$E_{v, C_i} = \{e_{(\sum_{j < i} n_{v, C_j})+1}, \dots, e_{\sum_{j \leq i} n_{v, C_j}}\}.$$

Moreover, we put

$$\bigcup_{C \in E_v^{>1}} E_{v, C} = \{e_{(\sum_{C \in E_v^{>1}} n_{v, C})+1}, \dots, e_{n_v}\}.$$

Then the order of  $e^{\text{op}}(\Gamma_v)$  defined above induces an order of the set of marked points  $D_{\tilde{X}_v}$ .

Suppose that  $g_v = 0$ . Then the definition of component-generic pointed stable curves implies that  $\tilde{X}_v^\bullet$  is a geometric generic curve of  $\overline{M}_{0, n_v}$ . Then  $\tilde{X}_v^\bullet$  satisfies (DEG).

Suppose that  $g_v = 1$  and  $\sigma = 1$ . Then  $\tilde{X}_v^\bullet$  is a geometric generic curve of  $\overline{M}_{1, n_v}$ . Then  $\tilde{X}_v^\bullet$  satisfies (DEG).

Suppose that  $g_v = 1$  and  $\sigma = 0$ . Write  $\pi_{1, n_v, 1} : \overline{M}_{1, n_v} \rightarrow \overline{M}_{1, 1}$  for the morphism induced by forgetting the marked points except the first marked point and  $c_v : \text{Spec } k \rightarrow \overline{M}_{1, n_v}$  for the classifying morphism determined by  $\tilde{X}_v^\bullet$ . Then the composite morphism

$$\pi_{1, n_v, 1} \circ c_v : \text{Spec } k \rightarrow \overline{M}_{1, 1}$$

determines a supersingular elliptic curve  $Z_v^{*, \bullet} = (Z_v^*, D_{Z_v^*})$  over  $k$ . Since  $Z_v^{*, \bullet}$  can be defined over  $\overline{\mathbb{F}}_p$ , there exists a supersingular elliptic curve

$$Z_v^{**, \bullet} = (Z_v, \{z_v\})$$

over  $\overline{\mathbb{F}}_p$  such that  $Z_v^{*, \bullet} \cong Z_v^{\bullet} \times_{\overline{\mathbb{F}}_p} k$ . Let  $P_{v, C_i} \cong \mathbb{P}_{\overline{\mathbb{F}}_p}$  for each  $i \in \{1, \dots, q\}$ ,  $D_{P_{v, C_i}}$  a set of distinct closed points  $\{x_{1, C_i}, x_{2, C_i}\} \cup \{x_{(\sum_{j < i} n_{v, C_j})+1}, \dots, x_{\sum_{j \leq i} n_{v, C_j}}\}$  of  $P_{v, C_i}$  if  $i \in \{1, \dots, q-1\}$ ,  $D_{P_{v, C_q}}$  a set of distinct closed point  $\{x_{1, C_1}\} \cup \{x_{(\sum_{j < q} n_{v, C_j})+1}, \dots, x_{\sum_{j \leq q} n_{v, C_j}}\}$  of  $P_{v, C_q}$ . Then we obtain a pointed stable curve

$$P_{v, C_i}^\bullet = (P_{v, C_i}, D_{P_{v, C_i}}), \quad i \in \{1, \dots, q\},$$

and a pointed stable curve

$$Z_v^\bullet = (Z_v, D_{Z_v} := \{z_v\} \cup \{x_{(\sum_{C \in E_v^{>1}} n_{v, C})+1}, \dots, x_{n_v}\})$$

over  $\overline{\mathbb{F}}_p$ , where  $\{z_v\} \cap \{x_{(\sum_{C \in E_v^{>1}} n_{v, C})+1}, \dots, x_{n_v}\} = \emptyset$ . We glue  $\{P_{v, C_i}^\bullet\}_{i \in \{1, \dots, q\}}$  and  $Z_v^\bullet$  by identifying  $z_v, x_{2, C_i}, i \in \{1, \dots, q-1\}$ , with  $x_{1, C_1}, x_{1, C_i}, i \in \{2, \dots, q\}$ , respectively. Thus, we obtain a pointed stable curve

$$\mathcal{X}_{v, s}^\bullet = (\mathcal{X}_{v, s}, D_{\mathcal{X}_{v, s}})$$

of type  $(1, n_v)$  over  $\overline{\mathbb{F}}_p$  which determines a classifying morphism  $c_{v,s} : \text{Spec } \overline{\mathbb{F}}_p \rightarrow \overline{\mathcal{M}}_{1,n_v}$ . Moreover, we write  $q_{v,s}$  for the image of the composite morphism

$$\text{Spec } \overline{\mathbb{F}}_p \rightarrow \overline{\mathcal{M}}_{1,n_v} \rightarrow \overline{M}_{1,n_v}.$$

Note that the construction of  $\mathcal{X}_{v,s}^\bullet$  implies that the curve corresponding to the composite morphism

$$\pi_{1,n_v,1} \circ c_{v,s} : \text{Spec } \overline{\mathbb{F}}_p \rightarrow \overline{\mathcal{M}}_{1,1}$$

is  $\overline{\mathbb{F}}_p$ -isomorphic to  $Z_v^\bullet$ . This means that  $q_{v,s}$  is contained in the closure of  $q_v^{0\text{-gen}}$  in  $\overline{M}_{1,n_v}$ . Then the proposition holds when  $g_v = 1$  and  $\sigma = 0$ .

Suppose that  $g_v \geq 2$ . We denote by  $S_{g_v,n_v}^\sigma$  the set of irreducible components of  $\overline{\mathcal{M}}_{g_v,n_v}^\sigma$ . Write  $\pi_{g_v,n_v,0} : \overline{\mathcal{M}}_{g_v,n_v} \rightarrow \overline{\mathcal{M}}_{g_v,0}$  for the morphism induced by forgetting the marked points. We note that  $\pi_{g_v,n_v,0}^{-1}(\mathcal{S}) \in S_{g_v,n_v}^\sigma$  for each  $\mathcal{S} \in S_{g_v,0}^\sigma$ , and that

$$\bigcup_{\mathcal{S} \in S_{g_v,n_v}^\sigma} \pi_{g_v,n_v,0}^{-1}(\mathcal{S}) = \overline{\mathcal{M}}_{g_v,n_v}^\sigma.$$

Then by applying [AP, Proposition 3.5], we see that  $\tilde{X}_v^\bullet$  admits a pointed stable reduction

$$W^\bullet = (W, D_W)$$

such that  $W$  is a chain of nonsingular projective curves of genus 1. Moreover, without loss of generality, we may assume that  $W^\bullet$  is component generic. Write  $\Gamma_{W^\bullet}$  for the dual semi-graph of  $W^\bullet$ . Let

$$v(\Gamma_{W^\bullet}) = \{u_1, \dots, u_{g_v}\}.$$

We may assume that for each  $i \in \{1, \dots, g_v - 1\}$ ,  $W_{u_i} \cap W_{u_{i+1}} \neq \emptyset$ . For each  $i \in \{1, \dots, g_v\}$ , we define a smooth pointed stable curve to be

$$W_{u_i}^\bullet = (W_{u_i}, D_{W_{u_i}} := (W_{u_i} \cap W^{\text{sing}}) \cup (D_W \cap W_{u_i})).$$

Moreover, we may choose  $W^\bullet$  such that  $D_W$  is contained in  $W_{u_1}$ . This means that  $W_{u_i} \cap D_W = \emptyset$  if  $u_i \neq u_1$ . Let  $W_{u_i} \cap W^{\text{sing}} = \{x_{u_i,1}\}$  if  $i \in \{1, g_v\}$  and  $W_{u_i} \cap W^{\text{sing}} = \{x_{u_i,1}, x_{u_i,2}\}$  if  $i \in \{2, \dots, g_v - 1\}$ .

The proposition in the case where  $g_v = 1$  implies that  $W_{u_1}^\bullet$  satisfies (DEG). Let  $W_{u_1,s}^\bullet = (W_{u_1,s}, D_{W_{u_1,s}})$  be such a reduction of  $W_{u_1}^\bullet$  and  $x_{u_1,s,1} \in D_{W_{u_1,s}}$  the reduction of the point of  $W_{u_1} \cap W^{\text{sing}}$ . We may glue  $W_{u_1,s}^\bullet$  and  $\{W_{u_i}^\bullet\}_{i \in \{2, \dots, g_v\}}$  by identifying  $x_{u_1,s,1}$ ,  $x_{u_i,2}$ ,  $i \in \{2, \dots, g_v - 1\}$  with  $x_{u_2,1}$ ,  $x_{u_i,1}$ ,  $i \in \{3, \dots, g_v\}$ , respectively. Then we obtain a pointed stable curve

$$\mathcal{W}_s^\bullet = (\mathcal{W}_s, D_{\mathcal{W}_s})$$

of type  $(g_v, n_v)$  which is a pointed stable reduction of  $W^\bullet$ . Write  $V_{q_v^{\sigma\text{-gen}}}$  for the topological closure of  $\{q_v^{\sigma\text{-gen}}\}$  in  $\overline{M}_{g_v,n_v}$ . Then  $\mathcal{W}_s^\bullet$  corresponds to a geometric point of  $\overline{M}_{g_v,n_v}$  whose image is contained in  $V_{q_v^{\sigma\text{-gen}}}$ . Write  $N$  for the set of reduction of the points of  $W^{\text{sing}}$  in  $\mathcal{W}_s$ . Then  $N \subseteq \mathcal{W}_s^{\text{sing}}$ . Thus, there exists a deformation of the pointed stable curve  $\mathcal{W}_s$  along  $N$  (cf. Section 2), and we obtain a pointed stable curve

$$\mathcal{X}_s^\bullet$$

of type  $(g_v, n_v)$  such that  $\mathcal{X}_{v,s}^\bullet = (\mathcal{X}_{v,s}, D_{\mathcal{X}_{v,s}})$  corresponds to a geometric point of  $\overline{M}_{g_v, n_v}$  whose image is contained in  $V_{q_v}^{\sigma\text{-gen}}$ . Note that  $\mathcal{X}_{v,s}^\bullet$  satisfies (iii) of Condition 6.1. Then  $\widetilde{X}_v^\bullet$  satisfies (DEG). This completes the proof of the proposition.  $\square$

In the remainder of the present paper, we assume that  $X^\bullet$  is a component-generic pointed stable curve over  $k$ . Then Proposition 6.3 implies that, for each  $v \in v(\Gamma_{X^\bullet})$ ,  $\widetilde{X}_v^\bullet$  satisfies (DEG). Moreover, we denote by  $\Pi_{v,\bar{\eta}}$  and  $\Pi_{v,s}$  the admissible fundamental groups of  $\mathcal{X}_{v,\bar{\eta}}^\bullet$  and  $\mathcal{X}_{v,s}^\bullet$ , respectively. Then  $\Pi_{v,\bar{\eta}}$  is naturally isomorphic to  $\Pi_v$ , and there is a specialization map

$$\text{sp}_{R_v} : \Pi_{v,\bar{\eta}} \rightarrow \Pi_{v,s}.$$

Then we obtain a continuous surjective homomorphism of maximal pro- $p$  quotients

$$\text{sp}_{R_v}^p : \Pi_{v,\bar{\eta}}^p \rightarrow \Pi_{v,s}^p,$$

where  $(-)^p$  denotes the maximal pro- $p$  quotient of  $(-)$ . On the other hand, the specialization theorem of maximal prime-to- $p$  quotients of admissible fundamental groups implies that

$$\text{sp}_{R_v}^{p'} : \Pi_{v,\bar{\eta}}^{p'} \xrightarrow{\sim} \Pi_{v,s}^{p'}.$$

Let  $Q_v$  be an effective divisor on  $\mathcal{X}_v$  of degree  $(\#E_v^{>1})n$  such that  $\text{Supp}(Q_v) \subseteq D_{\mathcal{X}_v}$  and

$$\sum_{x \in D_{v,C}} \text{ord}_x(Q_v) = \begin{cases} n, & \text{if } C \in E_v^{>1}, \\ 0, & \text{if } C \in E_v^{=1}. \end{cases}$$

Write  $Q_v^{\bar{\eta}}$  for  $Q_v \times_{R_v} \overline{K}_{R_v}$ ,  $Q_v^s$  for  $Q_v \times_{R_v} k_{R_v}$ , and  $Q_{v,C}^s$ ,  $C \in E_v^{>1}$ , for  $Q_v^s \cap P_{v,C}$ . Then we have  $\deg(Q_{v,C}^s) = n$ ,  $C \in E_v^{>1}$ . This means that

$$s(Q_{v,C}^s) = 1, \quad C \in E_v^{>1}.$$

Let  $\mathcal{L}_{v,\bar{\eta}}$  be a line bundle on  $\mathcal{X}_{v,\bar{\eta}}$  such that  $\mathcal{L}_{v,\bar{\eta}}^{\otimes n} \cong \mathcal{O}_{\mathcal{X}_{v,\bar{\eta}}}(-Q_v^{\bar{\eta}})$ . We put

$$E_{Q_v^{\bar{\eta}}} := B_{Q_v^{\bar{\eta}}}^t \otimes \mathcal{L}_{v,\bar{\eta}}.$$

Then we have the following proposition.

**Proposition 6.4.** *The Raynaud-Tamagawa theta divisor  $\Theta_{E_{Q_v^{\bar{\eta}}}}$  associated to  $E_{Q_v^{\bar{\eta}}}$  exists.*

*Proof.* If  $\#E_v^{>1} \leq 1$ , then the proposition follows immediately from Theorem 4.3. We may assume that  $\#E_v^{>1} \geq 2$ . To verify the proposition, it is sufficient to prove that  $E_{Q_v^{\bar{\eta}}}$  satisfies  $(\star)$ . This is equivalent to prove that there exists a line bundle  $\mathcal{I}_{v,\bar{\eta}}$  on  $\mathcal{X}_{v,\bar{\eta}}$  of degree 0 such that

$$\gamma_{([\mathcal{L}_{v,\bar{\eta}} \otimes \mathcal{I}_{v,\bar{\eta}}], Q_v^{\bar{\eta}})} = \dim_{k_{R_v}}(\mathrm{H}^1(\mathcal{X}_{v,\bar{\eta}}, \mathcal{L}_{v,\bar{\eta}} \otimes \mathcal{I}_{v,\bar{\eta}})) = g_v + \#E_v^{>1} - 1.$$

For each  $C \in E_v^{>1}$ , let  $\mathcal{L}_{v,C}$  be a line bundle on  $P_{v,C}$  such that  $\mathcal{L}_{v,C}^{\otimes n} \cong \mathcal{O}_{P_{v,C}}(-Q_{v,C}^s)$ , and let

$$f_{v,C}^\bullet : Y_{v,C}^\bullet = (Y_{v,C}, D_{Y_{v,C}}) \rightarrow P_{v,C}^\bullet = (P_{v,C}, D_{P_{v,C}}), \quad C \in E_v^{>1},$$

be the connected Galois admissible covering corresponding to  $\mathcal{L}_{v,C}$  over  $k_{R_v}$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$ , where  $D_{P_{v,C}} := D_{\mathcal{X}_{v,s}} \cap P_{v,C}$ . Then the  $k_{R_v}[\mu_n]$ -module  $H_{\text{ét}}^1(Y_{v,C}, \mathbb{F}_p) \otimes k_{R_v}$  admits the following canonical decomposition

$$H_{\text{ét}}^1(Y_{v,C}, \mathbb{F}_p) \otimes k_{R_v} = \bigoplus_{i \in \mathbb{Z}/n\mathbb{Z}} M_{v,C}(i),$$

where  $\zeta \in \mu_n$  acts on  $M_{v,C}(i)$  as the  $\zeta^i$ -multiplication. By applying Theorem 4.3 and Theorem 4.5, we may choose  $\mathcal{L}_{v,C}$ ,  $C \in E_v^{>1}$ , such that

$$\dim_{k_{R_v}}(M_{v,C}(1)) = 0.$$

If  $g_v \neq 0$ , let  $\mathcal{L}_{Z_v}$  be a non-trivial line bundle on  $Z_v$  of degree 0 such that  $\mathcal{L}_{Z_v}^{\otimes n} \cong \mathcal{O}_{Z_v}$ . We denote by

$$f_{Z_v}^\bullet : Y_{Z_v}^\bullet = (Y_{Z_v}, D_{Y_{Z_v}}) \rightarrow Z$$

the connected Galois étale covering corresponding to  $\mathcal{L}_{Z_v}$  over  $k_{R_v}$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$ , where  $D_{Y_{Z_v}} := D_{\mathcal{X}_{v,s}} \cap Z_v$ . Then the  $k_{R_v}[\mu_n]$ -module  $H_{\text{ét}}^1(Y_{Z_v}, \mathbb{F}_p) \otimes k_{R_v}$  admits the following canonical decomposition

$$H_{\text{ét}}^1(Y_{Z_v}, \mathbb{F}_p) \otimes k_{R_v} = \bigoplus_{i \in \mathbb{Z}/n\mathbb{Z}} M_{Z_v}(i),$$

where  $\zeta \in \mu_n$  acts on  $M_{Z_v}(i)$  as the  $\zeta^i$ -multiplication. By applying Theorem 4.3 and Theorem 4.5, we may choose  $\mathcal{L}_{Z_v}$  such that

$$\dim_{k_{R_v}}(M_{Z_v}(1)) = g_v - 1.$$

We glue  $\{Y_{v,C}^\bullet\}_{C \in E_v^{>1}}$  if  $g_v = 0$ , and glue  $\{Y_{v,C}^\bullet\}_{C \in E_v^{>1}}$  and  $Y_{Z_v}^\bullet$  if  $g_v \neq 0$ . Then we obtain a connected Galois admissible covering

$$f_{v,s}^\bullet : \mathcal{Y}_{v,s}^\bullet = (\mathcal{Y}_{v,s}, D_{\mathcal{Y}_{v,s}}) \rightarrow \mathcal{X}_{v,s}^\bullet$$

over  $k_{R_v}$  with Galois group  $\mathbb{Z}/n\mathbb{Z}$ . Write  $\Gamma_{\mathcal{Y}_{v,s}^\bullet}$  for the dual semi-graph of  $\mathcal{Y}_{v,s}^\bullet$  and  $r_{\mathcal{Y}_{v,s}}$  for the Betti number of  $\Gamma_{\mathcal{Y}_{v,s}^\bullet}$ . The construction of  $\mathcal{Y}_{v,s}^\bullet$  implies that

$$r_{\mathcal{Y}_{v,s}} = \begin{cases} (\#E_v^{>1} - 1)(n - 1), & \text{if } g_v = 0, \\ \#E_v^{>1}(n - 1), & \text{if } g_v \neq 0. \end{cases}$$

The  $k[\mu_n]$ -module  $H_{\text{ét}}^1(\mathcal{Y}_{v,s}, \mathbb{F}_p) \otimes k_{R_v}$  admits the following canonical decomposition

$$H_{\text{ét}}^1(\mathcal{Y}_{v,s}, \mathbb{F}_p) \otimes k_{R_v} = \bigoplus_{i \in \mathbb{Z}/n\mathbb{Z}} M_{v,s}(i),$$

where  $\zeta \in \mu_n$  acts on  $M_{v,s}(i)$  as the  $\zeta^i$ -multiplication. Moreover, we have a natural  $k[\mu_n]$ -submodule

$$H^1(\Gamma_{\mathcal{Y}_{v,s}^\bullet}, \mathbb{F}_p) \otimes k_{R_v} \subseteq H_{\text{ét}}^1(\mathcal{Y}_{v,s}, \mathbb{F}_p) \otimes k_{R_v}$$



which admits a canonical decomposition

$$H^1(\Gamma_{\mathcal{Y}_{v,s}^\bullet}, \mathbb{F}_p) \otimes k_{R_v} = \bigoplus_{i \in \mathbb{Z}/n\mathbb{Z}} M_{\Gamma_{\mathcal{Y}_{v,s}^\bullet}}(i),$$

where  $\zeta \in \mu_n$  acts on  $M_{\Gamma_{\mathcal{Y}_{v,s}^\bullet}}(i)$  as the  $\zeta^i$ -multiplication. Then we have

$$M_{v,s}(1) = M_{Z_v}(1) \oplus M_{\Gamma_{\mathcal{Y}_{v,s}^\bullet}}(1).$$

We see immediately that

$$\dim_{k_{R_v}} M_{\Gamma_{\mathcal{Y}_{v,s}^\bullet}}(i) = \begin{cases} 0, & \text{if } i = 0, \\ \#E_v^{>1} - 1, & \text{if } i \neq 0 \text{ and } g_v = 0, \\ \#E_v^{>1}, & \text{if } i \neq 0 \text{ and } g_v \neq 0. \end{cases}$$

Thus, we obtain that

$$\dim_{k_{R_v}}(M_{v,s}(1)) = g_v + \#E_v^{>1} - 1.$$

On the other hand, since  $(p, n) = 1$ , the isomorphism  $\mathrm{sp}_{R_v}^{p'} : \Pi_{v,\bar{\eta}}^{p'} \xrightarrow{\sim} \Pi_{v,s}^{p'}$  implies that, by replacing  $R_v$  by a finite extension of  $R_v$ , there exists a finite morphism of pointed stable curves

$$f_v^\bullet : \mathcal{Y}_v^\bullet = (\mathcal{Y}_v, D_{\mathcal{Y}_v}) \rightarrow \mathcal{X}_v^\bullet$$

over  $R_v$  such that the restriction of  $f_v^\bullet$  on the special fibers is  $k_{R_v}$ -isomorphic to  $f_{v,s}^\bullet$ , and that the restriction of  $f_v^\bullet$  on the geometric generic fibers is a connected Galois admissible covering

$$f_{v,\bar{\eta}}^\bullet : \mathcal{Y}_{v,\bar{\eta}}^\bullet = (\mathcal{Y}_{v,\bar{\eta}}, D_{\mathcal{Y}_{v,\bar{\eta}}}) := \mathcal{Y}_v^\bullet \times_{R_v} \bar{K}_{R_v} \rightarrow \mathcal{X}_{v,\bar{\eta}}^\bullet$$

with the Galois group  $\mathbb{Z}/n\mathbb{Z}$  over  $\bar{K}_{R_v}$ . The  $k_{R_v}[\mu_n]$ -module  $H_{\acute{e}t}^1(\mathcal{Y}_{v,\bar{\eta}}, \mathbb{F}_p) \otimes k_{R_v}$  admits the following canonical decomposition

$$H_{\acute{e}t}^1(\mathcal{Y}_{v,\bar{\eta}}, \mathbb{F}_p) \otimes k_{R_v} = \bigoplus_{i \in \mathbb{Z}/n\mathbb{Z}} M_{v,\bar{\eta}}(i),$$

where  $\zeta \in \mu_n$  acts on  $M_{v,\bar{\eta}}(i)$  as the  $\zeta^i$ -multiplication. Write  $\Pi_{\mathcal{Y}_{v,\bar{\eta}}^\bullet} \subseteq \Pi_{v,\bar{\eta}}$  and  $\Pi_{\mathcal{Y}_{v,s}^\bullet} \subseteq \Pi_{v,s}$  for the open normal subgroups corresponding to  $\mathcal{Y}_{v,\bar{\eta}}^\bullet$  and  $\mathcal{Y}_{v,s}^\bullet$ , respectively. Then the surjection  $\mathrm{sp}_v : \Pi_{v,\bar{\eta}} \rightarrow \Pi_{v,s}$  induces a surjection  $\mathrm{sp}_{v,\mathcal{Y}} : \Pi_{\mathcal{Y}_{v,\bar{\eta}}^\bullet} \rightarrow \Pi_{\mathcal{Y}_{v,s}^\bullet}$ . Thus, we obtain a surjection

$$\mathrm{sp}_{v,\mathcal{Y}}^p : \Pi_{\mathcal{Y}_{v,\bar{\eta}}^\bullet}^p \rightarrow \Pi_{\mathcal{Y}_{v,s}^\bullet}^p.$$

Since  $H_{\acute{e}t}^1(\mathcal{Y}_{v,\bar{\eta}}, \mathbb{F}_p) \otimes k_{R_v}$  and  $H_{\acute{e}t}^1(\mathcal{Y}_{v,s}, \mathbb{F}_p) \otimes k_{R_v}$  are semi-simple  $k_{R_v}[\mu_n]$ -modules, the surjection  $\mathrm{sp}_{v,\mathcal{Y}}^p$  induces an injection  $M_{v,s}(1) \hookrightarrow M_{v,\bar{\eta}}(1)$ . This implies that

$$\dim_{k_{R_v}}(M_{v,\bar{\eta}}(1)) \geq g_v + \#E_v^{>1} - 1.$$

Write  $\mathcal{L}'_{v,\bar{\eta}}$  for the line bundle on  $\mathcal{X}_{v,\bar{\eta}}^\bullet$  corresponding to  $\mathcal{Y}_{v,\bar{\eta}}^\bullet$ . Then Lemma 4.8 implies that  $(\mathcal{L}'_{v,\bar{\eta}})^{\otimes n} \cong \mathcal{O}_{\mathcal{X}_{v,\bar{\eta}}^\bullet}(-Q_v^{\bar{\eta}})$ . Moreover, we have

$$\dim_{k_{R_v}}(M_{v,\bar{\eta}}(1)) = \gamma_{([\mathcal{L}'_{v,\bar{\eta}}, Q_v^{\bar{\eta}}])} \leq \dim_k(H^1(\mathcal{X}_{v,\bar{\eta}}^\bullet, \mathcal{L}'_{v,\bar{\eta}})) = g_v + \#E_v^{>1} - 1.$$

Then we obtain that

$$\dim_{k_{R_v}}(M_{v,\bar{\eta}}(1)) = \gamma_{([\mathcal{L}'_{v,\bar{\eta}}], Q_{\bar{v}})} = \dim_{k_{R_v}}(H^1(\mathcal{X}_{v,\bar{\eta}}, \mathcal{L}'_{v,\bar{\eta}})) = g_v + \#E_v^{>1} - 1.$$

We define  $\mathcal{I}_{v,\bar{\eta}} := \mathcal{L}_{v,\bar{\eta}}^{-1} \otimes \mathcal{L}'_{v,\bar{\eta}}$ . Note that  $\mathcal{I}_{v,\bar{\eta}}$  is a line bundle on  $\mathcal{X}_{v,\bar{\eta}}$  of degree 0. Then we have

$$\begin{aligned} & \gamma_{([\mathcal{L}_{v,\bar{\eta}} \otimes \mathcal{I}_{v,\bar{\eta}}], Q_{\bar{v}})} = \gamma_{([\mathcal{L}'_{v,\bar{\eta}}], Q_{\bar{v}})} \\ & = \dim_{k_{R_v}}(H^1(\mathcal{X}_{v,\bar{\eta}}, \mathcal{L}'_{v,\bar{\eta}})) = \dim_{k_{R_v}}(H^1(\mathcal{X}_{v,\bar{\eta}}, \mathcal{L}_{v,\bar{\eta}} \otimes \mathcal{I}_{v,\bar{\eta}})) = g_v + \#E_v^{>1} - 1. \end{aligned}$$

This completes the proof of the proposition.  $\square$

**Remark 6.4.1.** Proposition 6.4 gives a positive answer of Problem of Remark 4.5.2 under certain assumptions of divisors. On the other hand, we may pose a generalized version of Tamagawa's problem as follows.

**Problem .** *We maintain the notation introduced in Remark 4.5.2. Suppose that  $X^\bullet$  is a component-generic smooth pointed stable curve over  $k$ . Let  $([\mathcal{L}], D)$  be an arbitrary element of  $\tilde{P}_{X^\bullet, n}$ . Does the Raynaud-Tamagawa theta divisor  $\Theta_{E_D}$  associated to  $E_D$  exist?*

## 6.2 A formula for the limits of $p$ -averages

In this subsection, we prove the second main theorem of the present paper. First, we have the following proposition.

**Proposition 6.5.** *Suppose that  $X^\bullet$  is a component-generic pointed stable curve over  $k$ . Then we have*

$$\lim_{t \rightarrow \infty} \frac{\sigma(X_{H_{v,n}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} = \begin{cases} 0, & \text{if } v \in V_{X^\bullet}^{\text{tre}, g_v=0}, \\ g_v + \#E_v^{>1} - 1, & \text{if } v \in v(\Gamma_{X^\bullet}) \setminus V_{X^\bullet}^{\text{tre}, g_v=0}. \end{cases}$$

*Proof.* We maintain the notation introduced in the proof of Proposition 4.9. Moreover, Proposition 4.9 implies that we may assume that  $\#E_v^{>1} \geq 2$ . Then

$$\#(M_v \otimes \mathbb{Z}/n\mathbb{Z}) = 2g_v + \sum_{C \in \pi_0(v)} (n_{v,C} - 1).$$

Suppose that  $v \in V_{X^\bullet}^{\text{tre}, g_v=0}$ . Then the proposition follows from Proposition 4.9 (i). Suppose that  $v \in v(\Gamma_{X^\bullet}) \setminus V_{X^\bullet}^{\text{tre}, g_v=0}$ . Theorem 4.5 and Proposition 6.4 imply that

$$\begin{aligned} \sigma(X_{H_{n,v}}^\bullet) & \geq (g_v + \#E_v^{>1} - 1)(n^{2g_v} - C(g_v)n^{2g_v-1}) \prod_{C \in E_v^{>1}} (n^{n_{v,C}-1}(1 - \lambda_{v,C}^t) - 1) \\ & \geq (g_v + \#E_v^{>1} - 1)(n^{2g_v + \sum_{C \in E_v^{>1}} (n_{v,C}-1)} - C(g_v)n^{2g_v + \sum_{C \in E_v^{>1}} (n_{v,C}-1)-1}) \\ & = (g_v + \#E_v^{>1} - 1)(n^{2g_v + \sum_{C \in \pi_0(v)} (n_{v,C}-1)} - C(g_v)n^{2g_v + \sum_{C \in \pi_0(v)} (n_{v,C}-1)-1}). \end{aligned}$$

Then Proposition 4.9 (ii) implies that

$$\lim_{t \rightarrow \infty} \frac{\sigma(X_{H_{v,n}}^\bullet)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} = g_v + \#E_v^{>1} - 1.$$

This completes the proof of the proposition.  $\square$

The second main theorem of the present paper is as follows, which is a formula of the limits of  $p$ -averages without any assumptions of dual semi-graphs.

**Theorem 6.6.** *Suppose that  $X^\bullet$  is a component-generic pointed stable curve over  $k$ . Then we have*

$$\text{Av}_p(\Pi_{X^\bullet}) = g_X - r_X - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1}.$$

*Proof.* We denote by  $K_n$  the kernel of the natural surjective homomorphism  $\Pi_{X^\bullet}^{\text{ab}} \rightarrow \Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z}$ . By applying similar arguments to the arguments given in the proof of Theorem 5.2 imply that

$$\begin{aligned} & \frac{\dim_{\mathbb{F}_p}(K_n^{\text{ab}} \otimes \mathbb{F}_p)}{\#(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z})} = \sum_{v \in v(\Gamma_{X^\bullet})} \frac{\dim_{\mathbb{F}_p}(H_{v,n}^{\text{ab}} \otimes \mathbb{F}_p)}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} \\ & + \#E_{X^\bullet}^{\text{tre}} + \sum_{e \in e^{\text{cl}}(\Gamma_{X^\bullet}) \setminus \bigcup_{v \in v(\Gamma_{X^\bullet})} E_v^{-1}} \frac{1}{n} - \sum_{v \in v(\Gamma_{X^\bullet})} \frac{1}{\#(M_v \otimes \mathbb{Z}/n\mathbb{Z})} + \frac{1}{\#(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{Z}/n\mathbb{Z})}. \end{aligned}$$

Thus, Proposition 6.5 implies that

$$\begin{aligned} \text{Av}_p(\Pi_{X^\bullet}) &= \sum_{v \in v(\Gamma_{X^\bullet}) \text{ s.t. } (g_v, \#E_v^{>1}) \neq (0,0)} (g_v + \#E_v^{>1} - 1) + \#E_{X^\bullet}^{\text{tre}} \\ &= \sum_{v \in v(\Gamma_{X^\bullet})} g_v + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1} - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} \\ &= g_X - r_X - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1}. \end{aligned}$$

This completes the proof of the theorem.  $\square$

**Remark 6.6.1.** We can also prove Theorem 6.6 by applying Theorem 5.2 directly (i.e., without using the existence of Raynaud-Tamagawa theta divisor). Let us explain the arguments in this remark.

Suppose that  $X^\bullet$  is a component-generic pointed stable curve over  $k$ . Then there exists a discrete valuation ring  $R$  with algebraically closed residue field  $k_R$  of characteristic  $p > 0$  and a pointed stable curve  $\mathcal{X}^\bullet = (\mathcal{X}, D_{\mathcal{X}})$  over  $R$  such that the following conditions are satisfied:

- (i)  $k$  contains the quotient field  $K_R$  of  $R$ .
- (ii) Write  $\mathcal{X}_\eta^\bullet = (\mathcal{X}_\eta, D_{\mathcal{X}_\eta})$  for the generic fiber  $\mathcal{X}^\bullet \times_R K_R$ . Then each point of  $\mathcal{X}^{\text{sing}}$  is  $K_R$ -rational.
- (iii) Write  $\Gamma_{\mathcal{X}_\eta^\bullet}$  for the dual semi-graph of  $\mathcal{X}_\eta^\bullet$ . Note that  $\Gamma_{\mathcal{X}_\eta^\bullet}$  can be naturally identified with  $\Gamma_{X^\bullet}$ . For each  $v \in v(\Gamma_{\mathcal{X}_\eta^\bullet})$ , write  $\mathcal{X}_{v,\eta}$  for the irreducible component of  $\mathcal{X}_\eta$  corresponding to  $v$  and  $\text{no}_v : \tilde{\mathcal{X}}_{v,\eta} \rightarrow \mathcal{X}_{v,\eta}$  for the normalization morphism. We define a smooth pointed stable curve of type  $(g_v, n_v)$  to be

$$\tilde{\mathcal{X}}_{v,\eta}^\bullet = (\tilde{\mathcal{X}}_{v,\eta}, D_{\tilde{\mathcal{X}}_{v,\eta}} := \text{no}_v^{-1}((\mathcal{X}_{v,\eta} \cap \mathcal{X}_\eta^{\text{sing}}) \cap (D_{\mathcal{X}_\eta} \cap \mathcal{X}_{v,\eta})))$$

over  $K_R$ . Then we have that  $\tilde{\mathcal{X}}_{v,\eta}^\bullet \times_{K_R} \bar{k}$  is  $k$ -isomorphic to  $\tilde{X}_v^\bullet$ , and that the reduction of  $\tilde{\mathcal{X}}_{v,\eta}^\bullet$  over  $R$  satisfies the (DEG) (iii) defined in Section 6.1.

(iv) Write  $\bar{K}_R$  for the algebraic closure of  $K_R$  in  $k$  and  $\mathcal{X}_\eta^\bullet = (\mathcal{X}_\eta, D_{\mathcal{X}_\eta})$  for the geometric generic fiber  $\mathcal{X}^\bullet \times_R \bar{K}_R$  of  $\mathcal{X}^\bullet$ . Then  $X^\bullet$  is  $k$ -isomorphic to  $\mathcal{X}^\bullet \times_{\bar{K}_R} k$ . Moreover, we write  $\Gamma_{\mathcal{X}_\eta^\bullet}$  for the dual semi-graph of  $\mathcal{X}_\eta^\bullet$ . Note that  $\Gamma_{\mathcal{X}_\eta^\bullet}$  can be naturally identified with  $\Gamma_{\mathcal{X}_\eta}$ .

Write  $\Pi_\eta$  and  $\Pi_s$  for the admissible fundamental groups of  $\mathcal{X}_\eta^\bullet$  and  $\mathcal{X}_s^\bullet$ , respectively. Let us compute  $\text{Avr}_p(\Pi_s)$ . Note that the construction of  $\mathcal{X}_s^\bullet$  implies that, for each  $w \in v(\Gamma_{\mathcal{X}_s^\bullet})$ , we have  $\#E_w^{>1} \leq 1$ . Thus, by applying Theorem 5.2, we obtain that

$$\text{Avr}_p(\Pi_s) = g_X - r_X - \#v(\Gamma_{\mathcal{X}_s^\bullet}) + \#V_{\mathcal{X}_s^\bullet}^{\text{tre},g_v=0} + \#E_{\mathcal{X}_s^\bullet}^{\text{tre}} + \sum_{w \in v(\Gamma_{\mathcal{X}_s^\bullet})} \#E_w^{>1}.$$

Moreover, the construction of  $\mathcal{X}_s^\bullet$  implies that

$$\begin{aligned} \#v(\Gamma_{\mathcal{X}_s^\bullet}) &= \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet}) \text{ s.t. } g_v \neq 0} (\#E_v^{>1} + 1) + \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet}) \text{ s.t. } g_v = 0, \#E_v^{>1} \neq 0} \#E_v^{>1} + \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet}) \text{ s.t. } g_v = 0, \#E_v^{>1} = 0} 1 \\ &= \#v(\Gamma_{\mathcal{X}_\eta^\bullet}) + \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet}) \text{ s.t. } g_v \neq 0} \#E_v^{>1} + \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet}) \text{ s.t. } g_v = 0, \#E_v^{>1} \neq 0} (\#E_v^{>1} - 1), \\ \#V_{\mathcal{X}_s^\bullet}^{\text{tre},g_v=0} &= \#V_{\mathcal{X}_\eta^\bullet}^{\text{tre},g_v=0}, \\ \sum_{w \in v(\Gamma_{\mathcal{X}_s^\bullet})} \#E_w^{>1} &= \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet})} \#E_v^{>1}, \\ \#E_{\mathcal{X}_s^\bullet}^{\text{tre}} &= \#E_{\mathcal{X}_\eta^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet}) \text{ s.t. } g_v \neq 0} \#E_v^{>1} + \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet}) \text{ s.t. } g_v = 0, \#E_v^{>1} \neq 0} (\#E_v^{>1} - 1). \end{aligned}$$

Thus, we have

$$\begin{aligned} \text{Avr}_p(\Pi_s) &= g_X - r_X - \#v(\Gamma_{\mathcal{X}_s^\bullet}) + \#V_{\mathcal{X}_s^\bullet}^{\text{tre},g_v=0} + \#E_{\mathcal{X}_s^\bullet}^{\text{tre}} + \sum_{w \in v(\Gamma_{\mathcal{X}_s^\bullet})} \#E_w^{>1} \\ &= g_X - r_X - \#v(\Gamma_{\mathcal{X}_\eta^\bullet}) - \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet}) \text{ s.t. } g_v \neq 0} \#E_v^{>1} - \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet}) \text{ s.t. } g_v = 0, \#E_v^{>1} \neq 0} (\#E_v^{>1} - 1) \\ &\quad + \#V_{\mathcal{X}_\eta^\bullet}^{\text{tre},g_v=0} + \#E_{\mathcal{X}_\eta^\bullet}^{\text{tre}} \\ &+ \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet}) \text{ s.t. } g_v \neq 0} \#E_v^{>1} + \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet}) \text{ s.t. } g_v = 0, \#E_v^{>1} \neq 0} (\#E_v^{>1} - 1) + \sum_{w \in v(\Gamma_{\mathcal{X}_\eta^\bullet})} \#E_w^{>1} \\ &= g_X - r_X - \#v(\Gamma_{\mathcal{X}_\eta^\bullet}) + \#V_{\mathcal{X}_\eta^\bullet}^{\text{tre},g_v=0} + \#E_{\mathcal{X}_\eta^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{\mathcal{X}_\eta^\bullet})} \#E_v^{>1} \end{aligned}$$

$$= g_X - r_X - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1}.$$

On the other hand, since  $\Pi_{\bar{\eta}}$  is naturally (outer) isomorphic to  $\Pi_{X^\bullet}$ , we obtain  $\text{Avr}_p(\Pi_{X^\bullet}) = \text{Avr}_p(\Pi_{\bar{\eta}})$ . Moreover, Since  $\mathcal{X}_{\bar{\eta}}^\bullet$  and  $\mathcal{X}_s^\bullet$  are same types, we have a specialization map

$$\text{sp}_R : \Pi_{\bar{\eta}} \rightarrow \Pi_s.$$

Then we have

$$\text{Avr}_p(\Pi_{X^\bullet}) = \text{Avr}_p(\Pi_{\bar{\eta}}) \geq \text{Avr}_p(\Pi_s).$$

This means that

$$\text{Avr}_p(\Pi_{X^\bullet}) \geq g_X - r_X - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1}.$$

Thus, Theorem 5.2 implies that

$$\text{Avr}_p(\Pi_{X^\bullet}) = g_X - r_X - \#v(\Gamma_{X^\bullet}) + \#V_{X^\bullet}^{\text{tre}, g_v=0} + \#E_{X^\bullet}^{\text{tre}} + \sum_{v \in v(\Gamma_{X^\bullet})} \#E_v^{>1}.$$

This completes the proof of Theorem 6.6.

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