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**The degree 2 part of the LMO invariant of cyclic  
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Alexander polynomial**

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# The degree 2 part of the LMO invariant of cyclic branched covers of some genus 1 knots with trivial Alexander polynomial

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## Abstract

We give some formulas for the degree 2 part of the LMO invariant of cyclic branched covers of some genus 1 knots with trivial Alexander polynomial. More concretely, we present them by using several Vassiliev invariants, where we use the 3-loop polynomial for their proofs. Furthermore, we show the existence of knots whose values of 3-loop invariant cannot be reduced. This paper is a sequel to the author's previous paper [20].

## 1 Introduction

The LMO invariant is an invariant of closed oriented 3-manifolds which is universal among all perturbative invariants and all finite type invariants. The value of the LMO invariant is presented by an infinite sum of Jacobi diagrams, and it is well-known that that degree 1 part is equivalent to the Casson-Walker-Lescop invariant.

There are some example of calculation of the LMO invariant or low degree parts of it. For example, the LMO invariants of Lens spaces are calculated in [4], the low degree parts of the LMO invariants of Seifert fibered rational homology spheres are calculated in [16], and some surgery formulas for low degree parts of the LMO invariant are given in [9]. Moreover, the author computed a formula for the degree 2 part of the LMO invariant of cyclic branched covers of knots and calculated an example in [19], which is based on the work of Garoufalidis and Kricker [7] and the author's work [18].

In this paper, we calculate new examples of the degree 2 part of the LMO invariant of cyclic branched covers of knots, by using the methods in [7] and [19]. For its calculation, we use the 3-loop polynomial, which is the polynomial presenting the 3-loop part of the Kontsevich invariant of knots. The 3-loop polynomial of some genus 1 knots with trivial Alexander polynomials is calculated in [20]. Based on this result, we calculate the degree 2 part of the LMO invariant of cyclic branched covers of these knots by using several Vassiliev invariants. Furthermore, in Appendix, we show that there exist infinitely many knots whose values of 3-loop invariant cannot be reduced.

This paper is organized as follows. In Section 2, we review the fundamental notions. In Section 3, we state the calculations about the degree 2 part. In Section 4, we prove the theorems. In Appendix, we show the existence of knots whose values of 3-loop invariant cannot be reduced.

This paper is a sequel to the author's previous paper [20], so we often refer to it.

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## 2 Cyclic branched covers of knots and its LMO invariant

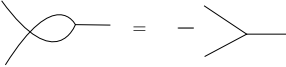
In this section, we review the cyclic branched covers of knots, the LMO invariant of oriented closed 3-manifolds, and the Kontsevich invariant of knots.


### 2.1 Cyclic branched covers of knots

Let  $K$  be a knot in  $S^3$  and  $\Sigma_K^p$  the  $p$ -fold cyclic cover of  $K$ . If  $\Sigma_K^p$  is a rational homology sphere, we call the knot  $K$   $p$ -regular. Also, if  $K$  is  $p$ -regular for all  $p$ , we call the knot  $K$  regular. Note that a knot is  $p$ -regular if and only if its Alexander polynomial has no complex  $p$ th root of unity.

### 2.2 The LMO invariant of closed oriented 3-manifold

A *Jacobi diagram* on  $\emptyset$  is a trivalent graph such that a cyclic order of the three edges around each trivalent vertex is fixed. When drawing a Jacobi diagram on  $\emptyset$ , we assume that the order of each trivalent vertex of it is given by the counterclockwise order. For a Jacobi diagram, we define the *degree* to be half the number of all vertex of it. Further, we define  $\mathcal{A}(\emptyset)$  to be the quotient vector space spanned by Jacobi diagrams on  $\emptyset$  subject to the AS and IHX relations.

the AS relation : 

the IHX relation : 

We define the product in  $\mathcal{A}(\emptyset)$  by the disjoint union of Jacobi diagrams.

For a closed oriented 3-manifold  $M$ , the *LMO invariant*  $Z^{LMO}(M)$  is defined to be in the completion of  $\mathcal{A}(\emptyset)$  with respect to the degree, and is presented by

$$Z^{LMO}(M) = \exp \left( c_1(M) \bigcirc + c_2(M) \bigcirc + (\text{connected diagrams of degree } > 2) \right),$$

where  $c_i(M)$  is a scalar invariant of  $M$ . It is known that we have  $c_1(M) = (-1)^{b_1(M)}\lambda(M)/2$ , where  $\lambda(M)$  is the Casson-Walker-Lescop invariant and  $b_1(M)$  is the first Betti number of  $M$ . For more details about the LMO invariant, see for example [14, 15].

### 2.3 The Kontsevich invariant of knots

An  $h$ -marked open Jacobi diagrams is a uni-trivalent graph such that each univalent vertex is labeled by  $h$  and a cyclic order of the three edges around each trivalent vertex is fixed. (We may sometimes omit “ $h$ ” in figures.) We define  $\mathcal{A}(*_h)$  to be the quotient vector space spanned by  $h$ -marked open Jacobi diagrams subject to the AS and IHX relations. We also define the product in  $\mathcal{A}(*_h)$  by the disjoint union of Jacobi diagrams. We denote by  $\mathcal{A}(*_h)_{\text{conn}}^{(n\text{-loop})}$  the subspace of  $\mathcal{A}(*_h)$  spanned by connected  $n$ -loop open Jacobi diagrams, where for a Jacobi diagram, “ $n$ -loop” means that the first Betti number of the diagram is  $n$ .

For an oriented framed knot  $K$ , the *Kontsevich invariant*  $\chi^{-1}Z(K)$  is defined to be in the completion of  $\mathcal{A}(*_h)$  with respect to the degree. It is shown [6, 13] that for a 0-framed oriented knot  $K$  the value  $\chi^{-1}Z(K)$  can be presented as follows,

$$\begin{aligned} \chi^{-1}Z(K) = \exp \left( \right. & \left. \begin{array}{c} \frac{1}{2} \log \left( \frac{\sinh(h/2)}{h/2} \right) - \frac{1}{2} \log \Delta_K(e^h) \\ \text{[Diagram: a rounded rectangle]} \\ \frac{p_{i,1}(e^h)/\Delta_K(e^h)}{p_{i,2}(e^h)/\Delta_K(e^h)} \\ \frac{p_{i,3}(e^h)/\Delta_K(e^h)}{\end{array} \right) + \sum_i^{\text{finite}} \left( \begin{array}{c} \frac{q_{i,1}(e^h)}{\Delta_K(e^h)} \\ \frac{q_{i,2}(e^h)}{\Delta_K(e^h)} \\ \frac{q_{i,3}(e^h)}{\Delta_K(e^h)} \\ \frac{q_{i,4}(e^h)}{\Delta_K(e^h)} \\ \frac{q_{i,5}(e^h)}{\Delta_K(e^h)} \\ \frac{q_{i,6}(e^h)}{\Delta_K(e^h)} \\ \text{[Diagram: a circle with three internal edges meeting at a central vertex]} \end{array} \right) \\ & + \sum_i^{\text{finite}} \left( \begin{array}{c} \frac{r_{i,1}(e^h)}{\Delta_K(e^h)^2} \\ \frac{r_{i,2}(e^h)}{\Delta_K(e^h)} \\ \frac{r_{i,3}(e^h)}{\Delta_K(e^h)} \\ \frac{r_{i,4}(e^h)}{\Delta_K(e^h)} \\ \frac{r_{i,5}(e^h)}{\Delta_K(e^h)} \\ \frac{r_{i,6}(e^h)}{\Delta_K(e^h)} \\ \text{[Diagram: a circle with three internal edges meeting at a central vertex]} \end{array} \right) \\ & + (\text{terms of } (> 3)\text{-loop parts}), \end{aligned} \tag{1}$$

where  $\Delta_K(t)$  denotes the Alexander polynomial of  $K$  (which satisfies that  $\Delta_K(t) = \Delta_K(t^{-1})$ ,  $\Delta_K(1) = 1$ ), and  $p_{i,j}(e^h)$  and  $q_{i,j}(e^h)$  are polynomials in  $e^{\pm h}$ . Further, a labeling on one side of an edge of a Jacobi diagram by a power series  $f(h) = c_0 + c_1 h + c_2 h^2 + c_3 h^3 + \dots$  means a formal power series of  $h$ -marked open Jacobi diagrams given as follows,

$$\left. \right)^{f(h)} = c_0 \left. \right) + c_1 \left. \right)^{-h} + c_2 \left. \right)^{\begin{array}{c} h \\ -h \end{array}} + c_3 \left. \right)^{\begin{array}{c} -h \\ h \\ -h \end{array}} + \dots$$

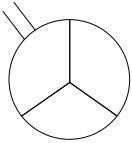
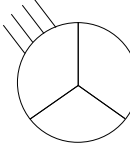
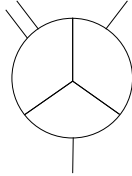
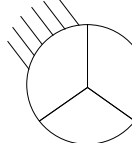
### 3 The degree 2 part of the LMO invariant of the cyclic branched covers of some genus 1 knots

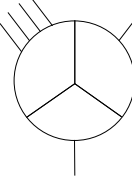
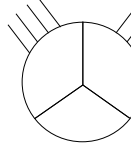
In this section, we calculate new examples of the degree 2 part of the LMO invariant of cyclic branched covers of two types of genus 1 knots with trivial Alexander polynomial.

### 3.1 For genus 1 knots with trivial ( $\leq 2$ )-loop parts

Let  $K$  be a genus 1 knot with trivial ( $\leq 2$ )-loop parts, namely, with trivial Alexander polynomial and trivial 2-loop part. Note that  $K$  is regular, and the Casson-Walker invariant of  $\Sigma_K^p$  is trivial. We denote

$$\chi^{-1}Z(K) = \exp \left( \left( \frac{1}{2} \log \left( \frac{\sinh(h/2)}{h/2} \right) \right) \left( \text{Diagram of a rounded rectangle} \right) + \sum_{i \geq 1} a_i \lambda_i + (\text{terms of } (>3)\text{-loop parts}) \right),$$

where  $\lambda_1 =$  ,  $\lambda_2 =$  ,  $\lambda_3 =$  ,  $\lambda_4 =$  ,

$\lambda_5 =$  ,  $\lambda_6 =$  , and  $\lambda_i$ 's ( $i > 6$ ) are basis vectors of  $\mathcal{A}(*_h)_{\text{conn}}^{(3\text{-loop})}$

of degree  $> 8$ . It is known [5] that  $\lambda_i$ 's ( $1 \leq i \leq 6$ ) are basis vectors of  $\mathcal{A}(*_h)_{\text{conn}}^{(3\text{-loop})}$  of degree  $\leq 8$ .

Then, we calculate the degree 2 part of the LMO invariant of the  $p$ -fold cyclic branched cover of  $K$ .

**Theorem 3.1.** *For all  $p > 1$ , we have*

$$c_2(\Sigma_K^p) = \left( -\frac{22}{15}a_1 + 4a_2 - a_3 + 48a_4 + 12a_5 \right) p.$$

**Remark 3.2.** Since  $K$  is genus 1 knot with trivial ( $\leq 2$ )-loop parts, it has a Seifert surface of genus 1 which satisfies the condition in the proof of Lemma 4.1 below. By using the result in [20], we can present  $c_2(\Sigma_K^p)$  by finite type invariants a tangle  $T$  in the proof of Lemma 4.1 concretely, but it would be a little complicated, so we do not give the formula.

### 3.2 For $D(K_1, K_2, K_3)$

Let  $K_1, K_2$ , and  $K_3$  be 0-framed long knots (1-tangles). We define  $D(K_1, K_2, K_3)$  to be the following knot,

$$D(K_1, K_2, K_3) = \left( \text{Diagram of a box containing three tangles } K_1^{(2)}, K_3^{(4)}, \text{ and } K_2^{(2)} \text{ with connecting lines} \right),$$

where,  $K_1^{(2)}$ ,  $K_2^{(2)}$  are the doubles of  $K_1$ ,  $K_2$ , respectively and  $K_3^{(4)}$  is the double of double of  $K_3$ . Note that  $D(K_1, K_2, K_3)$  is a genus 1 knot with trivial Alexander polynomial, and hence  $D(K_1, K_2, K_3)$  is regular. Also, it can be shown that the Casson invariant of  $\Sigma_{D(K_1, K_2, K_3)}^p$  depends only on  $K_3$ . Then, we denote

$$a_i = -\frac{1}{2}d_2^i, \quad b_i = -\frac{1}{24}j_3^i, \quad c_i = \frac{1}{24}(-12d_4^i + 6(d_2^i)^2 - d_2^i).$$

Here  $d_n^i$  are coefficients of the Conway polynomial  $\nabla_{\hat{K}_i}(z) = \sum d_n^i z^n$  (defined by  $\nabla_{\hat{K}_i}(t^{\frac{1}{2}} - t^{-\frac{1}{2}}) = \Delta_{\hat{K}_i}(t)$ ) and  $j_n^i$  are coefficients of the Jones polynomial  $J_{\hat{K}_i}(e^t) = \sum j_n^i t^n$ , where  $\hat{K}_i$  is the closure of  $K_i$ . Note that  $a_i, b_i$ , and  $c_i$  are Vassiliev invariants of knots which can be appeared in coefficients of the Kontsevich invariant of knots, see [17], or [18].

**Theorem 3.3.** *For all  $p > 1$ , we have*

$$c_2(\Sigma_{D(K_1, K_2, K_3)}^p) = \left( \frac{a_3}{4} + 4a_1a_2 + 4a_1a_3 + 4a_2a_3 + 6a_3^2 + 2b_3 + 9c_3 \right) p.$$

## 4 Proof of Theorem 3.1 and 3.3

In this section, we prove Theorem 3.1 and 3.3.

### 4.1 The 3-loop invariant of knots

Recall that the Kontsevich invariant of 0-framed oriented knot  $K$  is presented by (1). Then, we can define the *3-loop invariant* as follows,

$$\begin{aligned} & \Lambda_K(t_1, t_2, t_3, t_4) \\ &= \sum_{\tau \in \mathfrak{S}_4} \frac{q_{i,1}(t_{\tau(1)}^{\text{sgn}\tau} t_{\tau(4)}^{-\text{sgn}\tau}) q_{i,2}(t_{\tau(2)}^{\text{sgn}\tau} t_{\tau(4)}^{-\text{sgn}\tau}) q_{i,3}(t_{\tau(3)}^{\text{sgn}\tau} t_{\tau(4)}^{-\text{sgn}\tau}) q_{i,4}(t_{\tau(2)}^{\text{sgn}\tau} t_{\tau(3)}^{-\text{sgn}\tau}) q_{i,5}(t_{\tau(3)}^{\text{sgn}\tau} t_{\tau(1)}^{-\text{sgn}\tau}) q_{i,6}(t_{\tau(1)}^{\text{sgn}\tau} t_{\tau(2)}^{-\text{sgn}\tau})}{\Delta_K(t_1 t_4^{-1}) \Delta_K(t_2 t_4^{-1}) \Delta_K(t_3 t_4^{-1}) \Delta_K(t_2 t_3^{-1}) \Delta_K(t_3 t_1^{-1}) \Delta_K(t_1 t_2^{-1})} \\ &+ \sum_{\tau \in \mathfrak{S}_4} \frac{r_{i,1}(t_{\tau(1)}^{\text{sgn}\tau} t_{\tau(4)}^{-\text{sgn}\tau}) r_{i,2}(t_{\tau(2)}^{\text{sgn}\tau} t_{\tau(4)}^{-\text{sgn}\tau}) r_{i,3}(t_{\tau(3)}^{\text{sgn}\tau} t_{\tau(4)}^{-\text{sgn}\tau}) r_{i,5}(t_{\tau(3)}^{\text{sgn}\tau} t_{\tau(1)}^{-\text{sgn}\tau}) r_{i,6}(t_{\tau(1)}^{\text{sgn}\tau} t_{\tau(2)}^{-\text{sgn}\tau})}{\Delta_K(t_{\tau(1)} t_{\tau(4)}^{-1})^2 \Delta_K(t_{\tau(2)} t_{\tau(4)}^{-1}) \Delta_K(t_{\tau(3)} t_{\tau(4)}^{-1}) \Delta_K(t_{\tau(3)} t_{\tau(1)}^{-1}) \Delta_K(t_{\tau(1)} t_{\tau(2)}^{-1})} \\ &\in \frac{1}{\hat{\Delta}^2} \cdot \mathbb{Q}[t_1^{\pm 1}, t_2^{\pm 1}, t_3^{\pm 1}, t_4^{\pm 1}] / (\mathfrak{S}_4, t_1 t_2 t_3 t_4 = 1), \end{aligned}$$

where we put

$$\hat{\Delta} = \Delta_K(t_1 t_4^{-1}) \Delta_K(t_2 t_4^{-1}) \Delta_K(t_3 t_4^{-1}) \Delta_K(t_2 t_3^{-1}) \Delta_K(t_3 t_1^{-1}) \Delta_K(t_1 t_2^{-1}).$$

In particular, if the Alexander polynomial of  $K$  is trivial, the 3-loop invariant is a polynomial, so in this case, we call it the *3-loop polynomial*. For more details about the 3-loop invariant, see [18].

## 4.2 Formulas for the degree 2 part of the LMO invariant of cyclic branched covers of knots

In [7], Garoufalidis and Kricker found a formula for the LMO invariant of cyclic branched covers of knots. Namely, it is shown that for all  $p$  and  $p$ -regular knot  $K$ , the LMO invariant of the  $p$ -fold cyclic branched cover  $\Sigma_K^p$  can be presented by the Kontsevich invariant of  $K$  and the total  $p$ -signature of  $K$ . In particular, the degree 2 part of the LMO invariant can be presented as follows [19],

$$\begin{aligned} c_2(\Sigma_K^p) &= \frac{1}{48p^2} \sum_{\omega_1^p = \omega_2^p = \omega_3^p = 1} \Lambda_K(\omega_1^{\frac{3}{4}} \omega_2^{-\frac{1}{4}} \omega_3^{-\frac{1}{4}}, \omega_1^{-\frac{1}{4}} \omega_2^{\frac{3}{4}} \omega_3^{-\frac{1}{4}}, \omega_1^{-\frac{1}{4}} \omega_2^{-\frac{1}{4}} \omega_3^{\frac{3}{4}}, \omega_1^{-\frac{1}{4}} \omega_2^{-\frac{1}{4}} \omega_3^{-\frac{1}{4}}) + l_p^K, \end{aligned} \quad (2)$$

where  $l_p^K$  is a scalar invariant of  $K$  defined by

$$l_p^K \left( \bigcirc \right) = \text{Lift}_p \left( \left\langle \exp \left( -\frac{1}{2} \text{tr}^\circ \log(EL(te^h)EL(t)^{-1}) \right), -\frac{p-1}{48p} \bigcirc_h \right\rangle \right). \quad (3)$$

Here,  $EL(t)$  is an equivariant linking matrix of a surgery link in  $S^3 \setminus K$ , and  $\text{tr}^\circ$  is the wheel-valued trace, which is defined by,

$$\text{tr}^\circ(F(h)) = \bigcirc_{f(h)} \quad (\text{where } f(h) = \text{tr}(F(h))),$$

see for example [12, Definition 3], and, for the definition of the map  $\text{Lift}_p$ , see [7]. Further, the bracket  $\langle \ , \ \rangle$  is defined by

$$\langle C_1, C_2 \rangle = \left( \begin{array}{l} \text{sum of all ways gluing the } h\text{-marked univalent vertices of } C_1 \\ \text{to the } h\text{-marked univalent vertices of } C_2 \end{array} \right).$$

It can be shown that  $l_p^K$  does not change by Kirby moves in  $S^3 \setminus K$ , hence it is a scalar invariant  $K$ . For details, see [7].

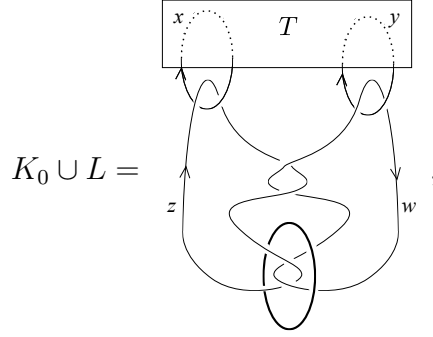
We have the following lemma for  $l_p^K$ .

**Lemma 4.1.** *Let  $K$  be a genus 1 knot with trivial Alexander polynomial. Then, we have  $l_p^K = 0$ .*

*Proof.* It is known, see for example [8], that there exists a 2-component tangle  $T$  of  $K$

such that  $K = \bigcirc \bigcirc_{T^{(2)}}$ , and each strand in  $T$  has 0-framing, and the linking number of the two strands in  $T$  is equal to 0, where  $T^{(2)}$  is the doubles of  $T$ . Thus, we

obtain the following surgery presentation of  $K$ ,



where  $K_0$  is depicted by a thick line and  $L$  is depicted by thin lines, and “surgery presentation” means that  $K$  is obtained from  $K_0 \cup L$  by surgery along  $L$ . Hence, an equivariant linking matrix  $EL(t)$  of  $L \subset S^3 \setminus K_0$  is given by

$$EL(t) = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & t-1 \\ 0 & 1 & t^{-1}-1 & 0 \end{pmatrix},$$

and we get

$$EL(t)^{-1} = \begin{pmatrix} 0 & -t+1 & 1 & 0 \\ -t^{-1}+1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}.$$

Thus, we have

$$\begin{aligned} \log(EL(te^h)EL(t)^{-1}) &= \log \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & t(e^h-1) & 1 & 0 \\ t^{-1}(e^{-h}-1) & 0 & 0 & 1 \end{pmatrix} \\ &= \log \left( I_4 + \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & th & 0 & 0 \\ -t^{-1}h & 0 & 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & \frac{1}{2}th^2 & 0 & 0 \\ \frac{1}{2}t^{-1}h^2 & 0 & 0 & 0 \end{pmatrix} \right) \\ &\quad + (\text{linear sum of matrices with (degree of } h) > 2) \\ &= \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & th & 0 & 0 \\ -t^{-1}h & 0 & 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & \frac{1}{2}th^2 & 0 & 0 \\ \frac{1}{2}t^{-1}h^2 & 0 & 0 & 0 \end{pmatrix} \\ &\quad + (\text{linear sum of matrices with (degree of } h) > 2). \end{aligned}$$



Hence, the coefficients of  $h$  and  $h^2$  of  $\text{tr} \log(EL(te^h)EL(t)^{-1})$  are equal to 0, which implies that the coefficient of  $\bigcirc_h$  in  $\exp\left(-\frac{1}{2}\text{tr}^\circ \log(EL(te^h)EL(t)^{-1})\right)$  is equal to 0. Therefore, by (3), we obtain  $l_p^K = 0$ .  $\square$

### 4.3 Proof of Theorem 3.1

Let  $K$  be a genus 1 knot with trivial ( $\leq 2$ )-loop parts.

**Lemma 4.2.** [20] *We have*

$$\begin{aligned} & \Lambda_K(t_1, t_2, t_3, t_4) \\ &= 4a_1(u_{12} + u_{13} + u_{14} + u_{23} + u_{24} + u_{34}) \\ & \quad + \left(-\frac{a_1}{3} + 4a_2 + 2a_3\right)(u_{14}u_{24} + u_{14}u_{34} + u_{24}u_{34} + u_{13}u_{23} + u_{13}u_{43} + u_{23}u_{43} \\ & \quad \quad + u_{12}u_{32} + u_{12}u_{42} + u_{32}u_{42} + u_{21}u_{31} + u_{21}u_{41} + u_{31}u_{41}) \\ & \quad - 4a_3(u_{12}u_{34} + u_{13}u_{24} + u_{14}u_{23}) \\ & \quad + \left(\frac{a_1}{10} + a_3 - 36a_4 - 6a_5\right)(u_{14}u_{24}u_{34} + u_{13}u_{23}u_{43} + u_{12}u_{32}u_{42} + u_{21}u_{31}u_{41}) \\ & \quad + \left(\frac{a_1}{30} + \frac{2}{3}a_3 - 12a_4 - 4a_5\right)(u_{13}u_{43}u_{42} + u_{23}u_{43}u_{41} + u_{12}u_{42}u_{43} + u_{32}u_{42}u_{41} \\ & \quad \quad + u_{21}u_{41}u_{43} + u_{31}u_{41}u_{42} + u_{12}u_{32}u_{34} + u_{42}u_{32}u_{31} + u_{21}u_{31}u_{34} \\ & \quad \quad + u_{41}u_{31}u_{32} + u_{31}u_{21}u_{24} + u_{41}u_{21}u_{23}), \end{aligned}$$

where we denote  $u_{mn} = t_m t_n^{-1} + t_m^{-1} t_n - 2$ , and  $a_i$ 's are invariants of  $K$  defined in Section 3.1.

*Proof of Theorem 3.1.* By (2), we have

$$\begin{aligned} & c_2(\Sigma_K^p) \\ &= \frac{1}{48p^2} \sum_{\omega_1^p = \omega_2^p = \omega_3^p = 1} \Lambda_K(\omega_1^{\frac{3}{4}} \omega_2^{-\frac{1}{4}} \omega_3^{-\frac{1}{4}}, \omega_1^{-\frac{1}{4}} \omega_2^{\frac{3}{4}} \omega_3^{-\frac{1}{4}}, \omega_1^{-\frac{1}{4}} \omega_2^{-\frac{1}{4}} \omega_3^{\frac{3}{4}}, \omega_1^{-\frac{1}{4}} \omega_2^{-\frac{1}{4}} \omega_3^{-\frac{1}{4}}) + l_p^K. \end{aligned}$$

However, by Lemma 4.1, we have  $l_p^K = 0$ . Moreover, we note that

$$e^{\frac{2\pi i l}{p}} + e^{-\frac{2\pi i l}{p}} - 2 = 2 \cos \frac{2l\pi}{p} - 2 =: r_l \quad (l = 0, 1, \dots, p-1)$$

Thus, by Lemma 4.2, we get

$$\begin{aligned} & c_2(\Sigma_K^p) \\ &= \frac{1}{48p^2} \sum_{\substack{0 \leq l < p \\ 0 \leq m < p \\ 0 \leq n < p}} \left(4a_1(r_l + r_m + r_n + r_{l-m} + r_{m-n} + r_{n-l})\right) \end{aligned}$$

$$\begin{aligned}
& + \left( -\frac{a_1}{3} + 4a_2 + 2a_3 \right) (r_l r_m + r_m r_n + r_n r_l + r_l r_{l-m} + r_l r_{n-l} + r_m r_{m-n} + r_m r_{l-m} \\
& \quad + r_n r_{n-l} + r_n r_{m-n} + r_{l-m} r_{m-n} + r_{m-n} r_{n-l} + r_{n-l} r_{l-m}) \\
& - 4a_3 (r_l r_{m-n} + r_m r_{n-l} + r_n r_{l-m}) \\
& + \left( \frac{a_1}{10} + a_3 - 36a_4 - 6a_5 \right) (r_l r_m r_n + r_l r_{n-l} r_{l-m} + r_m r_{l-m} r_{m-n} + r_n r_{m-n} r_{n-l}) \\
& + \left( \frac{a_1}{30} + \frac{2}{3} a_3 - 12a_4 - 4a_5 \right) (r_l r_m r_{m-n} + r_m r_n r_{n-l} + r_n r_l r_{l-m} + r_l r_m r_{n-l} \\
& \quad + r_m r_n r_{l-m} + r_n r_l r_{m-n} + r_l r_{l-m} r_{m-n} + r_m r_{m-n} r_{n-l} + r_n r_{n-l} r_{l-m} + r_l r_{m-n} r_{n-l} \\
& \quad + r_m r_{n-l} r_{l-m} + r_n r_{l-m} r_{m-n}).
\end{aligned}$$

Since the sum of  $p$ -th root of unity is equal to zero, we have

$$\begin{aligned}
& \sum_{\substack{0 \leq l < p \\ 0 \leq m < p \\ 0 \leq n < p}} (r_l + r_m + r_n + r_{l-m} + r_{m-n} + r_{n-l}) = -12p^3, \\
& \sum_{\substack{0 \leq l < p \\ 0 \leq m < p \\ 0 \leq n < p}} (r_l r_m + r_m r_n + r_n r_l + r_l r_{l-m} + r_l r_{n-l} + r_m r_{m-n} + r_m r_{l-m} + r_n r_{n-l} + r_n r_{m-n} \\
& \quad + r_{l-m} r_{m-n} + r_{m-n} r_{n-l} + r_{n-l} r_{l-m}) = 48p^3, \\
& \sum_{\substack{0 \leq l < p \\ 0 \leq m < p \\ 0 \leq n < p}} (r_l r_{m-n} + r_m r_{n-l} + r_n r_{l-m}) = 12p^3, \\
& \sum_{\substack{0 \leq l < p \\ 0 \leq m < p \\ 0 \leq n < p}} (r_l r_m r_n + r_l r_{n-l} r_{l-m} + r_m r_{l-m} r_{m-n} + r_n r_{m-n} r_{n-l}) = -32p^3, \\
& \sum_{\substack{0 \leq l < p \\ 0 \leq m < p \\ 0 \leq n < p}} (r_l r_m r_{m-n} + r_m r_n r_{n-l} + r_n r_l r_{l-m} + r_l r_m r_{n-l} + r_m r_n r_{l-m} + r_n r_l r_{m-n} + r_l r_{l-m} r_{m-n} \\
& \quad + r_m r_{m-n} r_{n-l} + r_n r_{n-l} r_{l-m} + r_l r_{m-n} r_{n-l} + r_m r_{n-l} r_{l-m} + r_n r_{l-m} r_{m-n}) = -96p^3.
\end{aligned}$$

Therefore, we obtain

$$\begin{aligned}
& c_2(\Sigma_K^p) \\
& = \frac{1}{48p^2} \left( 4a_1 \cdot (-12p^3) + \left( -\frac{a_1}{3} + 4a_2 + 2a_3 \right) \cdot (48p^3) - 4a_3 \cdot (12p^3) \right. \\
& \quad \left. + \left( \frac{a_1}{10} + a_3 - 36a_4 - 6a_5 \right) \cdot (-32p^3) + \left( \frac{a_1}{30} + \frac{2}{3} a_3 - 12a_4 - 4a_5 \right) \cdot (-96p^3) \right) \\
& = \left( -\frac{22}{15} a_1 + 4a_2 - a_3 + 48a_4 + 12a_5 \right) p.
\end{aligned}$$

□

#### 4.4 Proof of Theorem 3.3

Let  $D(K_1, K_2, K_3)$  a knot in Section 3.2.

**Lemma 4.3.** [20]

$$\begin{aligned} \Lambda_{D(K_1, K_2, K_3)}(t_1, t_2, t_3, t_4) &= (-a_3 - 16a_1a_2 - 16a_1a_3 - 16a_2a_3 - 8b_3 - 12c_3)(u_{12} + u_{13} + u_{14} + u_{23} + u_{24} + u_{34}) \\ &\quad + 24c_3(u_{12}u_{34} + u_{13}u_{24} + u_{14}u_{23}) \\ &\quad + 8a_3^2(u_{12}^2 + u_{13}^2 + u_{14}^2 + u_{2,3}^2 + u_{24}^2 + u_{34}^2). \end{aligned}$$

where we denote  $u_{mn} = t_m t_n^{-1} + t_m^{-1} t_n - 2$ , and  $a_i, b_i, c_i$  are invariants of  $K_i$  defined in Section 3.2.

*Proof of Theorem 3.3.* We use (2), Lemma 4.1, and Lemma 4.3. In a similar way of the proof of Theorem 3.1, we obtain

$$\begin{aligned} c_2(\Sigma_{D(K_1, K_2, K_3)}^p) &= \frac{1}{48p^2} \sum_{\substack{0 \leq l < p \\ 0 \leq m < p \\ 0 \leq n < p}} \left( (-a_3 - 16a_1a_2 - 16a_1a_3 - 16a_2a_3 - 8b_3 - 12c_3) \right. \\ &\quad \times (r_l + r_m + r_n + r_{l-m} + r_{m-n} + r_{n-l}) \\ &\quad + 24c_3(r_l r_{m-n} + r_m r_{n-l} + r_n r_{l-m}) \\ &\quad \left. + 8a_3^2(r_l^2 + r_m^2 + r_n^2 + r_{l-m}^2 + r_{m-n}^2 + r_{n-l}^2) \right). \\ &= \frac{1}{48p^2} \left( (-a_3 - 16a_1a_2 - 16a_1a_3 - 16a_2a_3 - 8b_3 - 12c_3) \cdot (-12p^3) \right. \\ &\quad \left. + 24c_3 \cdot (12p^3) + 8a_3^2 \cdot (36p^3) \right). \\ &= \left( \frac{a_3}{4} + 4a_1a_2 + 4a_1a_3 + 4a_2a_3 + 6a_3^2 + 2b_3 + 9c_3 \right) p. \end{aligned}$$

□

## Appendix

### A The existence of knots whose values of 3-loop invariant cannot be reduced

In this section, we show that there exist infinitely many knots whose values of 3-loop invariant cannot be reduced.

We recall that for a knot  $K$  the 3-loop invariant is given by

$$\begin{aligned} \Lambda_K(t_1, t_2, t_3, t_4) &= \sum_{\substack{i \\ \tau \in \mathfrak{S}_4}} \frac{q_{i,1}(t_{\tau(1)}^{\text{sgn}\tau} t_{\tau(4)}^{-\text{sgn}\tau}) q_{i,2}(t_{\tau(2)}^{\text{sgn}\tau} t_{\tau(4)}^{-\text{sgn}\tau}) q_{i,3}(t_{\tau(3)}^{\text{sgn}\tau} t_{\tau(4)}^{-\text{sgn}\tau}) q_{i,4}(t_{\tau(2)}^{\text{sgn}\tau} t_{\tau(3)}^{-\text{sgn}\tau}) q_{i,5}(t_{\tau(3)}^{\text{sgn}\tau} t_{\tau(1)}^{-\text{sgn}\tau}) q_{i,6}(t_{\tau(1)}^{\text{sgn}\tau} t_{\tau(2)}^{-\text{sgn}\tau})}{\Delta_K(t_1 t_4^{-1}) \Delta_K(t_2 t_4^{-1}) \Delta_K(t_3 t_4^{-1}) \Delta_K(t_2 t_3^{-1}) \Delta_K(t_3 t_1^{-1}) \Delta_K(t_1 t_2^{-1})} \\ &+ \sum_{\substack{i \\ \tau \in \mathfrak{S}_4}} \frac{r_{i,1}(t_{\tau(1)}^{\text{sgn}\tau} t_{\tau(4)}^{-\text{sgn}\tau}) r_{i,2}(t_{\tau(2)}^{\text{sgn}\tau} t_{\tau(4)}^{-\text{sgn}\tau}) r_{i,3}(t_{\tau(3)}^{\text{sgn}\tau} t_{\tau(4)}^{-\text{sgn}\tau}) r_{i,5}(t_{\tau(3)}^{\text{sgn}\tau} t_{\tau(1)}^{-\text{sgn}\tau}) r_{i,6}(t_{\tau(1)}^{\text{sgn}\tau} t_{\tau(2)}^{-\text{sgn}\tau})}{\Delta_K(t_{\tau(1)} t_{\tau(4)}^{-1})^2 \Delta_K(t_{\tau(2)} t_{\tau(4)}^{-1}) \Delta_K(t_{\tau(3)} t_{\tau(4)}^{-1}) \Delta_K(t_{\tau(3)} t_{\tau(1)}^{-1}) \Delta_K(t_{\tau(1)} t_{\tau(2)}^{-1})}, \end{aligned}$$

where  $\hat{\Delta} = \Delta_K(t_1 t_4^{-1}) \Delta_K(t_2 t_4^{-1}) \Delta_K(t_3 t_4^{-1}) \Delta_K(t_2 t_3^{-1}) \Delta_K(t_3 t_1^{-1}) \Delta_K(t_1 t_2^{-1})$ . In general the 3-loop invariant belongs to  $\frac{1}{\hat{\Delta}^2} \cdot \mathbb{Q}[t_1^{\pm 1}, t_2^{\pm 1}, t_3^{\pm 1}, t_4^{\pm 1}] / (\mathfrak{S}_4, t_1 t_2 t_3 t_4 = 1)$ . We say that an element in  $\frac{1}{\hat{\Delta}^2} \cdot \mathbb{Q}[t_1^{\pm 1}, t_2^{\pm 1}, t_3^{\pm 1}, t_4^{\pm 1}] / (\mathfrak{S}_4, t_1 t_2 t_3 t_4 = 1)$  can be reduced if it belongs to  $\frac{1}{\hat{\Delta}} \cdot \mathbb{Q}[t_1^{\pm 1}, t_2^{\pm 1}, t_3^{\pm 1}, t_4^{\pm 1}] / (\mathfrak{S}_4, t_1 t_2 t_3 t_4 = 1)$ . There exist infinitely many knots whose values of 3-loop invariant can be reduced, for example, knots with trivial Alexander polynomial. On the other hand, so far we did not know the existence of knots whose values of 3-loop invariant cannot be reduced, namely,  $\Lambda_K(t_1, t_2, t_3, t_4) \notin \frac{1}{\hat{\Delta}} \cdot \mathbb{Q}[t_1^{\pm 1}, t_2^{\pm 1}, t_3^{\pm 1}, t_4^{\pm 1}] / (\mathfrak{S}_4, t_1 t_2 t_3 t_4 = 1)$ . So we did not know whether the space  $\frac{1}{\hat{\Delta}^2} \cdot \mathbb{Q}[t_1^{\pm 1}, t_2^{\pm 1}, t_3^{\pm 1}, t_4^{\pm 1}] / (\mathfrak{S}_4, t_1 t_2 t_3 t_4 = 1)$  is appropriate as a space which the 3-loop invariant of knots belongs to. But now, we found the following proposition.

**Proposition A.1.** *There exist infinitely many knots whose values of 3-loop invariant cannot be reduced.*

For the proof of Proposition 5.1, we briefly review the rational version of the Aarhus integral [1, 2, 3, 6, 13]. We also refer to Section 4 in [20], but we partially use some notations which are slightly different from those used in Section 4 in [20].

Let  $K$  be a 0-framed oriented knot. We can take its surgery presentation  $K_0 \cup L$  such that  $K_0$  is the unknot with 0 framing and  $L$  is a  $l$ -components framed link, and the linking number of  $K_0$  and each component of  $L$  is equal to 0. The pair  $(S^3, K)$  is obtained from the pair  $(S^3, K_0)$  by surgery along  $L$ . Next, we compute  $\chi^{-1} \check{Z}(K_0 \cup L) \in \mathcal{A}(*_{\{h\} \cup X})$ , where  $X$  is a finite set with  $|X| = l$ . The value  $\check{Z}(K_0 \cup L)$  is obtained from  $Z(K_0 \cup L)$  by connected-summing of  $\nu$  to each component labeled by an element of  $X$ , where we denote  $\nu = Z(\text{unknot})$ , and the mark  $h$  corresponds to  $K_0$  and each component in  $X$  to each component of  $L$ . Then, we denote

$$\begin{aligned} &\langle\langle \chi^{-1} \check{Z}(K_0 \cup L) \rangle\rangle^{(3)} \\ &:= \text{the 3-loop part of } \frac{\left\langle \exp \left( -\frac{1}{2} \sum_{x_i, x_j \in X} \overset{x_j}{\frown} \underset{l^{ij}(t)}{\smile} \overset{x_i}{\frown} \right), P(\chi^{-1} \check{Z}(K_0 \cup L)) \right\rangle}{N}, \end{aligned}$$

where

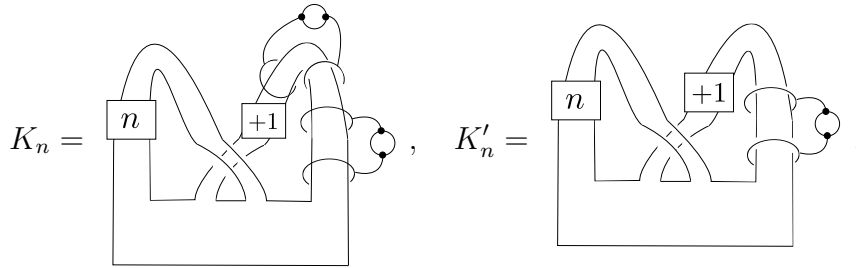
- $t = e^h$ ,
- $(l^{ij}(t))$  is the inverse matrix of an equivariant linking matrix  $(l_{ij}(t))$  of  $L \subset S^3 \setminus K_0$  which satisfies that  $l_{ji}(t) = l_{ij}(t^{-1})$ ,
- $P(\chi^{-1}\check{Z}(K_0 \cup L))$  is a sum of diagrams which have at least one trivalent vertex on each component,
- $N$  is the normalization term, see [6, 13].

Also,  $\langle \quad, \quad \rangle$  is defined by

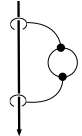
$$\langle C_1, C_2 \rangle = \left( \begin{array}{l} \text{sum of all ways gluing the } x\text{-marked univalent vertices of } C_1 \\ \text{to the } x\text{-marked univalent vertices of } C_2 \text{ for all } x \in X \end{array} \right).$$

Then, the 3-loop part of  $\chi^{-1}Z(K)$ , which we denote by  $\chi^{-1}Z(K)^{(3)}$ , is given by  $\langle\langle \chi^{-1}\check{Z}(K_0 \cup L) \rangle\rangle^{(3)}$ .

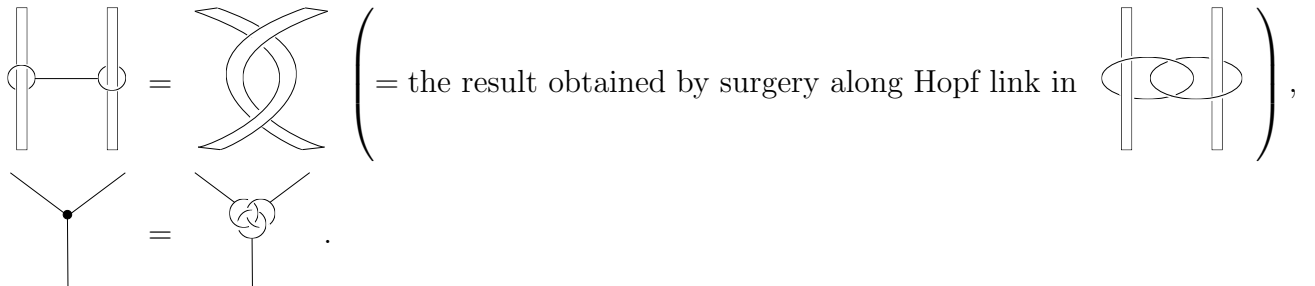
*Proof of Proposition 5.1.* Let  $n$  be a positive integer and consider the following knot  $K_n$  and  $K'_n$ ,



where “ $n$ -box” (resp. “ $+1$ -box”) represents  $n$ -full twist (resp.  $+1$ -full twist), and



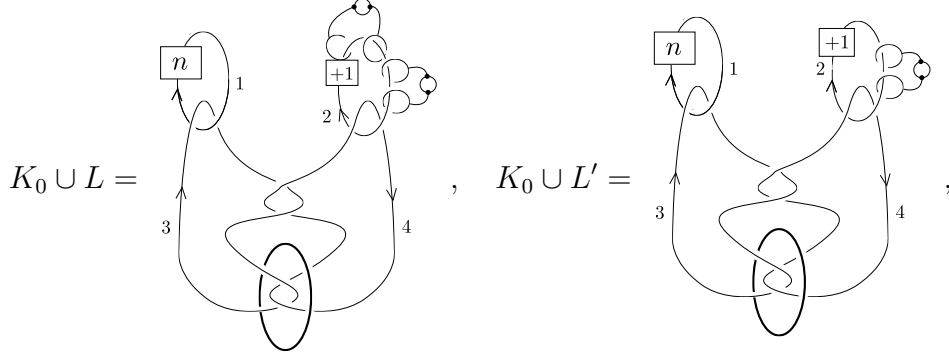
represents a clasper surgery, where we mean



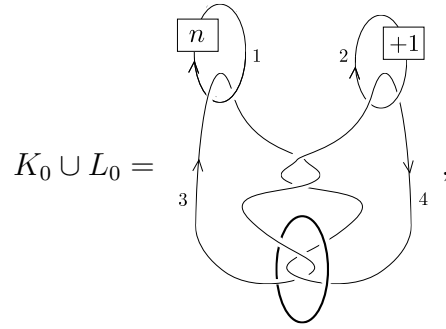
It is known that the the clasper surgery formula of the Kontsevich invariant is given as follows,

$$Z \left( \begin{array}{c} \text{clasper surgery diagram} \\ \downarrow \end{array} \right) = Z \left( \begin{array}{c} \text{two vertical strands} \\ \downarrow \end{array} \right) + \left( \begin{array}{c} \text{clasper surgery diagram} \\ \downarrow \end{array} \right) + D,$$

where  $D$  is an infinite sum of Jacobi diagrams with more than 2 trivalent vertices. For more details about claspers, see for example, [10]. It can be shown that  $K_n$  and  $K'_n$  has the following surgery presentations respectively,



where  $K_0$  is depicted by a thick line and  $L$  is depicted by thin lines. Namely,  $K_n$  can be obtained from  $K_0 \cup L$  by surgery along  $L$ . Also, we denote



noting that this is a surgery presentation of the twist knot, which we denote by  $T_n$ . We also note that an equivariant linking matrix of  $L, L', L_0 \subset S^3 \setminus K_0$  is given by

$$(l_{ij}(t)) = \begin{pmatrix} n & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & t-1 \\ 0 & 1 & t^{-1}-1 & 0 \end{pmatrix}.$$

Hence,

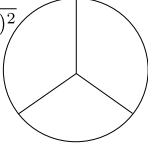
$$-\frac{1}{2}(l^{ij}(t)) = \frac{1}{2\Delta(t)} \begin{pmatrix} -(t+t^{-1}-2) & t-1 & -1 & -(t-1) \\ t^{-1}-1 & -n(t+t^{-1}-2) & -n(t^{-1}-1) & -1 \\ -1 & -n(t-1) & n & n(t-1) \\ -(t^{-1}-1) & -1 & n(t^{-1}-1) & 1 \end{pmatrix},$$

where  $\Delta(t) = 1 + n(t + t^{-1} - 2)$ . Since we consider the 3-loop part, we can ignore the terms with more than 4 trivalent vertices in the Kontsevich invariant of the surgery presentations. Thus, we have

$$\chi^{-1}Z(K_n)^{(3)} = \langle\langle \chi^{-1}\check{Z}(K_0 \cup L) \rangle\rangle^{(3)}$$

$$\begin{aligned}
&= \langle\langle \chi^{-1} \check{Z}(K_0 \cup L_0) \rangle\rangle^{(3)} + 2 \langle\langle \chi^{-1} \check{Z} \left( \begin{array}{c} \text{Diagram 1} \end{array} \right) \rangle\rangle^{(3)} \\
&+ 2 \langle\langle \chi^{-1} \check{Z} \left( \begin{array}{c} \text{Diagram 2} \end{array} \right) \rangle\rangle^{(3)} + \langle\langle \chi^{-1} \check{Z} \left( \begin{array}{c} \text{Diagram 3} \end{array} \right) \rangle\rangle^{(3)} \\
&+ 2 \langle\langle \chi^{-1} \check{Z} \left( \begin{array}{c} \text{Diagram 4} \end{array} \right) \rangle\rangle^{(3)} + \langle\langle \chi^{-1} \check{Z} \left( \begin{array}{c} \text{Diagram 5} \end{array} \right) \rangle\rangle^{(3)} \\
&= 2\chi^{-1} Z(K'_n)^{(3)} - \chi^{-1} Z(T_n)^{(3)} + 16 \left( \begin{array}{c} \text{Diagram 6} \end{array} \right) \\
&= 2\chi^{-1} Z(K'_n)^{(3)} - \chi^{-1} Z(T_n)^{(3)} + 32 \left( \begin{array}{c} \text{Diagram 7} \end{array} \right) \\
&= 2\chi^{-1} Z(K'_n)^{(3)} - \chi^{-1} Z(T_n)^{(3)} + 8 \left( \begin{array}{c} \text{Diagram 8} \end{array} \right) + 8 \left( \begin{array}{c} \text{Diagram 9} \end{array} \right).
\end{aligned}$$

Thus, we can say that the 3-loop polynomial of at least one of  $K_n$ ,  $K'_n$  and  $T_n$  cannot be reduced. Indeed, if the values of 3-loop polynomial of all of those three knots can be

reduced,  corresponds to a polynomial which can be reduced, namely it

holds that

$$4 \left( \frac{1}{\Delta(t_1 t_4^{-1})^2} + \frac{1}{\Delta(t_2 t_4^{-1})^2} + \frac{1}{\Delta(t_3 t_4^{-1})^2} + \frac{1}{\Delta(t_2 t_3^{-1})^2} + \frac{1}{\Delta(t_3 t_1^{-1})^2} + \frac{1}{\Delta(t_1 t_2^{-1})^2} \right) \\ = \frac{s(t_1, t_2, t_3, t_4)}{\Delta(t_1 t_4^{-1}) \Delta(t_2 t_4^{-1}) \Delta(t_3 t_4^{-1}) \Delta(t_2 t_3^{-1}) \Delta(t_3 t_1^{-1}) \Delta(t_1 t_2^{-1})},$$

for some polynomial  $s(t_1, t_2, t_3, t_4)$ . Hence, we have

$$\Delta(t_2 t_4^{-1})^2 \Delta(t_3 t_4^{-1})^2 \cdots \Delta(t_1 t_2^{-1})^2 + \Delta(t_1 t_4^{-1})^2 \Delta(t_3 t_4^{-1})^2 \cdots \Delta(t_1 t_2^{-1})^2 + \cdots \\ \cdots + \Delta(t_1 t_4^{-1})^2 \Delta(t_2 t_4^{-1})^2 \cdots \Delta(t_3 t_1^{-1})^2 \\ = \Delta(t_1 t_4^{-1}) \Delta(t_2 t_4^{-1}) \Delta(t_3 t_4^{-1}) \Delta(t_2 t_3^{-1}) \Delta(t_3 t_1^{-1}) \Delta(t_1 t_2^{-1}) \cdot \frac{1}{4} s(t_1, t_2, t_3, t_4), \quad (4)$$

However, when we substitute  $t_1 = 1, t_2 = \frac{1}{2n}, t_3 = 2n-1-\sqrt{-4n+1}, t_4 = \frac{2n-1+\sqrt{-4n+1}}{2n}$ , the right hand side of (4) is equal to 0, but the left hand side is not.  $\square$

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