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**Lubin-Tate representations over nontrivial finite Galois  
extensions of  $\mathbb{Q}_p$  are not Aut-intrinsically Hodge-Tate**

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# LUBIN-TATE REPRESENTATIONS OVER NONTRIVIAL FINITE GALOIS EXTENSIONS OF $\mathbb{Q}_p$ ARE NOT AUT-INTRINSICALLY HODGE-TATE

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ABSTRACT. In the present paper, we show that, for an odd prime number  $p$  and a nontrivial finite Galois extension  $k$  of  $\mathbb{Q}_p$ , the  $p$ -adic representation of the absolute Galois group of  $k$  determined by a Lubin-Tate formal group over the ring of integers of  $k$  is not Aut-intrinsically Hodge-Tate [in the sense of Hoshi]. This settles the odd-degree cases left open in the previous works of Hoshi and the author and, together with the known even-degree case, completes the picture for finite Galois extensions of  $\mathbb{Q}_p$  in the case where  $p$  is odd. This exhibits a sharp contrast, from the viewpoint of anabelian geometry, between the  $p$ -adic cyclotomic character and other  $p$ -adic Lubin-Tate characters.

## INTRODUCTION

Let  $p$  be a prime number,  $k$  a finite extension of  $\mathbb{Q}_p$ , and  $\bar{k}$  an algebraic closure of  $k$ . We write  $G_k \stackrel{\text{def}}{=} \text{Gal}(\bar{k}/k)$  for the absolute Galois group of  $k$  determined by the algebraic closure  $\bar{k}$ . In anabelian geometry, it is natural to discuss conditions for a continuous automorphism of  $G_k$  to be induced by a field automorphism of  $k$  [cf., e.g., [2], [7]]. The following theorem studies such conditions from the perspective of  $p$ -adic Hodge theory:

**Theorem A** ([2], Corollary 3.4). *Let  $\alpha: G_k \xrightarrow{\sim} G_k$  be a continuous automorphism of  $G_k$ . Then the following conditions are equivalent:*

- (1) *The automorphism  $\alpha$  is induced by a field automorphism of  $k$ .*
- (2) *For every finite-dimensional continuous representation  $\rho: G_k \rightarrow \text{GL}_n(\mathbb{Q}_p)$  of  $G_k$  that is Hodge-Tate, the composite  $G_k \xrightarrow{\alpha} G_k \xrightarrow{\rho} \text{GL}_n(\mathbb{Q}_p)$  is Hodge-Tate.*
- (3) *The automorphism  $\alpha$  is HT-qLT-type [cf. [2], Definition 1.3, (ii)].*

It follows from this theorem and [2], Definition 1.3, (ii), that Lubin-Tate characters play an important role in the study of the *geometricity* [i.e., the condition to be induced by a field automorphism] of continuous automorphisms of  $G_k$ .

Moreover, motivated by this theorem, Hoshi defined the following notion for continuous  $p$ -adic representations.

**Definition** ([4], Definition 1.3). Let  $V$  be a  $\mathbb{Q}_p$ -vector space of finite dimension and  $\rho: G_k \rightarrow \text{Aut}_{\mathbb{Q}_p}(V)$  a continuous representation. Then we shall say that  $\rho$  is *Aut-intrinsically Hodge-Tate* if, for an arbitrary continuous automorphism  $\alpha$  of  $G_k$ , the composite  $\rho \circ \alpha: G_k \rightarrow \text{Aut}_{\mathbb{Q}_p}(V)$  is Hodge-Tate.

Let  $\pi \in \mathcal{O}_k$  be a uniformizer of the ring of integers  $\mathcal{O}_k$  of  $k$ . In the remainder of the present introduction, we write  $\rho_{k,\pi}: G_k \rightarrow \text{Aut}_{\mathbb{Q}_p}(k_+)$  for the continuous  $p$ -adic representation obtained by forming the composite

$$G_k \xrightarrow{\chi_{k,\pi}} \mathcal{O}_k^\times \hookrightarrow \text{Aut}_{\mathbb{Q}_p}(k_+),$$

where the first arrow is the Lubin-Tate character  $\chi_{k,\pi}: G_k \rightarrow \mathcal{O}_k^\times$  [i.e., the continuous character determined by a Lubin-Tate formal group law over  $\mathcal{O}_k$  associated to  $\pi$ ], and the second arrow is the natural inclusion.

It is natural to study which representations are Aut-intrinsically Hodge-Tate. The following theorem is a typical example motivated by this question:

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**Theorem B** ([4], Theorem 3.3; [6], Theorem 1.9; [9], Theorem 4.4). *Let  $\rho: G_k \rightarrow \text{Aut}_{\mathbb{Q}_p}(V)$  be a continuous  $p$ -adic representation. Then the following assertions hold:*

- (1) *Suppose either that  $\rho$  is one-dimensional or that  $\rho$  is two-dimensional and reducible. Then  $\rho$  is Hodge-Tate if and only if  $\rho$  is Aut-intrinsically Hodge-Tate.*
- (2) *Suppose that  $p$  is an odd prime number and that  $k/\mathbb{Q}_p$  is a finite Galois extension of even degree. Let  $\pi \in \mathcal{O}_k$  be a uniformizer of  $\mathcal{O}_k$ . If  $\rho$  is isomorphic to the continuous  $p$ -adic representation  $\rho_{k,\pi}$  of  $G_k$ , then  $\rho$  is not Aut-intrinsically Hodge-Tate.*
- (3) *Suppose that  $p = 2$  and that  $k$  contains a primitive 4-th root of unity. Suppose, moreover, that  $k/\mathbb{Q}_p$  is an abelian extension. Let  $\pi \in \mathcal{O}_k$  be a uniformizer of  $\mathcal{O}_k$ . If  $\rho$  is isomorphic to the continuous  $p$ -adic representation  $\rho_{k,\pi}$  of  $G_k$ , then  $\rho$  is not Aut-intrinsically Hodge-Tate.*

**Remark.** *We give some remarks on Theorem B.*

- (1) *In the proof of Theorem B, (1), it is essential that the  $p$ -adic cyclotomic character can be reconstructed from  $G_k$  in a group-theoretic manner. This is a significant difference between the  $p$ -adic cyclotomic character and other Lubin-Tate characters.*
- (2) *In [4], [6], and [9], Theorem B, (2) is not stated explicitly. However, each of the authors essentially established Theorem B, (2), in [4], [6], and [9]. Theorem B, (2) was first established in [4] for the case where  $k/\mathbb{Q}_p$  is an abelian extension of even degree. In [4], by making use of the assumption that the extension is of even degree and abelian, the argument was reduced to the case where  $k/\mathbb{Q}_p$  is a quadratic extension.*
- (3) *In [4], [6], and [9], each of the authors constructed an explicit continuous automorphism  $\varphi$  of  $G_k$  such that  $\rho_{k,\pi} \circ \varphi$  is not Hodge-Tate in terms of generators and relations established by Jannsen-Wingberg [cf. [8], Theorem 7.5.14].*

In the present paper, we show the following theorem, which is a generalization of Theorem B, (2), above:

**Theorem C.** *Suppose that  $p$  is an odd prime number and that  $k/\mathbb{Q}_p$  is a nontrivial finite Galois extension. Let  $\pi \in \mathcal{O}_k$  be a uniformizer of  $\mathcal{O}_k$ . Then the continuous  $p$ -adic representation  $\rho_{k,\pi}: G_k \rightarrow \text{Aut}_{\mathbb{Q}_p}(k_+)$  is not Aut-intrinsically Hodge-Tate.*

**Remark.** *We give some remarks on Theorem C.*

- (1) *Here, we note that the novelty of Theorem C lies in the odd-degree cases. Thus, in the proof of Theorem C, we assume that  $[k:\mathbb{Q}_p]$  is odd. However, the proof of Theorem C in the present paper can also be applied to the case in which  $k/\mathbb{Q}_p$  is a finite Galois extension of even degree. From that point of view, the proof of Theorem C in the present paper is more uniform than the proofs of Theorem B, (2), in [4] and [6].*
- (2) *In the proof of Theorem C, we do not give an explicit continuous automorphism  $\varphi$  of  $G_k$  such that  $\rho_{k,\pi} \circ \varphi$  is not Hodge-Tate. Thus, in the case where  $k/\mathbb{Q}_p$  is of even degree, these two methods have both advantages and disadvantages.*

At the end of Introduction, we describe the outline of the proof of Theorem C. Let  $\alpha$  be a continuous automorphism of  $G_k$ . We write  $\alpha_+$  for the automorphism of the  $\mathbb{Q}_p$ -vector space  $k_+$  [obtained by taking the underlying  $\mathbb{Q}_p$ -vector space of  $k$ ] induced by mono-anabelian reconstruction algorithms [cf. [3], Proposition 3.10, (vi); [3], Proposition 3.11, (iv); [5], Lemma 1.2]. Under the above notation and definition, we show that if  $\rho_{k,\pi} \circ \alpha$  is Hodge-Tate, then  $\alpha_+ \in \mathbb{Q}_p[\text{Gal}(k/\mathbb{Q}_p)]$ . Then we obtain Theorem C by combining this observation with the theory of mapping class groups and the theory of  $p$ -adic Lie groups.

#### NOTATIONAL CONVENTIONS

**Topological groups.** Let  $G$  be a topological group and  $\alpha$  a continuous automorphism of the topological group  $G$ . Then we shall write  $G^{\text{ab}}$  for the *abelianization* of  $G$  [i.e., the quotient of  $G$  by the closure of the commutator subgroup of  $G$ ] and  $\alpha^{\text{ab}}$  for the continuous automorphism of the topological group  $G^{\text{ab}}$  induced by  $\alpha$  via the functoriality of abelianization.

**Rings.** In the present paper, every “ring” is assumed to be unital, associative, and commutative. If  $R$  is a ring, then we shall write  $R_+$  for the underlying additive group of  $R$  and  $R^\times \subset R$  for the multiplicative group of units of  $R$ .

**Mixed-characteristic local fields.** We shall refer to a field isomorphic to a finite extension of  $\mathbb{Q}_p$ , for some prime number  $p$ , as an *MLF*. Here, “MLF” is to be understood as an abbreviation for “mixed-characteristic local field”. Let  $k$  be an MLF and  $\bar{k}$  an algebraic closure of  $k$ . Then we shall write

- $\mathcal{O}_k$  for the ring of integers of  $k$ ,
- $\mathfrak{m}_k \subset \mathcal{O}_k$  for the maximal ideal of  $\mathcal{O}_k$ ,
- $\underline{k} \stackrel{\text{def}}{=} \mathcal{O}_k/\mathfrak{m}_k$  for the residue field of  $\mathcal{O}_k$ ,
- $k^{(d=1)} \subset k$  for the [uniquely determined] minimal MLF contained in  $k$ ,
- $p_k$  for the residue characteristic of  $k$ ,
- $d_k \stackrel{\text{def}}{=} [k: k^{(d=1)}]$  for the degree of the finite extension  $k/k^{(d=1)}$ ,
- $f_k \stackrel{\text{def}}{=} [\underline{k}: \underline{k}^{(d=1)}]$  for the degree of the finite extension  $\underline{k}/\underline{k}^{(d=1)}$  [where we write  $\underline{k}^{(d=1)}$  for the residue field of the ring of integers of the MLF  $k^{(d=1)}$ ],
- $G_k \stackrel{\text{def}}{=} \text{Gal}(\bar{k}/k)$  for the absolute Galois group of  $k$  determined by the algebraic closure  $\bar{k}$ ,
- $I_k \subset G_k$  for the inertia subgroup of  $G_k$ ,
- $P_k \subset I_k$  for the wild inertia subgroup of  $G_k$ ,
- $\log_k: \mathcal{O}_k^\times \rightarrow k_+$  for the  $p_k$ -adic logarithm,
- $\widehat{k^\times}$  for the profinite completion of the multiplicative group  $k^\times$  of  $k$ , and
- $\text{rec}_k: \widehat{k^\times} \xrightarrow{\sim} G_k^{\text{ab}}$  for the isomorphism induced by the reciprocity homomorphism  $k^\times \hookrightarrow G_k^{\text{ab}}$  in local class field theory.

**Groups of MLF-type.** We shall refer to a topological group isomorphic to the absolute Galois group of an MLF as a *group of MLF-type*.

Let us recall [cf. [3], Definition 3.5; [3], Proposition 3.6; [3], Definition 3.10; [3], Proposition 3.11] that there exist functorial group-theoretic algorithms for constructing, from a group of MLF-type  $G$ ,

- a prime number  $p(G)$ ,
- positive integers  $d(G), f(G)$ ,
- subgroups  $P(G) \subset I(G) \subset G$  of  $G$ ,
- subgroups  $\mathcal{O}^\times(G) \subset k^\times(G) \subset G^{\text{ab}}$ , whose final inclusion  $k^\times(G) \subset G^{\text{ab}}$  we denote by  $\text{rec}_G$ , and
- a topological group  $k_+(G)$

which “correspond” to

- the prime number  $p_k$ ,
- the positive integers  $d_k, f_k$ ,
- the subgroups  $P_k \subset I_k \subset G_k$  of  $G_k$ ,
- the subgroups  $\mathcal{O}_k^\times \subset k^\times \xrightarrow{\text{rec}_k} G_k^{\text{ab}}$ , and
- the topological group  $k_+$ ,

respectively.

Moreover, it follows from [3], Proposition 3.11, (i), (iv), that we have natural homomorphisms

$$\text{Aut}(G) \rightarrow \text{Aut}(k^\times(G)), \quad \text{Aut}(G) \rightarrow \text{Aut}(k_+(G)).$$

Since the automorphism of  $G^{\text{ab}}$  induced by an inner automorphism of  $G$  is trivial, it follows from the constructions of  $k^\times(G)$  and  $k_+(G)$  that the automorphisms of  $k^\times(G)$  and  $k_+(G)$  induced by an inner automorphism of  $G$  are trivial. Thus, the above two homomorphisms determine group homomorphisms

$$\text{Out}(G) \rightarrow \text{Aut}(k^\times(G)), \quad \text{Out}(G) \rightarrow \text{Aut}(k_+(G)).$$

Let  $\alpha$  be an element of  $\text{Out}(G)$ . We write  $\alpha^\times$  [respectively,  $\alpha_+$ ] for the image of  $\alpha$  by this homomorphism  $\text{Out}(G) \rightarrow \text{Aut}(k^\times(G))$  [respectively,  $\text{Out}(G) \rightarrow \text{Aut}(k_+(G))$ ]. In the present paper,

we call  $\alpha^\times$  and  $\alpha_+$  the automorphisms induced from  $\alpha$  by the mono-abelian reconstruction algorithms.

Let  $k$  be an MLF,  $\bar{k}$  an algebraic closure of  $k$ , and  $\alpha$  an element of  $\text{Out}(G_k)$ . By abuse of notation, we shall denote by  $\alpha_+ : k_+ \xrightarrow{\sim} k_+$ ,  $\alpha^\times : k^\times \xrightarrow{\sim} k^\times$  the respective images of  $\alpha_+ : k_+(G_k) \xrightarrow{\sim} k_+(G_k)$ ,  $\alpha^\times : k^\times(G_k) \xrightarrow{\sim} k^\times(G_k)$  by the isomorphisms  $\text{Aut}(k_+(G_k)) \xrightarrow{\sim} \text{Aut}(k_+)$ ,  $\text{Aut}(k^\times(G_k)) \xrightarrow{\sim} \text{Aut}(k^\times)$  induced by the isomorphisms  $k_+ \xrightarrow{\sim} k_+(G_k)$ ,  $k^\times \xrightarrow{\sim} k^\times(G_k)$  of [3], Proposition 3.11, (i), (iv).

#### PROOF OF THE MAIN THEOREM

Let  $k$  be an MLF,  $\bar{k}$  an algebraic closure of  $k$ , and  $G$  a group of MLF-type. We begin by giving an overview of the remainder of the present paper. First, we recall various notions introduced in [6] for the convenience of the reader. Next, we review the classification of abelian Hodge-Tate representations and prove a key lemma. Finally, we prove the main theorem of the present paper by combining this lemma with certain ‘‘mapping class group and  $p$ -adic Lie group techniques’’ developed in [6].

**Theorem 1.** *Suppose that  $p(G)$  is an odd prime number. Then there exist  $\sigma, \tau, x_0, \dots, x_{d(G)} \in G$ , positive integers  $s, t$ , an element  $x'_0 \in \langle \tau, x_0 \rangle$ , and an element  $x'_1 \in \langle \sigma, \tau, x_1 \rangle$ , where ‘‘ $S$ ’’ denotes the closed subgroup of  $G$  topologically generated by ‘‘ $S$ ’’, such that the following conditions hold:*

- (1) *The profinite group  $G$  is presented as the profinite group topologically generated by  $\sigma, \tau, x_0, \dots, x_{d(G)} \in G$  and subject to the relations described in the conditions (2), (3), and (4) below.*
- (2) *The closed normal subgroup  $P(G)$  of  $G$  is pro- $p(G)$  and topologically normally generated by  $x_0, \dots, x_{d(G)}$ .*
- (3) *The elements  $\sigma, \tau$  satisfy the relation  $\sigma\tau\sigma^{-1} = \tau^{p(G)^{f(G)}}$ .*
- (4) *In addition, the generators satisfy one further relation:*
  - (a) *for even  $d(G)$ ,*

$$\sigma x_0 \sigma^{-1} = (x'_0)^t x_1^{p(G)^s} [x_1, x_2][x_3, x_4] \cdots [x_{d(G)-1}, x_{d(G)}];$$

- (b) *for odd  $d(G)$ ,*

$$\sigma x_0 \sigma^{-1} = (x'_0)^t x_1^{p(G)^s} [x_1, x'_1][x_2, x_3] \cdots [x_{d(G)-1}, x_{d(G)}].$$

*Proof.* This assertion follows from [8], Theorem 7.5.14, together with [3], Proposition 3.6.  $\square$

In the remainder of the present paper, we apply the notational conventions introduced in the statement of Theorem 1 in each of the situations in which  $p(G)$  is assumed to be odd. Moreover, if  $p(G)$  is odd, then, for each  $i = 1, 2, \dots, d(G)$ , write  $y_i \in k_+(G)$  for the image of  $x_i$  in  $k_+(G)$  by the composite  $P(G) \hookrightarrow I(G) \rightarrow \mathcal{O}^\times(G) \rightarrow k_+(G)$  [cf. conditions (1), (2) of Theorem 1; [3], Definition 3.10, (i), (ii), (v)].

We recall that the topological group  $k_+(G)$  has a natural structure of a  $\mathbb{Q}_{p(G)}$ -vector space of dimension  $d(G)$  [cf. [5], Lemma 1.2] and that, for any continuous automorphism  $\alpha$  of  $G$ , the induced automorphism  $\alpha_+$  of  $k_+(G)$  is an automorphism of  $\mathbb{Q}_{p(G)}$ -vector spaces.

**Lemma 2.** *Suppose that  $d(G) > 1$  and  $p(G)$  is odd. Then the  $d(G)$  elements  $y_1, \dots, y_{d(G)}$  defined in the discussion following Theorem 1 form a basis of the  $\mathbb{Q}_{p(G)}$ -vector space  $k_+(G)$ .*

*Proof.* This assertion is none other than [5], Lemma 1.3.  $\square$

One verifies easily that the isomorphism of topological groups  $k_+(G_k) \xrightarrow{\sim} k_+$  of [3], Proposition 3.11, (iv), is also an isomorphism of  $\mathbb{Q}_{p_k}$ -vector spaces [here, we have  $p_k = p(G_k)$  — cf. [3], Proposition 3.6]. By abuse of notation, if  $d_k > 1$  and  $p_k$  is odd, then, for each integer  $i$  satisfying  $1 \leq i \leq d_k$ , we write  $y_i \in k_+$  for the image of  $y_i \in k_+(G_k)$  by the isomorphism  $k_+(G_k) \xrightarrow{\sim} k_+$  of [3], Proposition 3.11, (iv). In the remainder of the present paper, if  $d(G)$  [resp.  $d_k$ ] is greater than one, and  $p(G)$  [resp.  $p_k$ ] is odd, then we equip  $k_+(G)$  [resp.  $k_+$ ] with this basis, which allows us to identify  $\text{Aut}_{\mathbb{Q}_{p(G)}}(k_+(G))$  [resp.  $\text{Aut}_{\mathbb{Q}_{p_k}}(k_+)$ ] with  $\text{GL}_{d(G)}(\mathbb{Q}_{p(G)})$  [resp.  $\text{GL}_{d_k}(\mathbb{Q}_{p_k})$ ].

We equip  $\mathrm{GL}_{d(G)}(\mathbb{Q}_{p(G)})$  [resp.  $\mathrm{GL}_{d_k}(\mathbb{Q}_{p_k})$ ] with the natural  $p(G)$ -adic [resp.  $p_k$ -adic] Lie group structure.

Next, we review the profinite group structure of  $\mathrm{Out}(G)$ . It follows from [8], Theorem 7.4.1, and [10], Proposition 4.4.3, that  $\mathrm{Out}(G)$  has a natural profinite group structure. In the remainder of the present paper, we endow  $\mathrm{Out}(G)$  with this profinite group structure.

**Lemma 3.** *The action  $\mathrm{Out}(G) \curvearrowright k_+(G)$  which is defined via the mono-anabelian reconstruction algorithm is continuous. In particular, the induced map*

$$\Phi: \mathrm{Out}(G) \rightarrow \mathrm{Aut}_{\mathbb{Q}_{p(G)}}(k_+(G)) \xrightarrow{\sim} \mathrm{GL}_{d(G)}(\mathbb{Q}_{p(G)})$$

*is continuous and closed.*

*Proof.* This assertion is none other than [6], Lemma 2.13.  $\square$

**Lemma 4.** *The image of the homomorphism  $\Phi$  of Lemma 3 has a natural  $p(G)$ -adic Lie group structure.*

*Proof.* This assertion follows immediately from Lemma 3, together with [1], Theorem 9.6.  $\square$

In the remainder of the present paper, we assume that  $p_k$  [resp.  $p(G)$ ] is an odd prime number and that  $d_k$  [resp.  $d(G)$ ] is an odd integer greater than one.

Next, we review the subgroup of  $\mathrm{Out}(G)$  that corresponds to a ‘‘mapping class group’’ introduced in the discussion following [6], Lemma 2.15.

Let  $g \stackrel{\mathrm{def}}{=} \frac{d(G)-1}{2}$ ,  $S$  a closed orientable surface of genus  $g$  ( $\geq 1$ ), and  $P$  a point on  $S$ . We write  $\mathrm{Mod}(S \setminus \{P\})$  for the mapping class group of  $S \setminus \{P\}$ .

In the remainder of the present paper, we regard  $\mathrm{Sp}_{2g}(\mathbb{Z}_{p(G)})$  as a subgroup of  $\mathrm{GL}_{d(G)}(\mathbb{Q}_{p(G)})$  via the injective group homomorphism that is defined by

$$A \mapsto \begin{bmatrix} 1 & 0_{1 \times 2g} \\ 0_{2g \times 1} & A \end{bmatrix},$$

where  $0_{1 \times 2g}$  [respectively,  $0_{2g \times 1}$ ] denotes the  $1 \times 2g$  matrix [respectively, the  $2g \times 1$  matrix] whose entries are all 0. Then there exists [cf. the discussion following [6], Lemma 2.15] a map

$$\rho: \mathrm{Mod}(S \setminus \{P\}) \rightarrow \mathrm{Out}(G),$$

which is not necessarily a homomorphism of groups, such that the following diagram is commutative:

$$\begin{array}{ccc} \mathrm{Out}(G) & \xrightarrow{\Phi} & \mathrm{GL}_{d(G)}(\mathbb{Q}_{p(G)}) \\ \rho \uparrow & & \uparrow \subset \\ \mathrm{Mod}(S \setminus \{P\}) & \longrightarrow & \mathrm{Sp}_{2g}(\mathbb{Z}). \end{array}$$

Here, the lower horizontal arrow is the surjective homomorphism discussed in [6], Theorem 2.17. Write  $D \subset \mathrm{Out}(G)$  for the closed subgroup of  $\mathrm{Out}(G)$  that is topologically generated by the image of  $\rho$ .

In what follows, for a  $p(G)$ -adic [resp.  $p_k$ -adic] Lie group  $X$ , we write  $\dim(X)$  for the dimension of  $X$  as such a Lie group.

**Lemma 5.** *The image of the homomorphism  $\Phi: \mathrm{Out}(G) \rightarrow \mathrm{GL}_{d(G)}(\mathbb{Q}_{p(G)})$  of Lemma 3 contains  $\mathrm{Sp}_{2g}(\mathbb{Z}_{p(G)})$ . In particular, we have  $\dim(\mathrm{Im}(\Phi)) \geq 2g^2 + g$ .*

*Proof.* It follows immediately from Lemma 3, the commutative diagram above, and the fact that the topological closure of  $\mathrm{Sp}_{2g}(\mathbb{Z})$  in  $\mathrm{GL}_{d(G)}(\mathbb{Q}_{p(G)})$  is  $\mathrm{Sp}_{2g}(\mathbb{Z}_{p(G)}) \subset \mathrm{GL}_{d(G)}(\mathbb{Q}_{p(G)})$  that  $\Phi(D) \supset \mathrm{Sp}_{2g}(\mathbb{Z}_{p(G)})$ . This completes the proof of the first assertion. The second assertion follows immediately from the first assertion, together with the well-known equality  $\dim(\mathrm{Sp}_{2g}(\mathbb{Z}_{p(G)})) = 2g^2 + g$ .  $\square$

Next, we review a classification theorem of abelian Hodge-Tate representations.

**Definition 6.** We shall say that the MLF  $k$  is an *absolutely Galois* MLF if the extension  $k/k^{(d=1)}$  is a Galois extension.

**Definition 7.** Suppose that  $k$  is an absolutely Galois MLF. Let  $\pi \in \mathcal{O}_k$  be a uniformizer of  $\mathcal{O}_k$  and  $\sigma$  an element of  $\text{Gal}(k/k^{(d=1)})$ . Then we shall write

$$\chi_{\pi,\sigma}: G_k^{\text{ab}} \xrightarrow{\text{rec}_k^{-1}} \widehat{k^\times} \rightarrow \mathcal{O}_k^\times \xrightarrow{\sigma} \mathcal{O}_k^\times,$$

where the second arrow is the projection determined by  $\pi$ .

**Theorem 8.** *Suppose that  $k$  is an absolutely Galois MLF. Let  $\pi \in \mathcal{O}_k$  be a uniformizer of  $\mathcal{O}_k$  and  $\phi: G_k^{\text{ab}} \rightarrow \mathcal{O}_k^\times$  a continuous homomorphism. Then the following two conditions are equivalent:*

- (1) *The continuous representation obtained by forming the composite*

$$G_k \rightarrow G_k^{\text{ab}} \xrightarrow{\phi} \mathcal{O}_k^\times \hookrightarrow \text{Aut}_{\mathbb{Q}_{p_k}}(k_+)$$

— *where the first arrow is the natural surjective continuous homomorphism, and the third arrow is the natural inclusion — is Hodge-Tate.*

- (2) *There exist an integer  $i_\sigma$  for each  $\sigma \in \text{Gal}(k/k^{(d=1)})$  and an open subgroup  $J \subset I_k$  such that*

- *the restriction to  $J$  of the composite of the natural surjective continuous homomorphism  $G_k \rightarrow G_k^{\text{ab}}$  and the given homomorphism  $\phi: G_k^{\text{ab}} \rightarrow \mathcal{O}_k^\times$  coincides with*
- *the restriction to  $J$  of the composite of the natural surjective continuous homomorphism  $G_k \rightarrow G_k^{\text{ab}}$  and the homomorphism*

$$\prod_{\sigma \in \text{Gal}(k/k^{(d=1)})} \chi_{\pi,\sigma}^{i_\sigma}: G_k^{\text{ab}} \rightarrow \mathcal{O}_k^\times.$$

*Proof.* This assertion is none other than [4], Lemma 1.8. □

**Definition 9.** Let  $\pi \in \mathcal{O}_k$  be a uniformizer of  $\mathcal{O}_k$ . Then we write  $\rho_{k,\pi}: G_k \rightarrow \text{Aut}_{\mathbb{Q}_{p_k}}(k_+)$  for the continuous  $p_k$ -adic representation of  $G_k$  obtained by forming the composite

$$G_k \rightarrow G_k^{\text{ab}} \xrightarrow{\chi_{\pi,\text{id}_k}} \mathcal{O}_k^\times \hookrightarrow \text{Aut}_{\mathbb{Q}_{p_k}}(k_+),$$

where the first arrow is the natural surjective continuous homomorphism, and the third arrow is the natural inclusion.

**Remark 10.** It follows from [11], III, §A.4, Proposition 4, that  $\rho_{k,\pi}$  is isomorphic to the continuous  $p_k$ -adic representation determined by a Lubin-Tate character [i.e., a continuous character determined by a Lubin-Tate formal group over  $\mathcal{O}_k$ ].

**Remark 11.** It follows from [11], III, §A.1, Corollary 2, that the Hodge-Tate-ness of continuous  $p_k$ -adic representations of  $G_k$  is independent of the choice of representatives of an inertial equivalence class [cf., e.g., [2], Definition 1.2, (i)]. Moreover, one verifies easily that the inertial equivalence class of  $\chi_{\pi,\text{id}_k}$  is independent of the choice of a uniformizer of  $\mathcal{O}_k$ . Thus, the choice of a uniformizer of  $\mathcal{O}_k$  is inessential for the discussion that follows.

The following proposition is one of the key ingredients of the present paper:

**Proposition 12.** *Suppose that  $k$  is an absolutely Galois MLF. Let  $\pi \in \mathcal{O}_k$  be a uniformizer of  $\mathcal{O}_k$  and  $\alpha$  a continuous automorphism of  $G_k$ . If  $\rho_{k,\pi} \circ \alpha$  is Hodge-Tate, then there exists an integer  $i_\sigma$  for each  $\sigma \in \text{Gal}(k/k^{(d=1)})$  such that*

$$\alpha_+ = \sum_{\sigma \in \text{Gal}(k/k^{(d=1)})} i_\sigma \cdot \sigma.$$

*In particular, if  $\rho_{k,\pi} \circ \alpha$  is Hodge-Tate, then  $\alpha_+ \in \mathbb{Q}_{p_k}[\text{Gal}(k/k^{(d=1)})] \cap \text{Aut}_{\mathbb{Q}_{p_k}}(k_+) \subset \text{End}_{\mathbb{Q}_{p_k}}(k_+)$ .*

*Proof.* Suppose that  $\rho_{k,\pi} \circ \alpha$  is Hodge-Tate. Then it follows from Theorem 8 that there exist an integer  $i_\sigma$  for each  $\sigma \in \text{Gal}(k/k^{(d=1)})$  and an open subgroup  $J \subset I_k$  such that

- *the restriction to  $J$  of the composite of the natural surjective continuous homomorphism  $G_k \rightarrow G_k^{\text{ab}}$  and the homomorphism*

$$\chi_{\pi,\text{id}_k} \circ \alpha^{\text{ab}}: G_k^{\text{ab}} \rightarrow \mathcal{O}_k^\times$$

coincides with

- the restriction to  $J$  of the composite of the natural surjective continuous homomorphism  $G_k \twoheadrightarrow G_k^{\text{ab}}$  and the homomorphism

$$\prod_{\sigma \in \text{Gal}(k/k^{(d=1)})} \chi_{\pi, \sigma}^{i_\sigma} : G_k^{\text{ab}} \rightarrow \mathcal{O}_k^\times.$$

Thus, it follows from the definition of  $\alpha^\times$  that there exist an integer  $i_\sigma$  for each  $\sigma \in \text{Gal}(k/k^{(d=1)})$  and an open subgroup  $U \subset \mathcal{O}_k^\times$  such that

- the restriction to  $U$  of the homomorphism

$$\alpha^\times : \mathcal{O}_k^\times \rightarrow \mathcal{O}_k^\times$$

coincides with

- the restriction to  $U$  of the homomorphism

$$\prod_{\sigma \in \text{Gal}(k/k^{(d=1)})} \sigma_{i_\sigma} : \mathcal{O}_k^\times \rightarrow \mathcal{O}_k^\times,$$

where, we write  $\sigma_{i_\sigma}$  for the endomorphism of  $\mathcal{O}_k^\times$  defined by  $x \mapsto \sigma(x)^{i_\sigma}$ . Let us recall that it follows from the definition of  $\alpha_+$  and [3], Proposition 3.11, (iv), that the following diagram commutes:

$$\begin{array}{ccc} k_+ & \xrightarrow{\alpha_+} & k_+ \\ \log_k \uparrow & & \uparrow \log_k \\ \mathcal{O}_k^\times & \xrightarrow{\alpha^\times} & \mathcal{O}_k^\times. \end{array}$$

Thus, it follows from [7], Lemma 4.1, together with the commutativity of the diagram above, that for each  $\sigma \in \text{Gal}(k/k^{(d=1)})$  there exists an integer  $i_\sigma$  such that

$$\alpha_+ = \sum_{\sigma \in \text{Gal}(k/k^{(d=1)})} i_\sigma \cdot \sigma.$$

This completes the proof of Proposition 12.  $\square$

With the above preparations, we now prove the main theorem of the present paper.

**Theorem 13.** *Suppose that  $k$  is an absolutely Galois MLF, that  $p_k$  is odd, and that  $d_k$  is odd and greater than one. Then the continuous  $p_k$ -adic representation  $\rho_{k, \pi}$  is not Aut-intrinsically Hodge-Tate [cf. Definition in Introduction].*

*Proof.* We shall write  $Z$  for the image of  $\mathbb{Q}_{p_k}[\text{Gal}(k/k^{(d=1)})]^\times$  in  $\text{GL}_{d_k}(\mathbb{Q}_{p_k})$  via the isomorphism  $\text{Aut}_{\mathbb{Q}_{p_k}}(k_+) \xrightarrow{\sim} \text{GL}_{d_k}(\mathbb{Q}_{p_k})$  determined by the basis  $y_1, \dots, y_{d_k}$  of  $k_+$ .

We prove Theorem 13 by contradiction. Suppose that the continuous  $p_k$ -adic representation  $\rho_{k, \pi}$  is Aut-intrinsically Hodge-Tate. Then it follows from Proposition 12 that, for any  $\alpha \in \text{Out}(G_k)$ , it holds that  $\alpha_+, \alpha_+^{-1} \in \mathbb{Q}_{p_k}[\text{Gal}(k/k^{(d=1)})]$ . In particular, the image of the continuous group homomorphism  $\Phi$  [cf. Lemma 3] is contained in  $Z$ .

We first consider the case where  $g \stackrel{\text{def}}{=} \frac{d_k-1}{2} \geq 2$ . In light of the fact that  $Z \subset \text{GL}_{d_k}(\mathbb{Q}_{p_k})$  is a closed subgroup, we endow  $Z$  with the natural  $p_k$ -adic Lie group structure [cf. [1], Theorem 9.6]. Then it follows from [6], Lemma 2.14, (2), (3), that  $\dim(Z) \leq d_k = 2g + 1$ . Thus, it follows from the [easily verified] inequality  $2g^2 + g > 2g + 1$  and Lemma 5 that there exists an automorphism  $\alpha$  of  $G_k$  such that  $\Phi(\alpha) \notin Z$ . This contradicts the above observation that the image of  $\Phi$  is contained in  $Z$ . This completes the proof of Theorem 13 in the case where  $g \geq 2$ .

Finally, we consider the case where  $g = 1$  [i.e.,  $d_k = 3$ ]. In this case, since [it is immediate that] the Galois group  $\text{Gal}(k/k^{(d=1)})$  is abelian, it follows that the group  $Z$  is abelian. On the other hand, one verifies easily that the group  $\text{Sp}_2(\mathbb{Z}_{p_k})$  is not abelian. Thus, it follows from Lemma 5 that the  $\text{Im}(\Phi)$  is not abelian. In particular, there exists an automorphism  $\alpha$  of  $G_k$  such that  $\Phi(\alpha) \notin Z$ . This contradicts the above observation that the image of  $\Phi$  is contained in  $Z$ . This completes the proof of Theorem 13 in the case where  $g = 1$ , hence also of Theorem 13.  $\square$

**Remark 14.** Let  $p$  be a prime number and  $\overline{\mathbb{Q}_p}$  an algebraic closure of  $\mathbb{Q}_p$ . Then it is well-known that the Lubin-Tate character over  $\mathbb{Z}_p$  determined by the uniformizer  $p$  coincides with the  $p$ -adic cyclotomic character. Thus, the continuous  $p$ -adic representations  $G_{\mathbb{Q}_p} \stackrel{\text{def}}{=} \text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p) \rightarrow \mathbb{Q}_p^\times$  determined by the Lubin-Tate characters over  $\mathbb{Z}_p$  [i.e., with respect to arbitrary choices of uniformizers of  $\mathbb{Z}_p$ ] are Aut-intrinsically Hodge-Tate [cf. Remark 11; [7], Proposition 1.1]. This reflects a fundamental distinction between  $\mathbb{Q}_p$  and its nontrivial finite Galois extensions from the point of view of anabelian geometry in the case where  $p$  is odd.

**Remark 15.** It is straightforward to see that a similar proof strategy applied in the proof of Theorem 13 may also be applied in the case where  $k$  is an absolutely Galois MLF with even  $d_k$ . We leave the routine details to the interested reader.

**Remark 16.** In [6], the author of the present paper proved the following assertion:

Suppose that  $p_k$  is odd, that  $d_k$  is even, and that  $k$  is an absolutely Galois MLF. Let  $\varphi$  be the automorphism of  $G_k$  defined by the following equalities [cf. Theorem 1]:

$$\varphi(\sigma) = \sigma, \varphi(\tau) = \tau, \varphi(x_2) = x_2x_1, \varphi(x_i) = x_i \ (i \neq 2).$$

Then the continuous  $p_k$ -adic representation  $\rho_{k,\pi} \circ \varphi$  is not Hodge-Tate.

On the other hand, we cannot obtain an explicit automorphism of  $G_k$  that violates the Aut-intrinsic Hodge-Tate-ness of Lubin-Tate characters in the above proof of Theorem 13.

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