

Intelligence of Low-dimensional Topology

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RIMS, Kyoto University

# A table of coherent band-Gordian distances between knots

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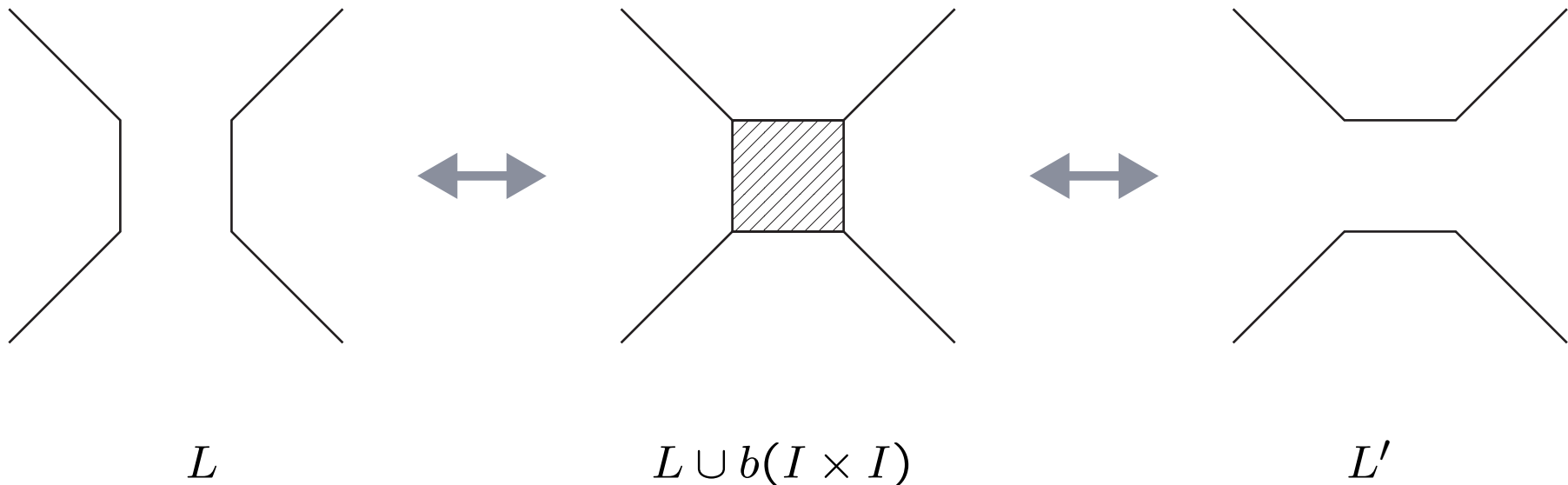
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# Abstract

- A **coherent band surgery** is a local move on an oriented link, which is equivalent to a smoothing a crossing.
- The **coherent band-Gordian distance** between two links is the least number of coherent band surgeries needed to transform one link into the other.
- We introduce some criteria for two links which are related by a coherent band surgery.
- We give a table of coherent band-Gordian distances between two knots with up to 7 crossings.
- This is a joint work with [Taizo Kanenobu](#).

# Band surgery

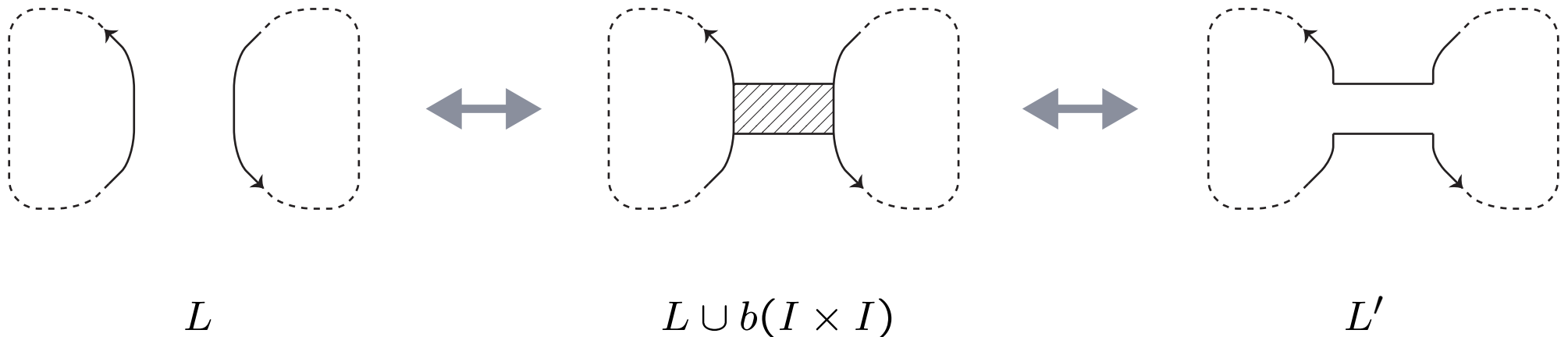
Let  $L$  be a link, and  $b : I \times I \rightarrow S^3$  an embedding such that  $b(I \times I) \cap L = b(I \times \partial I)$ , where  $I$  is a closed interval. We obtain another link  $L' = (L - b(I \times \partial I)) \cup b(\partial I \times I)$ , which is called the link obtained from  $L$  by the **band surgery** along the band  $b$ .



## Coherent band surgery

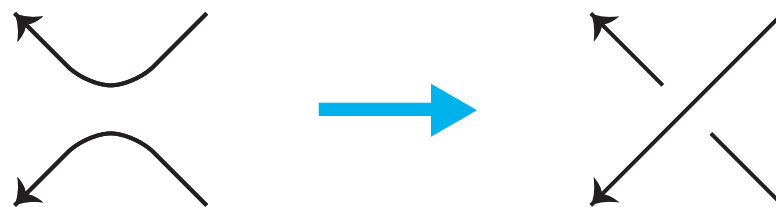
If  $L$ ,  $L'$  are oriented links and  $L'$  has the orientation compatible with the orientation of  $L - b(I \times I) \cap L$  and  $b(\partial I \times I)$ , then  $L'$  is called the link obtained from  $L$  by the **coherent band surgery** along the band  $b$ . Then,  $c(L) = c(L') \pm 1$ , where  $c(L)$  is the number of the components of  $L$ .

The case  $c(L) > c(L')$ :



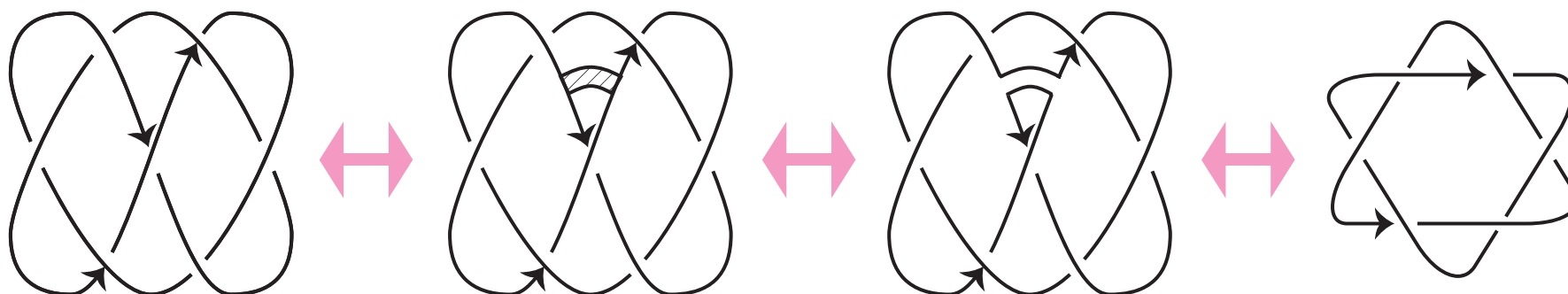
# Motivation for the study of a band surgery

The Xer site-specific recombination system acts as



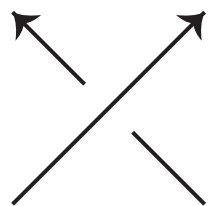
We may consider this action as band surgery.

**Example.** The following shows coherent band surgery between the knot  $7_4$  and the link  $6_1^2$  (torus link of type  $(2, 6)$ ).

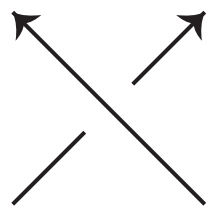


# Skein triple

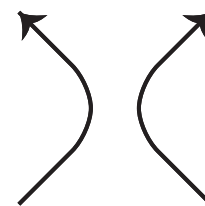
Let  $L_+$ ,  $L_-$ ,  $L_0$  be three links that are identical except near one point where they are as in



$L_+$



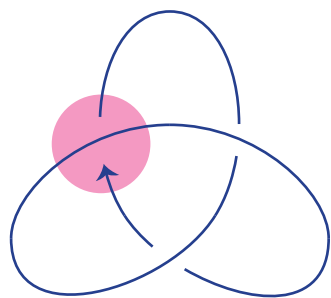
$L_-$



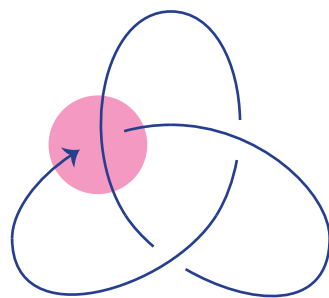
$L_0$ .

We call  $(L_+, L_-, L_0)$  a **skein triple**.

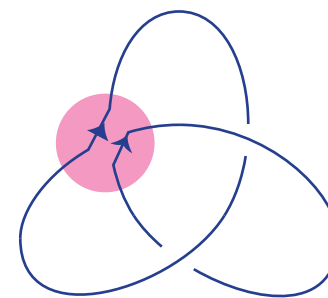
## Example.



$L_+$



$L_-$



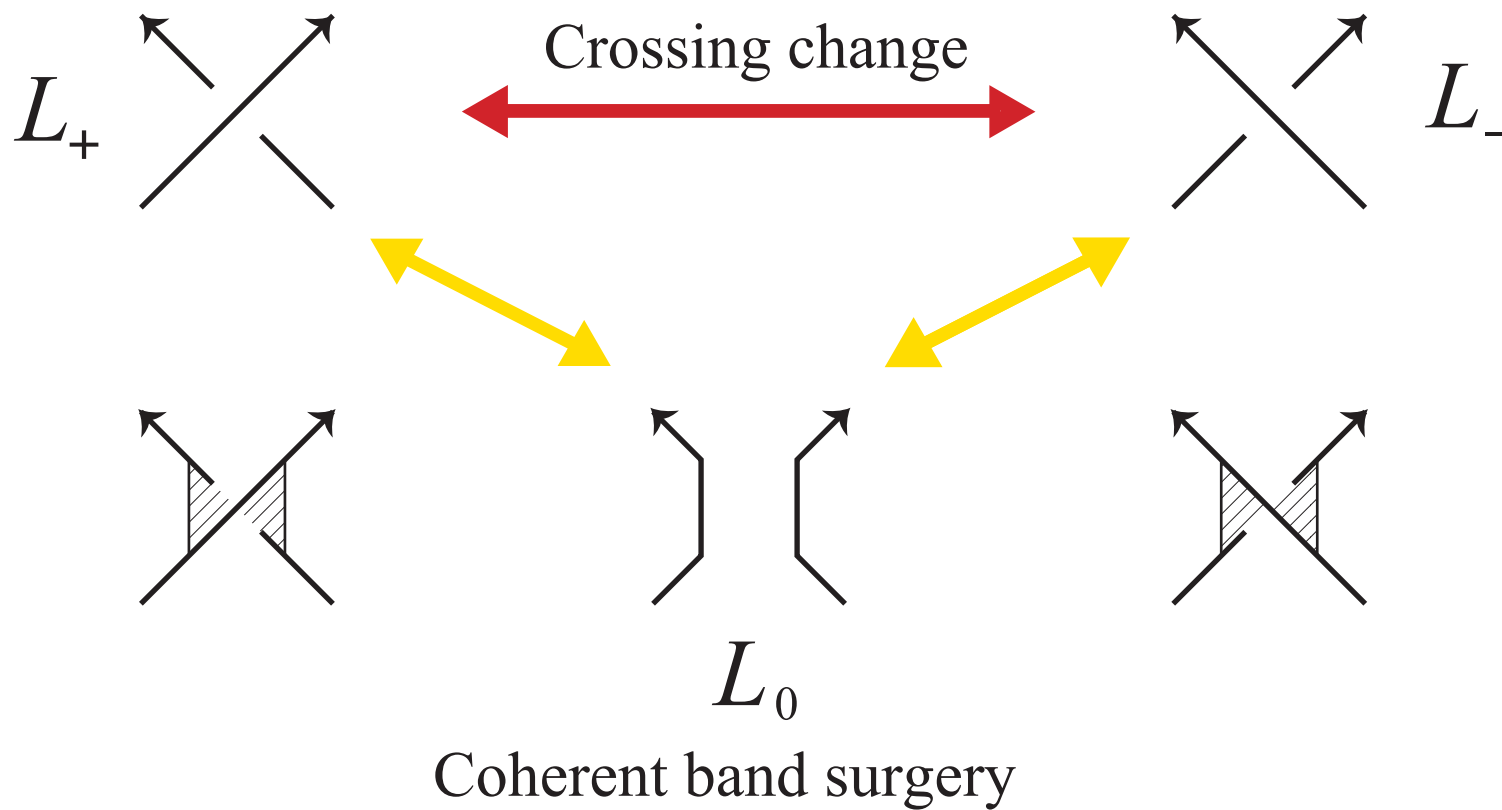
$L_0$

# Skein triple and coherent band surgery

Let  $(L_+, L_-, L_0)$  be a skein triple.

Then  $L_+$  and  $L_-$  are related by a crossing change;

$L_+$  and  $L_0$ ,  $L_-$  and  $L_0$  are related by a coherent band surgery.



# Nullification number

Any oriented link diagram can be deformed into a link diagram without any crossing by smoothing every crossing, which is considered to be a coherent band surgery.

The **nullification number** of an oriented link  $L$ ,  $n(L)$ , is the minimum number of the coherent band surgeries to deform  $L$  into some trivial link.

D. Sola, *Nullification number and flyping conjecture*, Rend. Sem. Mat. Univ. Padova, **86** (1991) 1–16.



## Coherent band-Gordian distance

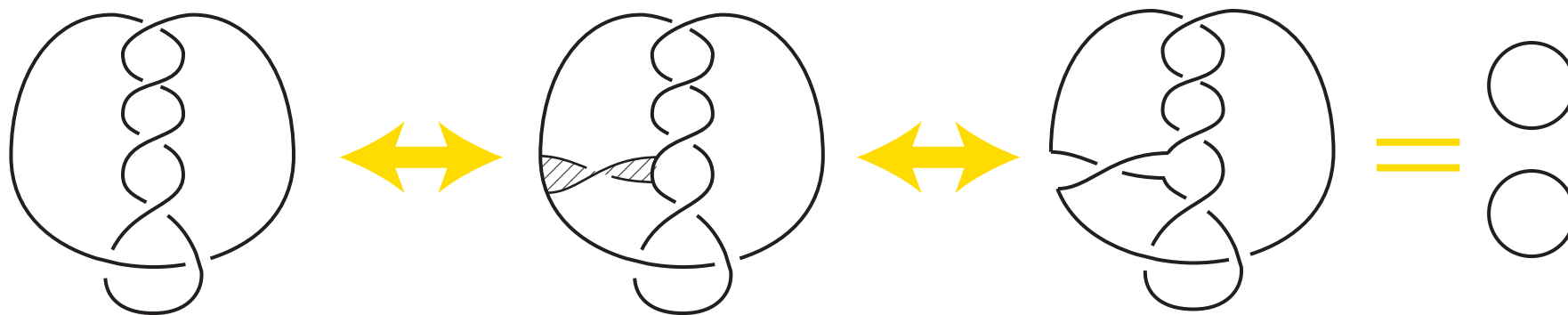
A trivial link is deformed into the trivial knot by a sequence of coherent band surgeries, and so we may define the following.

Let  $L$  and  $L'$  be oriented links.

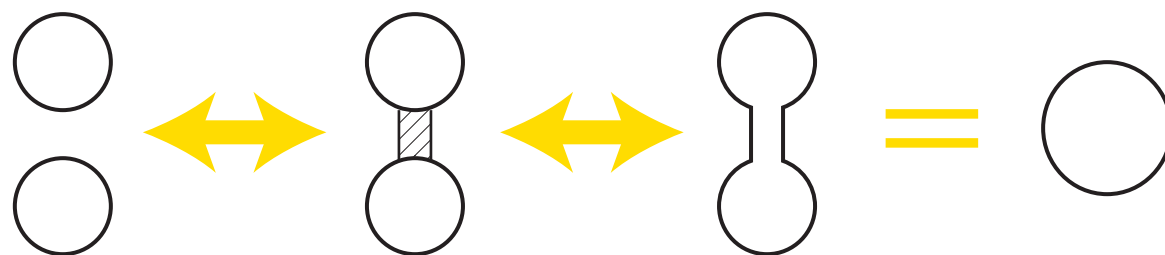
- The **coherent band-Gordian distance** from  $L$  to  $L'$ ,  $d_{cb}(L, L')$ , is the minimum number of coherent band-surgeries that are necessary to deform  $L$  into  $L'$ .
- The **coherent band-unknotting number** of  $L$ ,  $u_{cb}(L)$ , is the coherent band-Gordian distance from  $L$  to the trivial knot;  $u_{cb}(L) = d_{cb}(L, U)$ .
- $n(L) \leq u_{cb}(L)$ .  $d_{cb}(L, L')$  = nullification distance.

**Example.**  $n(6_1) = 1$ ,  $u_{cb}(6_1) = 2$ .

The knot  $6_1$  is deformed into the trivial 2-component link  $U^2$  by a coherent band surgery;  $n(6_1) = d_{cb}(6_1, U^r) = 1$  for some  $r \geq 2$ .



The trivial 2-component link is deformed into the trivial knot by a coherent band surgery, and so  $u_{cb}(6_1) = d_{cb}(6_1, U) = 2$ .



## Tables of nullification numbers for knots and links

- [C. Ernst, A. Montemayor, and A. Stasiak](#), *Nullification of small knots*, Progress of Theoretical Physics Supplement No. 191, (2011) 66–77.

They settled the nullification number for all of the 84 prime knots with at most 9 crossings.

- [A. Montemayor](#), *On nullification of knots and links*, Master's thesis, Western Kentucky Univ., 2012.

He extended the table above up to knots with 10 crossings and links with 9 crossings.

- [T. Kanenobu](#), *Band surgery on knots and links, II*, J. Knot Theory Ramifications 21 (2012), no. 9, 1250086.

Band trivializability ( $u_{cb}(L) = 1$  or  $> 0$ ) for 2-component links with up to 9 crossings.

## Tables of nullification distances ( $= d_{cb}$ ) for knots and links

- [K. Ishihara and D. Buck](#), *Nullification distance between links with small crossing numbers*, Proceedings of “Mathematics of Knots IV”, 2011, 182–188.

Tables of nullification distances between knots or links with up to 6 crossings.

- [T. Kanenobu and M.](#), Today’s talk.

We extend the table above for knots with 7 crossings.



# Table of $d_{cb}(K, L)$ [Ishihara and Buck]

	$U$	$3_1$	$3_1!$	$4_1$	$5_1$	$5_1!$	$5_2$	$5_2!$	$6_1$	$6_1!$	$6_2$	$6_2!$	$6_3$	$3_1\#3_1$	$3_1!\#3_1!$	$3_1!\#3_1$
$U^2$	1	3	3	3	5	5	3	3	1	1	3	3	3	5	5	1
$2_1^2$	1	1	3	1	3	5	1	3	3	1	1	3	1	3	5	3
$4_1^2$	3	1	5	3	1	7	3	5	3	3	1	5	3	3	7	3
$4_1^{2'}$	1	3	3	3	5	3	3	1	3	1	3	3	3	5	3	3
$5_1^2$	3	1	3	1	3	5	3	3	3	3	1	3	1	3	5	3
$3_1\#2_1^2$	3	1	5	3	1	7	1	5	3	3	3	5	3	1	7	3
$3_1\#2_1^{2'}$	1	1	3	3	3	5	3	3	1/3	1/3	1	3	1	3	5	1
$6_1^2$	5	3	7	5	1	9	3	7	5	5	3	7	5	1	9	5
$6_1^{2'}$	1	5	1	3	5	3	3	3	1/3	1/3	3	3	1/3	5	3	1/3
$6_2^2$	3	1	5	3	1/3	7	1	5	3	3	3	5	3	3	7	3
$6_3^2$	3	3	5	3	3	7	1	5	3	3	3	5	3	1	7	3/5
$6_3^{2'}$	3	3	1	1	5	3	3	3	3	3	3	3	3	5	3	3
$4_1\#2_1^2$	1	3	3	1	3	5	3	3	1	3	1	3	3	3	5	3

## New criteria for a coherent band surgery

We give necessary conditions for two links which are related by a band surgery or crossing change, using the **determinant**, the **Jones polynomial**, and the **HOMFLYPT polynomial**. They can improve these tables.





# Improved table of $d_{cb}(K, L)$

	$U$	$3_1$	$3_1!$	$4_1$	$5_1$	$5_1!$	$5_2$	$5_2!$	$6_1$	$6_1!$	$6_2$	$6_2!$	$6_3$	$3_1\#3_1$	$3_1!\#3_1!$	$3_1!\#3_1$
$U^2$	1	3	3	3	5	5	3	3	1	1	3	3	3	5	5	1
$2_1^2$	1	1	3	1	3	5	1	3	3	1	1	3	1	3	5	3
$4_1^2$	3	1	5	3	1	7	3	5	3	3	1	5	3	3	7	3
$4_1^{2'}$	1	3	3	3	5	3	3	1	3	1	3	3	3	5	3	3
$5_1^2$	3	1	3	1	3	5	3	3	3	3	1	3	1	3	5	3
$3_1\#2_1^2$	3	1	5	3	1	7	1	5	3	3	3	5	3	1	7	3
$3_1\#2_1^{2'}$	1	1	3	3	3	5	3	3	3	3	1	3	1	3	5	1
$6_1^2$	5	3	7	5	1	9	3	7	5	5	3	7	5	1	9	5
$6_1^{2'}$	1	5	1	3	5	3	3	3	3	3	3	1/3	1/3	5	3	3
$6_2^2$	3	1	5	3	1	7	1	5	3	3	3	5	3	3	7	3
$6_3^2$	3	3	5	3	3	7	1	5	3	3	3	5	3	1	7	3/5
$6_3^{2'}$	3	3	1	1	5	3	3	3	3	3	3	3	3	5	3	3
$4_1\#2_1^2$	1	3	3	1	3	5	3	3	1	3	1	3	3	3	5	3

## Known methods to estimate $d_{cb}(L, L')$

**Signature**: For links  $L, L'$ ,  $|\sigma(L) - \sigma(L')| \leq d_{cb}(L, L')$ .

Let  $L, L'$  be links with  $d_{cb}(L, L') = 1$ .

**Arf invariant**: If  $L$  is a 2-component link with  $\text{lk}(L)$  even and  $L'$  is a knot, then  $\text{Arf}(L) = \text{Arf}(L')$ .

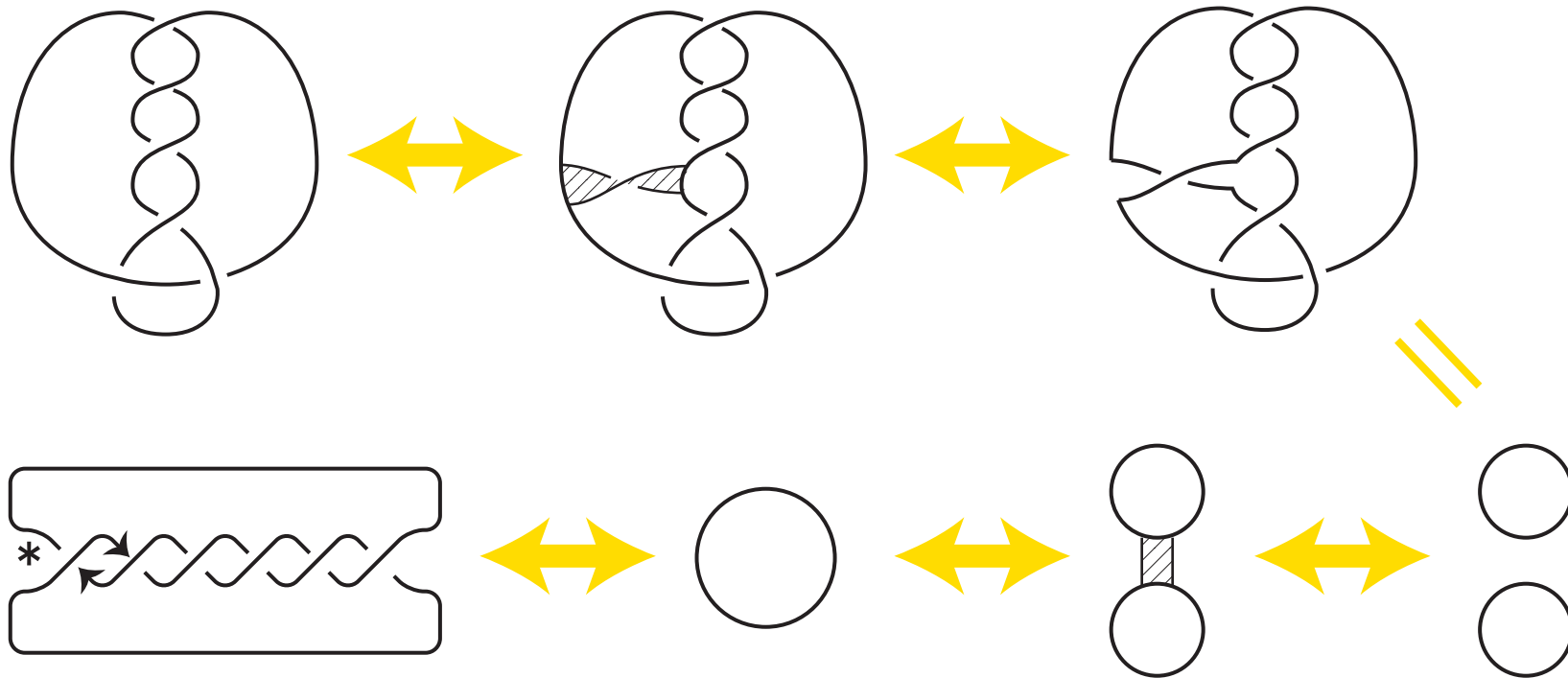
**Jones polynomial**:  $V(L; \omega)/V(L'; \omega) \in \{\pm i, -\sqrt{3}^{\pm 1}\}$ , where  $\omega = e^{i\pi/3}$ .

**Q polynomial**:  $\rho(L)/\rho(L') \in \{\pm 1, \sqrt{5}^{\pm 1}\}$ , where  $\rho(L) = Q\left(L; \frac{\sqrt{5}-1}{2}\right)$ .

**HOMFLYPT polynomial**:  $P(L; i, i)/P(L'; i, i) \in \{1, (-2)^{\pm 1}\}$ .

**Question.**  $d_{cb}(6_1, 6_1^{2'}) = 1$  or  $3$  ?

- $d_{cb}(6_1, 6_1^{2'}) \leq 3$ ;  $6_1 \leftrightarrow U^2 \leftrightarrow U \leftrightarrow 6_1^{2'}$ .



- $\sigma(6_1) = 0, \sigma(6_1^{2'}) = -1 \Rightarrow d_{cb}(6_1, 6_1^{2'}) \geq 1$
- $lk(6_1^{2'}) = 3 \Rightarrow 6_1^{2'}$  does not have the Arf invariant.

## Proof of $d_{cb}(6_1, 6_1^{2'}) > 1$ (1)

Suppose that  $d_{cb}(6_1, 6_1^{2'}) = 1$ .

$\Rightarrow \exists$  a knot  $K$  such that  $(6_1, K, 6_1^{2'})$  is a skein triple.

$\Rightarrow$  The knot  $K$  and  $6_1$  are related by a crossing change.

Since  $6_1$  is a 2-bridge knot of Schubert's normal form  $S_{9,2}$  and  $\det(K) = 21$ , we may use a result of [Hitoshi Murakami](#):

**Proposition 1.** *Suppose that a knot  $K$  is obtained from a 2-bridge knot  $S_{p,q}$  by a crossing change. Then there exists an integer  $s$  such that:*

$$|\det(K) - p|/2 \equiv \pm qs^2 \pmod{p}.$$

Corollary 2.8 in H. Murakami, *Some metrics on classical knots*,  
Math. Ann. **270** (1985) 35–45.

Then we obtain:  $\exists s \in \mathbf{Z}$  such that  $6 = |21 - 9|/2 \equiv \pm 2s^2 \pmod{9}$ .

We can put  $s = 3n$ ,  $n \in \mathbf{Z}$ .

Then  $6 \equiv \pm 18n^2 \equiv 0 \pmod{9}$ ; a contradiction.

## Determinant of a knot

$\det(K) = |H_1(\Sigma(K); \mathbf{Z})|$ , where  $\Sigma(K)$  is the double cover of  $S^3$  branched over  $K$ .

$$\det(K) = |\nabla(K; 2i)| = |V(K; -1)|,$$

where  $\nabla(K; 2i)$  is the **Conway polynomial** of  $K$ ,  $\nabla(K; z)$ , at  $z = 2i$ , and  $V(K; -1)$  is the Jones polynomial of  $K$ ,  $V(K; t)$ , at  $t = -1$ .

For a skein triple  $(6_1, K, 6_1^{2'})$ , we have

$$\nabla(6_1) - \nabla(K) = z\nabla(6_1^{2'}).$$

$$\text{Then, } \nabla(K) = \nabla(6_1) - z\nabla(6_1^{2'}) = 1 - 2z^2 - z(3z) = 1 - 5z^2.$$

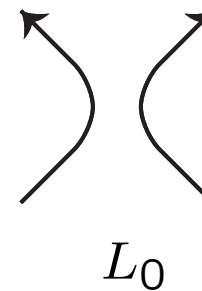
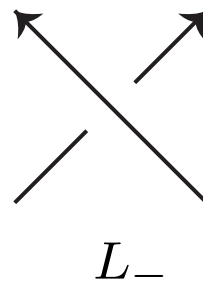
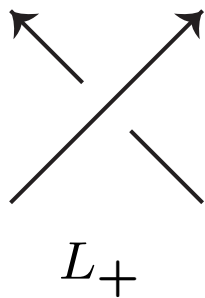
$$\Rightarrow \det(K) = |\nabla(K; 2i)| = 21.$$

## Conway polynomial $\nabla(L) = \nabla(L; z) \in \mathbb{Z}[z]$

An invariant of the isotopy type of an oriented link  $L$  defined by:

- $\nabla(U) = 1$ ;
- $\nabla(L_+) - \nabla(L_-) = z\nabla(L_0)$ ,

where  $U$  is the unknot and  $(L_+, L_-, L_0)$  is a skein triple.



## A criterion for $d_{\text{cb}}(K, L)$ with $K = S_{p,q}$

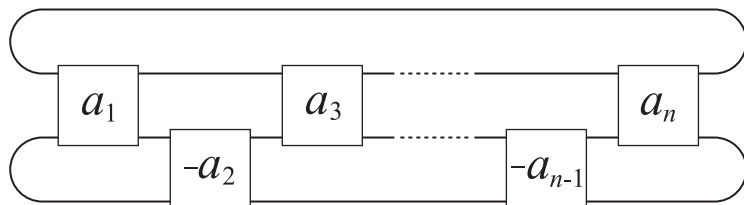
**Theorem 1.** *Let  $L$  be a 2-component link and  $K$  a 2-bridge knot  $S_{p,q}$ . If  $d_{\text{cb}}(K, L) = 1$ , then  $\exists s \in \mathbf{Z}$  such that*

$$\det(L) \equiv \pm qs^2 \pmod{p}.$$

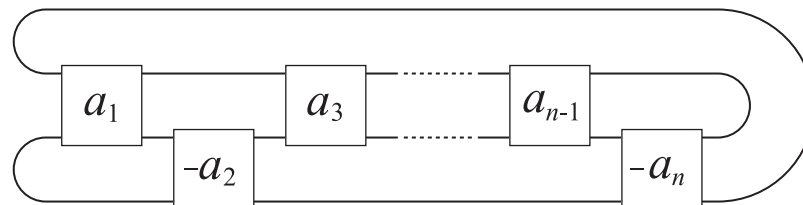
Here,  $S_{p,q}$  denotes the 2-bridge knot for which the lens space of type  $(p, q)$  is the 2-fold branched cover of  $S^3$ , where  $p, q$  are relatively prime integers with  $p > q > 0$  and  $p$  odd.

A 2-bridge knot in Conway's normal form  $C(a_1, a_2, a_3, \dots, a_{n-1}, a_n)$ :

(i)  $n$  is odd.



(ii)  $n$  is even.



Here,  $\boxed{a} = \underbrace{\text{---} \text{ } \underbrace{\text{---} \text{ } \dots \text{ } \text{---}}_{a \text{ crossings}} \text{---}}$        $\boxed{-a} = \underbrace{\text{---} \text{ } \underbrace{\text{---} \text{ } \dots \text{ } \text{---}}_{a \text{ crossings}} \text{---}}$

Let  $a_1, a_2, a_3, \dots, a_n$  be positive integers obtained from the continued fraction

$$\frac{p}{q} = a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \frac{1}{\dots + \frac{1}{a_n}}}}$$

Then  $S_{p,q}$  is isotopic to  $C(a_1, a_2, a_3, \dots, a_{n-1}, a_n)$ .

Also,  $S_{p,-q}$  presents the mirror image of  $S_{p,q}$ .

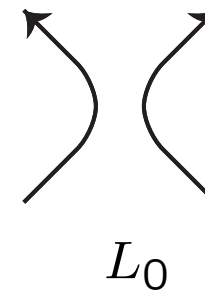
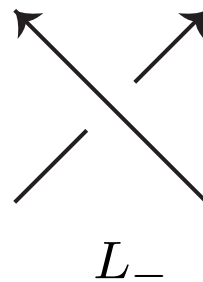
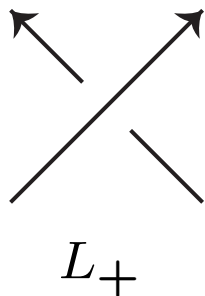


**Jones polynomial**  $V(L) = V(L; t) \in \mathbf{Z}[t^{\pm 1/2}]$

An invariant of the isotopy type of an oriented link  $L$  defined by:

- $V(U) = 1$ ;
- $t^{-1}V(L_+) - tV(L_-) = (t^{1/2} - t^{-1/2})V(L_0)$ ,

where  $U$  is the unknot and  $(L_+, L_-, L_0)$  is a skein triple:



## The value of the Jones polynomial at $t = e^{i\pi/3}$

For a  $c$ -component link  $L$ , [Lickorish and Millett](#) have shown:

$$V(L; e^{i\pi/3}) = \pm i^{c-1} (i\sqrt{3})^\delta,$$

where  $\delta = \dim H_1(\Sigma(L); \mathbf{Z}_3)$  with  $\Sigma(L)$  the double cover of  $S^3$  branched over  $L$ .

$V(L; e^{i\pi/3})$  means  $V(L; t)$  at  $t^{1/2} = e^{i\pi/6}$ .

W. B. R. Lickorish and K. C. Millett, *Some evaluations of link polynomials*,  
Comment. Math. Helv. **61** (1986), 349–359.

# The value of the Jones polynomial at $t = e^{i\pi/3}$

Put  $\omega = e^{i\pi/3}$ .

Cases	$V(L_+; \omega)/V(L_-; \omega)$	$V(L_0; \omega)/V(L_-; \omega)$	$V(L_0; \omega)/V(L_+; \omega)$
(a)	1	$-\sqrt{3}$	$-\sqrt{3}$
(b)	-1	$i$	$-i$
(c)	$i\sqrt{3}$	$-i$	$-\sqrt{3}^{-1}$
(d)	$i\sqrt{3}^{-1}$	$-\sqrt{3}^{-1}$	$i$

**Proposition 2.** *If two links  $L$  and  $L'$  are related by a band surgery, then*

$$V(L; \omega)/V(L'; \omega) \in \{\pm i, -\sqrt{3}^{\pm 1}\}.$$

T. Kanenobu, *Band surgery on knots and links*,

J. Knot Theory Ramifications **19** (2010) 1535–1547.

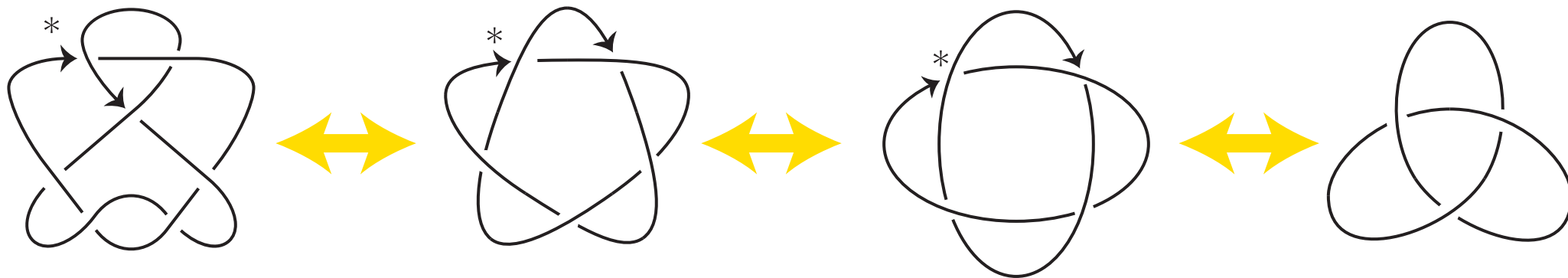
**Example.**  $d_{cb}(3_1, 7_1^2) = 3$ .

- $\sigma(3_1) = 2, \sigma(7_1^2) = 3 \Rightarrow d_{cb}(3_1, 7_1^2) \geq 1$ .

- $V(3_1; \omega) = -i\sqrt{3}, \quad V(7_1^2; \omega) = i,$

$$V(3_1; \omega)/V(7_1^2; \omega) = \sqrt{3} \Rightarrow d_{cb}(3_1, 7_1^2) > 1.$$

- $d_{cb}(3_1, 7_1^2) \leq 3; \quad 7_1^2 \leftrightarrow 5_1 \leftrightarrow 4_1^2 \leftrightarrow 3_1.$



## Proof of $d_{cb}(6_1, 6_1^{2'}) > 1$ (2)

Suppose that  $d_{cb}(6_1, 6_1^{2'}) = 1$ .

$\Rightarrow \exists$  a knot  $K$  such that  $(6_1, K, 6_1^{2'})$  is a skein triple  $(L_+, L_-, L_0)$ .

Cases	$V(L_+; \omega)/V(L_-; \omega)$	$V(L_0; \omega)/V(L_-; \omega)$	$V(L_0; \omega)/V(L_+; \omega)$
(a)	1	$-\sqrt{3}$	$-\sqrt{3}$
(b)	-1	$i$	$-i$
(c)	$i\sqrt{3}$	$-i$	$-\sqrt{3}^{-1}$
(d)	$i\sqrt{3}^{-1}$	$-\sqrt{3}^{-1}$	$i$

$$V(6_1; \omega) = i\sqrt{3}, \quad V(6_1^{2'}; \omega) = -\sqrt{3}. \quad V(6_1^{2'}; \omega)/V(6_1; \omega) = i.$$

$$\Rightarrow V(6_1^{2'}; \omega)/V(K; \omega) = -\sqrt{3}^{-1}. \quad V(K; \omega) = (-\sqrt{3})^2 = 3.$$

$\Rightarrow \dim H_1(\Sigma; \mathbf{Z}_3) = 2$ ,  $\Sigma$  is the double cover of  $S^3$  branched over  $K$ .

$$\Rightarrow \det(K) \equiv 0 \pmod{3^2}.$$

On the other hand,

$$\nabla(K) = \nabla(6_1) - z\nabla(6_1^{2'}) = 1 - 2z^2 - z(3z) = 1 - 5z^2.$$

$$\Rightarrow \det(K) = |\nabla(K; 2i)| = 21 \not\equiv 0 \pmod{3^2}; \text{ a contradiction.}$$

## A criterion for $d_{\text{cb}}(K, L)$

Note that  $\det(K) = |\nabla(K; 2i)| = |V(K; -1)|$ .

**Theorem 2.** *Suppose that a 2-component link  $L$  is obtained from a knot  $K$  by a coherent band surgery.*

$$V(L; \omega) = \eta i V(K; \omega) = \pm i (i\sqrt{3})^\delta, \quad \eta = \pm 1$$
$$\Rightarrow iV(L; -1) \equiv \eta V(K; -1) \pmod{3^{\delta+1}}.$$

Using either Theorem 1 or Theorem 2, we may prove:

$$d_{\text{cb}}(6_1!, 6_1^{2'}) = d_{\text{cb}}(6_1, 3_1 \# 2_1^2) = d_{\text{cb}}(6_1!, 3_1 \# 2_1^{2'}) = \mathbf{3},$$

where  $2_1^2, 2_1^{2'}$  are negative, positive Hopf links.

**Note.**  $V(6_1!; \omega) = i\sqrt{3}, V(6_1!; -1) = 9$ .

$$V(6_1^{2'}; \omega) = -\sqrt{3}, V(6_1^{2'}; -1) = -6i.$$

$$V(3_1 \# 2_1^2; \omega) = \sqrt{3}, V(3_1 \# 2_1^2; -1) = -6i.$$

$$V(3_1 \# 2_1^{2'}; \omega) = -\sqrt{3}, V(3_1 \# 2_1^{2'}; -1) = 6i.$$

Moreover, using Theorem 2, we may prove  $d_{cb}(6_1, 3_1) = d_{cb}(6_1!, 3_1!) = 4$ .

Suppose that  $d_{cb}(6_1, 3_1) = 2$ .

$\Rightarrow \exists$  a link  $L$  such that either  $(6_1, 3_1, L)$  or  $(3_1, 6_1, L)$  is a skein triple  $(L_+, L_-, L_0)$ .

Cases	$V(L_+; \omega)/V(L_-; \omega)$	$V(L_0; \omega)/V(L_-; \omega)$	$V(L_0; \omega)/V(L_+; \omega)$
(a)	1	$-\sqrt{3}$	$-\sqrt{3}$
(b)	-1	$i$	$-i$
(c)	$i\sqrt{3}$	$-i$	$-\sqrt{3}^{-1}$
(d)	$i\sqrt{3}^{-1}$	$-\sqrt{3}^{-1}$	$i$

$$V(3_1; \omega) = -i\sqrt{3}, \quad V(6_1; \omega) = i\sqrt{3}. \quad V(6_1; \omega)/V(3_1; \omega) = -1.$$

$$\Rightarrow V(L; \omega)/V(3_1; \omega) = \pm i. \quad \Rightarrow V(L; \omega) = \pm\sqrt{3}. \quad \Rightarrow \delta = 1.$$

$$\text{Here, } V(3_1; -1) = 3, \quad V(6_1; -1) = 9.$$

$$\begin{cases} iV(L; -1) \equiv \pm V(3_1; -1) \equiv 3 \text{ or } 6 \pmod{3^2}, \\ iV(L; -1) \equiv \pm V(6_1; -1) \equiv 0 \pmod{3^2}. \end{cases} \quad ; \text{ a contradiction.}$$

- $d_{cb}(6_1, 3_1) \leq 4; \quad 6_1 \leftrightarrow U^2 \leftrightarrow U \leftrightarrow 2_1^2 \leftrightarrow 3_1.$

**Question.**  $d_{cb}(5_1, 6_2^2) = 1$  or  $3$  ?

$$\sigma(5_1) = 4, \sigma(6_2^2) = 3.$$

Suppose that  $d_{cb}(5_1, 6_2^2) = 1$ .

$\Rightarrow$  (i)  $\exists$  a knot  $K$  such that  $(5_1, K, 6_2^2)$  is a skein triple;  
and (ii)  $\exists$  a knot  $J$  such that  $(J, 5_1, 6_2^2)$  is a skein triple.

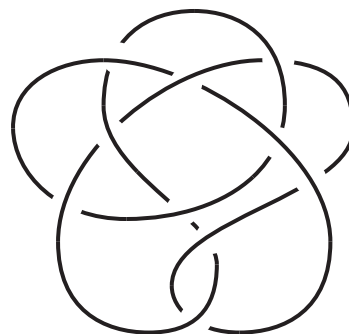
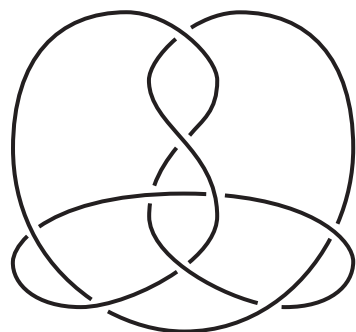
$$\Rightarrow \text{(i) } \nabla(5_1) - \nabla(K) = z\nabla(6_2^2).$$

$$\nabla(5_1) = 1 + 3z^2 + z^4, \nabla(6_2^2) = -3z - 2z^3.$$

$$\nabla(K) = 1 + 3z^2 + z^4 - z(-3z - 2z^3) = 1 + 6z^2 + 3z^4 = \nabla(9_{49}).$$

$$\text{(ii) } \nabla(J) = 1 + 3z^2 + z^4 + z(-3z - 2z^3) = 1 - z^4 = \nabla(10_{136}).$$

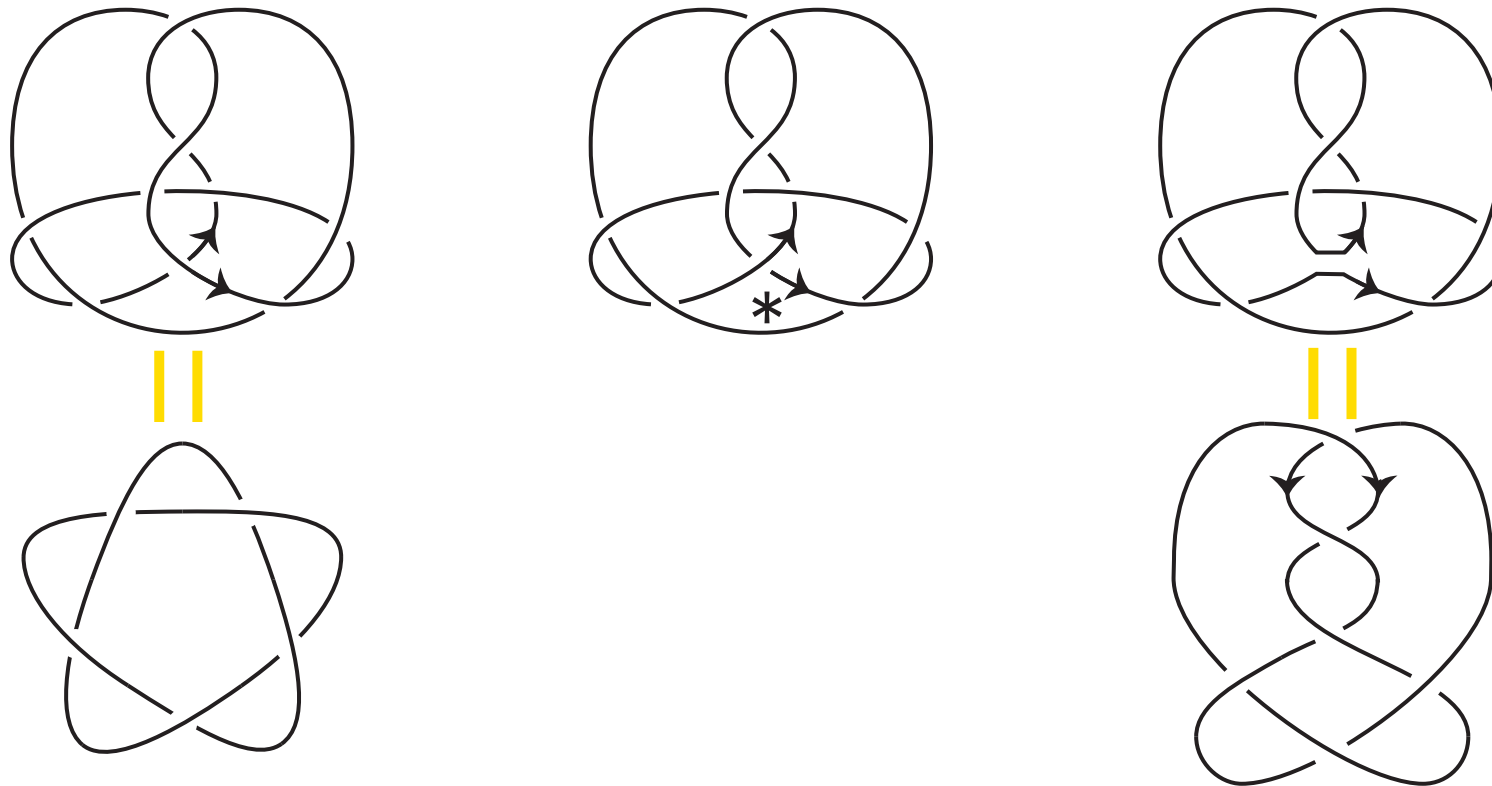
Examine the knots  $9_{49}$ ,  $10_{136}$ .





# Proof of $d_{cb}(5_1, 6_2^2) = 1$

In fact,  $\exists$  a skein triple  $(5_1, 9_{49!}, 6_2^2)$ .



Therefore,  $d_{cb}(5_1, 6_2^2) = 1$ .

**HOMFLYPT polynomial**  $P(L) = P(L; v, z) \in \mathbf{Z}[v^{\pm 1}, z^{\pm 1}]$

An invariant of the isotopy type of an oriented link  $L$  defined by:

- $P(U) = 1$ ;
- $v^{-1}P(L_+) - vP(L_-) = zP(L_0)$ ,

where  $U$  is the unknot and  $(L_+, L_-, L_0)$  is a skein triple.

# The value of the HOMFLYPT polynomial at $v = z = i$

For a link  $L$ , [Lickorish and Millett](#) have shown:

$$P(L; i, i) = (i\sqrt{2})^\tau,$$

where  $\tau = \dim H_1(\Sigma_3(L); \mathbf{Z}_2)$  with  $\Sigma_3(L)$  the 3-fold cyclic cover of  $S^3$  branched over  $L$ .

W. B. R. Lickorish and K. C. Millett, *Some evaluations of link polynomials*,  
Comment. Math. Helv. **61** (1986), 349–359.

Put  $v = z = i$ , we obtain  $-iP(L_+; i, i) - iP(L_-; i, i) = iP(L_0; i, i)$ .

Therefore,  $P(L_+; i, i) + P(L_-; i, i) + P(L_0; i, i) = 0$ .

Cases	$P(L_+; i, i)/P(L_-; i, i)$	$P(L_0; i, i)/P(L_-; i, i)$	$P(L_0; i, i)/P(L_+; i, i)$
(a)	1	-2	-1/2
(b)	-2	1	-1/2
(c)	-1/2	-1/2	1

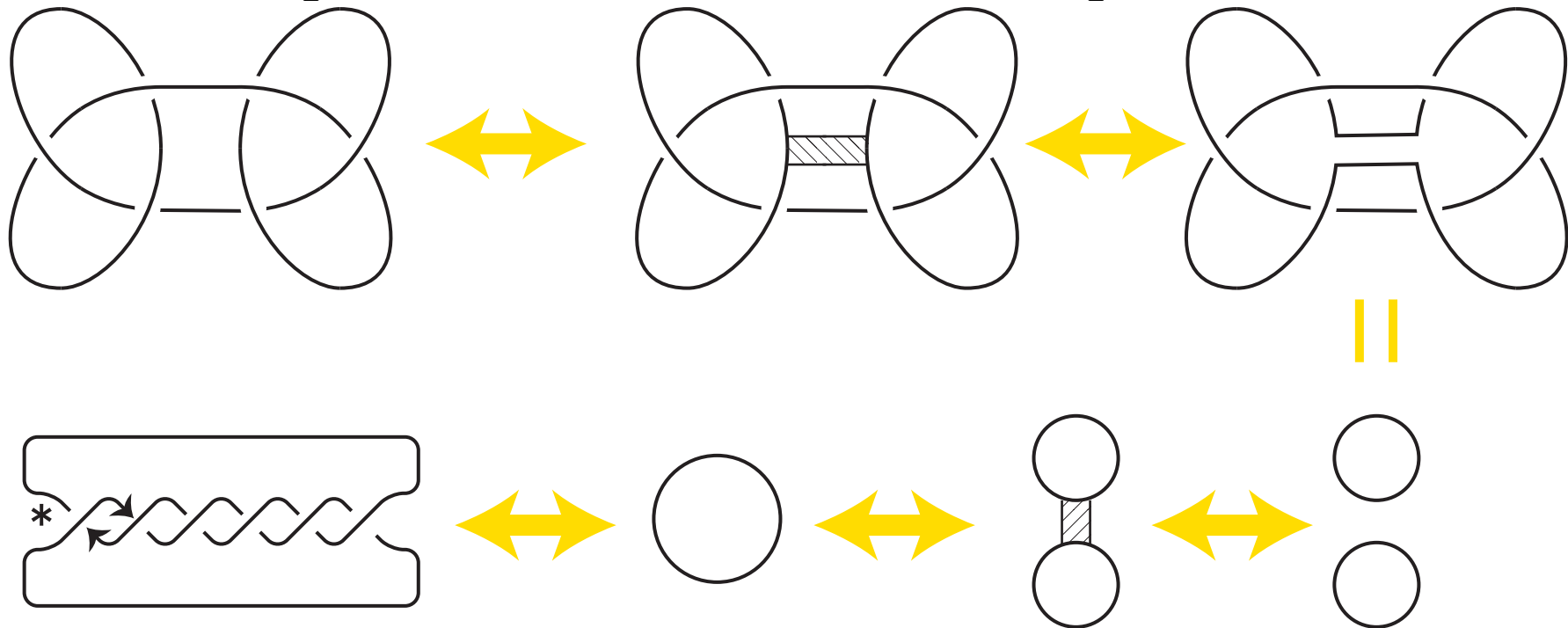
**Proposition 3.** *If two links  $L$  and  $L'$  are related by a coherent band surgery, then*

$$P(L; i, i) / P(L'; i, i) \in \{1, (-2)^{\pm 1}\}.$$

T. Kanenobu, *Band surgery on knots and links, II*, J. Knot Theory Ramifications 21 (2012), no. 9, 1250086.

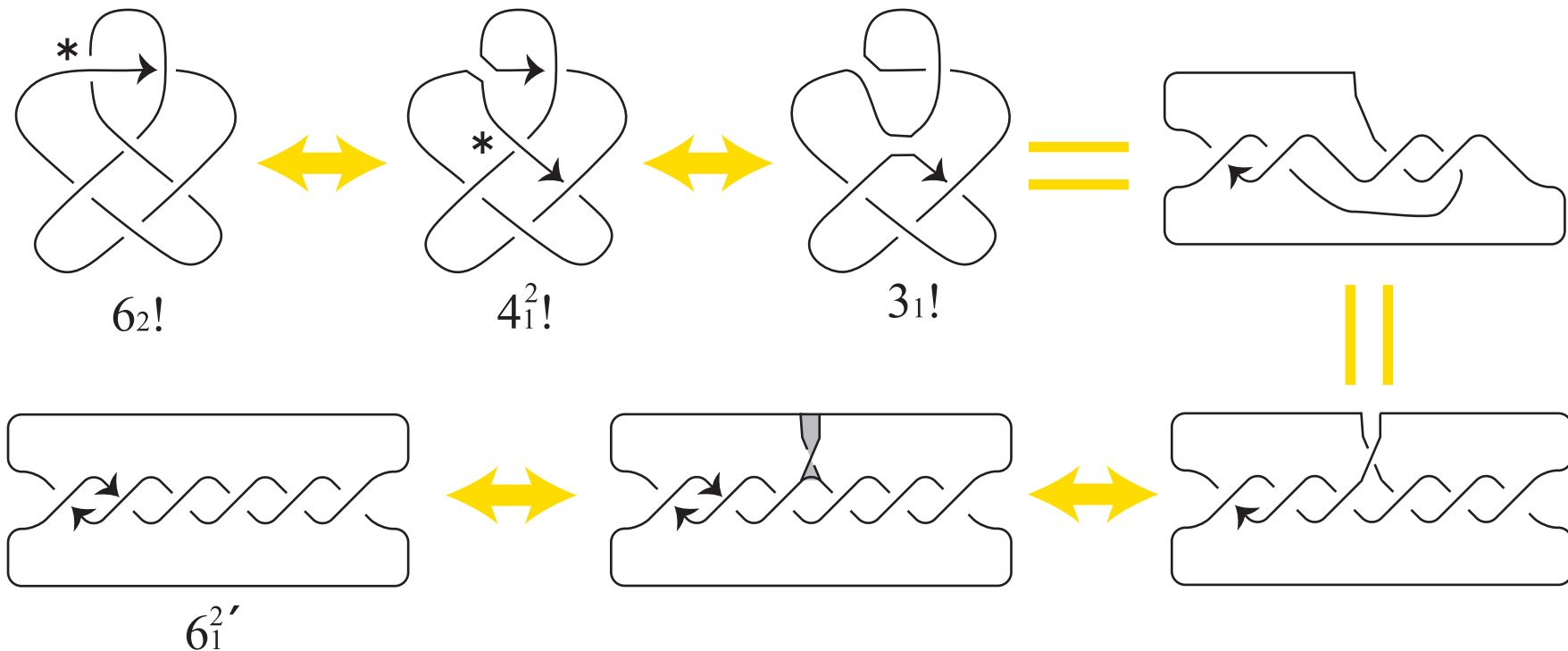
**Example.**  $d_{cb}(3_1\#\#3_1, 6_1^{2'}) = 3$ .

- $\sigma(3_1\#\#3_1) = 0, \sigma(6_1^{2'}) = -1 \Rightarrow d_{cb}(3_1\#\#3_1, 6_1^{2'}) \geq 1$ .
- $P(3_1\#\#3_1; i, i) = 4, P(6_1^{2'}; i, i) = 1,$   
 $P(3_1\#\#3_1; i, i)/P(6_1^{2'}; i, i) = 4 \Rightarrow d_{cb}(3_1, 7_1^2) > 1$ .
- $d_{cb}(3_1\#\#3_1, 6_1^{2'}) \leq 3; \quad 3_1\#\#3_1 \leftrightarrow U^2 \leftrightarrow U \leftrightarrow 6_1^{2'}$



Question.  $d_{cb}(6_2!, 6_1^{2'}) = 1 \text{ or } 3$  ?

- $d_{cb}(6_2!, 6_1^{2'}) \leq 3$ ;  $6_2! \leftrightarrow 4_1^2! \leftrightarrow 3_1! \leftrightarrow 6_1^{2'}$



A. Kawauchi showed the following:

**Proposition 4.** *Let  $T_{2k}'$  be anti-parallel  $(2, 2k)$ -torus link.*

*If  $d_{\text{cb}}(K, T_{2k}') = 1$  for a knot  $K$ , then  $\exists$  polynomial  $f(t)$  such that*

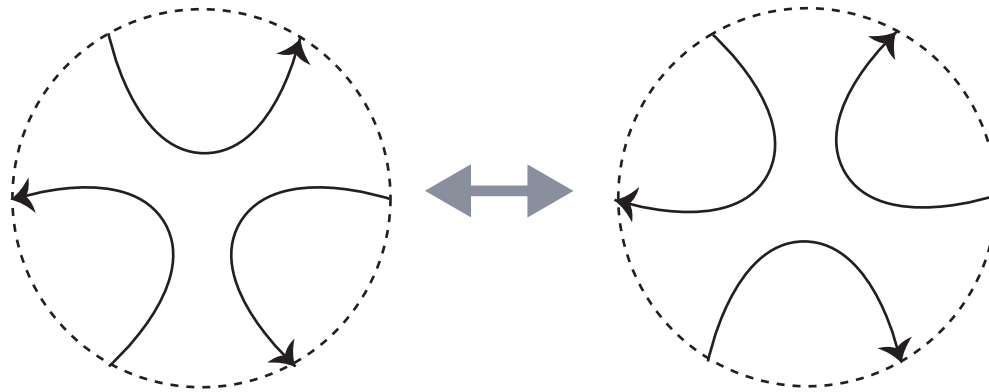
$$\Delta_K(t) \equiv \pm t^r f(t) f(t^{-1}) \pmod{k},$$

*where  $\Delta_K(t)$  is the Alexander polynomial of  $K$ ,  $r$  is some integer.*

By using Proposition 4, we  $d_{\text{cb}}(7_6, T_6') > 1$ . However, we can not detect  $d_{\text{cb}}(6_2, T_6') > 1$ .

## $SH(3)$ -move [Hoste, Nakanishi and Taniyama]

An  $SH(3)$ -move is a local change for an oriented link diagram which preserves the number of components as follows:



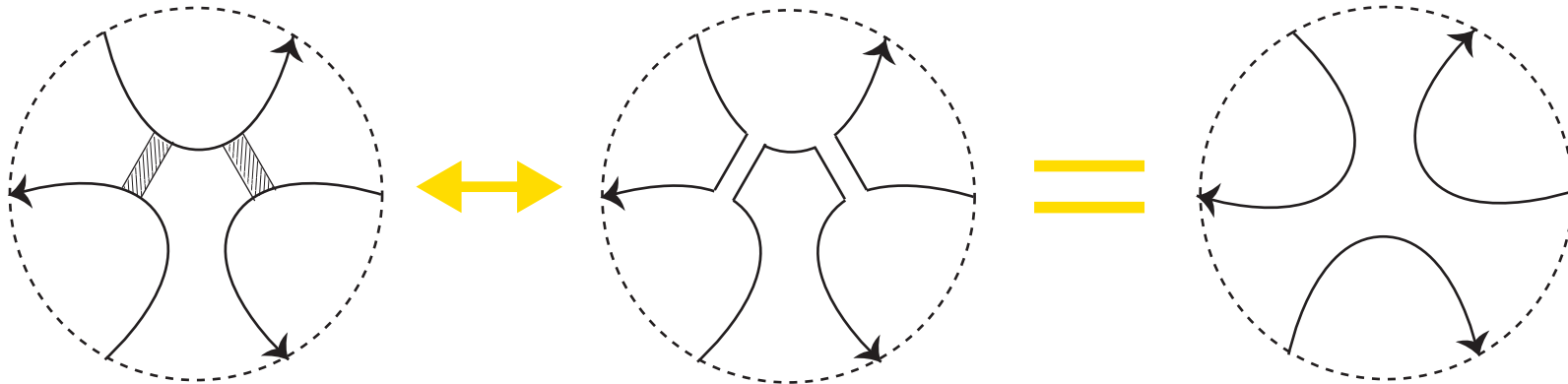
**Remark.** This move is unknotting operation.

- The  $SH(3)$ -Gordian distance from  $L$  to  $L'$ ,  $sd_3(L, L')$ , is the minimum number of  $SH(3)$ -moves that are necessary to deform  $L$  into  $L'$ .
- The  $SH(3)$ -unknotting number of  $K$ ,  $su_3(K)$ , is the  $SH(3)$ -Gordian distance from  $K$  to the trivial knot;  $su_3(K) = sd_3(K, U)$ .



## $SH(3)$ -move and coherent band surgery

An  $SH(3)$ -move is realized by a sequence of two coherent band surgeries.



**Proposition 5.** For two oriented knots  $K$  and  $K'$ ,

$$d_{cb}(K, K') = 2sd_3(K, K').$$

In particular,

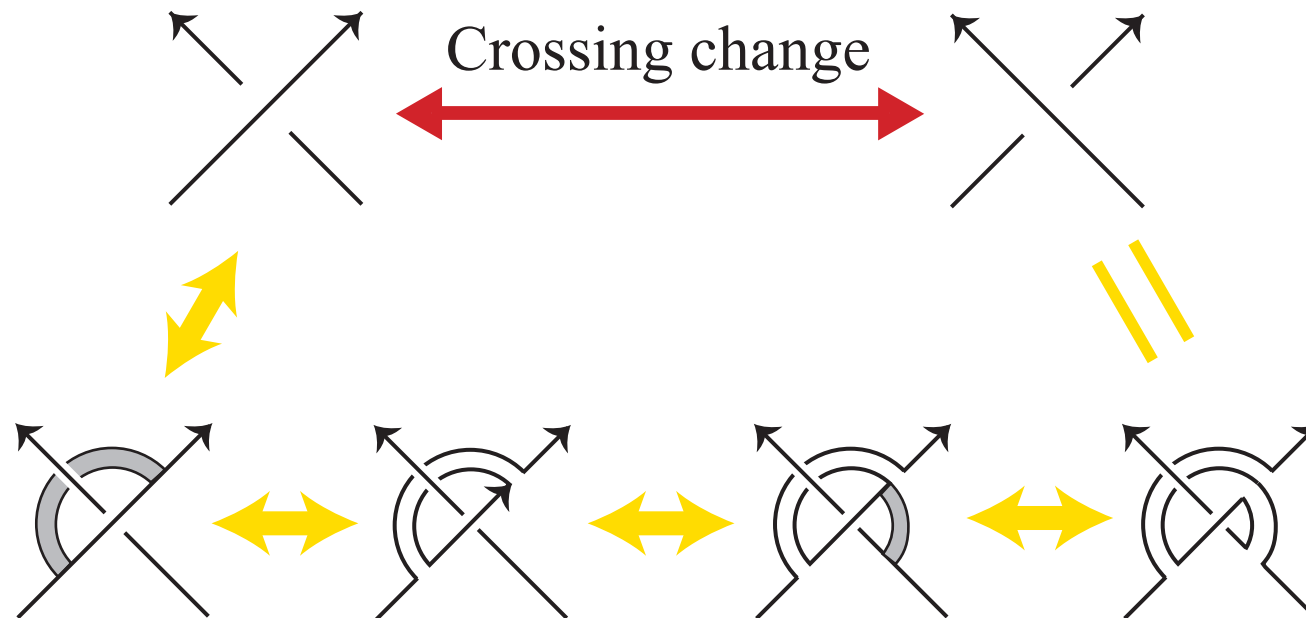
$$u_{cb}(K) = 2su_3(K).$$

T. Kanenobu,  *$SH(3)$ -move and other local moves on knots*,  
<http://www.sci.osaka-cu.ac.jp/math/OCAMI/>.

Table for  $su_3(K)$  of knots with up to 9 crossings.

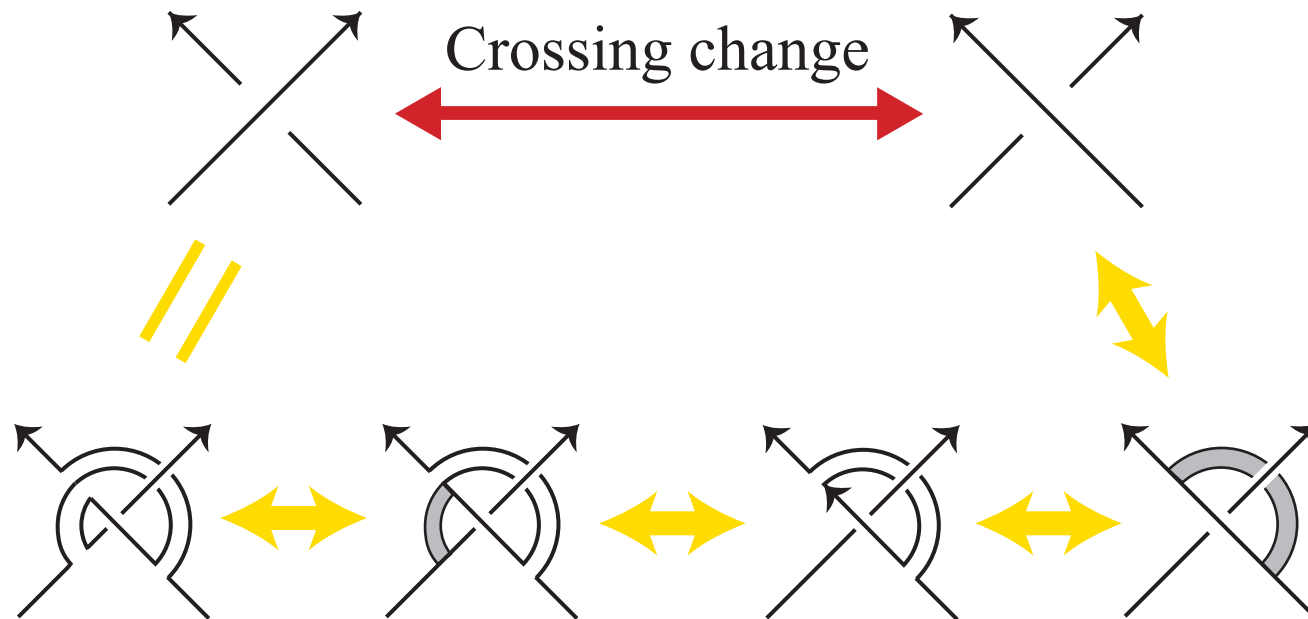
# Crossing change and coherent band surgery

An crossing change is realized by a sequence of two coherent band surgeries.



# Crossing change and coherent band surgery

An crossing change is realized by a sequence of two coherent band surgeries.



## How to make a table of $d_{\text{cb}}(K, K')$

I.  $|\sigma(K) - \sigma(K')| \leq d_{\text{cb}}(K, K') \leq 2d_G(K, K')$  ( $\leftarrow$  Gordian distance)

or

$$|\sigma(K) - \sigma(K')| \leq d_{\text{cb}}(K, K') \leq 2\text{su}_3(K) + 2\text{su}_3(K').$$

II. Find a link  $L$  with  $d_{\text{cb}}(K, L) = d_{\text{cb}}(K', L) = 1$  by applying crossing change / smoothing.

III. If  $V(K; \omega)/V(K'; \omega) \notin \{\pm 1, \pm i\sqrt{3}^{\pm 1}, 3^{\pm 1}\}$ , then  $d_{\text{cb}}(K, K') > 2$ .

( $\because$  Proposition 2.)

IV. If  $d_{\text{cb}}(K, K') = 2$  and  $V(K, \omega) = -V(K'; \omega) = \pm(i\sqrt{3})^\delta$ , then

$$V(K; -1) \equiv -V(K'; -1) \pmod{3^{\delta+1}}.$$

( $\because$  Theorem 2.)

**Pairs of knots  $K$  and  $K'$  with  $|\sigma(K) - \sigma(K')| \leq 2$  and  $d_{\text{cb}}(K, K') > 2$**

$K$	$K'$	$\sigma(K)$	$\sigma(K')$	$V(K; \omega)$	$V(K'; \omega)$
$4_1$	$3_1! \# 3_1$	0	0	-1	3
$5_2$	$3_1! \# 3_1$	2	0	-1	3
$7_6$	$3_1! \# 3_1$	2	0	-1	3
$6_2$	$3_1 \# 3_1$	2	4	1	-3
$7_2$	$3_1 \# 3_1$	2	4	1	-3
$7_3!$	$3_1 \# 3_1$	4	4	1	-3

$K$	$K'$	$\sigma(K)$	$\sigma(K')$	$V(K; \omega)$	$V(K'; \omega)$	$V(K; -1)$	$V(K'; -1)$
$6_1$	$3_1$	0	2	$i\sqrt{3}$	$-i\sqrt{3}$	9	-3
$6_1$	$7_4$	0	-2	$i\sqrt{3}$	$-i\sqrt{3}$	9	-15
$6_1$	$7_7$	0	0	$i\sqrt{3}$	$-i\sqrt{3}$	9	21
$6_1$	$3_1! \# 4_1$	0	-2	$i\sqrt{3}$	$-i\sqrt{3}$	9	-15
$7_4!$	$7_7$	2	0	$i\sqrt{3}$	$-i\sqrt{3}$	-15	21
$7_7!$	$7_7$	0	0	$i\sqrt{3}$	$-i\sqrt{3}$	21	21
$3_1 \# 4_1$	$7_7$	2	0	$i\sqrt{3}$	$-i\sqrt{3}$	-15	21

# New table of $d_{cb}(K, K')$

	$7_1$	$7_1!$	$7_2$	$7_2!$	$7_3$	$7_3!$	$7_4$	$7_4!$	$7_5$	$7_5!$	$7_6$	$7_6!$	$7_7$	$7_7!$	$3_1\#4_1$	$3_1!\#4_1$
$U$	6	6	2	2	4	4	2	2	4	4	2	2	2	2	2	2
$3_1$	4	8	2	4	6	2	4	2	2	6	2	4	2	2	2	4
$3_1!$	8	4	4	2	2	6	2	4	6	2	4	2	2	2	4	2
$4_1$	6	6	2	2	4	4	2/4	2/4	4	4	2	2	2	2	2	2
$5_1$	2	10	2	6	8	2	6	2	2	8	2	6	4	4	2	6
$5_1!$	10	2	6	2	2	8	2	6	8	2	6	2	4	4	6	2
$5_2$	4	8	2	4	6	2	4	2	2	6	2	4	2	2/4	2	4
$5_2!$	8	4	4	2	2	6	2	4	6	2	4	2	2/4	2	4	2
$6_1$	6	6	2/4	2	4	4	4	2	4	4	2/4	2	4	2	2	4
$6_1!$	6	6	2	2/4	4	4	2	4	4	4	2	2/4	2	4	4	2
$6_2$	4	8	2	4	6	2	4	2/4	2/4	6	2	4	2	2	2/4	4
$6_2!$	8	4	4	2	2	6	2/4	4	6	2/4	4	2	2	2	4	2/4
$6_3$	6	6	2	2	4	4	2/4	2/4	4	4	2	2	2	2	2	2
$3_1\#3_1$	2	10	4	6	8	4	6	2	2	8	2	6	4	4	2	6
$3_1!\#3_1!$	10	2	6	4	4	8	2	6	8	2	6	2	4	4	6	2
$3_1!\#3_1$	6	6	2/4	2/4	4	4	2/4	2/4	4	4	4	4	2	2	2	2

