# Seifert construction for nilpotent groups and Application to $S^1$ -fibred nilBott Tower

By

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#### Abstract

We shall introduce a notion of  $S^1$ -fibred nilBott tower. It is an iterated  $S^1$ -bundle whose top space is called an  $S^1$ -fibred nilBott manifold. The nilBott tower is a generalization of real Bott tower from the viewpoint of fibration. We prove that any  $S^1$ -fibred nilBott manifold is diffeomorphic to an infranilmanifold. An  $S^1$ -fibred nilBott tower defines a sequence of group extensions. We study the group extension at each stage to apply Seifert rigidity for  $S^1$ -fibred nilBott manifolds.

#### § 1. Introduction

Let M be a closed aspherical manifold which is a top space of an iterated  $S^1$ -bundles over a point:

$$(1.1) M = M_n \to M_{n-1} \to \dots \to M_1 \to \{ \text{pt} \}.$$

Suppose X is the universal covering of M and each  $X_i$  is the universal covering of  $M_i$  and put  $\pi_1(M_i) = \pi_i$  (i = 1, ..., n - 1) and  $\pi_1(M) = \pi$ .

**Definition 1.1.** An  $S^1$ -fibred nilBott tower is a sequence (1.1) which satisfies I, II and III below (i = 1, ..., n - 1). The top space M is said to be an  $S^1$ -fibred nilBott manifold (of depth n).

I.  $M_i$  is a fiber space over  $M_{i-1}$  with fiber  $S^1$ .

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II. For the group extension

$$(1.2) 1 \to \mathbb{Z} \to \pi_i \longrightarrow \pi_{i-1} \to 1$$

associated to the fiber space (I), there is an equivariant principal bundle:

$$(1.3) \mathbb{R} \to X_i \xrightarrow{p_i} X_{i-1}.$$

III. Each  $\pi_i$  normalizes  $\mathbb{R}$ .

The purpose of this paper is to announce the following result.

**Theorem 1.2.** Suppose that M is an  $S^1$ -fibred nilBott manifold.

- (I) If every cocycle of  $H^2_{\phi}(\pi_{i-1}; \mathbb{Z})$  which represents a group extension (1.2) is of finite order, then M is diffeomorphic to a Riemannian flat manifold.
- (II) If there exists a cocycle of  $H^2_{\phi}(\pi_{i-1}; \mathbb{Z})$  which represents a group extension (1.2) is of infinite order, then M is diffeomorphic to an infranilmanifold. In addition, M cannot be diffeomorphic to any Riemannian flat manifold.

#### § 2. Preliminaries

#### § 2.1. Infrahomogeneous space

Let G be a (noncompact) simply connected Lie group, and Aut(G) denote the group of automorphisms of G onto itself. Put  $A(G) = G \rtimes Aut(G)$ . A(G) becomes a group;

$$(g, \alpha) \cdot (h, \beta) = (g \cdot \alpha(h), \alpha \cdot \beta)$$

 $(g, h \in G, \alpha, \beta \in Aut(G))$ . A(G) is called the affine group of G. Here, letting X = G, an affine action (A(G), X) is obtained as follows:

$$((g, \alpha), x) = g \cdot \alpha(x).$$

Let  $H \subset \operatorname{Aut}(G)$  be a compact subgroup (for example, maximal compact subgroup, finite groups). Form a subgroup  $\operatorname{E}(G) = G \rtimes H \subset \operatorname{A}(G)$ . Consider the action  $(\operatorname{E}(G), X)$ . We note that if H is compact, then it is easy to check the following.

**Lemma 2.1** (Proper action). (E(G), X) is a proper action.

By Lemma 2.1, if  $\pi \subset E(G)$  is a discrete subgroup, we obtain a properly discontinuous action  $(\pi, X)$ .

**Definition 2.2.** The quotient space  $X/\pi$  is said to be an infrahomogeneous orbifold. When  $\pi$  has no elements of finite order,  $\pi$  is said to be torsionfree, and  $X/\pi$  is called an infrahomogeneous manifold.

# Example 2.3.

- (1) Taking the vector space  $\mathbb{R}^n$  as G it gives the usual affine group  $A(\mathbb{R}^n) = \mathbb{R}^n \times GL(n,\mathbb{R})$ . If H is a maximal compact subgroup O(n) of  $GL(n,\mathbb{R})$ , we have the euclidean group  $E(\mathbb{R}^n) = \mathbb{R}^n \times O(n)$ . A discrete uniform subgroup  $\pi$  of  $E(\mathbb{R}^n)$  is called a crystallographic group. If  $\pi \subset E(\mathbb{R}^n)$  is a torsionfree crystallographic group,  $\pi$  is called a Bieberbach group. Moreover, the infrahomogeneous space  $\mathbb{R}^n/\pi$  is an Euclidean space form, i.e. a Riemannian flat manifold.
- (2) When G is a simply connected nilpotent Lie group  $\mathcal{N}$ , for any torsionfree discrete uniform subgroup  $\pi \subset E(\mathcal{N})$ ,  $\mathcal{N}/\pi$  is called an infranilmanifold.

We have the fundamental classical result for crystallographic groups.

**Theorem 2.4** (Bieberbach first theorem). Let  $\pi \subset E(\mathbb{R}^n)$  be a crystallographic group, then  $\mathbb{R}^n \cap \pi \cong \mathbb{Z}^n$  and  $\pi/\mathbb{R}^n \cap \pi$  is a finite group.

The above theorem is extended to the almost crystallographic groups. See [4] for instance.

**Theorem 2.5** (Auslander-Bieberbach theorem). Let  $\pi$  be a torsionfree discrete uniform subgroup of  $E(\mathcal{N})$ , then  $\mathcal{N} \cap \pi$  is a maximal normal nilpotent subgroup of  $\pi$  and  $\pi/\mathcal{N} \cap \pi$  is a finite group.

#### § 3. Nil Geometry

Let

$$(3.1) 1 \to \Delta \to \pi \to F \to 1$$

be a group extension where  $\pi$  is a torsionfree group,  $\Delta$  is a torsionfree finitely generated nilpotent group, and F is a finite group. By Mal'cev's *existence* theorem, there is a (simply connected) nilpotent Lie group  $\mathcal{N}$  containing  $\Delta$  as a discrete uniform subgroup. The rest of this section is to review the following realization theorem obtained in [5].

**Theorem 3.1** (Realization). There exists a discrete faithful representation  $\rho$ :  $\pi \to E(\mathcal{N})$  such that  $\rho | \Delta = \text{id}$ . In particular,  $\mathcal{N}/\rho(\pi)$  is an infranilmanifold.

In order to prove this theorem, we need several facts. So we shall prepare them in turn.

## § 3.1. 2-cocycle

We recall the group cohomology. (Compare [10], [2] for example.)

Let G, Q be groups and  $\phi: Q \to \operatorname{Aut}(G)$  a function. Suppose there is a function  $f: Q \times Q \to G$  which satisfies that

(i) 
$$\phi(\alpha)(\phi(\beta)(n)) = f(\alpha, \beta)\phi(\alpha\beta)(n)f(\alpha, \beta)^{-1}$$

(ii) 
$$f(\alpha, 1) = f(1, \alpha) = 1$$
,

(iii) 
$$\phi(\alpha)(f(\beta, \gamma))f(\alpha, \beta\gamma) = f(\alpha, \beta)f(\alpha\beta, \gamma),$$

where  $n \in G$  and  $\alpha, \beta, \gamma \in Q$ . Then f defines a group E which is the product  $G \times Q$  with the group law:

$$(3.2) (n,\alpha)(m,\beta) = (n \cdot \phi(\alpha)(m) \cdot f(\alpha,\beta), \alpha\beta).$$

Then there is a  $\phi$ -group extension  $1 \to G \to E \xrightarrow{\nu} Q \to 1$  where  $\nu(n, \alpha) = \alpha$  and the group E is denoted by  $G \times_{(f,\phi)} Q$ .

Conversely, given a group extension  $1 \to G \to E \xrightarrow{\nu} Q \to 1$ , we can associate E with a  $\phi$ - group extension. Choose a section  $q:Q\to E$  ( $\nu\circ q=\mathrm{id}$ ), and q(1)=1. A function  $\phi:Q\to\mathrm{Aut}(G)$  is defined to be

$$\phi(\alpha)(n) = q(\alpha)nq(\alpha)^{-1} \quad (\forall \alpha \in Q, \forall n \in G).$$

Both  $q(\alpha\beta)$ ,  $q(\alpha)q(\beta)$  are mapped to  $\alpha\beta \in Q$ , so there is an element  $f(\alpha,\beta) \in G$  such that  $f(\alpha,\beta) \cdot q(\alpha\beta) = q(\alpha)q(\beta)$ . Then it is easily checked that  $f: Q \times Q \to G$  satisfies the above (i) (ii) (iii).

Let  $\operatorname{Opext}(Q, G, \phi)$  be the set of all congruence classes of  $\phi$ - group extensions. Then an element  $[f] \in \operatorname{Opext}(Q, G, \phi)$  is represented by an extension  $1 \to G \to E \to Q \to 1$  with  $E = G \times_{(f,\phi)} Q$ . It is easy to check that  $[f_1] = [f_2] \in \operatorname{Opext}(Q, A, \phi)$  if and only if there is a function  $\lambda : Q \to \mathcal{C}(G)$  such that

(3.3) 
$$f_1(\alpha,\beta) = \delta^1 \lambda(\alpha,\beta) \cdot f_2(\alpha,\beta) \quad (\forall \alpha,\beta \in Q).$$

Here C(G) is the center of G and  $\delta^1$  is defined by  $\delta^1 \lambda(\alpha, \beta) = \phi(\alpha)(\lambda(\beta))\lambda(\alpha)\lambda(\alpha\beta)^{-1}$ . For simplicity, we write it as  $f_1 = \delta^1 \lambda \cdot f_2$ .

In particular, when G is an abelian group A,  $\phi: Q \to \operatorname{Aut}(A)$  is a homomorphism and hence A is a Q-module. So there is the group cohomology  $H^2_{\phi}(Q, A)$  and f is a 2-cocycle by (iii), i.e.  $[f] \in H^2_{\phi}(Q, A)$ . Therefore any extension  $1 \to A \to E \to Q \to 1$  corresponds to a cocycle  $[f] \in H^2_{\phi}(Q, A)$ . It is easy to check the following.

**Proposition 3.2.** Suppose that A is an abelian group. Then there is a one-to-one correspondence between  $H^2_{\phi}(Q, A)$  and  $\operatorname{Opext}(Q, A, \phi)$ .

Remark. Suppose Q = F is a finite group and  $f : F \times F \to \mathbb{R}^n$  is a 2-cocycle relative to  $\phi : F \to \operatorname{Aut}(\mathbb{R}^n)$ . Put  $h : F \to \mathbb{R}^n$ ;

(3.4) 
$$h(\alpha) = \sum_{\tau \in F} f(\alpha, \tau).$$

Then

$$\begin{split} \delta^1 h(\alpha,\beta) &= \phi(\alpha)(h(\beta)) - h(\alpha\beta) + h(\alpha) \\ &= \sum_{\tau \in F} \phi(\alpha)(f(\beta,\tau)) - \sum_{\tau \in F} f(\alpha\beta,\tau) + \sum_{\tau \in F} f(\alpha,\tau) \\ &= \sum_{\tau \in F} (f(\alpha\beta,\tau) - f(\alpha,\beta\tau) + f(\alpha,\beta)) - \sum_{\tau \in F} f(\alpha\beta,\tau) + \sum_{\tau \in F} f(\alpha,\tau) \\ &= |F| f(\alpha,\beta) \end{split}$$

i.e.  $\delta^1 \frac{1}{|F|} h = f$ . It implies that

$$(3.5) H_{\phi}^2(F; \mathbb{R}^n) = 0.$$

#### § 3.2. Pushout

Let  $\pi$ ,  $\Delta$  and  $\mathcal{N}$  be as before and  $1 \to \Delta \to \pi \to Q \to 1$  a group extension which is represented by  $[f] \in \operatorname{Opext}(Q, \Delta, \phi)$ . Given a function  $\phi: Q \to \operatorname{Aut}(\Delta)$ , Mal'cev's unique extension theorem implies that each automorphism  $\phi(\alpha): \Delta \to \Delta$  extends uniquely to an automorphism  $\bar{\phi}(\alpha): \mathcal{N} \to \mathcal{N}$ . In particular, this gives a correspondence  $\bar{\phi}: Q \to \operatorname{Aut}(\mathcal{N})$ . Note that it is not necessarily a homomorphism. In general it satisfies

(3.6) 
$$\bar{\phi}(\alpha)(\bar{\phi}(\beta)(x)) = f(\alpha,\beta)\bar{\phi}(\alpha\beta)(x)f(\alpha,\beta)^{-1} \ (x \in \mathcal{N}).$$

Then the "pushout"  $\pi \mathcal{N} = \{(x, \alpha) \mid x \in \mathcal{N}, \alpha \in Q\}$  can be constructed. Its group law is defined by  $(x, \alpha) \cdot (y, \beta) = (x\bar{\phi}(\alpha)(y)f(\alpha, \beta), \alpha\beta)$ ;

This group (extension)  $\pi \mathcal{N}$  is also represented by  $[f] \in \text{Opext}(Q, \mathcal{N}, \bar{\phi})$ .

# § 3.3. Existence of the Seifert construction

Let W be a contractible smooth manifold. Suppose that a group Q acts properly discontinuously on W such that the quotient space W/Q is compact. Given a group extension:

$$(3.8) 1 \longrightarrow \Delta \longrightarrow \pi \stackrel{\nu}{\longrightarrow} Q \longrightarrow 1,$$

we shall show that there is an action of  $\pi$  on  $\mathcal{N} \times W$  which is compatible with the left translations of  $\mathcal{N}$ . Let  $\mathrm{Diff}(\mathcal{N} \times W)$  be the group of all diffeomorphisms of  $\mathcal{N} \times W$  onto itself.  $\mathcal{N}$  is a subgroup of  $\mathrm{Diff}(\mathcal{N} \times W)$  via an embedding:  $l(n)(m, \alpha) = (nm, \alpha)$ .

We denote  $\operatorname{Diff}^{\operatorname{F}}(\mathcal{N} \times W)$  the normalizer of  $l(\mathcal{N})$  in  $\operatorname{Diff}(\mathcal{N} \times W)$ . Let  $\operatorname{Map}(W, \mathcal{N})$  be the set of smooth maps from W into  $\mathcal{N}$ . Then  $\operatorname{Diff}^{\operatorname{F}}(\mathcal{N} \times W)$  coincides with the group  $\operatorname{Map}(W, \mathcal{N}) \rtimes (\operatorname{Aut}(\mathcal{N}) \times \operatorname{Diff}(W))$  with the group law:

$$(\lambda_1, g_1, h_1)(\lambda, g, h) = ((g_1 \circ \lambda \circ h_1^{-1}) \cdot \lambda_1, g_1g, h_1h)$$

and

$$(\lambda, g, h)(x, w) = (g(x) \cdot \lambda(hw), hw)$$

for  $(x, w) \in \mathcal{N} \times W$ , defines an action on  $\mathcal{N} \times W$ . See [5].

We call the set  $(\Delta, \pi, Q, W)$  a smooth data for the group extension (3.8). The following theorem is obtained in [5].

**Theorem 3.3.** For any smooth data  $(\Delta, \pi, Q, W)$ , there exists a continuous homomorphism  $\Psi : \pi \to \operatorname{Diff}^F(\mathcal{N} \times W)$  such that  $\Psi|_{\Delta} = l$ .

 $\Psi$  is called the Seifert construction of the smooth data  $(\Delta, \pi, Q, W)$ . We shall review the proof of [5].

Proof. Using the pushout (3.6) in § 3.2, if we show that there exists a continuous homomorphism  $\bar{\Psi}: \pi \mathcal{N} \to \mathrm{Diff}^F(\mathcal{N} \times W)$  such that  $\bar{\Psi}|_{\mathcal{N}} = l$ , then a Seifert construction  $\Psi: \pi \to \mathrm{Diff}^F(\mathcal{N} \times W)$  is obtained as a restriction. Suppose there exists a  $\bar{\Psi}$ . For  $(n,\alpha) \in \pi \mathcal{N}$ , if we put  $\bar{\Psi}(1,\alpha) = (\lambda,g,h) \in \mathrm{Map}(W,\mathcal{N}) \rtimes (\mathrm{Aut}(\mathcal{N}) \times \mathrm{Diff}(W))$ , then  $\bar{\Psi}(n,\alpha) = \ell(n)\bar{\Psi}(1,\alpha) = (n \cdot \lambda,g,h)$ . Then it is easy to check that

$$\bar{\Psi}(n,\alpha) = (n \cdot \lambda(\alpha), \mu(n) \circ \bar{\phi}(\alpha), \alpha)$$

where  $\lambda: Q \to \operatorname{Map}(W, \mathcal{N})$  satisfies

(3.9) 
$$f(\alpha, \beta) = (\bar{\phi}(\alpha) \circ \lambda(\beta) \circ \alpha^{-1}) \cdot \lambda(\alpha) \cdot \lambda(\alpha\beta)^{-1} \quad (\alpha, \beta \in Q),$$

where f be a function representing the group extension (3.8). Therefore to guarantee the existence of such  $\bar{\Psi}$ , we have only to find a map  $\lambda$  satisfying the condition (3.9).

Remark that if  $\mathcal{N}$  is a vector space V then  $\mathrm{Map}(W,V)$  is a topological group with Q-action by

(3.10) 
$$\alpha \cdot \lambda(w) = \bar{\phi}(\alpha)(\lambda(\alpha^{-1}w)).$$

So we have a group cohomology  $H^2_{\bar{\phi}}(Q, \operatorname{Map}(W, V))$ . First note that  $H^2_{\bar{\phi}}(Q, \operatorname{Map}(W, V)) = 0$  for any vector space V. This vanishing is obtained by using Shapiro's lemma. (See [3], page 251, Lemma 8.4.)

By induction, we suppose that the statement is true for any nilpotent Lie group whose dimension is less than dim  $\mathcal{N}$ . Let  $\mathcal{C}$  be the center of  $\mathcal{N}$  and put  $\mathcal{N}_1 = \mathcal{N}/\mathcal{C}$ ,  $\pi \mathcal{N}_1 = \pi \mathcal{N}/\mathcal{C}$ . Consider the group extension

$$(3.11) \qquad 1 \longrightarrow \mathcal{N} \longrightarrow \pi \mathcal{N} \xrightarrow{\nu} Q \longrightarrow 1$$

$$\downarrow^{p} \qquad \downarrow^{p} \qquad \parallel$$

$$1 \longrightarrow \mathcal{N}_{1} \longrightarrow \pi \mathcal{N}_{1} \xrightarrow{\nu_{1}} Q \longrightarrow 1,$$

with a section  $q_1 = p \circ q$  of  $\nu_1$  where q is a section to  $\nu$ . The section  $q_1$  determines  $f_1: Q \times Q \to \mathcal{N}_1$  and  $\bar{\phi}_1: Q \to \operatorname{Aut}(\mathcal{N}_1)$  as in §3.1. We suppose by induction on the dimension of  $\mathcal{N}$  that there exists  $\lambda_1: Q \to \operatorname{Map}(W, \mathcal{N}_1)$  such that

$$f_1(\alpha,\beta) = (\bar{\phi}_1(\alpha) \circ \lambda_1(\beta) \circ \alpha^{-1}) \cdot \lambda_1(\alpha) \cdot \lambda_1(\alpha\beta)^{-1}$$

Choose any lift  $\lambda': Q \to \operatorname{Map}(W, \mathcal{N})$  of  $\lambda_1$  so that  $\lambda_1 = p \circ \lambda'$ . Put

$$g(\alpha,\beta) = (\bar{\phi}(\alpha) \circ \lambda'(\beta) \circ \alpha^{-1}) \cdot \lambda'(\alpha) \cdot \lambda'(\alpha\beta)^{-1},$$

then there exists an element  $c(\alpha, \beta) \in \operatorname{Map}(W, \mathcal{C})$  such that

$$f(\alpha, \beta) = c(\alpha, \beta) \cdot g(\alpha, \beta).$$

Since both f and g satisfy (iii) in §3.1, c is also a 2-cocycle i.e.  $[c] \in H^2_{\bar{\phi}}(Q, \operatorname{Map}(W, \mathcal{C}))$  which vanishes because  $\mathcal{C}$  is a vector space. So there is a function  $\eta: Q \to \operatorname{Map}(W, \mathcal{C})$  such that

$$c(\alpha, \beta) = (\bar{\phi}_1(\alpha) \circ \eta(\beta) \circ \alpha^{-1}) \cdot \eta(\alpha) \cdot \eta(\alpha\beta)^{-1}.$$

Put 
$$\lambda = \eta \cdot \lambda' : Q \to \operatorname{Map}(W, \mathcal{N})$$
, then  $\lambda$  satisfies (3.9).

Remark. Let  $1 \to \mathbb{Z} \to \pi_i \longrightarrow \pi_{i-1} \to 1$  be a group extension as in (1.2). Then  $\pi_i$  acts on the universal cover  $X_i$  of  $M_i$  as freely. Assume that  $\Psi_i : \pi_i \to \text{Diff}(X_i)$  is the representation homomorphism for this action  $(\pi_i, X_i)$ , then  $\Psi_i : \pi_i \to \Psi_i(\pi_i)$  is the Seifert construction of the smooth data  $(\mathbb{Z}, \pi_i, \pi_{i-1}, X_{i-1})$ .

## § 3.4. Infranilmanifold

Let  $(\Delta, \pi, F, \{pt\})$  be a smooth data with finite group F and f a function representing the given group extension  $1 \to \Delta \to \pi \to F \to 1$ . In the same way as the proof of Theorem 3.3, we can obtain a 1-chain  $\chi : F \to \mathcal{N}$  such that  $f = \delta^1 \chi$ ;

(3.12) 
$$f(\alpha,\beta) = \bar{\phi}(\alpha)(\chi(\beta))\chi(\alpha)\chi(\alpha\beta)^{-1} \ (\alpha,\beta \in F).$$

We shall repeat the construction of  $\chi$  for our use. Let  $\bar{f}: F \times F \to \mathcal{N}/\mathcal{C}$  be a function which represents  $1 \to \mathcal{N}_1 \to \pi \mathcal{N}_1 \to F \to 1$ , then we suppose  $\bar{f} = \delta^1 \bar{\lambda}$  for some function  $\bar{\lambda}: F \to \mathcal{N}/\mathcal{C}$  by induction. Choose a lift  $\lambda: F \to \mathcal{N}$  of  $\bar{\lambda}$ . It is easy to see the function  $g = f \cdot (\delta^1 \lambda)^{-1}$  is a cocycle lying in  $\mathcal{C}$ , that is  $[g] \in H^2_{\bar{\phi}}(F,\mathcal{C})$ . As  $H^2_{\bar{\phi}}(F,\mathcal{C}) = 0$  from (3.5), there is a map  $\mu: F \to \mathcal{C}$  such that  $\delta^1 \mu = g$ . Then  $f = \delta^1(\mu \cdot \lambda)$  and the 1-chain  $\chi$  denoted by  $\mu \cdot \lambda$ .

Now define an automorphism of  $\mathcal{N}$   $h(\alpha): \mathcal{N} \to \mathcal{N}$  for each  $\alpha \in F$  to be

$$h(\alpha)(x) = \chi(\alpha)^{-1} \cdot \bar{\phi}(\alpha)(x) \cdot \chi(\alpha) \ (x \in \mathcal{N}).$$

Using (3.6), we can prove that  $h(\alpha\beta) = h(\alpha)h(\beta)$  for  $\alpha, \beta \in F$ . Therefore  $h : F \to \operatorname{Aut}(\mathcal{N})$  is a homomorphism. Since  $\operatorname{Aut}(\mathcal{N})$  is a noncompact Lie group, it has a maximal compact group  $\mathcal{K}$ . Then the finite subgroup h(F) is conjugate to a subgroup of  $\mathcal{K}$ . We can assume that  $h(F) \subset \mathcal{K}$ .

Define  $\rho: \pi \to E(\mathcal{N})$  to be

(3.13) 
$$\rho((n,\alpha)) = (n\chi(\alpha), h(\alpha)) \ (n \in \Delta, \alpha \in F).$$

It is easy to check that  $\rho$  is a homomorphism. We define an action of  $\pi$  on  $\mathcal{N}$  to be

$$(3.14) \qquad ((n,\alpha),x) = \rho(n,\alpha)(x) = n\bar{\phi}(\alpha)(x)\chi(\alpha) \quad ((n,\alpha) \in \pi).$$

Theorem 3.1 is obtained by the following proposition.

**Proposition 3.4.** The action  $(\pi, \mathcal{N})$  is a properly discontinuous free action. In particular,  $\rho$  is a faithful representation.

*Proof.* First note that  $\rho|_{\Delta} = id$ , so  $\Delta$  is contained in  $\rho(\pi)$ . Since  $\Delta$  acts as left translations of  $\mathcal{N}$  from (3.13), it acts properly discontinuously and freely. Moreover since  $\Delta$  is a finite index subgroup of  $\rho(\pi)$  from (3.1),  $\rho(\pi)$  acts properly discontinuously on  $\mathcal{N}$ .

Let  $(n, \alpha) \in \text{Ker } \rho$  be an element of  $\pi$ . Then  $((n, \alpha), x) = x \ (\forall x \in \mathcal{N})$  by (3.14). As  $\pi$  acts properly discontinuously,  $(n, \alpha)$  is of finite order. On the other hand,  $\pi$  is torsionfree, we obtain  $(n, \alpha) = 1$  and so  $\rho$  is faithful.

The following remark shows that  $\rho$  is a Seifert construction (cf. Theorem 3.3).

*Remark.* Let  $A(\mathcal{N})^*$  be a group which is the product  $\mathcal{N} \times Aut(\mathcal{N})$  with the group law:

$$(n, \alpha) \cdot (m, \beta) = (\alpha(m) \cdot n, \alpha \cdot \beta)$$

for  $n, m \in \mathcal{N}$ , and  $\alpha, \beta \in \text{Aut}(\mathcal{N})$ . The action  $(A(\mathcal{N})^*, \mathcal{N})$  is obtained as follows:

$$((n,\alpha),x) = \alpha(x) \cdot n$$

for  $x \in \mathcal{N}$ . Then there is an isomorphism  $\delta : A(\mathcal{N})^* \to A(\mathcal{N})$  defined by  $\delta(n,\alpha) = (n, \mu(n^{-1})(\alpha))$ . Here  $\mu : \mathcal{N} \to \operatorname{Aut}(\mathcal{N})$  is the conjugation homomorphism:  $\mu(n)(x) = nxn^{-1}$ . It is easily checked that

$$((n,\alpha),x) = (\delta(n,\alpha),x)$$

This shows that the affine action  $(A(\mathcal{N}), \mathcal{N})$  coincides with the above action  $(A(\mathcal{N})^*, \mathcal{N})$ .

Remark. There is a commutative diagram.

By the theorem of Auslander-Bieberbach,  $\mathcal{N} \cap \rho(\pi)$  is a maximal normal nilpotent subgroup of  $\rho(\pi)$ . Note that  $\Delta \subset \mathcal{N} \cap \rho(\pi)$ , so if  $\Delta$  is maximal, then  $\Delta = \mathcal{N} \cap \rho(\pi)$ .

#### § 3.5. Seifert rigidity

Let  $\Delta_i$  be a discrete uniform subgroup of a simply connected nilpotent Lie group  $\mathcal{N}_i$  (i=1,2) respectively. Let  $\Psi_1$ ,  $\Psi_2$  be Seifert constructions for smooth data  $(\Delta_1, \pi_1, Q_1, W_1)$ ,  $(\Delta_2, \pi_2, Q_2, W_2)$  respectively. Suppose there exists an isomorphism  $\theta: \pi_1 \to \pi_2$  inducing isomorphisms  $\bar{\theta}: \Delta_1 \to \Delta_2$ ,  $\hat{\theta}: Q_1 \to Q_2$ . Furthermore  $(Q_1, W_1)$  is equivariantly diffeomorphic to  $(Q_2, W_2)$ , with respect to  $\hat{\theta}$ . Then Seifert rigidity shows that  $(\Psi_2(\pi_1), \mathcal{N}_1 \times W_1)$  is equivariantly diffeomorphic to  $(\Psi_1(\pi_2), \mathcal{N}_2 \times W_2)$ . See [5], page 441.

# § 4. $S^1$ -fibred nilBott tower

This section is to give an idea of proof of Theorem 1.2. The details will appear in [13]. (See also [6].) Let  $M_i$  be an  $S^1$ -fibred nilBott manifold (i = 1, ..., n). Let

$$(4.1) 1 \to \mathbb{Z} \to \pi_i \longrightarrow \pi_{i-1} \to 1,$$

be a group extension associated with a fiber space:

$$(4.2) S^1 \to M_i \to M_{i-1}.$$

The conjugate by each element of  $\pi_i$  defines a homomorphism  $\phi: \pi_{i-1} \to \operatorname{Aut}(\mathbb{Z}) = \{\pm 1\}$ , so that the above group extension represents a 2-cocycle in  $H^2_{\phi}(\pi_{i-1}; \mathbb{Z})$ . Then we can find the commutative diagram of central extensions for each i:

where  $\tilde{\Delta}_i$  and  $\Delta_{i-1}$  are torsionfree finitely generated normal nilpotent subgroups of finite index in  $\pi_i$  and  $\pi_{i-1}$  respectively. And  $\tilde{\mathcal{N}}_i$ ,  $\mathcal{N}_{i-1}$  are simply connected nilpotent Lie groups containing  $\tilde{\Delta}_i$  and  $\Delta_{i-1}$  as a discrete cocompact subgroup, respectively:

From Theorem 3.1 (see [5]) there exists a faithful representation

for which  $\rho_i|_{\tilde{\Delta}_i} = \text{id}$  and the quotient  $\tilde{\mathcal{N}}_i/\rho_i(\pi_i)$  is an infranilmanifold. On the other hand, (4.5) induces the following group extension:

Since  $\tilde{\Delta}_i$  centralizes  $\mathbb{Z}$ ,  $\tilde{\mathcal{N}}_i$  centralizes  $\mathbb{R}$  in (4.3). And  $\hat{\rho}_i$  is a monomorphsim from  $\pi_{i-1}$  into  $E(\mathcal{N}_{i-1})$ . Thus we have two Seifert fibrations

$$(\mathbb{Z},\mathsf{R}) \to (\rho_i(\pi_i),\tilde{\mathcal{N}}_i) \xrightarrow{\nu_i} (\hat{\rho}_i(\pi_{i-1}),\mathcal{N}_{i-1})$$

and

$$(\mathbb{Z},\mathsf{R}) \to (\pi_i,X_i) \xrightarrow{p_i} (\pi_{i-1},X_{i-1})$$

(cf. (1.3)).

By induction, assume that the isomorphism  $\hat{\rho}$  induces an equivariant diffeomorphism of  $(\pi_{i-1}, X_{i-1})$  onto  $(\hat{\rho}_i(\pi_{i-1}), \mathcal{N}_{i-1})$ . Then Seifert rigidity implies that  $(\pi_i, X_i)$  is equivariantly diffeomorphic to  $(\rho_i(\pi_i), \tilde{\mathcal{N}}_i)$ . Let  $M = X_n/\pi_n$ . As a consequence, M is diffeomorphic to an infranilmanifold  $\tilde{\mathcal{N}}_n/\rho_n(\pi_n)$ .

We conclude that any  $S^1$ -fibred nilBott manifold M is diffeomorphic to an infranilmanifold. According to Cases I, II stated in Theorem 1.2, we prove that  $\tilde{\mathcal{N}}_n$  is isomorphic to a vector space or  $\tilde{\mathcal{N}}_n$  is a nilpotent Lie group but not a vector space respectively (cf. [13]).

In order to study  $S^1$ -fibred nilBott manifolds further, we introduce the following definition:

**Definition 4.1.** If an  $S^1$ -fibred nilBott manifold M satisfies Case I (respectively Case II) of Theorem 1.2, then M is said to be an  $S^1$ -fibred nilBott manifold of finite type (respectively of infinite type). Apparently there is no intersection between finite type and infinite type. And  $S^1$ -fibred nilBott manifolds are of finite type until dimension 2.

Remark. Let M be an  $S^1$ -fibred nilBott manifold of finite type, then  $\rho(\pi)$  is a Bieberbach group (cf. Theorem 1.2). By the Bieberbach Theorem,  $\rho(\pi)$  satisfies a group extension

$$(4.7) 1 \to \mathbb{Z}^n \to \rho(\pi) \longrightarrow H \to 1$$

where  $\mathbb{Z}^n = \rho(\pi) \cap \mathbb{R}^n$ , and H is the holonomy group of  $\rho(\pi)$ . By Proposition 3.4, we may identify  $\rho(\pi)$  with  $\pi$  whenever  $\pi$  is torsionfree.

The following Proposition 4.2 and Corollary 4.3 have been proved. See [13] for details.

**Proposition 4.2.** Suppose M is an  $S^1$ -fibred nilBott manifold of finite type. Then the holonomy group of  $\pi$  is isomorphic to the power of cyclic group of order two  $(\mathbb{Z}_2)^s$  in  $(0 \le s \le n)$ .

Corollary 4.3. Each  $S^1$ -fibred nilBott manifold of finite type M admits a homologically injective  $T^k$ -action where  $k = \operatorname{Rank} H_1(M)$ . Moreover, the action is maximal, i.e.  $k = \operatorname{Rank} C(\pi)$ .

# § 4.1. $S^1$ -fibred nilBott manifolds of depth 3 (Case I).

By the definition of  $S^1$ -fibred nilBott manifold  $M_n$  of depth n,  $M_2$  is either a torus or a Klein bottle. In particular,  $M_2$  is a Riemannian flat manifold. A 3-dimensional  $S^1$ -fibred nilBott manifold  $M_3$  is either a Riemannian flat manifold or an infranil-Heisenberg manifold in accordance with the cases I (finite type) or II (infinite type) of Theorem 1.2.

On the other hand, there are 10-isomorphism classes  $\mathcal{G}_1, \ldots, \mathcal{G}_6, \mathcal{B}_1, \ldots, \mathcal{B}_4$  of 3-dimensional Riemannian flat manifolds. (Refer to Wolf [18] for the classification of 3-dimensional Riemannian flat manifolds.) Among these, real Bott manifolds consist of 4;  $\mathcal{G}_1, \mathcal{G}_2, \mathcal{B}_1, \mathcal{B}_3$ . (See [15].) We shall show that  $\mathcal{B}_2, \mathcal{B}_4$  are  $S^1$ -fibred nilBott manifolds.

 $\mathcal{B}_2$ :  $T^3/\mathbb{Z}_2$  whose holonomy group  $\mathbb{Z}_2 = \langle \alpha \rangle$  acts on  $T^3$ ;

$$\alpha(z_1, z_2, z_3) = (-z_1 z_3, z_2 z_3, \bar{z}_3).$$

Define an  $S^1$ -action on  $T^3$  by

$$t(z_1, z_2, z_3) = (tz_1, tz_2, z_3).$$

Then it is easy to see that the  $S^1$ -action induces an  $S^1$ -action on  $T^3/\mathbb{Z}_2$  naturally. This gives a principal bundle

$$S^1 \to T^3/\mathbb{Z}_2 \longrightarrow K$$

where K is a Klein bottle. So the tower of  $S^1$ -fiber bundles

$$T^3/\mathbb{Z}_2 \to K \to S^1 \to \{ \mathrm{pt} \}$$

is an  $S^1$ -fibred nilBott tower.

 $\mathcal{B}_4$ :  $T^3/(\mathbb{Z}_2)^2$  whose holonomy group  $(\mathbb{Z}_2)^2 = \langle \alpha, \beta \rangle$  acts on  $T^3$ ;

$$\alpha(z_1, z_2, z_3) = (-z_1, \bar{z}_2, \bar{z}_3),$$

$$\beta(z_1, z_2, z_3) = (z_1, -z_2, -\bar{z}_3).$$

Denote an action of  $(\mathbb{Z}_2)^2$  on  $T^2$  by

$$\hat{\alpha}(z_1, z_2) = (-z_1, \overline{z}_2),$$

$$\hat{\beta}(z_1, z_2) = (z_1, -z_2).$$

The quotient manifold is the Klein bottle  $T^2/(\mathbb{Z}_2)^2 = (S^1 \times \mathbb{RP}^1)/\mathbb{Z}_2 = K$ . The projection  $P(z_1, z_2, z_3) = (z_1, z_2)$  is equivariant with respect to the  $(\mathbb{Z}_2)^2$ -action on  $T^2$ . So the tower

$$T^3/(\mathbb{Z}_2)^2 \to K \to S^1 \to \{ \mathrm{pt} \}$$

is an  $S^1$ -fibred nilBott tower.

**Proposition 4.4.** The 3-dimensional  $S^1$ -fibred nilBott manifold of finite type are those of  $\mathcal{G}_1$ ,  $\mathcal{G}_2$ ,  $\mathcal{B}_1$ ,  $\mathcal{B}_2$ ,  $\mathcal{B}_3$ ,  $\mathcal{B}_4$ .

Proof. As any real Bott manifold is an  $S^1$ -fibred nilBott manifold of finite type (cf. [7]), consider Reimannian flat manifolds  $\mathcal{G}_3$ ,  $\mathcal{G}_4$ ,  $\mathcal{G}_5$ ,  $\mathcal{G}_6$ ,  $\mathcal{B}_2$ ,  $\mathcal{B}_4$  which are not real Bott manifolds. Since holonomy groups are the product of  $\mathbb{Z}_2$  by Proposition 4.2, the remaining cases are either  $\mathcal{G}_6$ ,  $\mathcal{B}_2$ , or  $\mathcal{B}_4$  from the list [18]. Moreover, by Corollary 4.3, an  $S^1$ -fibred nilBott manifold M of finite type admits a homologically injective  $T^k$ -action for  $k = \operatorname{Rank} H_1(M)$  ( $k \geq 1$ ). In particular,  $\mathbb{Z}^k$  is a direct summand of  $H_1(M)$ . By the classification of the first homology (cf. [18]),  $H_1(M;\mathbb{Z}) = \mathbb{Z}_4 + \mathbb{Z}_4$  for  $\mathcal{G}_6$ . So it cannot admit a structure of  $S^1$ -fibred nilBott manifolds. For Riemannian flat 3-manifolds corresponding to  $\mathcal{B}_2$  and  $\mathcal{B}_4$ , we have shown that they admit  $S^1$ -fibred nilBott tower.

# § 4.2. $S^1$ -fibred nilBott manifolds of depth 3 (CaseII).

The 3-dimensional simply connected nilpotent Lie group  $\mathcal{N}$  is isomorphic to the Heisenberg Lie group  $N_3$  which is the product  $R \times \mathbb{C}$  with group law:

$$(x,z)\cdot(y,w)=(x+y-\mathrm{Im}\bar{z}w,z+w).$$

Then the maximal compact Lie subgroup of Aut $(N_3)$  is U(1)  $\forall \langle \tau \rangle$  which acts on  $N_3$ 

(4.8) 
$$e^{\mathbf{i}\theta}(x,z) = (x, e^{\mathbf{i}\theta}z) \ (e^{\mathbf{i}\theta} \in \mathrm{U}(1)),$$
$$\tau(x,z) = (-x,\bar{z}).$$

A 3-dimensional compact infranilmanifold is obtained as a quotient  $N_3/\Gamma$  where  $\Gamma$  is a torsionfree discrete uniform subgroup of  $E(N_3) = N_3 \rtimes (U(1) \rtimes \langle \tau \rangle)$ . (See [4].)

Let

$$S^1 \to M_3 \to M_2$$

be an  $S^1$ -fibred nilBott manifold of infinite type which has a group extension  $1 \to \mathbb{Z} \to \pi_3 \to \pi_2 \to 1$ . Since  $R \subset N_3$  is the center of  $N_3$ , there is a commutative diagram of central extensions:

(cf. (4.3)). Using this, we obtain an embedding:

Note that  $\mathbb{C} \rtimes (\mathrm{U}(1) \rtimes \langle \tau \rangle) = \mathbb{R}^2 \rtimes \mathrm{O}(2) = \mathrm{E}(2)$ . Since  $\mathsf{R} \cap \pi_3 = \mathbb{Z}$  from (4.10),  $\hat{\rho}(\pi_2)$  is a Bieberbach group in  $\mathrm{E}(2)$  so that  $\mathbb{R}^2/\hat{\rho}(\pi_2)$  is either  $T^2$  or K.

We shall consider the following two cases.

Case (i): The holonomy group of  $\pi_3$  is trivial.

Let  $k \in \mathbb{Z}$  and define  $\Delta(k)$  to be a subgroup of  $N_3$  generated by

$$c = (2k, 0), a = (0, k), b = (0, k\mathbf{i}).$$

Put  $Z = \langle c \rangle$  which is a central subgroup of  $\Delta(k)$ . It is easy to see that

$$[a,b] = c^{-k}.$$

Since R is the center of  $N_3$ , we have a principal bundle

$$S^1 = \mathsf{R}/\mathsf{Z} \to N_3/\Delta(k) \longrightarrow \mathbb{C}/\mathbb{Z}^2.$$

Then the euler number of the fibration is  $\pm k$ . (See [12] for example.)

Case (ii): The holonomy group is nontrivial.

Let  $\Gamma(k)$  be a subgroup of  $E(N_3)$  generated by

$$n = ((k,0),I), \ \alpha = \left((0,\frac{k}{2}),\tau\right), \ \beta = ((0,k\mathbf{i}),I).$$

Note that  $\alpha^2 = ((0, k), I)$ . Then it is easy to check that

(4.12) 
$$\alpha n \alpha^{-1} = n^{-1}, \ \alpha \beta \alpha^{-1} = n^k \beta^{-1}, \ \beta n \beta^{-1} = n.$$

Then  $M_3 = N_3/\Gamma(k)$  is an  $S^1$ -fibred nilBott manifold:

$$S^1 \to N_3/\Gamma(k) \to K$$

where  $S^1 = R/\langle n \rangle$  is the fiber (but not an action).

**Proposition 4.5.** A 3-dimensional  $S^1$ -fibred nilBott manifold  $M_3$  of infinite type is either a Heisenberg nilmanifold  $N_3/\Delta(k)$  or an infranilmanifold  $N_3/\Gamma(k)$ .

The details of Proposition 4.5 will appear in [13]. Propositions 4.4 and 4.5 are also obtained independently by Lee and Masuda (cf. [11]).

Remark. Originally, the  $3 \times 3$ -unipotent upper traingular matrices N is called the Heisenberg nilpotent Lie group. Of course  $N_3$  is isomorphic to N. We use  $N_3$  because it is easy to see the automorphism group  $E(N_3)$ .

# § 5. Further remarks

Let  $Q = \pi_1(K)$  be the fundamental group of the Klein bottle K. Q has a presentation:

(5.1) 
$$\{g, h \mid ghg^{-1} = h^{-1}\}.$$

A group extension  $1 \to \mathbb{Z} \to \pi \to G \to 1$  for any 3-dimensional  $S^1$ -fibred nilBott manifold over K represents a 2-cocycle in  $H^2_\phi(Q,\mathbb{Z})$  for some representation  $\phi$ . Conversely, given a representation  $\phi$ , we prove in [13] that any elements of  $H^2_\phi(Q,\mathbb{Z})$  can be realized as an  $S^1$ -fibred nilBott manifold, we have obtained the following table.

		Case 1	Case2	Case3	Case4
	$H^2_\phi(Q,\mathbb{Z})$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb Z$	$\mathbb{Z}_2$
	[f] = 0	$\pi_1(\mathcal{B}_1)$	$\pi_1(\mathcal{B}_3)$	$\pi_1(\mathcal{G}_2)$	$\pi_1(\mathcal{B}_3)$
$\pi_1(M)$	$[f] \neq 0$ : torsion	$\pi_1(\mathcal{B}_2)$	$\pi_1(\mathcal{B}_4)$	-	$\pi_1(\mathcal{B}_4)$
	$[f] \neq 0$ : torsionfree	-	-	$\Gamma(k)$	-

Here

Case1.:  $\phi(g) = 1$ ,  $\phi(h) = 1$ ,

Case2.:  $\phi(g) = 1$ ,  $\phi(h) = -1$ ,

Case 3.:  $\phi(q) = -1, \ \phi(h) = 1,$ 

Case4.:  $\phi(g) = -1$ ,  $\phi(h) = -1$ .

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