

## GENERALIZED SCHUR OPERATORS ON PLANAR BINARY TREES

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ABSTRACT. We introduce new families of operators on the vector space spanned by rooted planar binary trees. We prove that they are generalized Schur operators. For this purpose, we construct a correspondence, which is an extension of Fomin's  $r$ -correspondence.

### 1. INTRODUCTION

Young's lattice is a prototypical example of differential posets introduced by Stanley [11, 12]. A standard Young tableau can be identified with a path in Young's lattice. Under this identification, the Robinson correspondence is a bijection between permutations and some pairs of paths in Young's lattice. The correspondence was generalized for differential posets, and further for dual graphs (generalizations of differential posets [2]) by Fomin [1, 3] (see also [10]). His method is as follows. The up and down operators  $U$  and  $D$  of  $r$ -dual graphs satisfy the relation  $DU - UD = rI$ , which implies some local correspondences, called  $r$ -correspondences. By piecing them together, we can construct global correspondences, which are the Robinson correspondence in special cases. In this sense, paths in differential posets or dual graphs are analogues of standard Young tableaux with respect to the Robinson correspondence.

A bijection between certain matrices and pairs of semi-standard tableaux is known as the Robinson-Schensted-Knuth correspondence. In [4], Fomin introduced generalized Schur operators, and generalized the method of the Robinson correspondence to that of the Robinson-Schensted-Knuth correspondence. Roughly speaking, generalized Schur operators are collections of up and down operators with some commutation relations (see Definition 2.1). The relations mean some local correspondences, which are extensions of  $r$ -correspondences. Again, by piecing them together, we can construct global correspondences, which are the Robinson-Schensted-Knuth correspondence in special cases. Equivalently, the Robinson-Schensted-Knuth correspondence, one of the most important combinatorial properties of semi-standard Young tableaux, is induced from the relations of generalized Schur operators.

In this paper, we consider the vector space spanned by rooted planar binary trees. We introduce new families of linear operators on the

space, which have a relationship with some labellings on rooted planar binary trees. We show that they are generalized Schur operators by constructing an extension of an  $r$ -correspondence. As applications, we can generalize the Loday-Ronco correspondence, which is a bijection between permutations and pairs of labeling on planar binary trees, by the Fomin's method. In addition, we can show Pieri formula and Cauchy identity for weighted generating functions of labellings on planar binary trees. Those generating functions are commutativizations of basis elements of the Hopf algebra called Loday-Ronco algebra.

## 2. PRELIMINARIES

In this section, we define our main objects. In Subsection 2.1, we recall the definition of generalized Schur operators introduced by Fomin [4]. We recall the definition of rooted planar binary trees and labellings on them in Subsection 2.2, and then we introduce linear operators on the vector space whose basis is the set of rooted planar binary trees in Subsection 2.3.

**2.1. Generalized Schur Operators.** Let  $K$  be a field of characteristic zero that contains all formal power series of variables  $t, t', t_1, t_2, \dots$ . Let  $V_i$  be finite-dimensional  $K$ -vector spaces for all  $i \in \mathbb{Z}$ . Fix a basis  $Y_i$  of each  $V_i$  so that  $V_i = KY_i$ . Let  $Y = \coprod_i Y_i$ ,  $V = \bigoplus_i V_i$  and  $\widehat{V} = \prod_i V_i$ . For a sequence  $\{A_i\}$  and a formal variable  $x$ ,  $A(x)$  denotes the generating function  $\sum_{i \geq 0} A_i x^i$ .

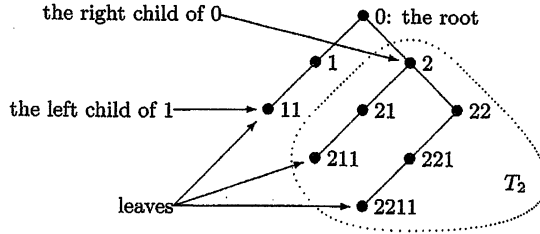
**Definition 2.1.** We call  $D(t_1) \cdots D(t_n)$  and  $U(t_n) \cdots U(t_1)$  *generalized Schur operators with  $\{a_m\}$*  if the following conditions are satisfied:

- $\{a_m\}$  is a sequence of elements of  $K$ .
- $U_i$  is a linear map on  $V$  satisfying  $U_i(V_j) \subset V_{j+i}$  for all  $j$ .
- $D_i$  is a linear map on  $V$  satisfying  $D_i(V_j) \subset V_{j-i}$  for all  $j$ .
- The equation  $D(t')U(t) = a(tt')U(t)D(t')$  holds.

**2.2. Rooted Planar Binary Trees.** Let  $F$  be the monoid of words generated by the alphabet  $\{1, 2\}$ , and let  $0$  denote the word whose length is zero. We also regard  $F$  as a poset by  $v \leq vw$  for  $v, w \in F$ . We call a subset  $T \subset F$  an *ideal* of the poset  $F$  if  $w \leq v$  for some  $v \in T$  implies  $w \in T$ . We call a finite ideal of the poset  $F$  a *rooted planar binary tree* or shortly *tree*. Let  $\mathbb{T}$  denote the set of trees.

Let  $T$  be a tree. An element of  $T$  is called a *node* of  $T$ . Let  $\mathbb{T}_i$  be the set of trees of  $i$  nodes. For a node  $v$ , we call the node  $v2$  (resp.  $v1$ ) the *right* (resp. *left*) *child* of  $v$ . A node without children is called a *leaf*. If  $T$  is nonempty,  $0 \in T$ . We call  $0$  the *root* of  $T$ . For  $T \in \mathbb{T}$  and  $v \in F$ , we define  $T_v$  by  $T_v := \{w \in T \mid v \leq w\}$ .

*Example 2.2.* Let  $T = \{ 0, 11, 2, 21, 211, 22, 221, 2211 \}$ . Then we have



**Definition 2.3.** Let  $T$  be a tree, and  $m$  a positive integer. We call a map  $\varphi: T \rightarrow \{ 1, \dots, m \}$  a right-strictly-increasing labelling if

- $\varphi(w) \leq \varphi(v)$  for  $w \in T$  and  $v \in T_{w1}$ , and
- $\varphi(w) < \varphi(v)$  for  $w \in T$  and  $v \in T_{w2}$ .

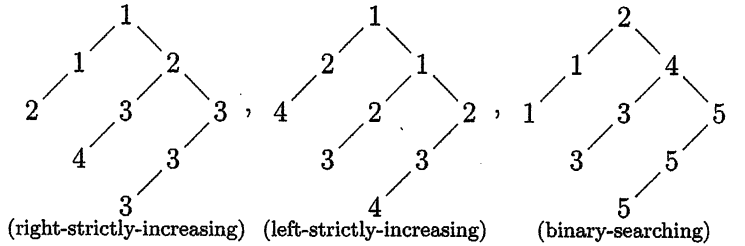
We call a map  $\phi: T \rightarrow \{ 1, \dots, m \}$  a left-strictly-increasing labelling if

- $\phi(w) < \phi(v)$  for  $w \in T$  and  $v \in T_{w1}$ , and
- $\phi(w) \leq \phi(v)$  for  $w \in T$  and  $v \in T_{w2}$ .

We call a map  $\psi: T \rightarrow \{ 1, \dots, m \}$  a binary-searching labelling if

- $\psi(w) \geq \psi(v)$  for  $w \in T$  and  $v \in T_{w1}$ , and
- $\psi(w) < \psi(v)$  for  $w \in T$  and  $v \in T_{w2}$ .

*Example 2.4.* The following labellings are respectively right-strictly-increasing, left-strictly-increasing, and binary-searching:



**2.3. Definition of our generalized Schur operators.** In this subsection, we define linear operators  $U_i, U'_i,$  and  $D_i$ . In Section 3, we shall show that they are generalized Schur operators.

**2.3.1. Up operators.** We define up operators  $U_i$  (resp.  $U'_i$ ) and consider a relation between the up operators  $U_i$  (resp.  $U'_i$ ) and right-strictly (resp. left-strictly) labellings.

**Definition 2.5.** We define the edges  $G_U$  of oriented graphs whose vertices are trees to be the set of pairs  $(T, T')$  of trees satisfying the following:

- $T \subset T'$ .
- For each  $w \in T' \setminus T$ , there exists  $v_w \in T$  such that  $w = v_w 1^n$  or  $w = v_w 21^n$  for some nonnegative integer  $n$  if  $T \neq \emptyset$ .

- For each  $w \in T' \setminus T$ ,  $w = 1^n$  for some nonnegative integer  $n$  if  $T = \emptyset$ .

We call  $T'$  a tree obtained from  $T$  by adding some  $l$ -strips if  $(T, T') \in G_U$ . For  $i \in \mathbb{N} = \{0, 1, 2, \dots\}$ , we define  $G_{U_i}$  by

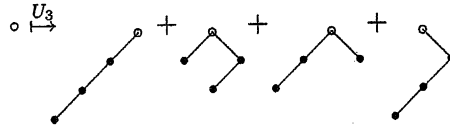
$$G_{U_i} = \{ (T, T') \in G_U \mid |T| + i = |T'| \}.$$

**Definition 2.6.** For  $i \in \mathbb{N}$  and  $T \in \mathbb{T}$ , we define linear operators  $U_i$  on  $K\mathbb{T}$  by

$$U_i T = \sum_{T': (T, T') \in G_{U_i}} T'.$$

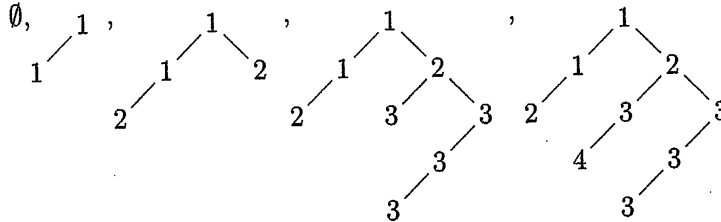
Equivalently,  $U_i T$  is the sum of all trees obtained from  $T$  by adding  $l$ -strips with  $i$  nodes.

*Example 2.7.* The action of  $U_3$  on  $\{0\}$  is as follows:



*Remark 2.8.* Let  $\varphi$  be a right-strictly-increasing labelling. The inverse image  $\varphi^{-1}(\{1, \dots, n+1\})$  is the tree obtained from the inverse image  $\varphi^{-1}(\{1, \dots, n\})$  by adding  $l$ -strips. Hence we identify right-strictly-increasing labellings with paths  $(\emptyset = T^0, T^1, \dots, T^m)$  of the graph  $(\mathbb{T}, G_U)$ .

*Example 2.9.* We identify the right-strictly-increasing labelling in Example 2.4 with the sequence



Next we define another family of up operators  $U'_i$ .

**Definition 2.10.** We define the edges  $G_{U'}$  of oriented graphs whose vertices are trees to be the set of pairs  $(T, T')$  of trees satisfying the following:

- $T \subset T'$ .
- For each  $w \in T' \setminus T$ , there exists  $v_w \in T$  such that  $w = v_w 2^n$  or  $w = v_w 12^n$  for some nonnegative integer  $n$  if  $T \neq \emptyset$ .
- For each  $w \in T' \setminus T$ ,  $w = 2^n$  for some nonnegative integer  $n$  if  $T = \emptyset$ .

We call  $T'$  a tree obtained from  $T$  by adding  $r$ -strips if  $(T, T') \in G_U$ . For  $i \in \mathbb{N}$ , we define  $G_{U'_i}$  by

$$G_{U'_i} = \{ (T, T') \in G_U \mid |T| + i = |T'| \}.$$

**Definition 2.11.** For  $i \in \mathbb{N}$  and  $T \in \mathbb{T}$ , we define linear operators  $U'_i$  on  $K\mathbb{T}$  to be

$$U'_i T = \sum_{T': (T, T') \in G_{U'_i}} T'.$$

Equivalently,  $U'_i T$  is the sum of all trees obtained from  $T$  by adding  $r$ -strips with  $i$  nodes.

*Remark 2.12.* We identify left-strictly-increasing labellings with paths  $(\emptyset = T^0, T^1, \dots, T^m)$  of the graph  $(\mathbb{T}, G_U)$ .

**2.3.2. Down operators.** We define down operators  $D_i$  on  $K\mathbb{T}$ , and we consider relations between the down operators  $D_i$  and binary searching labellings.

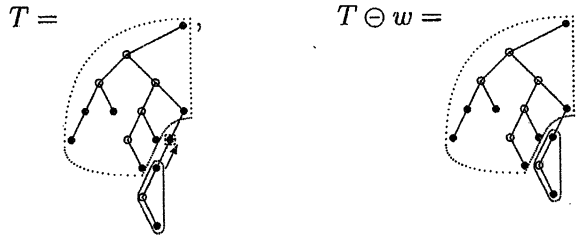
For  $T \in \mathbb{T}$ , let  $R_T$  denote the set  $\{w \in T \mid w2 \notin T\}$ . For  $w \in R_T$ , we define

$$T \ominus w = (T \setminus T_w) \cup \{wv \mid w1v \in T_w\}.$$



There exists the inclusion  $\nu_{T,w}$  from  $T \ominus w$  to  $T$  defined by



$$\begin{cases} \nu_{T,w}(wv) = w1v & (wv \in T_w) \\ \nu_{T,w}(v') = v' & (v' \notin T_w). \end{cases}$$

*Example 2.13.* For  $w = 1221$  and



where  $\bullet$  are nodes in  $R_T$  or  $R_{T \ominus w}$ , and  $*$  is  $w = 1221$ . The inclusion  $\nu_{T,w}$

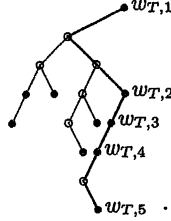
maps the nodes in  of  $T \ominus w$  to the nodes in  of  $T$ , and the nodes

in  of  $T \ominus w$  to the nodes in  of  $T$ , respectively.

For  $T \in \mathbb{T}$ , let  $E_T$  denote  $\{w \in T \mid \text{If } w = v1w' \text{ then } v2 \notin T\}$ . Roughly speaking, it is the set of nodes of  $T$  between the root 0 and the right-most node of  $T$ . We define  $r_T$  by  $r_T = E_T \cap R_T$ . The set  $r_T$  is

a chain. Let  $r_T = \{w_{T,1} < w_{T,2} < w_{T,3} < \dots < w_{T,k}\}$ . Let  $r_{T,i}$  denote the ideal  $\{w_{T,1}, w_{T,2}, w_{T,3}, \dots, w_{T,i}\}$  of  $r_T$  consisting of  $i$  nodes.

*Example 2.14.* Let  $T$  be the one in Example 2.13. Then the nodes in  $E_T$  are on the thick line, and the nodes in  $R_T$  are  $\bullet$  in the following picture:



Hence  $r_T = \{0, 122, 1221, 12211, 1221112\}$ , and  $r_{T,3} = \{0, 122, 1221\}$ .

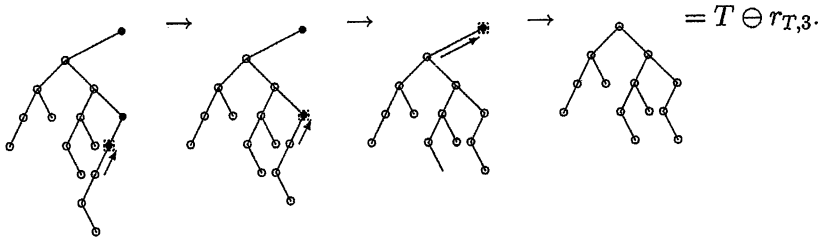
We define  $T \ominus r_{T,i}$  inductively by

$$\begin{cases} (T \ominus w_{T,i}) \ominus r_{T,i-1} & i > 0 \\ T & i = 0. \end{cases}$$

We also define the inclusion  $\nu_{T,i}$  from  $T \ominus r_{T,i}$  to  $T$  inductively by

$$\nu_{T,i} = \nu_{T \ominus w_{T,i}, i-1} \circ \nu_{T, w_{T,i}}.$$

*Example 2.15.* For  $T$  in Example 2.13, we have



The inclusion  $\nu_{T,3}$  maps the nodes  $\circ$  in  $T \ominus r_{T,3}$  to the nodes  $\circ$  in  $T$ .

We also define a bijection  $\tilde{\nu}_{T,i}$  from the words  $F$  of  $\{1, 2\}$  to  $F \setminus r_{T,i}$  by

$$\tilde{\nu}_{T,i}(w) = \nu_{T,i}(v)v',$$

where  $w = vv'$  and  $v = \max\{u \in T \ominus r_{T,i} \mid w = uu'\}$ . By definition,  $\tilde{\nu}_{T,i}(w) = \nu_{T,i}(w)$  for  $w \in T \ominus r_{T,i}$ .

**Definition 2.16.** We define the edges  $G_D$  of oriented graphs whose vertices are trees to be the set of pairs  $(T, T')$  of trees such that  $T = T' \ominus r_{T',i}$  for some  $i$ . For  $i \in \mathbb{N}$ , we define  $G_{D_i}$  by

$$G_{D_i} = \{(T, T') \in G_D \mid |T| + i = |T'|\}.$$

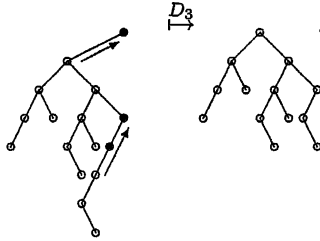
*Remark 2.17.* By definition,  $G_{D_0} = \{(T, T) \mid T \in \mathbb{T}\}$ . For each  $i$  and  $T \in \mathbb{T}$ ,  $|\{(T', T'') \in G_{D_i} \mid T'' = T\}| \leq 1$ .

**Definition 2.18.** For  $i \in \mathbb{N}$ , we define linear operators  $D_i$  by

$$D_i T = \sum_{T': (T', T) \in G_{D_i}} T'$$

for  $T \in \mathbb{T}$ .

*Example 2.19.* The operator  $D_3$  acts as follows:



Next we consider a relation between  $G_D$  and binary-searching labellings. Let  $\psi_m: T \rightarrow \{1, \dots, m\}$  be a binary-searching labelling. By the definition of binary-searching labelling, the inverse image  $\psi_m^{-1}(\{m\})$  equals  $r_{T, k_m} = \{w_{T,1}, \dots, w_{T, k_m}\}$  for some  $k_m$ . Hence we can construct the tree  $T \ominus \psi_m^{-1}(\{m\})$ . Let  $T^{m-1}$  be the tree  $T \ominus \psi_m^{-1}(\{m\})$ . Then the inclusion  $\nu_{T, k_m}$  induces a binary-searching labelling

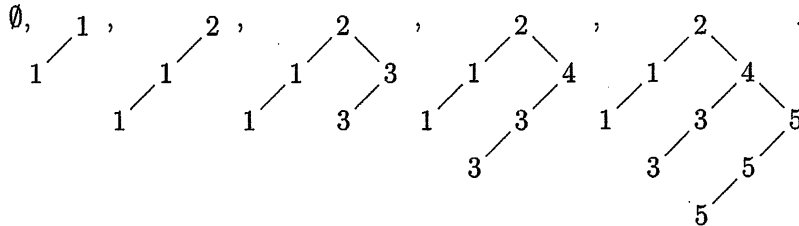
$$\psi_{m-1} = \psi_m \circ \nu_{T, k_m}: T^{m-1} \rightarrow \{1, \dots, m-1\}$$

on the tree  $T^{m-1}$ . Hence we identify binary-searching labellings on  $T$  with paths

$$(\emptyset = T^0, T^1, \dots, T^m = T)$$

of the graph  $(\mathbb{T}, G_D)$ .

*Example 2.20.* We identify the binary-searching labelling in Example 2.4 with the sequence



### 3. MAIN RESULTS

We retain all the notation used in the previous sections. In Subsection 3.1, we show the main theorems, which are proved in Subsection 3.2.

### 3.1. Main Results.

**Theorem 3.1.** *The operators  $D(t)$  and  $U(t')$  satisfy the equation*

$$(1) \quad D(t)U(t') = \frac{1}{1-tt'}U(t')D(t).$$

*Equivalently,  $D(t_1) \cdots D(t_n)$  and  $U(t_n) \cdots U(t_1)$  are generalized Schur operators with  $\{1, 1, 1, \dots\}$ .*

**Theorem 3.2.** *The operators  $D(t)$  and  $U'(t')$  satisfy the equation*

$$(2) \quad D(t)U'(t') = (1+tt')U'(t')D(t).$$

*Equivalently,  $D(t_1) \cdots D(t_n)$  and  $U'(t_n) \cdots U'(t_1)$  are generalized Schur operators with  $\{1, 1, 0, 0, 0, 0, \dots\}$ .*

**Corollary 3.3.** *The graphs  $(\mathbb{T}, G_{U_1} = G_{U'_1})$  and  $(\mathbb{T}, G_{D_1})$  are 1-dual in the sense of Fomin [4]. Equivalently,  $U_1$  and  $D_1$  satisfy the equation*

$$D_1U_1 - U_1D_1 = I,$$

*where  $I$  is the identity map on  $V$ .*

*Remark 3.4.* Nzeutchap [8] constructs  $r$ -dual graphs from dual Hopf algebras. The graphs  $(\mathbb{T}, G_{U_1})$  and  $(\mathbb{T}, G_{D_1})$  are identified with the 1-dual graphs obtained from the Loday-Ronco algebra by his method.

**Corollary 3.5.** *The up and down operators  $U_1$  and  $D$  satisfy*

$$DU_1 - U_1D = D.$$

Let  $\langle \cdot, \cdot \rangle$  be the natural pairing in  $KY$ , i.e., the bilinear form on  $\widehat{V} \times V$  such that  $\langle \sum_{\lambda \in Y} a_\lambda \lambda, \sum_{\mu \in Y} b_\mu \mu \rangle = \sum_{\lambda \in Y} a_\lambda b_\lambda$ . We define  $U_i^*$  and  $D_i^*$  as the maps obtained from the adjoints of  $U_i$  and  $D_i$  with respect to  $\langle \cdot, \cdot \rangle$  by restricting to  $V$ , respectively. Then we have the following corollary.

**Corollary 3.6.** *The up and down operators  $D_1^*$  and  $U^*$  satisfy*

$$U^*D_1^* - D_1^*U^* = U^*,$$

*where  $U^* = \sum_i U_i^*$ .*

**3.2. Proof of Main results.** In this subsection, we prove Theorems 3.1 and 3.2. First we rewrite their statements as the equations of the cardinalities of some sets (Remark 3.10). Then we show the equations by constructing bijections (Lemmas 3.11 and 3.12).

**Lemma 3.7.** *The equation (1) is equivalent to*

$$(3) \quad D_j U_i = \sum_{k=0}^{\min(i,k)} U_{i-k} D_{j-k} \quad \text{for all } i, j.$$



**Lemma 3.8.** *The equation (2) is equivalent to*

$$(4) \quad D_j U'_i = \sum_{k=0}^{\min(1,i,k)} U'_{i-k} D_{j-k} \quad \text{for all } i, j.$$

**Definition 3.9.** We define  $N_{i,j}(T, T')$  and  $N'_{i,j}(T, T')$  by

$$N_{i,j}(T, T') = \{ ((T, T''), (T', T'')) \in G_{U_i} \times G_{D_j} \}$$

and

$$N'_{i,j}(T, T') = \{ ((T, T''), (T', T'')) \in G_{U'_i} \times G_{D_j} \}.$$

We define  $S_{j,i}(T, T')$  and  $S'_{j,i}(T, T')$  by

$$S_{j,i}(T, T') = \{ ((T'', T), (T'', T')) \in G_{D_j} \times G_{U_i} \}$$

and

$$S'_{j,i}(T, T') = \{ ((T'', T), (T'', T')) \in G_{D_j} \times G_{U'_i} \}.$$

We also define  $\tilde{S}_{j,i}(T, T')$  and  $\tilde{S}'_{j,i}(T, T')$  by

$$\begin{aligned} \tilde{S}_{j,i}(T, T') &= \prod_{k=0}^{\min(i,j)} S_{j-k,i-k}(T, T') \\ \tilde{S}'_{j,i}(T, T') &= \prod_{k=0}^{\min(1,i,j)} S'_{j-k,i-k}(T, T'), \end{aligned}$$

where  $\coprod$  denotes the disjoint union.

Roughly speaking,  $N_{i,j}(T, T')$  and  $N'_{i,j}(T, T')$  are the set of pairs of edges which share the same trees as their end points, while  $S_{j,i}(T, T')$  and  $S'_{j,i}(T, T')$  are the set of pairs of edges which share the same trees as their start points.

*Remark 3.10.* By definition,

$$\begin{aligned} \langle D_j U_i T, T' \rangle &= |N_{i,j}(T, T')|, \\ \langle D'_j U_i T, T' \rangle &= |N'_{i,j}(T, T')|, \\ \langle U_j D_i T, T' \rangle &= |S'_{i,j}(T, T')|, \end{aligned}$$

and

$$\langle U_j D'_i T, T' \rangle = |S'_{i,j}(T, T')|$$

for  $T, T' \in \mathbb{T}$ . Hence the equation (3) (resp. (4)) is equivalent to the equation  $|N_{i,j}(T, T')| = |\tilde{S}_{j,i}(T, T')|$  (resp.  $|N'_{i,j}(T, T')| = |\tilde{S}'_{j,i}(T, T')|$ ).

**Lemma 3.11.** *For  $T, T' \in \mathbb{T}$  and  $i, j \in \mathbb{N}$ , there exists a bijection from  $N_{i,j}(T, T')$  to  $\tilde{S}_{j,i}(T, T')$ .*

*Proof.* First we construct an element of  $\tilde{S}_{j,i}(T, T')$  from an element of  $N_{i,j}(T, T')$ . Let  $((T, T''), (T', T'''))$  be an element of  $N_{i,j}(T, T')$ . Equivalently,  $(T, T'')$  is an edge of  $G_{U_i}$  such that  $T'' \ominus r_{T'',j} = T'$ . Let  $k$  be  $j - |r_{T'',j} \cap r_T|$ . We have  $r_{T,j-k} = r_{T'',j} \cap r_T$  since  $r_{T''}$  is one of the following:

$$\begin{aligned} & r_T, \\ & r_{T,l} \cup \{ w_{T,l+1} 21^i \mid i \leq n \}, \\ & r_{T,l} \cup \{ w_{T,l+1} 1^i \mid i \leq n \} \end{aligned}$$

for some  $l, n \in \mathbb{N}$ . Let us consider

$$((T \ominus r_{T,j-k}, T), (T \ominus r_{T,j-k}, T')).$$

We prove  $((T \ominus r_{T,j-k}, T), (T \ominus r_{T,j-k}, T')) \in \tilde{S}_{j,i}(T, T')$ . Equivalently, we prove  $(T \ominus r_{T,j-k}, T) \in G_{D_{j-k}}$  and  $(T \ominus r_{T,j-k}, T') \in G_{U_{i-k}}$ . By definition, it is clear that the edge  $(T \ominus r_{T,j-k}, T)$  is in  $G_{D_{j-k}}$ . On the other hand,  $(T, T'') \in G_{U_i}$  implies that  $(T \ominus r_{T,j-k}, T'' \ominus r_{T'',j})$  is in  $G_{U_{i-k}}$ . Since  $T' = T'' \ominus r_{T'',j}$ , the edge  $(T \ominus r_{T,j-k}, T')$  is in  $G_{U_{i-k}}$ . Hence we have  $((T \ominus r_{T,j-k}, T), (T \ominus r_{T,j-k}, T')) \in \tilde{S}_{j,i}(T, T')$ .

Next we construct an element of  $N_{i,j}(T, T')$  from an element of  $\tilde{S}_{j,i}(T, T')$ . Let  $((T''', T), (T''', T'))$  be an element of  $\tilde{S}_{j,i}(T, T')$ . Equivalently,  $(T''', T')$  is an edge of  $G_{U_{i-k}}$  such that  $T \ominus r_{T,j-k} = T'''$ . First we consider the case where  $|r_T| > j - k$ . Let  $\omega = w_{T,j-k+1}$  and  $\omega' \in \nu_{T,j-k}^{-1}(\omega)$ . Since  $\omega' \in T \ominus r_{T,j-k}$  and  $\omega'2 \notin T \ominus r_{T,j-k}$ , we have

$$T'_{\omega'2} = \{ \omega'2, \omega'21, \dots, \omega'21^{n-1} \}$$

for some  $n \in \mathbb{N}$ . (In the case where  $n = 0$ ,  $\{ \omega'2, \omega'21, \dots, \omega'21^{n-1} \}$  denotes the empty set.) For such  $n$ , let  $R$  denote

$$\{ \omega2, \omega21, \dots, \omega21^{n-1+k} \}.$$

We define  $T''$  to be

$$\tilde{\nu}_{T,j-k}(T) \cup r_{T,j-k} \cup R.$$

Since  $r_{T''} = r_{T,j-k} \cup R$ , the pair  $((T, T''), (T', T'''))$  is in  $N_{i,j}(T, T')$ . Next we consider the case where  $|r_T| = j - k$ . Let  $\omega$  be

$$\max \{ w \notin r_T \mid w < w_{T,j-k} \}$$

and  $\omega' \in \nu_{T,j-k}^{-1}(\omega)$ . Since  $\omega' \in T \ominus r_{T,j-k}$  and  $\omega'2 \notin T \ominus r_{T,j-k}$ , we have

$$T'_{\omega'2} = \{ \omega'2, \omega'21, \dots, \omega'21^{n-1} \}$$

for some  $n \in \mathbb{N}$ . For such  $n$ , let  $R$  denote

$$\{ w_{T,j-k+1} 1, \dots, w_{T,j-k+1} 1^{n-1+k} \}.$$

We define  $T''$  to be

$$\tilde{\nu}_{T,j-k}(T) \cup r_{T,j-k} \cup R.$$

Since  $r_{T''} = r_{T, j-k} \cup R$ , the pair  $((T, T''), (T', T''))$  is in  $N_{i,j}(T, T')$ . Thus we can construct an element of  $N_{i,j}(T, T')$  from an element of  $\tilde{S}_{j,i}(T, T')$ .

By the definition of them, these constructions are the inverses of each other. Hence we have the lemma.  $\square$

We can prove Lemma 3.12 by the same argument as in the proof of Lemma 3.11.

**Lemma 3.12.** *For  $T, T' \in \mathbb{T}$ , there exists a bijection from  $N'_{i,j}(T, T')$  to  $\tilde{S}'_{j,i}(T, T')$ .*

Lemmas 3.11 and 3.12 imply Theorems 3.1 and 3.2.

#### 4. APPLICATION

In this section, we consider a relation between our generalized Schur operators and the Loday-Ronco algebra.

We have correspondences between the sets  $N_{i,j}(T, T')$  and  $\tilde{S}_{i,j}(T, T')$  for all  $i, j$  by the proof of Lemma 3.11. From them, we can construct a Robinson-Schensted-Knuth correspondence for paths of  $G_U$  and  $G_D$  by the method in [4]. This correspondence is a generalization of the Loday-Ronco correspondence, which is a Robinson correspondence for labellings on binary trees. By Lemma 3.12, we also have correspondences between  $N'_{i,j}(T, T')$  and  $\tilde{S}'_{j,i}(T, T')$ : By the same argument as in the case of  $G_U$  and  $G_D$ , we can construct a Robinson-Schensted-Knuth correspondence for paths of  $G_{U'}$  and  $G_D$ , which is another generalization of the Loday-Ronco correspondence.

*Remark 4.1.* Rey gave a construction of the Loday-Ronco algebra in [9]. He introduced a new Robinson-Schensted-Knuth correspondence for binary trees to construct the Loday-Ronco algebra. Some of our correspondences are equivalent to his correspondence.

**Definition 4.2.** For  $\lambda, \mu \in V$ , we define quasi-symmetric polynomials  $s_{\lambda, \mu}^D(t_1, \dots, t_n)$ ,  $s_{U'}^{\lambda, \mu}(t_1, \dots, t_n)$ , and  $s_{U'}^{\lambda, \mu}(t_1, \dots, t_n)$  by

$$\begin{aligned} s_{\lambda, \mu}^D(t_1, \dots, t_n) &= \langle D(t_1) \cdots D(t_n) T, T' \rangle, \\ s_U^{\lambda, \mu}(t_1, \dots, t_n) &= \langle U(t_n) \cdots U(t_1) T', T \rangle, \\ s_{U'}^{\lambda, \mu}(t_1, \dots, t_n) &= \langle U'(t_n) \cdots U'(t_1) T', T \rangle. \end{aligned}$$

For a labelling  $\varphi$  from  $T$  to  $\{1, \dots, m\}$ , set  $t^\varphi = \prod_{w \in T} t_{\varphi(w)}$ . For a tree  $T$ , by the definition of labellings,

$$\begin{aligned} s_{T, \emptyset}^D(t_1, \dots, t_n) &= \sum_{\psi} t^\psi, \\ s_{U'}^{T, \emptyset}(t_1, \dots, t_n) &= \sum_{\varphi} t^\varphi, \end{aligned}$$

$$s_{U'}^{T,\emptyset}(t_1, \dots, t_n) = \sum_{\phi} t^{\phi},$$

where the first sum is over all binary-searching labellings  $\psi$  on  $T$ , the second over all right-strictly-increasing labellings  $\varphi$  on  $T$ , and the last over all left-strictly-increasing labellings  $\phi$  on  $T$ .

*Remark 4.3.* The polynomials  $s_{U'}^{T,\emptyset}(t_1, \dots, t_n)$  and  $s_{T,\emptyset}^D(t_1, \dots, t_n)$  are the commutativizations of the basis elements  $\mathbf{Q}_T$  and  $\mathbf{P}_T$  of PBT in Hivert-Novelli-Thibon [6].

Since  $D(t)$  and  $U(t)$  are generalized Schur operators, we have Pieri formula for  $s_{U'}^{T,\emptyset}(t_1, \dots, t_n)$  and  $s_{T,\emptyset}^D(t_1, \dots, t_n)$  by [7]. By [4], we have Cauchy identity for them. We also have a “skew” version of them. We also have Pieri formula and Cauchy identity for  $s_{U'}^{T,\emptyset}(t_1, \dots, t_n)$  and  $s_{T,\emptyset}^D(t_1, \dots, t_n)$ .

*Remark 4.4.* These polynomials are not symmetric in general. This is because  $D_i$  does not commute with  $D_j$  in general. For example, since

$$\begin{aligned} & D(t_1)D(t_2) \{ 0, 1, 12 \} \\ &= D(t_1)(\{ 0, 1, 12 \} + t_2 \{ 0, 2 \} + t_2^2 \{ 0 \}) \\ &= (\{ 0, 1, 12 \} + t_1 \{ 0, 2 \} + t_1^2 \{ 0 \}) + t_2(\{ 0, 2 \} + t_1 \{ 0 \}) + t_2^2(\{ 0 \} + t_1 \emptyset), \end{aligned}$$

we have  $\langle D(t_1)D(t_2) \{ 0, 1, 12 \}, \emptyset \rangle = t_1 t_2^2$ , which is not symmetric.

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