

On Regular Surfaces of General Type II.

by Yoichi MIYAOKA

1. Introduction. In this paper a surface shall mean a compact complex manifold of dimension 2. We denote by $|mK_S|$ ($m \in \mathbb{N}$) a pluricanonical system on a surface S and by Φ_{mK_S} the associated rational map (the pluricanonical map), assuming that $|mK_S|$ is not empty. A surface S is called of general type if $\Phi_{mK_S}(S)$ in the projective space P^N ($N = \dim mK_S$) for a large number m is a variety of dimension 2. If S is a surface of general type the following results are well-known.

Theorem 1 (Mumford []). If m is sufficiently large, Φ_{mK_S} is a birational morphism and $\Phi_{mK_S}(S) \cong X = \text{Proj} \bigoplus_{r \geq 0} H^0(S, \mathcal{O}(rK_S))$. X is a normal variety with only a finite number of rational double points as singularities. If S is a minimal surface, then S is the minimal resolution of X .

Theorem 2 (Mumford []). Assume that S is minimal. Then we have $H^1(S, \mathcal{O}(mK_S)) = 0$, for $m \neq 0, 1, m \in \mathbb{Z}$.

Theorem 3 (Riemann-Roch Theorem for pluricanonical systems). Letting \bar{c}_1^2 be the self intersection number for the canonical divisor of the minimal model of S , we have

$$\dim H^0(S, \underline{O}(mK_S)) = \chi(\underline{O}) + (\bar{c}_1^2/2) m(m-1),$$

where $\chi(\underline{O})$ denotes the Euler characteristic of the structure sheaf \underline{O}_S of S .

Theorem 4 (Iitaka [1]). The m -genus $P_m(S) = \dim H^0(S, \underline{O}_S(mK_S))$ is deformation-invariant.

As an immediate corollary to Theorems 3 and 4, we obtain the following

Theorem 5 (Deformation Invariance of the Minimality).

If S is minimal, then any deformation of S is also a minimal surface of general type.

From now on, we denote by S a minimal surface of general type with the following numerical conditions:

$$* \begin{cases} p_g(S) = \dim H^0(S, \underline{O}(K_S)) = 0, \\ q(S) = \dim H^1(S, \underline{O}) = 0, \\ K_S^2 = 2. \end{cases}$$

A surface of this type shall be called a numerical Campedelli surface.

In section 2, we study the property of the tricanonical system $|3K_S|$ on a numerical Campedelli surface. In spite of Bombieri's comprehensive work [] on pluricanonical maps, the tricanonical system on S was not completely surveyed. ^{And} ~~But~~ there remains still an open problem: Is the tricanonical map of S is a birational morphism?

It is an interesting but, in general, a very difficult

problem to determine the complex structures on a given underlying differentiable manifold. In our case the problem is rather easy under some conditions. In section 3, we shall determine the structure of S under the condition that the fundamental group of S is a direct sum of three copies of the cyclic group of order 2.

2. Regularity of the tricanonical maps.

Let S be a numerical Campedelli surface. Then we have the following

Theorem 5 (Regularity of tricanonical maps). The tricanonical system $|3K_S|$ on S is free from base points and fixed components.

For the proof we need some results .

Definition. An effective divisor D on a surface F is called 1-connected if

$$D_1 \cdot D_2 > 0,$$

for any non-trivial decomposition $D = D_1 + D_2$, $D_i > 0$.

Theorem 6 (Ramanujam vanishing theorem []). If an effective divisor D on a regular surface (i.e. $q(F) = 0$) is 1-connected, then $H^1(F, \mathcal{O}(-D)) = 0$.

Theorem 7 (Bombieri []). Let F be a minimal surface of general type and P a point on F . Let $p: \tilde{F} \rightarrow F$ denote a quadric transformation at P and E the exceptional curve over P . If an effective divisor D is numerically equivalent to $2p^*K_F - 2E$, then D is 1-connected except in the case where $K_F^2 = 1$.

Now we proceed to the proof of Theorem 1. Let $p: \tilde{S} \rightarrow S$ be the quadric transformation at a point P and E the associated exceptional curve. Let us consider the following natural exact sequence of sheaves:

$$0 \longrightarrow \underline{O}_{\tilde{S}}(3p^* K_S - E) \longrightarrow \underline{O}_{\tilde{S}}(3p^* K_S) \longrightarrow \underline{O}_E \longrightarrow 0.$$

Then it is obvious that $|3K_S|$ is free from base point at P if and only if $H^1(\tilde{S}, \underline{O}(3p^*(K_S - E))) = 0$. By the Serre duality we have

$$\dim H^1(\tilde{S}, \underline{O}(3p^* K_S - E)) = \dim H^1(\tilde{S}, \underline{O}(2E - 2p^* K_S)).$$

Hence Theorem 7 yields the vanishing of the cohomology group under the condition that $|2p^* K_S - 2E| \neq \emptyset$.

Now assume that $|2p^* K_S - 2E| = \emptyset$. Since $\dim H^0(S, \underline{O}(2K_S)) = 3$, this implies that the rational map Φ_{2K_S} associated with the bicanonical system $|2K_S|$ is a local isomorphism at P . Therefore there exists an effective divisor $D \in |2p^* K_S - E|$ such that D is irreducible in a neighbourhood of E and that the unique irreducible component D_0 which simply intersects E satisfies $D_0^2 \geq 0$. Now we shall take the following exact sequence of cohomology groups:

$$\begin{aligned} 0 \longrightarrow H^0(\tilde{S}, \underline{O}(2E - 2p^* K_S)) &\longrightarrow H^0(\tilde{S}, \underline{O}(E)) \longrightarrow H^0(D, \underline{O}_D(E)) \\ &\longrightarrow H^1(\tilde{S}, \underline{O}(2E - 2p^* K_S)) \longrightarrow H^1(\tilde{S}, \underline{O}(E)). \end{aligned}$$

Note that $H^0(\tilde{S}, \underline{O}(2E - 2p^* K_S)) = 0$ and that

$$\dim H^1(\tilde{S}, \underline{O}(E)) = \dim H^1(\tilde{S}, \underline{O}(p^* K_S)) = \dim H^1(S, \underline{O}(K_S))$$

$$= q(S) = 0. \text{ Hence, for the proof of Theorem 5,}$$

it is sufficient to show the equality

$$\dim H^0(D, \underline{O}(E)) = \dim H^0(\tilde{S}, \underline{O}(E)) = 1.$$

On the other hand we have the following natural commutative diagram

$$\begin{array}{ccccc}
0 \longrightarrow & H^0(D, \underline{Q}) & \longrightarrow & H^0(D, \underline{Q}(E)) & \xrightarrow{r} & H^0(D \cdot E, \underline{Q}) \\
& \downarrow & & \downarrow & & \downarrow \text{identity} \\
0 \longrightarrow & H^0(D_0, \underline{Q}) & \longrightarrow & H^0(D_0, \underline{Q}(E)) & \xrightarrow{r} & H^0(D \cdot E, \underline{Q})
\end{array}$$

of which the rows are exact. But it is obvious that the virtual genus of D_0 is not 0. Since the degree of the divisor E on D_0 is 1, the restriction map r is the zero-map. This implies that

$$\dim H^0(D, \underline{Q}(E)) = \dim H^0(D, \underline{Q}).$$

Moreover we have $\dim H^0(D, \underline{Q}) = 1$. In fact, there exists the following natural exact sequence

$$\begin{aligned}
0 \longrightarrow & H^0(\tilde{S}, \underline{Q}(E - 2p^* K_S)) \longrightarrow H^0(\tilde{S}, \underline{Q}) \longrightarrow H^0(D, \underline{Q}) \\
& \longrightarrow H^1(\tilde{S}, \underline{Q}(E - 2p^* K_S)),
\end{aligned}$$

where $\dim H^1(\tilde{S}, \underline{Q}(E - 2p^* K_S)) = \dim H^1(\tilde{S}, \underline{Q}(3p^* K_S))$
 $= \dim H^1(S, \underline{Q}(3K_S)) = 0$. Thus $\dim H^0(D, \underline{Q}) = \dim H^0(S, \underline{Q})$
 $= 1$ and the assertion is proved.

3. The structure of Campedelli surfaces.

In this section we shall study numerical Campedelli surfaces of special type.

Definition (cf. Campedelli []). A numerical Campedelli surface is called a Campedelli surface if its fundamental group is isomorphic to $Z/(2) + Z/(2) + Z/(2)$.

If S is a Campedelli surface, the universal covering \bar{S} of S has the following numerical characters:

$$\begin{cases} \chi(\bar{S}, \underline{0}_{\bar{S}}) = 8 \chi(S, \underline{0}_S) = 8, \\ q(\bar{S}) = 0, \\ p_g(\bar{S}) = \chi(\bar{S}, \underline{0}_{\bar{S}}) - q(\bar{S}) - 1 = 7, \\ K_{\bar{S}}^2 = 8 K_S^2 = 16. \end{cases}$$

The fundamental group G of S acts on \bar{S} as the covering transformation group of the unramified covering $e: \bar{S} \rightarrow S$, and G naturally operates on the vector space $H^0(\bar{S}, \underline{0}(K_{\bar{S}}))$ as linear transformations. Hence we obtain a canonical representation $k: G \rightarrow GL(7, \mathbb{C})$ and the induced representation $k': G \rightarrow PGL(6, \mathbb{C})$.

Lemma 1. k' is a faithful representation.

Proof. Let $g \in G$ be an element of $\ker k'$. Since $g^2 = \text{id}$, $k(g) = \pm \text{id}$. Hence $p_g(\bar{S}/\langle g \rangle) = 7$ or 0 . But $p_g(\bar{S}/\langle g \rangle) = 3$, if g is of order 2. Hence $g = \text{id}$.

Let V denotes the image of \bar{S} by the canonical map $\Phi_{K_{\bar{S}}}$ associated with the canonical system $|K_{\bar{S}}|$.

Then $k'(g)$ ($g \in G$) induces an automorphism of V .
 Thus we obtain a natural homomorphism $a: G \rightarrow \text{Aut}(V)$,
 where $\text{Aut}(V)$ denotes the automorphism group of V .

Lemma 2. a is injective.

A trivial consequence of Lemma 1.
 Proof. ~~Assume that $g \in G$ induces the identity on V . Then V is contained in an eigenspace of $k'(g)$. Since V is not contained in any proper linear subspace of P^6 , this implies that $k'(g) = \text{id}$. Lemma 1 yields the equality $g = \text{id}$.~~

Lemma 3. The canonical system $K_{\bar{S}}$ of \bar{S} is not composed of a pencil.

Proof. Assume that V is a curve. Since $q(\bar{S}) = 0$, V must be a (possibly singular) rational curve. An automorphism of V induces a unique automorphism of the non-singular model P^1 of V . Hence, in virtue of the above lemma, we infer that there exists a faithful representation $a': G \rightarrow \text{PGL}(1, \mathbb{C})$. On the other hand, it is obvious that $\text{PGL}(1, \mathbb{C})$ does not contain a subgroup isomorphic to $(\mathbb{Z}/(2))^3$. This is a contradiction.

Since G is a commutative group, we may assume that $k(G)$ is contained in the diagonal subgroup of $\text{GL}(7, \mathbb{C})$. Let w_1, \dots, w_7 be a basis of $H^0(\bar{S}, \underline{0}(K_{\bar{S}}))$ such that $g^*(w_j) = \lambda_j w_j$ for any $g \in G$.

Lemma 4. The linear subspace W of $H^0(\bar{S}, \underline{0}(2K_{\bar{S}}))$ spanned by $w_1^2, w_2^2, \dots, w_7^2$ is 3-dimensional.

Proof. Lemma 3 implies that the transcendental degree over C of the field $C(w_2/w_1, \dots, w_7/w_1)$ is 2. Hence the transcendental degree of $C(w_2^2/w_1^2, \dots, w_7^2/w_1^2)$ is also 2. This yields the inequality

$$\dim W \geq 3.$$

On the other hand, since w_j^2 is G -invariant, W can be regarded as a subspace of $H^0(S, \underline{O}(2K_S))$. But the Riemann-Roch theorem gives an equality $\dim H^0(S, \underline{O}(2K_S)) = 3$. This completes the proof.

Lemma 5. Let K be an extension of the rational function field $C(x_1, \dots, x_n)$ defined by

$$K_r = C(x_1, \dots, x_n, \sqrt{Q_1}, \dots, \sqrt{Q_r}),$$

where Q_j is a quadric polynomial in x_i . Assume that $K_r: C(x_1, \dots, x_n) = 2^r$. Then the integral closure of $C[x_1, \dots, x_n]$ in K_r is $R_r = C[x_1, \dots, x_n, Q_1, \dots, Q_r]$.

Proof. Trivial.

Corollary. Let K be as above. Let Q_{r+1} be another quadric polynomial in x_i . Assume that $K_{r+1} = K_r$. Then $\sqrt{Q_{r+1}}$ is a linear combination of $x_1, \dots, x_n, \sqrt{Q_1}, \dots, \sqrt{Q_r}$.

Let w_1^2, w_2^2, w_3^2 be a basis of W . From Lemma 4, we infer that there are quadric relations

$$w_j^2 = a_j w_1^2 + b_j w_2^2 + c_j w_3^2,$$

$$j = 4, 5, 6, 7.$$

The above corollary asserts that, if the complete intersection defined by the above quadrics is reducible

then its any irreducible component is contained in a hyperplane in P^6 . Since the image V of \bar{S} is contained in the complete intersection V' defined by the above 4 equations and V is not contained in any hyperplane, $V' = V$ is a irreducible surface. Thus we obtain the following

Corollary. V is a complete intersection of type $(2,2,2,2)$ in P^6 .

As an immediate consequence of this corollary, we have

Theorem 8. The canonical homomorphism

$$\otimes^m H^0(\bar{S}, \underline{O}(\underline{K}_{\bar{S}})) \longrightarrow H^0(\bar{S}, \underline{O}(m\underline{K}_{\bar{S}}))$$

is surjective.

Proof. Let $\underline{O}_V(m)$ denote the sheaf of the hyper-surface section of degree m . Since V is a complete intersection of type $(2,2,2,2)$, we have

$$\dim H^0(V, \underline{O}_V(m)) \cong 8 + 8m(m-1) = \dim H^0(\bar{S}, \underline{O}_{\bar{S}}(m\underline{K}_{\bar{S}}))$$

Moreover $H^0(V, \underline{O}_V(1))$ generates $H^0(V, \underline{O}_V(m))$. This proves the theorem.

Now the following theorem is trivial.

Theorem 9. The canonical model \bar{X} of \bar{S} is isomorphic to a complete intersection of type $(2,2,2,2)$ in P^6 . The canonical model X of S is the quotient of \bar{X} by the action of following subgroup G of $PGL(6, C)$.

$$G = \left(\begin{array}{cccc} 1 & & & \\ & 1 & & \\ & & 1 & 0 \\ & & & -1 \\ 0 & & & & -1 \\ & & & & & -1 \end{array} \right), \left(\begin{array}{cccc} 1 & & & \\ & -1 & & \\ & & -1 & 0 \\ & & & 1 \\ 0 & & & & -1 \\ & & & & & -1 \end{array} \right), \left(\begin{array}{cccc} -1 & & & \\ & -1 & & \\ & & 1 & 0 \\ & & & 1 \\ 0 & & & & -1 \\ & & & & & 1 \\ & & & & & & -1 \end{array} \right)$$

The following theorem is a corollary of Theorem 9 and the forms of the defining equations.

Theorem 10. The moduli space of Campedelli surfaces is a ~~normal~~ unirational variety of dimension 6.

REFERENCES

- [1] E. Bombieri, Canonical models of surfaces of general type,
Pub. Math. I.H.E.S., 42 (1973), 447-495.
- [2] L. Campedelli, Sui piani doppi con curva di diramazione
del decimo ordine, Atti della Reale Acad. dei Lincei,
15 (1932), 358-362.
- [3] D. Mumford, The canonical ring of an algebraic surface,
Ann. of Math., 76 (1962), 612-615.
- [4] P. Ramanujam, Remarks on the Kodaira vanishing theorem,
Ind. J. of Math.,

Yoichi MIYAOKA,

Department of Mathematics

Faculty of Science

Tokyo Metropolitan University.