

On a Minimal Flow

By

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1. Preliminaries

Let (Y, ρ_t) or simply ρ_t be a flow on a compact metric space Y ; i.e. ρ_t is a homeomorphism for each real number t and $\rho_{t+s} = \rho_t \circ \rho_s$ for any two real numbers t and s . If $A \subset Y$ and $J \subset \mathbb{R}$, we write $A \cdot J$ for $\{\rho_t(y) \mid t \in J, y \in A\}$. A subset $N \subset Y$ is said to be a minimal set if $\overline{y \cdot \mathbb{R}} = N$ for any $y \in N$, especially if Y is the minimal set, then we call (Y, ρ_t) a minimal flow.

DEFINITION 1. A subset $\Sigma \subset Y$ is said to be a local section of the flow ρ_t if it satisfies:

(i) $h : \overline{\Sigma} \times (-\mu, \mu) \rightarrow \overline{\Sigma} \cdot (-\mu, \mu)$ defined by $h(y, t) = \rho_t(y)$ is a homeomorphism for some $\mu > 0$.

(ii) $\Sigma \cdot J$ is open for any open $J \subset \mathbb{R}$.

Moreover if Σ is compact, then we call it a global section.

LEMMA 1. (see [1]) Let (Y, ρ_t) be a minimal flow and $S = y_0 \cdot \mathbb{Z}$. If $\overline{S} \neq Y$, then \overline{S} is a global section of (Y, ρ_t) .

LEMMA 2. (see [2]) Let (Y, ρ_t) be a minimal flow and Σ be a local section. Then for each $y \in Y$ there exists a sequence $\{t_j\}$ of reals such that $\delta_1 < t_{j+1} - t_j < \delta_2$ for some positive numbers δ_1, δ_2 and $\rho_t(y) \in \Sigma$ iff $t = t_j$ for some j .

2. A Flow Associated with a Local Section

Throughout this and the next sections (M, ξ_t) will be a minimal flow on a compact metric space M and Σ will be a local section. Let B be the set of all continuous functions on the real line with the compact-open topology, and η_t be a flow on B defined by

$$\eta_t(g)(s) = g(t + s) \quad (g \in B, t, s \in \mathbb{R}).$$

Now take a point $x_0 \in M$, and let $\{t_j\}$ be the sequence for x_0 as in LEMMA 2. Then we can construct a uniformly continuous function f which satisfies that $f(t) > \varepsilon > 0$ for all t and that

$$\int_{t_j}^{t_{j+1}} f(t) dt = 1 \quad (j = 0, \pm 1, \pm 2, \dots).$$

Define a flow on $M \times B$ by $\zeta_t(x, g) = (\xi_t(x), \eta_t(g))$ ($x \in M, g \in B$). Since the orbit closure of f is compact, there is a compact minimal set \tilde{M} of the flow ζ_t in $\overline{\{\zeta_t(x_0, f) \mid -\infty < t < \infty\}}$, so (\tilde{M}, ζ_t) is a minimal flow. By p we denote the natural projection $\tilde{M} \rightarrow M$. It is easy to see that $p \circ \zeta_t = \xi_t \circ p$.

Using LEMMA 1, we obtain

LEMMA 3. $\overline{p^{-1}(\Sigma)}$ is a global section of (\tilde{M}, ζ_t) .

And more careful investigation shows that

LEMMA 4. There exists a minimal flow (\tilde{M}, ζ_t) with the following properties :

- (i) \tilde{M} is a compact metric space,
- (ii) There is a homomorphism $p : (\tilde{M}, \zeta_t) \rightarrow (M, \xi_t)$,
- (iii) $\overline{p^{-1}(\Sigma)}$ is a global section of (\tilde{M}, ζ_t) ,
- (iv) $\overline{p^{-1}(\Sigma)}$ is totally disconnected, i.e. $\dim(\overline{p^{-1}(\Sigma)}) = 0$.

3. Cohomology Theory

Let Y be any topological space and Γ be a presheaf of R -module on Y . Then we denote by $\bar{H}^*(Y)$ the Alexander cohomology of Y with the real coefficients and by $\check{H}^*(Y; \Gamma)$ the Čech cohomology of Y with coefficients Γ .

In the following we shall investigate the first cohomology of $X = M \setminus \Sigma \cdot (0, \mu)$. In this section p denotes the restriction of $p : \tilde{M} \rightarrow M$ onto $\tilde{X} = \tilde{M} \setminus \overline{p^{-1}(\Sigma)} \cdot (0, \mu)$ where (\tilde{M}, ζ_t) is that in LEMMA 4.

Let Γ_1 and Γ_2 be presheaves on X defined by $\Gamma_1(U) = \bar{H}^0(U)$ and $\Gamma_2(U) = \bar{H}^0(p^{-1}(U))$ respectively, where U is an open subset of X . Then p induces a homomorphism $p^* : \Gamma_1 \rightarrow \Gamma_2$. Since p^* is a monomorphism, $0 \rightarrow \Gamma_1 \rightarrow \Gamma_2 \rightarrow \Gamma_3 \rightarrow 0$ ($\Gamma_3 = \text{Coker}(p^*)$) is an exact sequence. Hence we have

LEMMA 5. There is an exact sequence

$$0 \rightarrow \check{H}^0(X; \Gamma_1) \rightarrow \check{H}^0(X; \Gamma_2) \rightarrow \check{H}^0(X; \Gamma_3) \rightarrow \check{H}^1(X; \Gamma_1) \rightarrow \check{H}^1(X; \Gamma_2) \rightarrow \dots$$

LEMMA 6. $\check{H}^q(X; \Gamma_1) \simeq \bar{H}^q(X)$ and $\check{H}^q(X; \Gamma_2) \simeq \bar{H}^q(\tilde{X})$ for any q .

This lemma can be proved by the next lemma (see [3]).

LEMMA 7. Let $h : Y' \rightarrow Y$ be a closed continuous map between paracompact Hausdorff spaces. Suppose $\bar{H}^q(h^{-1}(y)) = 0$ for all $y \in Y$ and $0 < q < n$. Let Γ be the presheaf on Y defined by $\Gamma(U) = \bar{H}^0(h^{-1}(U))$. Then there are isomorphisms $\check{H}^q(Y; \Gamma) \simeq \bar{H}^q(Y')$ for $q < n$.

Since $\overline{p^{-1}(\Sigma)}$ is a deformation retract of \tilde{X} and totally disconnected, $\bar{H}^1(\tilde{X})$ is trivial. Therefore, combining LEMMA 5 and 6, we get

LEMMA 8. There is an exact sequence

$$\check{H}^0(X; \Gamma_2) \rightarrow \check{H}^0(X; \Gamma_3) \rightarrow \bar{H}^1(X) \rightarrow 0$$

THEOREM 1. $\bar{H}^1(X) \simeq \check{H}^0(X; \Gamma_3) / \check{H}^0(X; \Gamma_2)$.

4. The Case of 3-Manifolds

In this section let M be a differentiable 3-dimensional manifold and ξ_t be a minimal flow on M generated by a C^1 -vector field. Let Σ be a local section homeomorphic to a 2-disk.

NOTATIONS

(a) Let F be a real valued function defined on a subset D of M . Then by F we denote a map $D \rightarrow M$ defined by $F(x) = \xi_{F(x)}(x)$.

(b)

$$T : \bar{\Sigma} \rightarrow \mathbb{R} \text{ defined by } T(x) = \inf \{ t > 0 \mid \xi_t(x) \in \bar{\Sigma} \}$$

$$A_0 \subset \partial\Sigma : A_0 = \{ x \in \partial\Sigma \mid \hat{T}(x) \in \partial\Sigma \}$$

$$A_j \subset \partial\Sigma : A_j = \{ x \in \partial\Sigma \mid \hat{T}(x) \in A_{j-1} \} \quad (j = 1, 2, \dots)$$

$$A \subset \Sigma : A = \{ x \in \Sigma \mid \hat{T}(x) \in A_0 \}$$

$$C \subset \Sigma : C = \{ x \in \Sigma \mid \hat{T}(x) \in \partial\Sigma \}$$

DEFINITION 2. A local section Σ is said to be regular if A is a finite set and $A_j = \emptyset$ for $j \geq 1$.

Using the transversality theorem, we can show the following lemma.

LEMMA 9. There is a regular local section.

In the following we assume that Σ is a regular local section and $A = \{a_1, a_2, \dots, a_N\}$. Let Σ' be a local section such that $\Sigma' \cap \overline{\Sigma} = \emptyset$. Then we can choose a neighborhood U_k of a_k with the following properties:

(1) There are continuous functions $\sigma_{k,j} : U_k \rightarrow \mathbb{R}$ ($j = 1, 2, 3$) such that $\hat{\sigma}_{k,j}(U_k) \subset \Sigma'$ ($j = 1, 2$), $\hat{\sigma}_{k,3}(U_k) \subset \Sigma$ and $\hat{\sigma}_{k,j}(a_k) = \hat{T}^j(a_k)$ ($j = 1, 2, 3$).

(2) $U_k \cap (C \setminus A)$ has exactly three connected components $\gamma_{k,j}$ ($j = 1, 2, 3$) such that $\hat{\sigma}_{k,2}(\gamma_{k,1}) \subset \Sigma$, $\hat{\sigma}_{k,2}(\gamma_{k,2}) \cap \overline{\Sigma} = \emptyset$ and $\hat{\sigma}_{k,2}(\gamma_{k,3}) \subset \partial\Sigma$.

It can be easily seen that $C \setminus A$ has $2N$ connected components, by C_1, C_2, \dots, C_{2N} we denote these components. For $1 \leq k \leq N$, let $k(j)$ ($j = 1, 2, 3, 4$) be integers such that $C_{k(j)} \cap \gamma_{k,j} \neq \emptyset$ ($j = 1, 2, 3$) and $\hat{T}(a_k) \in \overline{C_{k(4)}}$. Now let $u = (u_1, u_2, \dots, u_{2N})$ be the $2N$ -vector and define a linear equation $u\Lambda = 0$ (Λ is a $2N \times 2N$ matrix) by

$$u_{k(1)} - u_{k(2)} = 0, \quad u_{k(2)} - u_{k(3)} + u_{k(4)} = 0 \quad (k = 1, 2, \dots, N).$$

Then we can prove the following theorem.

THEOREM 2. If $\dim(\ker \Lambda) = m$, then $\bar{H}^1(X) \approx \mathbb{R}^m$.

REFERENCES

- [1] W.H.Gottchalk and G.A.Hedlund, "Topological Dynamics," A.M.S. Colloquim Publications, 1955.
- [2] V.V.Nemytskii and V.V.Stepanov, "Qualitative Theory of Differential Equations," Princeton, 1960.
- [3] E.H.Spanier, "Algebraic Topology," McGraw-Hill, 1966.