

Perturbations of compact foliations II

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Introduction. A compact foliation  $F$  is one in which every leaf is compact. The problem we wish to consider concerns foliations  $F'$  whose plane fields are close, in some  $C^r$ -topology, to the plane field tangent to the leaves of  $F$ . Such an  $F'$  is called a  $C^r$ -perturbation. Then the following question arises: When does  $F'$  have a compact leaf? The first result of this nature is due to H. Seifert [11]. He proved that any  $C^0$ -perturbation of the Hopf fibration  $S^3 \rightarrow S^2$  has a compact leaf. In the same paper, he showed that the theorem is also true for orientable  $S^1$ -bundles over surfaces  $B$  of  $\chi(B) \neq 0$ , where  $\chi(B)$  is the euler characteristic number of  $B$ . The result was generalized by F. Fuller [5] to orientable circle bundles over arbitrary closed manifolds  $B$  with  $\chi(B) \neq 0$ . R. Langevin and H. Rosenberg [9] considered a fibration  $p : E \rightarrow B$  with fibre  $L$ ,  $B$  a closed 2-manifold,  $E$  closed. They proved that any  $C^0$ -perturbation of this fibration has a compact leaf when  $\pi_1(L)$  is isomorphic to  $Z$ ,  $B$  is a surface with  $\chi(B) \neq 0$  and  $\pi_1(B)$  acts trivially on  $\pi_1(L)$ . Furthermore the author [4] generalized the above result to compact codimension two foliations. The purpose of this note is to give the proofs of the results in [4] and generalize the above result to fibrations with  $B$  of general dimensions.

§1. Compact Hausdorff foliations and the generalized first return map.

Let  $M$  be a compact  $m$ -manifold without boundary and  $F$  a compact foliation of codimension  $q$  such that the leaf space  $M/F$  is Hausdorff. Such a foliation  $F$  is called a compact Hausdorff foliation. Then we have a nice picture of the local behavior of  $F$  as follows.

Proposition 1 (D.B.A.Epstein[3]). There is a generic leaf  $L_0$  with property that there is an open dense subset of  $M$ , where the leaves have all trivial holonomy and are all diffeomorphic to  $L_0$ . Given a leaf  $L$ , we can describe a neighborhood  $U(L)$  of  $L$ , together with the foliation on the neighborhood as follows. There is a finite group  $G(L)$  of  $O(q)$ .  $G(L)$  acts freely on  $L_0$  on the right and  $L_0/G(L) \cong L$ . Let  $D^q$  be the unit  $q$ -disk. We foliate  $L_0 \times D^q$  with leaves of the form  $L_0 \times \{pt\}$ . This foliation is preserved by the diagonal action of  $G(L)$ , defined by  $g(x,y) = (x \cdot g^{-1}, g \cdot y)$  for  $g \in G(L)$ ,  $x \in L_0$  and  $y \in D^q$ . So we have a foliation induced on  $U = L_0 \overbrace{G(L)}^{D^q}$ . The leaf corresponding to  $y = 0 \in D^q$  is  $L_0/G(L)$ . Then there is a  $C^\infty$ -embedding  $\mathcal{F}: U \rightarrow M$  with  $\mathcal{F}(U) = U(L)$ , which preserves leaves and  $\mathcal{F}(L_0/G(L)) = L$ .

Definition 2. A leaf  $L$  is called singular if  $G(L)$  is not trivial. The order of  $G(L)$  is called the order of holonomy of  $L$ .

Definition 3. A singular leaf  $L$  is called isolated if the action of  $G(L)$  has only the origin of  $D^q$  as fixed point.

From Proposition 1, we see that each isolated singular leaf is isolated, hence there are finitely many isolated singular leaves in  $F$  because of the compactness of  $M$ . Let  $S$  be the set of all non-isolated singular leaves of  $F$ . The leaf space  $M/F$ , which we denote by  $B$ , is a compact  $V$ -manifold of dimension  $q$  and the quotient map  $\pi: M \rightarrow B$  is a  $V$ -bundle (for definitions see I.Satake [10]).

Let  $L_1, \dots, L_n$  denote all the isolated singular leaves of  $F$  with holonomy of order  $k_1, \dots, k_n$  respectively. Put  $p_i = \pi(L_i)$  ( $i=1, \dots, n$ ) and  $S_B = \pi(S)$ . Note that  $\pi: M - S \cup \{L_1, \dots, L_n\} \rightarrow M - S \cup \{L_1, \dots, L_n\}/F \cong B - S_B \cup \{p_1, \dots, p_n\}$  is a natural fibration with generic leaf  $L$  as fibre. Thus  $\pi_1(B - S_B \cup \{p_1, \dots, p_n\})$  acts on  $\pi_1(L)$ .

We assume that  $F$  satisfies the following conditions:

(C<sub>1</sub>)  $\pi_1(L) \cong Z$  for every leaf  $L$  of  $F$ ,

(C<sub>2</sub>) The codimension of each component of  $S$  in  $M$  is not equal to two.

Remark 4. From (C<sub>1</sub>),  $G(L)(L \in F)$  is isomorphic to a finite cyclic group. Hence  $S$  is a compact submanifold of  $M$ .

By Proposition 1, for each isolated singular leaf  $L_i$ , the restriction  $\pi: \partial U(L_i) \cong L_i \times_{G(L_i)} \partial D^q \rightarrow \partial D^q/G(L_i)$  is a fibration with compact

fibre  $L$  and  $\pi_1(\partial D^q/G(L_i)) = \begin{cases} G(L_i) & (q \geq 3), \\ Z & (q = 2). \end{cases}$

Thus we can see that  $\pi_1(\partial D^q/G(L_i))$  acts trivially on  $\pi_1(L)$  because that  $G(L_i)$  is abelian. Furthermore we have  $\pi_1(B - S_B) \cong \pi_1(B)$  from (C<sub>2</sub>), hence we may consider that  $\pi_1(B)$  also acts on  $\pi_1(L)$  under the conditions (C<sub>1</sub>) and (C<sub>2</sub>).

Proposition 5. Let  $M$  be a compact manifold without boundary and  $F$  a compact Hausdorff foliation of codimension  $q$  with leaf space  $B$ , satisfying the conditions (C<sub>1</sub>) and (C<sub>2</sub>). Furthermore we assume that  $\pi_1(B)$  acts trivially on  $\pi_1(L)$ . Let  $F'$  be a  $C^0$ -perturbation of  $F$ . Then there exists a vector field  $X$  on  $M$  satisfying the following;

- i)  $X$  is orthogonal to  $F$  except for singular points of  $X$  and
- ii) if there is a point  $x \in M$  such that  $X(x) = 0$ , then the leaf

$L'$  of  $F'$  through  $x$  is compact.

Proof. We shall associate to  $F'$  a diffeomorphism  $f : M \rightarrow M$  (a generalized first return map) as follows (see §1 of [9]). Fix a Riemannian metric on  $M$  so that  $F$  is a Riemannian foliation. Choose  $\epsilon > 0$  so that for each  $x \in M$ , the geodesics through  $x$ , of length  $\epsilon$ , and orthogonal to  $L(x)$ , form a smoothly imbedded  $q$ -disk  $D(x)$ , where  $L(x)$  is a leaf through  $x$ . We can suppose that for each leaf  $L$ , the disks  $D(x)$ ,  $x \in L$ , form a tubular neighborhood  $T(L)$  of  $L$ . Fix a generic leaf  $L$  and a point  $x \in L$ . Let  $\alpha$  be a loop in  $L$  at  $x$  representing a generator of  $\pi_1(L)$ . Then for  $F'$  close to  $F$ ,  $\alpha$  can be lifted to a path on the leaf of  $F'$  through  $x$ , to a path starting at  $x$  and ending at a point of  $D(x)$ . This end point is denoted by  $H(F', \alpha)$ .  $H$  is the perturbed holonomy map (cf. M.W.Hirsch [6]). We define  $f(x) = H(F', \alpha)(x)$ . Now if  $y$  is another point of  $L$ , let  $\beta$  be any path in  $L$  from  $x$  to  $y$  (the length of  $\beta$  less than the diameter of  $L$ ) and define  $f(y) = H(F', \beta \cdot \alpha \cdot \beta^{-1})(y)$ . This definition does not depend on  $\beta$  and defines a smooth map  $f : L \rightarrow M$ .

Next we extend  $f$  to a map  $f : T(L) \rightarrow M$  by using the product structure in  $T(L)$  and transporting  $\alpha$  to each leaf in  $T(L)$ . Since  $\pi : M - S \cup \{L_1, \dots, L_n\} \rightarrow B - S_B \cup \{p_1, \dots, p_n\}$  is a fibration with fibre  $L$  and  $\pi_1(B)$  acts trivially on  $\pi_1(L)$ , we can extend  $f$  to a map  $f : M - S \cup \{L_1, \dots, L_n\} \rightarrow M$ . Now we shall extend to each  $L_i$  ( $i=1, \dots, n$ ) and  $S$ . Let  $L$  be a generic leaf in  $U(L_i)$  (resp.  $U(L_s)$ ,  $L_s \in S$ ) and  $\alpha$  a loop at  $x$  in  $L$  representing a generator of  $\pi_1(L)$ . We have a natural projection  $j_i : L \rightarrow L_i$  which is a  $k_i$ -fold covering ( $i=1, \dots, n$  and  $s$ ;  $k_s=2$ ). Let  $\bar{\alpha}_i = j_i(\alpha)$  be a loop at  $\bar{x} = j_i(x)$  in  $L_i$ . Then we define  $f(\bar{x}) = H(F', \bar{\alpha}_i)(\bar{x})$  for  $\bar{x} \in L_i$ . This is

well-defined since  $\pi_1(L_i) \cong \mathbb{Z}$ . Then we see that the extended map  $f : M \rightarrow M$  is a smooth diffeomorphism. We can see that if  $f(x) = x$  for some  $x \in M$ , then the leaf of  $F'$  through  $x$  is compact (see [8]). We associate to  $F$  a vector field  $X$  whose zero's give compact leaves. We have  $x$  and  $f(x)$  in the geodesic disk  $D(x)$  for  $x \in M$ . Let  $X(x)$  be the vector tangent to the geodesic in  $D(x)$  from  $x$  to  $f(x)$ . Note that  $X$  is orthogonal to  $F$ . We easily see that if  $f$  has no fixed point, then  $X$  is never zero. This completes the proof.

## §2. Statement of results for compact codimension two foliations.

Let  $F$  be a compact codimension two foliation with isolated singular leaves  $L_1, \dots, L_n$  of holonomy order  $k_1, \dots, k_n$  respectively. Furthermore we assume that  $\pi_1(L) \cong \mathbb{Z}$  for every leaf  $L$  of  $F$ . We let  $F'$  be a small perturbation of  $F$ . Then by the result of M.W.Hirsch ([6], Theorem 1.1), we have the following: For each  $\overbrace{U(L_i)}^{(i=1, \dots, n)}$ ,  $F'|_{U(L_i)}$  has a compact leaf  $L'_i$  in  $U(L_i)$  such that there is a diffeomorphism  $h_i : L_i \rightarrow L'_i$ . We remark that  $F'$  has at least  $n$  compact leaves. Let  $\alpha$  be a loop in a generic leaf  $L$  representing a generator of  $\pi_1(L)$  and  $\alpha_i$  (resp.  $\alpha'_i = h_i(\alpha_i)$ ) a loop in  $L_i$  (resp.  $L'_i$ ) representing a generator of  $\pi_1(L_i)$  (resp.  $\pi_1(L'_i)$ ) such that  $j_i(\alpha) = k_i \alpha_i$ , where  $j_i : L \rightarrow L_i$  is the canonical projection. Let  $H(\alpha_i)$  (resp.  $H(\alpha'_i)$ ) be the holonomy map of  $\alpha_i$  (resp.  $\alpha'_i$ ) for  $F$  (resp.  $F'$ ), which is a local diffeomorphism of  $(\mathbb{R}^2, 0)$ . Thus if  $H(\alpha_i)$  has no fixed point except for the origin  $0$ , we can define the fixed point index of  $H(\alpha_i)$  at  $0$  in the usual way. We denote it by  $I(H(\alpha_i), L_i)$ . Now we are in a position to state our main theorem.

Theorem 6. Let  $M$  be a compact manifold without boundary and  $F$

a compact codimension two foliation of  $M$  with leaf space  $B$ . We assume that the fundamental groups of all leaves of  $F$  are isomorphic to  $Z$  and  $\pi_1(B)$  acts trivially on  $\pi_1(L)$ . Let  $F'$  be a  $C^0$ -perturbation of  $F$ . If  $F'$  has exactly  $n$  isolated compact leaves, then we have a following relation;

$$\chi(B) + \sum_{i=1}^n \left( \frac{1}{k_i} - 1 \right) = \sum_{i=1}^n \frac{1}{k_i} I(H(\alpha_i^{k_i}, L_i^!)).$$

The following corollary is an immediate consequence of Theorem 6 and this result is an extension of results of Seifert [11] and Langevin and Rosenberg [9].

Corollary 7. Let  $M$  be a compact manifold without boundary and  $F$  a compact codimension two foliation of  $M$  with leaf space  $B$ , which has no isolated singular leaves. Suppose that

- 1)  $\pi_1(L) \cong Z$  for every leaf  $L$  of  $F$ ,
- 2)  $\pi_1(B)$  acts trivially on  $\pi_1(L)$  and
- 3)  $\chi(B) \neq 0$ .

Then any  $C^0$ -perturbation of  $F$  has a compact leaf.

Example 8. The Klein bottle  $K^2$  is an  $S^1$ -bundle over  $S^1$  with structure group  $Z_2$ . Then we can construct a compact codimension one foliation  $G$  of  $K^2$  such that  $G$  is transverse to the fibres and has two isolated singular leaves. We foliate  $K^2 \times S^1$  with leaves of the form  $L \times \{pt\}$ ,  $L \in G$ . This foliation  $F$  is a compact codimension two foliation with no isolated singular leaves and the leaf space  $K^2 \times S^1 / F$  is homeomorphic to a cylinder  $S^1 \times [0,1]$ . Thus the euler characteristic number of  $K^2 \times S^1 / F$  is equal to zero. Furthermore there exists a  $C^0$ -perturbation  $F'$  of  $F$  such that  $F'$  has no compact leaves. This example shows that the condition 3) of Corollary 7 is essential.

Corollary 9. Under the assumption of Theorem 6, we suppose that  $H(\alpha_i')$  is expanding or contracting for  $i=1, \dots, n$ . If  $\chi(B) \neq n$ , then  $F'$  has at least  $n+1$  compact leaves.

Proof. We assume that  $F'$  has exactly  $n$  compact leaves. If  $H(\alpha_i')$  is expanding or contracting, we have  $I(H(\alpha_i'), L_i') = 1$ . Thus from Theorem 6, we have  $\chi(B) \neq n$ , which contradicts the assumption.

Corollary 10. Under the assumption of Theorem 6, we suppose that 1 is not an eigenvalue of the linear holonomy  $LH(\alpha_i')^{k_i} \in GL(2, R)$  for  $i=1, \dots, n$ . If  $\chi(B) < 0$ , then  $F'$  has at least  $n+1$  compact leaves.

Proof. We assume that  $F'$  has exactly  $n$  compact leaves. From the assumption, we can easily see that  $I(H(\alpha_i')^{k_i}, L_i')$  is equal to 1 or -1. Thus from Theorem 6, we have  $\chi(B) \geq 0$ , which is a contradiction.

Remark 11. Let  $M^3$  be a closed manifold and  $F$  a foliation induced from a non-trivial  $S^1$ -action on  $M^3$  with leaf space  $B$ . Then every leaf  $L$  of  $F$  is homeomorphic to  $S^1$  and  $\pi_1(B)$  acts trivially on  $\pi_1(L)$ .

Proof of Theorem 6. By the results of D.B.A. Epstein [2] and R. Edwards, K. Millett and D. Sullivan [1], every compact codimension two foliation is Hausdorff.  $S$  is a codimension one submanifold of  $M$ . Hence we can apply Proposition 5 for a small perturbation  $F'$  of  $F$  and the vector field  $X$  is defined. Note that  $S$  may be empty, but now we consider the case  $S \neq \emptyset$ . For simplicity, we assume that  $S$  is connected. It is proved similarly when  $S$  is not connected.

Let  $U(L_i)$  be the saturated neighborhood of  $L_i$  (as in Proposition 2) and  $V_1(S)$  the total space of the normal disk bundle of  $S$  in  $M$ .

Put  $V_i = \pi(U(L_i)) (i=1, \dots, n)$  which is a neighborhood of  $p_i$  and  $V_0 = \pi(V_1(S))$  which is a neighborhood of  $S_B = \partial B$ . Let  $D$  be a 2-disk in  $B$  such that  $\pi$  is trivial over and  $D \cap \{\bigcup_{i=0}^n V_i\} = \emptyset$ . We identify  $D$  with

the unit disk  $D^2 \subset \mathbb{R}^2$  and  $\pi^{-1}(D) = T \cong D \times L$ . Let  $k$  be the least common multiple of  $2, k_1, \dots, k_n$ . Noting that  $U(L_i)$  is the total space of the normal disk bundle of  $L_i$  in  $M$ , choose disjoint  $k/k_i$  disk fibres  $W_i^j (j=1, \dots, k/k_i)$  for  $i=1, \dots, n$ . Then the restriction

$\pi: \bigcup_{j=1}^{k/k_i} \partial W_i^j \rightarrow \partial V_i \cong S^1$  is a  $k$ -fold covering for  $i=1, \dots, n$ . Take

a point  $\bar{b}_i \in \partial V_i$  for each  $i$ . Put  $\pi^{-1}(\bar{b}_i) = \{b_i^1, \dots, b_i^k\}$ ,  $b_i^\ell \in W_i^{j(\ell)}$  for some  $j(\ell) (1 \leq j(\ell) \leq k/k_i)$ . On the other hand, the restriction

$\pi: S \rightarrow \partial B = S^1$  is a fibration. Thus we can construct  $k/2$  disjoint sections of this fibration. We let these sections denote by  $T_1,$

$\dots, T_{k/2}$ , which are identified with those images. The restricted bundle of  $V_1(S)$  to  $T_i$  is denoted by  $\bar{T}_i (i=1, \dots, k/2)$ . Then the

restriction  $\pi: \bigcup_{i=1}^{k/2} \partial \bar{T}_i \rightarrow \partial V_0 \cong S^1$  is also a  $k$ -fold covering.

Take a point  $\bar{b}_0 \in \partial V_0$ . Put  $\pi^{-1}(\bar{b}_0) = \{b_0^1, \dots, b_0^k\}$ ,  $b_0^\ell \in \bar{T}_{r(\ell)}$  for some  $r(\ell) (1 \leq r(\ell) \leq k/2)$ . Since  $B$  is a compact topological 2-

manifold with boundary, there is a cell complex  $K$  of  $B - \bigcup_{i=0}^n \text{int}(V_i)$

such that 1)  $D$  is contained in a 2-cell of  $K$ , 2)  $\{\partial V_i\}, \{\bar{b}_i\} (i=0, 1,$

$\dots, k)$  are 1-cells, 0-cells of  $K$  respectively and 3)  $B - \bigcup_{i=1}^n \text{int}(V_i) - \text{int}(D)$

is homotopy equivalent to  $|K^{(1)}|$ , where  $|K^{(1)}|$  is the geometric

realization of the 1-skeleton of  $K$ . Remark that  $\text{int}(V_0)$  is homeo-

morphic to  $\partial B \times [0, 1)$ . Then we can construct disjoint  $k$  sections



$s_1, \dots, s_k$  over  $|K^{(1)}|$  such that  $s_\ell(\bar{b}_i) = b_i^\ell$  ( $\ell=1, \dots, k; i=0, 1, \dots, n$ ). In fact, for the case of  $\dim L \geq 2$ , it is trivial. For the case of  $\dim L = 1$ , that is,  $L = S^1$ , orienting  $\{b_i^\ell\}$  ( $\ell=1, \dots, k; i=0, 1, \dots, n$ ) along the orientations of the fibres, we can construct disjoint  $k$  sections. Furthermore we extend these sections  $s_1, \dots, s_k$  to a tubular neighborhood  $N$  of  $|K^{(1)}|$  in  $B - \bigcup_{i=0}^n \text{int}(V_i)$ . We denote these sections by the same letters. Then we may assume, modifying  $s_1, \dots, s_k$  if necessary, that each  $s_\ell(N)$  meets  $W_i^{j(\ell)}$  and  $\bar{T}_{r(\ell)}$  along a segment in  $\partial W_i^{j(\ell)}$  and  $\partial \bar{T}_{r(\ell)}$  respectively. Thus the union

$\bigcup_{i,\ell} \{s_\ell(N) \cup W_i^{j(\ell)} \cup \bar{T}_{r(\ell)}\}$  is a compact 2-manifold, transverse to  $F$  over  $N \cup \bigcup_{i=0}^n V_i$ . Since  $B - \text{int}(D)$  is homotopy equivalent to

$N \cup \bigcup_{i=0}^n V_i$ , we have the following proposition.

Proposition 12. There exists a compact connected 2-manifold  $B^*$ , transverse to  $F$  over  $B - \text{int}(D)$  such that 1)  $\pi: B^* \rightarrow B - \text{int}(D)$  is a  $k$ -fold covering except for  $p_1, \dots, p_n$  and  $\partial B$  (if  $\partial B \neq \emptyset$ ) and 2)  $B^*$  meets  $\partial T$  in simple closed curves  $C_i$  ( $i=1, \dots, r$ ), where  $r$  is a divisor of  $k$ .

The vector field  $X$  projects naturally a vector field  $X^*$  tangent to  $B^*$  since  $X$  and  $B^*$  are transverse to  $F$ . If  $F'$  has exactly  $n$  compact leaves,  $X^*$  has  $k \sum_{i=1}^n 1/k_i$  isolated singular points in  $B^* \cap (\bigcup_{i=1}^n U(L_i))$  and  $X^*$  is never zero outside of  $B^* \cap (\bigcup_{i=1}^n U(L_i))$ . Note that the

sum of indices of singular points of  $X^*$  is equal to

$$k \sum_{i=1}^n \frac{1}{k_i} I(H(\alpha_i^{k_i}), L_i^{k_i}) \quad \text{since } H(F', \alpha_i) = H(\alpha_i) \text{ on } D(\bar{x}) (\bar{x} \in L_i).$$

Let  $L_0 = \pi^{-1}(0)$ ,  $0 \in D$  and  $\alpha$  be a loop in  $L_0$  representing a generator of  $\pi_1(L_0) \cong \mathbb{Z}$ . R. Langevin and H. Rosenberg have proved the following proposition which is essentially due to Seifert [11].

Proposition 13.  $I(F', \alpha) = 0$  (for definition and proof, see [9]).

We construct a closed 2-manifold  $\bar{B}^*$  pasting  $r$  disks  $D_\ell^2$  ( $\ell=1, \dots, r$ ) to  $B^*$  along  $\partial B^* = \bigcup_{\ell=1}^r C_\ell$ :  $\bar{B}^* = B^* \cup D_1^2 \cup \dots \cup D_r^2$ . The vector field  $\pi_*(X(C_i))$  on  $\partial D$  is homotopic to the vector field  $\pi_*(X(n_i \alpha))$ , where  $n_i$  is the integer defined by  $[C_i] = n_i [\alpha]$  and  $[ ]$  denotes homotopy class in  $\pi_1(T)$ . From Proposition 13,  $\pi_*(X(\alpha))$  is homotopic to the constant vector field, hence deforming  $X^*$  along  $\partial B^*$  in the homotopy class, we may assume that  $\pi_*(X^*|_{\partial B^*})$  is a constant vector field on  $\partial D$ . Since  $\pi: C_i \rightarrow \partial D \cong S^1$  is a  $k/r$ -fold covering, we easily see that the vector field  $X^*$  is extended to a vector field  $\bar{X}$  on  $\bar{B}^*$  with exactly  $r$  singular points of index  $-(\frac{k}{r} - 1)$  in  $\bigcup_{\ell=1}^r D_\ell^2$ .

Now the euler characteristic number of  $\bar{B}^*$  is equal to

$$\chi(\bar{B}^*) = -r(\frac{k}{r} - 1) + k \sum_{i=1}^n \frac{1}{k_i} I(H(\alpha_i^{k_i}), L_i'). \quad \text{On the other hand,}$$

$$\chi(\bar{B}^*) = \chi(B^* \cup D_1^2 \cup \dots \cup D_r^2) = k \left\{ \chi(B) + \sum_{i=1}^n (\frac{1}{k_i} - 1) - 1 \right\} + r. \quad \text{Hence}$$

we have the required relation.

#### §4. Remarks.

Theorem 14. Let  $\pi: M \rightarrow B$  be a fibration with  $B$  a closed oriented  $k$ -manifold and compact fibre  $L$ . Suppose that 1)  $\pi_1(L) \cong \mathbb{Z}$ , 2)  $\pi_1(B)$  acts trivially on  $\pi_1(L)$ , 3)  $\pi^*: H^k(B; \mathbb{Z}) \rightarrow H^k(M; \mathbb{Z})$  is injective and 4)  $\chi(B) \neq 0$ . Then any  $C^0$ -perturbation of the foliation induced from this fibration has a compact leaf.

Proof. Let  $F'$  be a small perturbation of  $F$ . We assume that  $F'$  has no compact leaves. Applying Proposition 5 for such an  $F'$ , there exists a vector field  $X$  on  $M$  such that  $X$  is orthogonal to  $F$ . Let  $\chi \in H^k(B; \mathbb{Z})$  be the euler class of  $T(B)$ . The image  $\pi^*\chi \in H^k(M; \mathbb{Z})$  is the primary obstruction of a section of the induced bundle  $\pi^*T(B)$  over  $M$ .  $\pi^*T(B)$  has the non-zero section  $X$ , so  $\pi^*\chi = 0$ . From the condition 3),  $\chi = 0$ , hence  $\chi(B) = 0$  which contradicts the condition 4).

Now we shall apply Main theorem of Hirsch and Thurston [7] to Theorem 14. First we prepare the following terminology (see [7]).

Definition 15. A smooth bundle  $\xi = (\pi, M, B)$  is called a foliated bundle if  $\xi$  has a foliation whose leaves are transverse to the fibres and of complementary dimension.

As well-known, a smooth bundle  $\xi$  with compact fibre  $L$  is a foliated bundle with holonomy group  $\Gamma$  if and only if  $\xi$  is a  $(\Gamma, L)$ -bundle with discrete structure group  $\Gamma$ .

Definition 16. A group  $\Gamma$  is called amenable if there exists a left-invariant linear function  $A$  on  $B(\Gamma)$ , the bounded functions on  $\Gamma$ , such that  $A(f) \geq 0$  when  $f \geq 0$  and  $A(1) = 1$ .

Remark 17. The class of amenable groups is closed under the operations of taking quotients, subgroups, extensions of amenable groups by amenable groups and direct limits; and contains all abelian groups and finite groups.

Notation 18. We denote by  $\mathcal{C}$  the smallest class of groups that contains all amenable groups and is closed under finite extensions and free products.

Remark 19.  $\Gamma \in \mathcal{C}$  if  $\Gamma$  is solvable, free or of subexpo-

ponential growth(see [7]).

Definition 20. A content on a space  $V$  is by definition a linear functional  $S$  on the space of continuous real functions on  $V$  such that  $S(c) = c$  for any constant function  $c$ .

Theorem 21. Let  $\xi = (\pi, M, B)$  be a foliated bundle with  $B$  a closed  $k$ -manifold compact fibre  $L$  and holonomy group  $\Gamma$ .

Suppose that 1)  $\pi_1(L) \cong Z$ ,

2)  $\pi_1(B)$  acts trivially on  $\pi_1(L)$ ,

3) (a)  $\Gamma$  preserves a content on  $L$  or (b)  $\Gamma \in \mathcal{C}$  and

4)  $\chi(B) \neq 0$ .

Then any  $C^0$ -perturbation of  $\xi$  has a compact leaf.

Proof. Passing to a double covering of  $B$ , if necessary, we may assume that  $B$  is orientable. From the condition 3) we have Main

theorem of Hirsch and Thurston [7]. Hence it follows that

$\pi^* : H^k(B; \mathbb{R}) \rightarrow H^k(M; \mathbb{R})$  is injective. Since  $B$  is oriented,

$H^k(B; \mathbb{Z}) \cong Z$ , therefore  $\pi^* : H^k(B; \mathbb{Z}) \rightarrow H^k(M; \mathbb{Z})$  is injective.

Hence Theorem 21 is a corollary to Theorem 14.

Remark 22.  $\pi_1(B) \in \mathcal{C}$  implies  $\Gamma \in \mathcal{C}$ , for  $\Gamma$  is the image of the holonomy homomorphism  $\pi_1(B) \rightarrow \text{Diff}(L)$  of the foliated bundle

$\xi$ .

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