

Asymptotic behaviour of words partition function

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Let  $n$  be a non-negative integer and  $r$  be a positive integer. We denote by  $w(n|r)$  the number of partitions into some words using any  $n$  letters in the alphabet that consists of  $r$  letters.

EXAMPLE. Let  $n=3$  and  $r=2$  (alphabet = {a,b}). Thus we have  $w(3|2)=20$  partitions:

- aaa, aab, aba, abb, baa, bab, bba, bbb,  
aa a, ab a, ba a, bb a, aa b, ab b, ba b, bb b,  
a a a, a a b, a b b, b b b.

We have

$$(1) \quad w(n|r) = \sum_{\substack{s_1, s_2, \dots \geq 0 \\ n=1s_1+2s_2+\dots}} \prod_{t=1}^n \binom{r^t+s_t-1}{s_t}.$$

By a combinatorial lemma (see Proposition in [1]), we have

$$(2) \quad \sum_{n=0}^{\infty} w(n|r) x^n = \prod_{m=1}^{\infty} (1 - x^m)^{-r^m}, \quad |x| < 1/r.$$

Therefore we have, by taking the logarithmic derivative of (2),

$$(3) \quad n \cdot w(n|r) = \sum_{m=0}^{n-1} w(m|r) \sigma(n-m|r),$$

where  $\sigma(n|r) = \sum_{d|n} d \cdot r^d$ . Thus we may write

$$w(n|r) = \frac{1}{n!} \sum_{m=0}^n W_{n,m} r^m,$$

with non-negative integers  $W_{n,m}$ . Particularly we have  $W_{n,0} = 1$  (if  $n = 0$ ),  $= 0$  (if  $n > 0$ );  $W_{n,1} = (n - 1)!$  (for any  $n > 0$ ) and

$$W_{n,n} = \sum_{\substack{s_1, s_2, \dots \geq 0 \\ n = 1s_1 + 2s_2 + \dots}} n! / s_1! \dots s_n!$$

By Faà di Bruno's formula, we have

$$(4) \quad \exp \frac{x}{1-x} = \sum_{n=0}^{\infty} \frac{W_{n,n}}{n!} x^n.$$

$p(n) = w(n|1) = \sum_{m=0}^n W_{n,m}/n!$  is well known as the partition function. From now on, we consider  $w(n|r)$  for any real  $r > 1$ .

We may define  $w(n|r)$  by (1) for such  $r$ . Then (2), (3) are valid also in this case. Let  $f(x|r) = \sum_{n=0}^{\infty} w(n|r) x^n$ . We have

$$(5) \quad \begin{aligned} \log f(e^{-\tau}|r) &= \sum_{m=1}^{\infty} r^m \sum_{k=1}^{\infty} \frac{e^{-mk\tau}}{k} = - \sum_{k=1}^{\infty} \frac{1}{k} \frac{e^{-k\tau}}{e^{-k\tau} - r^{-1}} \\ &= - \sum_{k=1}^{\infty} \frac{1}{k^2} \sum_{\ell=0}^{k-1} \frac{e^{-\tau}}{e^{-\tau} - a_{k,\ell}} \quad (\operatorname{Re} \tau > \log r > 0), \end{aligned}$$

where  $a_{k,\ell} = \zeta_k^\ell r^{-1/k}$  ( $\zeta_k = e^{2\pi i/k}$ ). From this, we have the following

LEMMA. If  $r > 1$ , the function  $\log f(e^{-\tau}|r)$  is regular for  $\operatorname{Re} \tau > 0$  except  $\tau = (\log r - 2\pi\ell i)/k$  ( $k=1, 2, \dots; \ell \in \mathbb{Z}$ ), where there are simple poles of the function with respective residues  $1/k^2$ .

Our purpose is to get asymptotic expressions for  $w(n|r)$  with fixed  $r > 1$ . We are able to get following theorems:

THEOREM 1. For any  $r > 1$ ,

$$w(n|r) = \frac{e^{2\sqrt{n}} r^n}{2\sqrt{\pi e} n^{3/4}} \left\{ \exp \sum_{h=2}^{\infty} \frac{1}{h(r^{h-1} - 1)} \right\} \left\{ 1 + \sum_{v=1}^{N-1} u_v(r) n^{-\frac{v}{2}} + O_{r,N}(n^{-\frac{N}{2}}) \right\},$$

where  $\{u_v(r)\}$  is a sequence of functions of  $r$  only.

THEOREM 2. For any  $r < 1$ ,

$$w(n|r) = \sum_{k=1}^{N-1} R_k + O_{r,N}(r^{n/N} e^{2\sqrt{n}/N} n^{-3/4}),$$

where  $R_k = \sum_{\ell=0}^{k-1} R_{k,\ell}$ ,

$$R_{k,\ell} = r^{n/k} \zeta_k^{-\ell n} e^{V_0(r;k,\ell)} \sum_{\nu=0}^{\infty} U_\nu(r;k,\ell) (k\sqrt{n})^{-\nu-1} I_{\nu+1}(2\sqrt{n}/k),$$

$$V_0(r;k,\ell) = -\frac{1}{2k} + \sum_{h \geq 1, h \neq k} \frac{1}{h(r^{h/k-1} \zeta_k^{-h\ell} - 1)},$$

$U_\nu(r;k,\ell)$  are the coefficients in the Taylor expansion

$$\exp\left(-\frac{1}{k^2\tau} - V_0(r;k,\ell)\right) f(a_{k,\ell} e^{-\tau}|r) = \sum_{\nu=0}^{\infty} U_\nu(r;k,\ell) \tau^\nu,$$

and  $I_\nu(x)$  are modified Bessel functions.

Concerning this theorem, we have an equality as the following

THEOREM 3. If  $r > e^{4/3}$ , then the series  $\sum_{k=1}^{\infty} R_k$  converges to  $w(n|r)$ .

I wish to publish the proof of these theorems on another day.

On the leading coefficient  $W_{n,n}/n$  of the polynomial  $w(n|r)$  in  $r$ ,

We have the following

THEOREM 4.

$$\begin{aligned} W_{n,n}/n! &= e^{-1/2} \sum_{\nu=0}^{\infty} b_\nu n^{-\frac{\nu+1}{2}} I_{\nu+1}(2\sqrt{n}) \\ &= \frac{e^{2\sqrt{n}}}{2\sqrt{\pi}en^{3/4}} \left\{ 1 + \sum_{\nu=1}^{N-1} u_\nu n^{-\frac{\nu}{2}} + O_N(n^{-\frac{N}{2}}) \right\}, \end{aligned}$$

where the numbers  $b_\nu$  are the coefficients in the Taylor expansion

$$\exp\left(-\frac{1}{\tau} + \frac{1}{2} + \frac{1}{e^\tau - 1}\right) = \sum_{\nu=0}^{\infty} b_\nu \tau^\nu,$$

and  $u_\eta$  are given by  $u_\eta = \sum_{\nu+\mu=\eta; \nu, \mu \geq 0} (-1/4)^\mu (\nu+1, \mu) b_\nu$  with

$$(\nu, \mu) = \frac{\Gamma(\nu+\mu+\frac{1}{2})}{\mu! \Gamma(\nu-\mu+\frac{1}{2})} = \frac{(4\nu^2-1^2)(4\nu^2-3^2)\dots(4\nu^2-(2\mu-1)^2)}{\mu! 4^\mu}$$

The numbers  $W_{n,n}$  have been treated by Motzkin[2] with his notation  $!^{n+}$ .

REMARK. i) The functions  $U_\nu = U_\nu(r; k, \ell)$  ( $\nu = 0, 1, \dots$ ) in Theorem 2 are explicitly given by

$$(6) \quad U_\nu = \sum_{\nu=1\nu_1+2\nu_2+\dots} \frac{V_1^{\nu_1} V_2^{\nu_2} \dots}{\nu_1! \nu_2! \dots},$$

where

$$(7) \quad V_\nu = \frac{B_{\nu+1}}{(\nu+1)!} k^{\nu-1} + V_\nu^*(r; k, \ell),$$

$$V_\nu^* = \frac{1}{\nu!} \sum_{m=0}^{\nu} (-1)^m m! S(\nu, m) \sum_{h \geq 1, h \neq k} h^{\nu-1} \frac{r^{m(h/k-1)} \zeta_k^{-mh\ell}}{(r^{h/k-1} \zeta_k^{-h\ell} - 1)^{m+1}},$$

with Bernoulli numbers  $B_\nu = \lim_{t \rightarrow 0} (t/(e^t-1))^{(\nu)}$  and Stirling

numbers  $S(\nu, m) = ((e^t-1)^m/m!)^{(\nu)}|_{t=0}$  of the second kind. ii) The functions  $u_\eta(r)$  ( $\eta = 0, 1, \dots$ ) in Theorem 1 are given by

$$(8) \quad u_\eta(r) = \sum_{\nu+\mu=\eta; \nu, \mu \geq 0} (-1/4)^\mu (\nu+1, \mu) U_\nu(r; 1, 0).$$

iii) The numbers  $b_\nu$  in Theorem 4 are given by

$$(9) \quad b_\nu = \sum_{\nu=1\nu_1+2\nu_2+\dots} \frac{(B_2/2!)^{\nu_1} (B_3/3!)^{\nu_2} \dots}{\nu_1! \nu_2! \dots}.$$

#### References

- [1] Kaneiwa, R., An Asymptotic Formula for Cayley's Double Partition function  $p(2; n)$ , Tokyo J. of Math., 2 (1979), 137-158.
- [2] Motzkin, T.S., Sorting Numbers for Cylinders and other Classification Numbers, Proc. of Symposia in Pure Math., 19 (1971), 167-176.