

## Discontinuous Group in a Non-Riemannian Homogeneous Space

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### 1. Notations and preliminaries

#### 1.1. proper action

First of all, let us recall the definition of the properness of a continuous map.

DEFINITION 1.1.1. Let  $f: X \rightarrow Y$  be a continuous map between locally compact Hausdorff spaces.  $f$  is called *proper* iff one of the following equivalent conditions holds.

- (1)  $f$  is a closed map, and  $f^{-1}(y)$  is compact for any  $y \in Y$ .
- (2) For any topological space  $Z$ ,  $f: X \times Z \rightarrow Y \times Z$  is a closed map.
- (3)  $f^{-1}(S)$  is compact for any compact subset  $S$  of  $Y$ .

If  $f$  is a proper map, then it follows easily that a closed subset  $Z$  of  $X$  is compact iff  $f(Z)$  is contained in some compact set of  $Y$ .

DEFINITION 1.1.2. The action of a locally compact topological (Hausdorff) group  $G$  acting continuously on a locally compact Hausdorff space  $X$  is called *proper* iff the map  $G \times X \ni (g, x) \mapsto (x, gx) \in X \times X$  is proper. Equivalently,  $\{g \in G : f(g, S) \cap S \neq \emptyset\}$  is compact for every compact subset  $S$  in  $X$ . We call the action is *properly discontinuous* iff  $G$  is discrete and acts properly on  $X$ .

Suppose that  $H$  is a closed subgroup of  $G$ .  $\Gamma$  is called a *discontinuous group in  $G/H$*  iff  $\Gamma$  is a discrete subgroup of  $G$  and  $\Gamma$  acts properly on  $G/H$ .

LEMMA 1.1.3. Let  $G_i$  ( $i = 1, 2$ ) be locally compact groups and  $L_i, H_i \subset G_i$  be closed subgroups. Suppose that  $f: G_1 \rightarrow G_2$  is a (continuous) homomorphism such that  $f(L_1) \subset L_2$ ,  $f(H_1) \subset H_2$ . Assume that  $f(L_1)$  is closed in  $G_2$ .

- 1) Assume that  $L_1 \cap \text{Ker } f$  is compact. If the  $L_2$  action on  $G_2/H_2$  is proper, then the  $L_1$  action on  $G_1/H_1$  is also proper.
- 2) Assume that  $f(G_1)H_2 = G_2$ , that  $G_1 \rightarrow G_2/H_2$  is an open map, and that the

quotients  $L_2/f(L_1)$ ,  $f^{-1}(H_2)/H_1$  are compact. If the  $L_1$  action on  $G_1/H_1$  is proper, then the  $L_2$  action on  $G_2/H_2$  is also proper.

REMARK 1.1.4. If  $G_i$  are (separable) Lie groups, then it is automatically satisfied from the assumption  $f(G_1)H_2 = G_2$  that the map  $G_1 \rightarrow G_2/H_2$  is open.

PROOF OF LEMMA (1.1.3): 1) Fix any compact subset  $S$  of  $G_1$ . We have

$$f(L_1 \cap SH_1S^{-1}) \subset L_2 \cap f(S)H_2f(S)^{-1}.$$

If  $L_2$  acts on  $G_2/H_2$  properly, then  $f(L_1 \cap SH_1S^{-1})$  is contained in a compact set because  $f(S)$  is compact. Then  $L_1 \cap SH_1S^{-1}$  is compact, since  $f|_{L_1}: L_1 \rightarrow L_2$  is a proper map as it is a composition of proper maps:  $L_1 \rightarrow L_1/L_1 \cap \text{Ker } f \hookrightarrow L_2$ . That is,  $L_1$  acts on  $G_1/H_1$  properly.

2) As  $f(L_1)$  is a closed and cocompact subgroup of  $L_2$ ,  $L_2$  acts properly iff  $f(L_1)$  acts properly. So we may and do assume  $f(L_1) = L_2$ . Take a compact set  $S_1$  of  $G_1$  such that  $f^{-1}(H_2) = S_1H_1$  and that  $S_1$  contains the unit of  $G_1$ . Fix any compact subset  $S$  of  $G_2$ . We can find a compact subset  $\tilde{S}$  of  $G_1$  such that  $f(\tilde{S})H_2 \supset S$  as it follows from the assumption that  $G_1 \rightarrow G_2/H_2$  is an open map and  $f(G_1)H_2 = G_2$ . Then we have

$$f^{-1}(L_2 \cap SH_2S^{-1}) \subset f^{-1}(L_2) \cap \tilde{S}f^{-1}(H_2)\tilde{S}^{-1}.$$

In particular,  $f|_{L_1}^{-1}(L_2 \cap SH_2S^{-1})$  is compact if  $L_1$  acts properly on  $G_1/H_1$ , because

$$f|_{L_1}^{-1}(L_2 \cap SH_2S^{-1}) \subset L_1 \cap f^{-1}(L_2) \cap \tilde{S}f^{-1}(H_2)\tilde{S}^{-1} \subset L_1 \cap \tilde{S}S_1H_1S_1^{-1}\tilde{S}^{-1}.$$

Under our assumption  $f(L_1) = L_2$ , we have  $L_2 \cap SH_2S^{-1} = f|_{L_1} \circ f|_{L_1}^{-1}(L_2 \cap SH_2S^{-1})$  is compact. Thus  $L_2$  acts on  $G_2/H_2$  properly. ■

## 1.2. property (CI)

Let  $H, L$  be closed subgroups of a locally compact topological group  $G$ . If  $L$  acts properly on  $G/H$ , then any  $L$ -orbit is closed with a compact isotropy group. In general, this is not a sufficient condition for the properness of the  $L$ -action. Anyway, the second condition about compact isotropy group is easier to check. We call that the triplet  $(L, G, H)$  has *property (CI)* iff  $L \cap gHg^{-1}$  is compact for any  $g \in G$ . We call that the triplet  $(L, G, H)$  is *proper* iff  $L$  acts properly on  $G/H$ . Then the following is easily checked from the definition (see [Bou] for the first part.)

LEMMA 1.2.1. *With notation as above, the following conditions are equivalent:*

- 1)  $(L, G, H)$  is proper,
- 1)'  $(H, G, L)$  is proper,
- 1)"  $(\text{diag } G, G \times G, H \times L)$  is proper,

*which imply the following equivalent conditions.*

- 2)  $(L, G, H)$  has property (CI)
- 2)'  $(H, G, L)$  has property (CI)
- 2)"  $(\text{diag } G, G \times G, H \times L)$  has property (CI)

As we mentioned above, it is easier to check property (CI) than properness. So we are interested in how property (CI) approximates properness.

EXAMPLE 1.2.2.

- 1) Suppose that  $G$  is a linear reductive Lie group, and that  $H, L$  are closed subgroups reductive in  $G$  (see §1.3 for definition). Then property (CI)  $\Leftrightarrow$  properness. This is a

restatement of one of our main results in [Ko], Theorem 4.1.

- 2) Suppose that  $G$  is a linear reductive noncompact Lie group. Let  $G = KAN$  be an Iwasawa decomposition and let  $H := A$ ,  $L := N$ . Then property (CI) is always satisfied for  $(L, G, H)$ , while  $L$  never acts properly on  $G/H$ .
- 3) If  $L$  is normal in  $G$  and if  $HL$  is closed, then property (CI)  $\Leftrightarrow$  properness.
- 4) Suppose that  $G = GL(2, \mathbf{R}) \ltimes \mathbf{R}^2$ ,  $H = GL(2, \mathbf{R})$ . Then for any connected closed Lie subgroup  $L$  of  $G$ , property (CI)  $\Leftrightarrow$  properness.

The proof of (2), (3) is easy. As for (4), we shall classify the maximal connected Lie groups  $L$  of  $G$  such that  $(L, G, H)$  has property (CI) in the proof in §2.2, which we also see is in fact proper.

### 1.3. notations for reductive groups

In this subsection we set up notation.

Let  $G$  be a real linear reductive Lie group, with real Lie algebra  $\mathfrak{g}$ . Given a Cartan involution  $\theta$  of  $G$ , we always write a Cartan decomposition of its Lie algebra as  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$ . Fix a maximally abelian subspace  $\mathfrak{a} \subset \mathfrak{p}$ .  $\mathfrak{a}$  is called a maximally split abelian subspace for  $G$ . We write  $W(\mathfrak{g}, \mathfrak{a})$  for the Weyl group associated to the root system of  $\Sigma(\mathfrak{g}, \mathfrak{a})$ ,  $\mathbf{R}\text{-rank } G := \dim \mathfrak{a}$  ( $\leq \text{rank } G \geq$ )  $c\text{-rank } G := \text{rank } K$ , and  $d(G) := \dim G/K = \dim \mathfrak{p}$ . Let  $H$  be a closed subgroup in  $G$ . If there exists a Cartan involution of  $G$  which stables  $H$ , then  $H$  is called *reductive in  $G$*  and  $G/H$  is called *a homogeneous space of reductive type*. In this case,  $H$  is of finite connected components,  $H$  has a Cartan decomposition  $H = (H \cap K) \exp(\mathfrak{h} \cap \mathfrak{p})$ , and  $\mathfrak{h}$  is reductive in  $\mathfrak{g}$ , namely, the adjoint representation  $\mathfrak{h} \rightarrow \mathfrak{gl}(\mathfrak{g})$  is completely reducible. Let  $\mathfrak{a}_H$  be a maximally split abelian subspace for  $H$ .

Then there exists an element  $g$  of  $G$  such that  $\text{Ad}(g)\mathfrak{a}_H \subset \mathfrak{a}$ . Put  $\mathfrak{a}(H) := \text{Ad}(g)\mathfrak{a}_H$ , which is uniquely defined up to conjugacy of  $W(\mathfrak{g}, \mathfrak{a})$ .

REMARK 1.3.1. Definition-Lemma (2.6) in [Ko] is not accurate if  $H$  is not an algebraic group defined over  $\mathbf{R}$  (cf. [M]). Our definition here is equivalent to (2.6.1), and implies (2.6.2) there. Any statement there is valid for a homogeneous space of reductive type in this sense.

We will use the standard notation  $\mathbf{N}$ ,  $\mathbf{Z}$ ,  $\mathbf{R}$ ,  $\mathbf{C}$  and  $\mathbf{H}$ . Here  $\mathbf{N}$  means the set of non-negative integers and  $\mathbf{H}$  means the  $\mathbf{R}$ -algebra of quaternionic numbers.

## 2. Homogeneous spaces of semidirect product groups

### 2.1. semidirect product

Proposition 2.1.1 Let  $G$  be a Lie group and  $H$  be a closed subgroup. Assume that  $\mathfrak{h}$  contains a maximal semisimple algebra of  $\mathfrak{g}$ . Then any connected closed subgroup  $L$  such that  $(L, G, H)$  has property (CI) is amenable.

PROOF: Let  $\mathfrak{l} = \mathfrak{l}_s + \mathfrak{l}_n$  be a Levi decomposition of  $\mathfrak{l}$ , where  $\mathfrak{l}_s$  is a maximal semisimple algebra and  $\mathfrak{l}_n$  is the radical. It follows from the assumption that there exists  $g \in G$  such that  $\mathfrak{l}_s \subset \text{Ad}(g)\mathfrak{h}$ . Thus,  $L \cap gHg^{-1} \supset L_s$ , where  $L_s$  is a connected semisimple

Lie subgroup with Lie algebra  $\mathfrak{l}_s$ . Therefore  $L_s$  must be compact.  $L$  is thus a compact extension of a solvable group, namely, an amenable group. ■

## 2.2. affine transformation group of $\mathbb{R}^2$

Let  $G = GL(2, \mathbb{R}) \ltimes \mathbb{R}^2$ , the affine transformation group of  $\mathbb{R}^2$ . The multiplicative structure is given by  $(g_1, v_1) \cdot (g_2, v_2) := (g_1 g_2, g_1 v_2 + v_1)$ , where  $g_i \in GL(2, \mathbb{R})$ ,  $v_i \in \mathbb{R}^2$ . The Lie algebra  $\mathfrak{g}$  is identified with  $M(3, 2; \mathbb{R}) = \{(A, u) : A \in \mathfrak{gl}(2, \mathbb{R}), u \in \mathbb{R}^2\}$  equipped with  $[(A_1, u_1), (A_2, u_2)] = ([A_1, A_2], A_1 u_2 - A_2 u_1)$ . The adjoint action is given by  $\text{Ad}((g, v))(A, u) = (gAg^{-1}, gu - gAg^{-1}v)$ . Let  $H = GL(2, \mathbb{R})$ , the isotropy subgroup of  $G$  at  $0 \in \mathbb{R}^2$ . Here is a classification of maximal connected Lie groups acting properly on  $G/H \simeq \mathbb{R}^2$ .

PROPOSITION 2.2.1. *Up to conjugacy the maximal connected Lie subgroups of  $G$  acting properly on  $G/H$  are of the following forms;*

$$L_1 = \left\{ \begin{pmatrix} e^b & 0 & a \\ 0 & 1 & b \end{pmatrix} : a, b \in \mathbb{R} \right\},$$

$$L_2 = \left\{ \begin{pmatrix} 1 & b & a \\ 0 & 1 & b \end{pmatrix} : a, b \in \mathbb{R} \right\},$$

$$L_3 = \left\{ \begin{pmatrix} \cos \theta & -\sin \theta & a \\ \sin \theta & \cos \theta & b \end{pmatrix} : a, b, \theta \in \mathbb{R} \right\}.$$

It can be checked directly that  $L_i$  acts properly on  $G/H$  ( $i = 1, 2, 3$ ). Conversely, if a connected group  $L$  acts properly on  $G/H$ , then  $(L, G, H)$  has property (CI). We shall classify  $L$  such that  $(L, G, H)$  has property (CI) in the following way. First,  $L$  is a compact extension of a solvable group from Proposition (2.1.1). In our case, a maximal compact sub group of  $G$  is of one dimension, and thus  $L$  itself is a solvable Lie group.

So we can take a sequence  $0 = \mathfrak{l}^{(0)} \triangleleft \mathfrak{l}^{(1)} \triangleleft \dots \triangleleft \mathfrak{l}^{(n)} = \mathfrak{l}$  such that  $\mathfrak{l}^{(i)}$  is a codimension one ideal in  $\mathfrak{l}^{(i+1)}$ . (It is easy to see that  $n \leq 3$ .) Now checking property (CI) is reduced to the calculation of the normalizer  $N_{\mathfrak{g}}(\mathfrak{l}^{(i)})$  and to the case of  $\dim L = 1$  (Lemma (2.2.3)). The rest of this section is devoted to complete the proof of Proposition (2.2.1) by this procedure.

LEMMA 2.2.2. *A complete representative of the adjoint orbit in  $\mathfrak{g}$  is given by*

$$X(a, b) := \begin{pmatrix} a & 0 & 0 \\ 0 & b & 0 \end{pmatrix} \quad (a, b \in \mathbf{R}, a \leq b), \quad W(a) := \begin{pmatrix} 0 & 0 & 1 \\ 0 & a & 0 \end{pmatrix} \quad (a \in \mathbf{R}),$$

$$Y(a) := \begin{pmatrix} a & 1 & 0 \\ 0 & a & 0 \end{pmatrix} \quad (a \in \mathbf{R}), \quad V := \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

$$Z(a, b) := \begin{pmatrix} a & -b & 0 \\ b & a & 0 \end{pmatrix} \quad (a, b \in \mathbf{R}, b > 0).$$

LEMMA 2.2.3. *Up to conjugacy, the one dimensional connected Lie subgroups of  $G$  which act properly on  $G/H$  have one of the following Lie algebras:  $\mathbf{RZ}(0, 1)$ ,  $\mathbf{RW}(1)$ ,  $\mathbf{RW}(0)$ ,  $\mathbf{RV}$ .*

PROOF: We notice that if  $a \neq 0$  then there exists  $g \in G$  such that  $\text{Ad}(g)\mathbf{RW}(a) = \mathbf{RW}(1)$ . So the necessity is shown by checking the property (CI). We have already seen the sufficiency before. ■

The proof of the following two lemmas is straightforward and so omitted.



LEMMA 2.2.4. *The normalizers of the Lie algebras in Lemma (2.2.3) are given by,*

$$N_{\mathfrak{g}}(\mathbf{RZ}(0, 1)) = \mathbf{RZ}(0, 1) + \mathbf{RZ}(1, 0),$$

$$N_{\mathfrak{g}}(\mathbf{RW}(0)) = \{X \in M(3, 2; \mathbf{R}) : X_{21} = 0\},$$

$$N_{\mathfrak{g}}(\mathbf{RW}(1)) = \mathbf{RW}(1) + \mathbf{RW}(0),$$

$$N_{\mathfrak{g}}(\mathbf{RV}) = \mathbf{RV} + \mathbf{RX}(2, 1) + \mathbf{RW}(0).$$

Set  $W'(a) := \begin{pmatrix} a & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$  ( $a \in \mathbf{R}$ ),  $V' := \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$ , which are conjugate to  $W(a)$ ,  $V$  respectively. Put  $P := N_G(\mathbf{RW}(0))$ ,  $Q := N_G(\mathbf{RV}) \subset G$ .

LEMMA 2.2.5.

- (1)  $\text{Ad}(G)Z(a, b) \cap \mathfrak{p} = \emptyset$  if  $b \neq 0$ .
- (2)  $\text{Ad}(G)W(a) \cap \mathfrak{p} = \text{Ad}(P)W(a) \amalg \text{Ad}(P)W'(a)$  ( $a \in \mathbf{R}$ ).
- (3)  $\text{Ad}(G)V \cap \mathfrak{p} = \text{Ad}(P)V$ .
- (4)  $\text{Ad}(G)Z(a, b) \cap \mathfrak{q} = \emptyset$  if  $b \neq 0$ .
- (5)  $\text{Ad}(G)W(a) \cap \mathfrak{q} = \begin{cases} \emptyset & \text{if } a \neq 0 \\ \mathbf{R}^\times W(0) = \text{Ad}(Q)W(0) & \text{if } a = 0 \end{cases}$ .
- (6)  $\text{Ad}(G)V \cap \mathfrak{q} = \amalg_{c \in \mathbf{R}} \text{Ad}(Q)(V + cW(0))$ .

LEMMA 2.2.6. *Up to conjugacy the two dimensional connected Lie subgroups  $L$  of  $G$  which act properly on  $G/H$  are of the following Lie algebras:*

$$\mathbf{RW}'(0) + \mathbf{RW}(0), \mathbf{RW}'(1) + \mathbf{RW}(0), \mathbf{RV} + \mathbf{RW}(0).$$

PROOF: We have seen already that the corresponding Lie subgroups in Lemma (2.2.3) act properly on  $G/H$ . Let us verify the necessity part by the property (CI). As  $\mathfrak{l}$  is a solvable Lie algebra, we can assume that one of the Lie algebras in Lemma (2.2.3) is an

ideal of  $\mathfrak{l}$ . First consider the case where  $\mathbf{RW}(0) \triangleleft \mathfrak{l}$ . Then  $\mathfrak{l} \subset N_{\mathfrak{g}}(\mathbf{RW}(0)) = \mathfrak{p}$ . Up to conjugacy by  $P$ , we may assume that a complimentary subspace of  $\mathbf{RW}(0)$  in  $\mathfrak{l}$  is one of  $\mathbf{RW}(0)$ ,  $\mathbf{RW}(1)$ ,  $\mathbf{RW}'(0)$ ,  $\mathbf{RW}'(1)$ ,  $\mathbf{RV}$  from Lemma (2.2.5). Then  $\mathbf{RW}(0)$  is excluded because of linear dependency.  $\mathbf{RW}(1)$  is also excluded because  $\mathbf{RW}(0) + \mathbf{RW}(1)$  contains a subspace  $\mathbf{R}(W(1) - W(0))$ , whose corresponding connected Lie subgroup cannot act properly on  $G/H$ . The remaining is properly discontinuous cases. Similarly, we can treat the cases where  $\mathbf{RZ}(0, 1) \triangleleft \mathfrak{l}$ ,  $\mathbf{RW}(1) \triangleleft \mathfrak{l}$ ,  $\mathbf{RV} \triangleleft \mathfrak{l}$ , yielding Lemma. ■

The final step is done similarly by using the following lemma.

LEMMA 2.2.7. *The normalizers of the Lie algebras in Lemma (2.2.6) are given by,*

$$\begin{aligned} N_{\mathfrak{g}}(\mathbf{RW}'(0) + \mathbf{RW}(0)) &= \mathfrak{g}, \\ N_{\mathfrak{g}}(\mathbf{RW}'(1) + \mathbf{RW}(0)) &= \mathbf{RX}(1, 0) + \mathbf{RW}'(1) + \mathbf{RW}(0), \\ N_{\mathfrak{g}}(\mathbf{RV} + \mathbf{RW}(0)) &= \mathbf{RX}(2, 1) + \mathbf{RY}(0) + \mathbf{RV} + \mathbf{RW}(0). \end{aligned}$$

### 3. Homogeneous spaces of solvable groups

First we recall a nice topological property of a subgroup of a solvable Lie group due to Chevalley.

FACT 3.1, [Ch]. *Let  $G$  be a 1-connected (real) solvable group and  $H$  be a connected subgroup of  $G$ . Then  $H$  is closed and 1-connected.*

Our main theorem in this section is,

**THEOREM 3.2.** *Let  $G$  be a connected (real) solvable group and  $H$  be a closed proper subgroup of  $G$ . If the fundamental group  $\pi_1(G/H)$  is finite, then there exists a discontinuous group in  $G/H$  which is isomorphic to  $\mathbf{Z}$ .*

This result should be in sharp contrast to the case of homogeneous spaces of reductive type, which is a phenomenon first observed in [C-M] and is settled in general in [Ko].

**FACT 3.3,** [C-M; Wo1; Wo2; Ku; Ko]. *Let  $G/H$  be a homogeneous space of reductive type. Then the followings are equivalent:*

- (1) Any discontinuous group in  $G/H$  is finite.
- (2)  $\mathbf{R}\text{-rank } G = \mathbf{R}\text{-rank } H$ .

A stupid observation is when  $G$  is solvable and reductive, namely,  $G$  is isomorphic to  $\mathbf{R}^m \times \mathbf{T}^n$ . Suppose that the first Betti number of  $H$  is  $n'$ . Then obviously,

$$|\pi_1(G/H)| < \infty \iff n = n' \iff G = H \text{ or } \mathbf{R}\text{-rank } G > \mathbf{R}\text{-rank } H.$$

This means a compatibility of Theorem (3.2) and Fact (3.3).

Thanks to Lemma (1.1.3)(2) with  $G_1$  a universal covering group of  $G_2 := G$  and with  $H_1$  a connected subgroup of  $G_1$  having the same Lie algebra of  $\mathfrak{h}_2 := \mathfrak{h}$ , Theorem (3.2) is reduced to the following Theorem (3.2)'.

**THEOREM 3.2'.** *Let  $G$  be a 1-connected (real) solvable group and  $H$  be a connected proper subgroup of  $G$ . Then there exists a discontinuous group in  $G/H$  which is isomorphic to  $\mathbf{Z}$ .*

PROOF: We proceed by the induction on the dimension of  $G$ . Theorem (3.2)' is clear when  $\dim G = 1$ , namely, when  $G \simeq \mathbf{R} \supset H \simeq \{0\}$ . Suppose that  $\dim G \geq 2$ . Then there exists a connected normal subgroup  $N$  of  $G$  with  $0 < \dim N < \dim G$ . We will divide into two cases according as  $HN \subsetneq G$  or  $HN = G$ .

I) Assume that  $HN \subsetneq G$ . A subgroup  $HN$  is connected and so closed. So  $\overline{H} := H/H \cap N = HN/N$  is a proper closed subgroup of  $\overline{G} := G/N$ . We write the canonical projection  $\pi: G \rightarrow \overline{G} = G/N$ . From the inductive assumption, we can find a discrete group  $\overline{\Gamma}$  of  $\overline{G}$  such that  $\overline{\Gamma}$  is isomorphic to  $\mathbf{Z}$  and acts on  $\overline{G}/\overline{H}$  properly. Fix an element  $\gamma \in G$  such that  $\pi(\gamma)$  is a generator of  $\overline{\Gamma}$ . Put  $\Gamma := \langle \gamma \rangle$ . We have  $\pi(\Gamma) = \overline{\Gamma}$ , and therefore  $\Gamma \simeq \mathbf{Z}$  and  $\Gamma \cap N = \{e\}$ . On the other hand,  $\overline{\Gamma}$  is discrete and so does  $\Gamma$ . Applying Lemma (1.1.3)(1), we have now shown that  $\Gamma$  acts on  $G/H$  properly discontinuously.

II) Assume that  $HN = G$ . We have  $G/H \simeq N/N \cap H$  and  $N \cap H \subsetneq N$ . Since  $\pi_1(N/N \cap H) = \pi_1(G/H) = \{e\}$ ,  $N \cap H$  is connected. Thus  $(N, N \cap H)$  satisfies the assumption of Theorem (3.2)' and  $\dim N < \dim G$ . Therefore we can find a discrete group  $\Gamma \simeq \mathbf{Z}$  of  $N$  which acts on  $N/N \cap H$  from the inductive assumption. Clearly,  $\Gamma$  is a subgroup of  $G$  acting properly discontinuously on  $G/H$ . ■

#### 4. R-rank one semisimple group manifolds

Throughout this section, we assume that  $G$  is a connected real reductive linear Lie group. See §1.3 for notations. We shall find some property of a discontinuous group in

a group manifold  $G \times G / \text{diag } G$  when  $\mathbf{R}\text{-rank } G = 1$ .

LEMMA 4.1.1. *If  $\mathbf{R}\text{-rank } G = 1$  and  $x \in G$  is a semisimple and non-elliptic element, then  $Z_G(x)$  is a direct product of a compact group and  $\mathbf{R}$ .*

PROOF: Choose a Cartan subgroup  $J$  of  $G$  containing  $x$  and a Cartan involution  $\theta$  such that  $\theta J = J$ . Put  $L := Z_G(x)$ , then we have  $\theta \mathfrak{l} = \mathfrak{l}$  (see [War] Proposition 1.4.3.2). As  $\mathfrak{l}$  is of maximal rank reductive Lie subalgebra of  $\mathfrak{g}$ , we have  $N_G(\mathfrak{l}) \supset L \supset L_0$  have the same Lie algebra ([War] Proposition 1.4.2.4). Since  $\theta N_G(\mathfrak{l}) = N_G(\mathfrak{l})$ , we have  $\theta L = L$ . So we can write the center of  $L$  as  $C = (C \cap K) \exp(\mathfrak{c} \cap \mathfrak{p})$ . As  $L$  is a reductive linear Lie group with finitely many connected components, it follows from  $\langle x \rangle \simeq \mathbf{Z} \subset C$  that  $\dim \mathfrak{c} \cap \mathfrak{p} \geq 1$ . Then  $\mathfrak{c} \cap \mathfrak{p} = \mathfrak{p}$  because  $1 = \mathbf{R}\text{-rank } G \geq \mathbf{R}\text{-rank } L = \mathbf{R}\text{-rank}[L, L] + \dim \mathfrak{c} \cap \mathfrak{p}$ . Hence  $L = (L \cap K) \exp(\mathfrak{c} \cap \mathfrak{p})$ . ■

LEMMA 4.1.2. *If  $\mathbf{R}\text{-rank } G = 1$  and  $\Gamma$  is an infinite discrete subgroup of  $G$ , then there exists a compact set  $S$  of  $G$  such that  $S\Gamma S^{-1} = G$ .*

PROOF: An infinite discrete subgroup  $\Gamma$  in a linear Lie group contains necessarily an element of infinite order because  $\Gamma$  has a torsion-free subgroup  $\Gamma'$  such that  $[\Gamma : \Gamma'] < \infty$  ([Se]). Thus it suffices to show Lemma (4.1.2) when  $\Gamma$  is isomorphic to  $\mathbf{Z}$ . Let  $\gamma$  be a generator of  $\Gamma$  and  $\gamma = \gamma_s \gamma_u$  be a Jordan decomposition (see [War] Proposition 1.4.3.3). We divide into two cases according as  $\langle \gamma_s \rangle$  is discrete in  $G$  or not.

I) Assume that  $\langle \gamma_s \rangle$  is discrete in  $G$ . It follows from Lemma (4.1.1) that  $\gamma_u \in Z_G(\gamma_s)$  is the identity. Thus  $\gamma = \gamma_s$  is contained in a maximally split Cartan subgroup  $J$ . Choose a Cartan involution  $\theta$  which stabilizes  $J$  and we write  $J = TA$  as usual. We can write  $\gamma = t \exp(Y)$  where  $t \in T$ ,  $Y \in \mathfrak{a}$ . Define a compact subset of  $G$  by  $S :=$

$K \{\exp sY : 0 \leq s \leq 1\}$ . Then  $S\langle\gamma\rangle S^{-1} \supset KAK = G$ .

II) Assume that  $\langle\gamma_s\rangle$  is not discrete in  $G$ . Then  $\gamma_u \neq 1$  since  $\langle\gamma\rangle = \{\gamma_s^n \gamma_u^n : n \in \mathbb{Z}\}$  is discrete in  $G$ . By the theorem of Jacobson-Morozov, there is a homomorphism  $\psi: SL(2, \mathbb{R}) \rightarrow G$  such that  $\psi\left(\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}\right) = \gamma_u$ . There is a Cartan involution  $\theta$  of  $G$  such that  $\theta\psi(SL(2, \mathbb{R})) = \psi(SL(2, \mathbb{R}))$  (see [He], p.277). In particular,  $A := \psi\left(\left\{\begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} : a > 0\right\}\right)$  is a maximally split abelian subgroup of  $G$ . Define a com-

compact subset of  $G$  by  $S := K\psi\left(\left\{\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} : 0 \leq x \leq 1\right\}\right)\overline{\langle\gamma_s\rangle}$ . Then

$$S\langle\gamma\rangle S^{-1} \supset K\psi\left(\left\{\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} : x \in \mathbb{R}\right\}\right)K \supset K\psi(SL(2, \mathbb{R}))K \supset KAK = G.$$

■

LEMMA 4.1.3. *Let  $G$  be a connected reductive Lie group. Then the following conditions are equivalent.*

- (1)  $\mathbf{R}\text{-rank } G \geq 2$
- (2) *There exists infinite discrete subgroups  $\Gamma_i$  of  $G$  ( $i = 1, 2$ ) such that  $\Gamma := \Gamma_1 \times \Gamma_2$  acts properly discontinuously on a group manifold  $G \times G / \text{diag } G$ .*

PROOF: We may restrict ourselves to the case where  $\mathbf{R}\text{-rank } G \geq 1$ .

Suppose that  $\mathbf{R}\text{-rank } G \geq 2$ . We find abelian subspaces  $\mathfrak{a}_1, \mathfrak{a}_2 \subset \mathfrak{a}$  such that  $\dim \mathfrak{a}_i \geq 1$  and that  $W(\mathfrak{g}, \mathfrak{a}) \cdot \mathfrak{a}_1 \cap \mathfrak{a}_2 = \{0\}$ . Put  $A_i := \exp \mathfrak{a}_i$ , then  $A_1$  acts properly on  $G/A_2$ . Take any lattices  $\Gamma_i$  in abelian Lie groups  $A_i$  ( $i = 1, 2$ ). Then the discrete group  $\Gamma_1 \times \Gamma_2$  acts properly discontinuously on  $G \times G / \text{diag } G$  (see Lemma (1.2.1)).

Suppose that  $\mathbf{R}\text{-rank } G = 1$ . Let  $\Gamma_i$  ( $i = 1, 2$ ) be both infinite discrete subgroups of  $G$ . Then there exists a compact set  $S$  of  $G$  such that  $S\Gamma_i S^{-1} = G$  by Lemma (4.1.2).

In particular,  $(S \times S)(\Gamma_1 \times \Gamma_2)(S^{-1} \times S^{-1}) = G \times G$ , which implies that any subgroup  $H$  of  $G \times G$  acting properly on  $G \times G/\Gamma_1 \times \Gamma_2$  must be compact. Thus,  $\Gamma_1 \times \Gamma_2$  cannot act properly discontinuously on  $G \times G/\text{diag } G$ . ■

**THEOREM 4.1.4.** *Let  $G$  be a connected noncompact reductive Lie group. Then the following conditions are equivalent.*

- (1)  $\mathbf{R}\text{-rank } G = 1$
- (2) Any torsionless discontinuous group  $\Gamma$  in  $G \times G/\text{diag } G$  is of the following form up to switch of factor:  $\Gamma = \{(\gamma, \rho(\gamma)) : \gamma \in \Phi\}$  with a subgroup  $\Phi \subset G$  and with a homomorphism  $\rho: \Phi \rightarrow G$ .

**PROOF:** 2)  $\Rightarrow$  1) If  $\mathbf{R}\text{-rank } G \geq 2$ , then there exists a discrete group  $\Gamma_i \simeq \mathbf{Z}^{n_i}$  ( $n_i \geq 1$ ) of  $G$  such that  $\Gamma_1 \times \Gamma_2$  acts properly discontinuously on  $G \times G/\text{diag } G$  as we saw it in the previous lemma.

1)  $\Rightarrow$  2) Suppose that  $\Gamma$  is a discontinuous group in  $G \times G/\text{diag } G$ . Let  $p_j: G \times G \rightarrow G$  ( $j = 1, 2$ ) be natural projections to the  $j$ -th factor. Let  $\Gamma_j := \text{Ker } p_j \cap \Gamma$  for  $j = 1, 2$ . Then  $\Gamma_1 \times \Gamma_2$  is regarded as a subgroup of  $\Gamma \subset G \times G$ , and so is also a discontinuous group in  $G \times G/\text{diag } G$ . It follows from the previous Lemma that at least one of  $\Gamma_j$  must be finite if  $\mathbf{R}\text{-rank } G = 1$ . We can assume  $\Gamma_1$  is a finite group after changing factor if necessary. As  $\Gamma$  is torsion-free, a finite subgroup  $\Gamma_1$  must be trivial, namely,  $p_{1|\Gamma}: \Gamma \rightarrow G$  is injective. Now  $\Gamma$  is of the desired form if we define  $\Phi := p_1(\Gamma)$  and  $\rho := p_2 \circ p_{1|\Gamma}^{-1}$ . ■

**REMARK.** R.Kulkarni and F.Raymond first proved (1)  $\Rightarrow$  (2) when  $G = SL(2, \mathbf{R})$  (see Theorem 5.2 and Introduction in [Ku-R]). They also show that  $\Psi$  can be chosen to be discrete. The proof there depends on the fact that no discontinuous group in  $G \times$

$G/\text{diag } G$  contains a subgroup  $\simeq \mathbf{Z}^2$  if  $G = SL(2, \mathbf{R})$ . However, this is not always true even if  $G$  is of  $\mathbf{R}$ -rank one. For example, there exists a discontinuous group  $\simeq \mathbf{Z}^{n-1}$  in  $G \times G/\text{diag } G$  if  $G = SO(n, 1)$ .

## 5. A necessary condition for the existence of a uniform lattice

### 5.1. theorem

A homogeneous space of reductive type  $G/H$  does not always admit a uniform lattice. There are known two necessary conditions for the existence of a uniform lattice. One is the requirement that there should exist a discontinuous group  $\simeq \mathbf{Z}$  in  $G/H$  (see Fact(3.3)), and the other is a requirement from Euler characteristic ([Ko] Proposition (4.10), see also [Ku] Corollary 2.10, [Ko-O] Corollary 5 for partial results):

FACT 5.1.1. *For the existence of a uniform lattice,  $(G, H)$  must satisfy that*

- 1)  $\mathbf{R}\text{-rank } G > \mathbf{R}\text{-rank } H$  unless  $G/H$  itself is compact.
- 2) If  $\text{rank } G = \text{rank } H$  then  $c\text{-rank } G = c\text{-rank } H$ .

By a comparison with various reductive subgroups in  $G$ , we can exclude the possibility of the existence of uniform lattice in some of homogeneous spaces of reductive type.

The following simple theorem is based on this idea.



**THEOREM 5.1.2.** *Let  $G/H$  be a homogeneous space of reductive type. If there exists a closed subgroup  $G'$  reductive in  $G$  such that*

$$(5.1.3)(a) \quad \mathfrak{a}(G') \subset W(\mathfrak{g}, \mathfrak{a}) \cdot \mathfrak{a}(H)$$

$$(5.1.3)(b) \quad d(G') > d(H)$$

*then  $G/H$  does not admit a uniform lattice (see §1.3 for notations).*

**PROOF:** Suppose that there were  $\Gamma \subset G$ , a uniform lattice in  $G/H$ . Then  $\Gamma$  is virtually torsionless and the cohomological dimension  $\text{cd}_{\mathbf{R}}(\Gamma) = d(G) - d(H)$  from Corollary 5.5 (1) in [Ko]. On the other hand, the condition (5.1.3)(a) implies that  $\Gamma$  acts on  $G/G'$  properly discontinuously. Using Corollary 5.5 in [Ko] again, we have  $\text{cd}_{\mathbf{R}}(\Gamma) \leq d(G) - d(G')$ . Thus  $d(G') \leq d(H)$ , which contradicts (5.1.3)(b). ■

**REMARK 5.1.4.** One of the simplest applications is a comparison of  $G/H$  with  $G/G$  by taking  $G' = G$ , yielding Fact (5.1.1)(1). Indeed, if  $\mathbf{R}\text{-rank } G = \mathbf{R}\text{-rank } H$ , then Theorem (5.1.2) implies

$$G/H \text{ has a uniform lattice} \iff d(G) = d(H) \iff G/H \text{ is compact.}$$

Here, the second equivalence is derived immediately from a fiber bundle structure  $G/H \simeq K/H \cap K \times_{H \cap K} \mathfrak{p}/\mathfrak{h} \cap \mathfrak{p}$ .

The proof of Theorem (5.1.2) is almost obvious as we saw above. Throughout the rest of this section we will clarify its typical applications and limitations.

## 5.2. example

EXAMPLE 5.2.1. Let  $G/H = U(i+j, k+l; \mathbf{F})/U(i, k; \mathbf{F}) \times U(j, l; \mathbf{F})$ , where  $\mathbf{F} = \mathbf{R}, \mathbf{C}, \mathbf{H}$ , and  $i \leq j, k, l$ . Here, we use a notation:  $U(p, q; \mathbf{R}) = SO(p, q)$ ,  $U(p, q; \mathbf{C}) = U(p, q)$ , and  $U(p, q; \mathbf{H}) = Sp(p, q)$  (of rank  $p + q$ ). If  $G/H$  admits a uniform lattice, then  $G/H$  is compact ( $i = j = 0$  or  $i = k = 0$ ), or  $H$  is compact ( $i = l = 0$ ), or  $0 = i < l \leq j - k$ .

PROOF: To see the condition  $0 = i < l \leq j - k$ , it suffices to apply Theorem (5.1.2) with  $G' = G_i$ , where  $G_1 := U(i+t, k+l; \mathbf{F})$ ,  $G_2 = U(i+j, i+t; \mathbf{F})$ , and  $t := \min(j, l)$ . ■

REMARK 5.2.2. Assume moreover that  $\mathbf{F} = \mathbf{R}$  in the above Example. Then it is also necessary that  $ijkl \equiv 0 \pmod{2}$  from Fact (5.1.1)(2). Conversely, it is known that if  $i = l = 0$  or if  $(i, j, k, l) = (0, 2n, 1, 1), (0, 4n, 1, 3), (0, 4, 2, 1)$ , then there exists a uniform lattice in  $G/H$ .

### 5.3. semisimple orbit

Let us apply Theorem (5.1.2) to a semisimple orbit of the adjoint action. First we fix notations. Let  $G$  be a connected real linear reductive Lie group and  $X$  be an element of its Lie algebra  $\mathfrak{g}$ .  $G \cdot X \simeq G/Z_G(X)$  is called an (adjoint) orbit in  $\mathfrak{g}$ , where  $G \cdot X := \{\text{Ad}(g)X : g \in G\}$ ,  $Z_G(X) := \{g \in G : \text{Ad}(g)X = X\}$ .

COROLLARY 5.3.1. *In the above setting, suppose that  $X$  is a semisimple element. If  $G \cdot X \simeq G/Z_G(X)$  admits a uniform lattice, then there is an elliptic element  $X_1 \in \mathfrak{g}$  such that  $Z_G(X) = Z_G(X_1)$ . In particular, the orbit  $G \cdot X$  carries a  $G$ -invariant complex structure.*

REMARK 5.3.2. We should note that  $G$  itself never carries a complex Lie group structure if  $G \cdot X \simeq G/Z_G(X)$  admits a uniform lattice with a nonzero semisimple element

$X \in \mathfrak{g}$ . This follows from the fact that  $\mathbf{R}\text{-rank } Z_G(X) = \mathbf{R}\text{-rank } G$  if  $G$  is a complex reductive Lie group.

PROOF OF COROLLARY (5.3.1): There exists a Cartan involution  $\theta$  which stabilizes  $Z_G(X)$ . Since  $\text{rank } Z_G(X) = \text{rank } G$  ([War] Proposition 1.4.3.2) and since  $G/Z_G(X)$  admits a uniform lattice, we have  $c\text{-rank } Z_G(X) = c\text{-rank } G$  from Fact (5.1.1)(2). Therefore  $Z_{\mathfrak{g}}(X)$  contains a fundamental Cartan subalgebra  $\mathfrak{j}^c$  of  $\mathfrak{g}$ . We may and do assume  $\mathfrak{j}^c$  is  $\theta$ -stable and we write  $X = X_1 + X_2$  corresponding to the direct sum decomposition  $\mathfrak{j}^c = \mathfrak{t}^c + \mathfrak{a}^c := \mathfrak{j}^c \cap \mathfrak{k} + \mathfrak{j}^c \cap \mathfrak{p}$ . Then the first statement of Corollary follows from Theorem (5.1.2) with  $H = Z_G(X) \subset G' = Z_G(X_1)$  combined with the following Claim (5.3.3). The last statement is directly from a (generalized) Borel embedding. ■

CLAIM 5.3.3. *With notation as above, we have*

- 1)  $Z_G(X) = Z_G(X_1) \cap Z_G(X_2)$ ,
- 2)  $\mathbf{R}\text{-rank } Z_G(X) = \mathbf{R}\text{-rank } Z_G(X_1)$ ,
- 3) *either*  $Z_G(X) = Z_G(X_1)$  *or*  $d(Z_G(X)) < d(Z_G(X_1))$ .

PROOF OF CLAIM (5.3.3): The first claim is a direct consequence of the equation  $Z_G(X) = \theta(Z_G(X)) = Z_G(\theta X)$ . Take a maximally split abelian subspace  $\mathfrak{a}_1$  of  $Z_{\mathfrak{g}}(X_1)$  such that  $\mathfrak{a}_1$  contains  $X_2$ . This is possible because  $X_2 \in \mathfrak{p} \cap Z_{\mathfrak{g}}(X_1)$ . Then  $\mathfrak{a}_1 \subset Z_{\mathfrak{g}}(X_1) \cap Z_{\mathfrak{g}}(X_2) = Z_{\mathfrak{g}}(X)$ . This means that  $\mathfrak{a}_1$  is also a maximally split abelian subspace of  $Z_{\mathfrak{g}}(X)$ , whence the second part.

Let us prove the third part. Suppose  $Z_G(X) \subsetneq Z_G(X_1)$ . As the centralizer of an elliptic element is necessarily connected, it follows that  $Z_{\mathfrak{g}}(X) \subsetneq Z_{\mathfrak{g}}(X_1)$ . Noting  $\mathfrak{j}^c \subset Z_{\mathfrak{g}}(X) \subsetneq Z_{\mathfrak{g}}(X_1)$ , we find an  $\alpha \in \Delta(Z_{\mathfrak{g}}(X_1), \mathfrak{j}^c) \setminus \Delta(Z_{\mathfrak{g}}(X), \mathfrak{j}^c)$ . If we write

$\alpha = \alpha_1 + \alpha_2$  corresponding to the direct sum decomposition  $\mathfrak{j}^{c*} = \mathfrak{t}^{c*} + \mathfrak{a}^{c*}$ , we have  $\alpha_1(X_1) = 0$ ,  $\alpha(X) = \alpha_1(X_1) + \alpha_2(X_2) \neq 0$ . Fix a nonzero element  $Y \in \mathfrak{g}(\mathfrak{j}^c; \alpha)$  and set  $Z := Y - \theta Y \in \mathfrak{p}$ . Since  $\alpha \neq \theta\alpha$ ,  $Y$  and  $\theta Y$  are linearly independent. Now we have  $[X_1, Z] = \alpha_1(X_1)Z = 0$ , and  $[X, Z] = \alpha_2(X_2)(Y + \theta Y) \neq 0$ . Thus  $Z \in \mathfrak{p} \cap (Z_{\mathfrak{g}}(X_1) \setminus Z_{\mathfrak{g}}(X))$ . Hence we have shown  $d(Z_G(X)) < d(Z_G(X_1))$ . ■

**EXAMPLE 5.3.4.** The following homogeneous space of reductive type is an elliptic orbit which admits a uniform lattice and which does not carry any invariant Riemannian metric:  $SU(2n, 2)/U(2n, 1)$ ,  $SO(2n, 2)/U(n, 1)$ , and  $SO(4, 3)/SO(4, 1) \times SO(2)$ .

#### 5.4. semisimple symmetric space

Let us recall the notion of  $\epsilon$ -family of semisimple symmetric spaces introduced by Oshima-Sekiguchi. We also review some necessary notions of semisimple symmetric pair for the benefit of the reader. Let  $\mathfrak{g}$  be a semisimple Lie algebra,  $\sigma$  be an involution of  $\mathfrak{g}$ ,  $\theta$  be a Cartan involution of  $\mathfrak{g}$  commuting with  $\sigma$ . Let  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p} = \mathfrak{h} + \mathfrak{q}$  be direct sum decomposition corresponding  $\theta, \sigma$  respectively. Put  $\mathfrak{h}^a := \{X \in \mathfrak{g} : \sigma\theta(X) = X\} = \mathfrak{h} \cap \mathfrak{k} + \mathfrak{q} \cap \mathfrak{p}$ . Then  $(\mathfrak{g}, \mathfrak{h}^a)$  is called the associated symmetric pair of  $(\mathfrak{g}, \mathfrak{h})$ . Note that  $(\mathfrak{h}^a)^a = \mathfrak{h}$ . Take a maximal abelian subspace  $\mathfrak{a}_{\mathfrak{p}, \mathfrak{q}}$  of  $\mathfrak{p} \cap \mathfrak{q}$ . Then  $\Sigma(\mathfrak{a}_{\mathfrak{p}, \mathfrak{q}}) := \Sigma(\mathfrak{g}, \mathfrak{a}_{\mathfrak{p}, \mathfrak{q}})$  satisfies the axiom of root system and is called the restricted root system of  $(\mathfrak{g}, \mathfrak{h})$ . The signature of a restricted root is a map  $(m^+, m^-): \Sigma(\mathfrak{a}_{\mathfrak{p}, \mathfrak{q}}) \rightarrow \mathbf{N} \times \mathbf{N}$  defined by  $m^+(\lambda) := \dim \mathfrak{h}^a \cap \mathfrak{g}(\mathfrak{a}_{\mathfrak{p}, \mathfrak{q}}; \lambda)$ ,  $m^-(\lambda) := \dim \mathfrak{g}(\mathfrak{a}_{\mathfrak{p}, \mathfrak{q}}; \lambda) - m^+(\lambda)$ . A map  $\epsilon: \Sigma(\mathfrak{a}_{\mathfrak{p}, \mathfrak{q}}) \cup \{0\} \rightarrow \{1, -1\}$  is called a signature of  $\Sigma(\mathfrak{a}_{\mathfrak{p}, \mathfrak{q}})$  if  $\epsilon$  is a semigroup homomorphism with  $\epsilon(0) = 1$  (see [O-S2] (1.9.3.1)). Note that any map  $\Psi \rightarrow \{1, -1\}$  is uniquely extended to a signature, where  $\Psi$  is a fundamental system for  $\Sigma(\mathfrak{a}_{\mathfrak{p}, \mathfrak{q}})$ . To a signature  $\epsilon$  of  $\Sigma(\mathfrak{a}_{\mathfrak{p}, \mathfrak{q}})$ , we associate an involution  $\sigma_\epsilon$  by  $\sigma_\epsilon(X) := \epsilon(\lambda)\sigma(X)$  if  $X \in \mathfrak{g}(\mathfrak{a}_{\mathfrak{p}, \mathfrak{q}}; \lambda)$ ,

$\lambda \in \Sigma(\mathfrak{a}_{p,q}) \cup \{0\}$ . Then  $\sigma_\epsilon$  defines a symmetric pair  $(\mathfrak{g}, \mathfrak{h}_\epsilon)$ . The set  $F((\mathfrak{g}, \mathfrak{h})) := \{(\mathfrak{g}, \mathfrak{h}_\epsilon) : \epsilon \text{ is a signature of } \Sigma(\mathfrak{a}_{p,q})\}$  is called a  $\epsilon$ -family of symmetric pairs ([O-S2] §6). Among  $\epsilon$ -family, there is a distinguished symmetric pair called *basic* characterized by,

$$m^+(\lambda) \geq m^-(\lambda) \text{ for any } \lambda \in \Sigma(\mathfrak{a}_{p,q}) \text{ such that } \frac{\lambda}{2} \notin \Sigma(\mathfrak{a}_{p,q}).$$

It is known that there exists a basic symmetric pair of  $F = F((\mathfrak{g}, \mathfrak{h}))$  unique up to isomorphisms ([O-S2] Proposition 6.5). If the basic symmetric pair of  $F$  is a Riemannian symmetric pair, then  $m^- \equiv 0$  and  $F$  is  $K_\epsilon$ -family in the sense of [O-S1]. Typical examples of basic symmetric pairs are  $(\mathfrak{g}, \mathfrak{k})$  (Riemannian symmetric pair),  $(\mathfrak{g}, \mathfrak{g})$  (trivial case),  $(\mathfrak{g} + \mathfrak{g}, \text{diag } \mathfrak{g})$ ,  $(\mathfrak{g}_\mathbb{C}, \mathfrak{g})$ ,  $(\mathfrak{u}(p, q; \mathbb{F}), \mathfrak{u}(m; \mathbb{F}) + \mathfrak{u}(p-m, q; \mathbb{F}))$  ( $\mathbb{F} = \mathbb{R}, \mathbb{C}, \mathbb{H}$ ), whose associated symmetric pair are  $(\mathfrak{g}, \mathfrak{g})$ ,  $(\mathfrak{g}, \mathfrak{k})$ ,  $(\mathfrak{g}_\mathbb{C}, \mathfrak{k}_\mathbb{C})$ ,  $(\mathfrak{u}(p, q; \mathbb{F}), \mathfrak{u}(m, q; \mathbb{F}) + \mathfrak{u}(p-m; \mathbb{F}))$ , respectively. Now we are ready to state our application of Theorem (5.1.2) to semisimple symmetric spaces:

**COROLLARY 5.4.1.** *If an irreducible symmetric space  $G/H$  admits a uniform lattice, then the associated symmetric pair  $(\mathfrak{g}, \mathfrak{h}^a)$  is basic in  $\epsilon$ -family  $F((\mathfrak{g}, \mathfrak{h}^a))$ .*

The proof of Corollary (5.4.1) is derived from Theorem (5.1.2) combined with (1),(2) of the following lemma.

**LEMMA 5.4.2.** *With notations as above, let  $(\mathfrak{g}, \mathfrak{h})$  be basic in the  $\epsilon$ -family  $F = F((\mathfrak{g}, \mathfrak{h}))$  and  $(\mathfrak{g}, \mathfrak{h}_\epsilon)$  be not basic in  $F$ . Then we have*

- 1)  $\mathfrak{a}(H^a) \sim \mathfrak{a}(H_\epsilon^a)$  by an element of  $W(\mathfrak{g}, \mathfrak{a})$ .
- 2)  $d(H^a) > d(H_\epsilon^a)$ .
- 3)  $\mathbf{R}\text{-rank}(H) = \mathbf{R}\text{-rank}(G/H^a) \leq \mathbf{R}\text{-rank}(G/H_\epsilon^a) = \mathbf{R}\text{-rank}(H_\epsilon)$ .

PROOF: (1) is clear because  $\mathfrak{a}_{p,q}$  is a maximally split abelian subspace of  $H_\epsilon^a$  as well as of  $H^a$ . The proof of (2) and (3) is based on the classification in [O-S2](see also [Be]). They are trivial if  $H$  is compact, because  $H^a = G$  in this case. If  $H$  is noncompact, we can check them by using Table V; Table I and (1.14-16) in [O-S2]. ( $\mathfrak{h}$  of  $D_{l,A}^1$  in Table I there should read  $\mathfrak{so}(l, \mathbb{C})$ .) ■

Here is a list of  $G/H_\epsilon^a$  which does not admit a uniform lattice from Corollary (5.4.1). We omitted here the cases where  $\mathbf{R}\text{-rank } G = \mathbf{R}\text{-rank } H_\epsilon^a$  (see Fact (5.1.1)(1)). In particular,  $H^a$  is necessarily noncompact. We also omitted the cases treated in §5.2, namely, an indefinite Grassmann manifold  $G/H = U(i+j, k+l; \mathbb{F})/U(i, k; \mathbb{F}) \times U(j, l; \mathbb{F})$ , ( $\mathbb{F} = \mathbb{R}, \mathbb{C}, \mathbb{H}$ ). (We can find the same necessary condition with that of Example (5.2.1) if we apply Corollary (5.4.1).)

$\mathfrak{g}$	$\mathfrak{h}_\epsilon^a$	$\mathfrak{h}^a$
$\mathfrak{sl}(2l, \mathbb{R})$	$\mathfrak{so}(l, l)$	$\mathfrak{sp}(l, \mathbb{R})$
$\mathfrak{su}^*(4l)$	$\mathfrak{so}^*(4l)$	$\mathfrak{sp}(l, l)$
$\mathfrak{su}(2l, 2l)$	$\mathfrak{so}^*(4l)$	$\mathfrak{sp}(l, l)$
$\mathfrak{sp}(2l, \mathbb{R})$	$\mathfrak{u}(l, l)$	$\mathfrak{sp}(l, \mathbb{C})$
$\mathfrak{so}(2l, 2l)$	$\mathfrak{so}(2l, \mathbb{C})$	$\mathfrak{u}(l, l)$
$\mathfrak{so}^*(4l+4)$	$\mathfrak{so}^*(4p+2) + \mathfrak{so}^*(4l-4p+2)$	$\mathfrak{so}^*(2) + \mathfrak{so}^*(4l+2)$
$\mathfrak{e}_{6(6)}$	$\mathfrak{sp}(4, \mathbb{R})$	$\mathfrak{f}_{4(4)}$
$\mathfrak{e}_{6(2)}$	$\mathfrak{su}(4, 2) + \mathfrak{su}(2)$	$\mathfrak{so}^*(10) + \sqrt{-1}\mathbb{R}$
$\mathfrak{e}_{7(7)}$	$\mathfrak{su}(4, 4)$	$\mathfrak{e}_{6(2)} + \sqrt{-1}\mathbb{R}$
$\mathfrak{e}_{7(7)}$	$\mathfrak{su}^*(8)$	$\mathfrak{so}^*(12) + \mathfrak{su}(2)$
$\mathfrak{e}_{7(-5)}$	$\mathfrak{su}(6, 2)$	$\mathfrak{e}_{6(-14)} + \sqrt{-1}\mathbb{R}$
$\mathfrak{e}_{7(-25)}$	$\mathfrak{su}(6, 2)$	$\mathfrak{e}_{6(-14)} + \sqrt{-1}\mathbb{R}$
$\mathfrak{e}_{8(8)}$	$\mathfrak{so}^*(16)$	$\mathfrak{e}_{7(-5)} + \mathfrak{su}(2)$
$\mathfrak{so}(2l+2, \mathbb{C})$	$\mathfrak{so}(2p+1, \mathbb{C}) + \mathfrak{so}(2l-2p+1, \mathbb{C})$	$\mathfrak{so}(2l+1, \mathbb{C})$
$\mathfrak{e}_{6, \mathbb{C}}$	$\mathfrak{sp}(4, \mathbb{C})$	$\mathfrak{f}_{4, \mathbb{C}}$

Table 5.4.3.

There is still a room for applications of Theorem (5.1.2). Here is a list of some other typical examples of  $G/H$  which does not admit a uniform lattice. For most of

parameters below,  $G'$  stands for a reductive group satisfying the conditions in Theorem (5.1.2). We have no intention to make a complete list in Table 5.4.4.

$\mathfrak{g}$	$\mathfrak{h}$		$\mathfrak{g}'$
$\mathfrak{g}(n)$	$\mathfrak{g}(p) + \mathfrak{g}(q)$	$p + q \leq n, pq > 0$	$\mathfrak{g}(p + q)$
$\mathfrak{sl}(n, \mathbf{R})$	$\mathfrak{sp}(m, \mathbf{R})$	$0 < 2m \leq n - 2$	$\mathfrak{so}(m, n - m)$
$\mathfrak{sl}(n, \mathbf{C})$	$\mathfrak{sp}(m, \mathbf{C})$	$0 < 2m \leq n - 1$	$\mathfrak{u}(m, n - m)$
$\mathfrak{sl}(n, \mathbf{C})$	$\mathfrak{so}(m, \mathbf{C})$	$0 < 2m \leq n$	$\mathfrak{u}(\frac{m}{2}, n - \frac{m}{2})$
$\mathfrak{sl}(2l, \mathbf{C})$	$\mathfrak{u}(l, l)$		$\mathfrak{sp}(l, \mathbf{C})$
$\mathfrak{so}^*(2l, \mathbf{C})$	$\mathfrak{u}(l, n - l)$	$3l \leq 2n \leq 6l, n \geq 3$	$\mathfrak{so}^*(4l + 2)$
$\mathfrak{sp}(n, \mathbf{R})$	$\mathfrak{sp}(l, \mathbf{C})$	$0 < 2l \leq n$	$\mathfrak{u}(l, n - l)$
$\mathfrak{su}^*(2n)$	$\mathfrak{so}^*(2l)$	$1 < l \leq n$	$\mathfrak{sp}([\frac{l}{2}], n - [\frac{l}{2}])$

Table 5.4.4.

We give here some remarks on Table (5.4.4).

- 1) In the first line,  $\mathfrak{g}(n)$  stands for one of the following classical Lie algebras:  $\mathfrak{gl}(n, \mathbf{R})$ ,  $\mathfrak{gl}(n, \mathbf{C})$ ,  $\mathfrak{so}^*(2n)$ ,  $\mathfrak{so}(n, \mathbf{C})$ ,  $\mathfrak{sp}(n, \mathbf{R})$ ,  $\mathfrak{sp}(n, \mathbf{C})$ . We also remark that  $\mathfrak{g}' = \mathfrak{g}(p + q)$  should be modified by  $\mathfrak{g}(p + q - 1)$  if  $\mathfrak{g}(n) = \mathfrak{so}^*(2n)$  or  $\mathfrak{so}(n, \mathbf{C})$  and if both  $p$  and  $q$  are odd integers.
- 2) As for  $(\mathfrak{g}, \mathfrak{h}) = (\mathfrak{so}^*(2l, \mathbf{C}), \mathfrak{u}(l, n - l))$ , the choice  $\mathfrak{g}' = \mathfrak{so}^*(4l + 2)$  is valid for  $2l < n$ . If  $3l \leq 2n < 4l$  or  $n = 2l$ , then we can choose  $\mathfrak{g}' = \mathfrak{so}^*(4n - 4l + 2)$ ,  $\mathfrak{g}' = \mathfrak{so}^*(2n)$ , respectively.

The condition  $3l \leq 2n \leq 6l$  looks strange. It is interesting to note that if  $(n, l) = (4, 1)$  or  $(4, 3)$ ,  $G/H = SO^*(2n)/U(l, n - l)$  admits a uniform lattice.

- 3) As for  $(\mathfrak{g}, \mathfrak{h}) = (\mathfrak{sp}(n, \mathbf{R}), \mathfrak{sp}(l, \mathbf{C}))$ , we have to use Example (4.11) in [Ko] if  $n = 2l$  instead of Theorem (5.1.2).

REMARK 5.4.5. It is likely that a complex irreducible semisimple symmetric space

$G_{\mathbb{C}}/H_{\mathbb{C}}$  admits a uniform lattice if and only if  $G_{\mathbb{C}}/H_{\mathbb{C}}$  is locally isomorphic to a group manifold. From Fact (3.3) and Tables (5.4.3) and (5.4.4), we are left with  $(\mathfrak{g}_{\mathbb{C}}, \mathfrak{h}_{\mathbb{C}}) = (\mathfrak{gl}(2n, \mathbb{C}), \mathfrak{sp}(n, \mathbb{C})), (\mathfrak{so}(2n+1, \mathbb{C}), \mathfrak{so}(2n, \mathbb{C})), (\mathfrak{e}_{6, \mathbb{C}}, \mathfrak{f}_{4, \mathbb{C}})$ .

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