

A GENERALIZATION OF THE SIZES OF DIFFERENTIAL EQUATIONS AND ITS APPLICATIONS TO G-FUNCTION THEORY

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This is a summary about “a generalization of the sizes of differential equations and its applications to G-function theory” [5].

Let  $K$  be an algebraic number field of a finite degree. We consider a linear differential equation:

$$(1) \quad \frac{d}{dx}y = Ay, \quad (A \in M_n(K(x))).$$

Let us define the sizes and the global radii regarding differential equation (1). For a place  $v$  of  $K$  we put

$$\begin{cases} |p|_v := p^{-\frac{d_v}{d}} & \text{if } v \mid p \quad (p : \text{prime}), \\ |\xi|_v := |\xi|_v^{\frac{d_v}{d}} & \text{if } v \mid \infty \quad (\xi \in K), \end{cases}$$

where  $d = [K : \mathbb{Q}]$  and  $d_v = [K_v : \mathbb{Q}_p]$ .

We define a pseudo valuation on  $M_{n_1, n_2}(K)$ : for  $M = (m_{i,j})_{\substack{i=1, \dots, n_1 \\ j=1, \dots, n_2}} \in M_{n_1, n_2}(K)$ ,

$$|M|_v := \max_{\substack{i=1, \dots, n_1 \\ j=1, \dots, n_2}} |m_{i,j}|_v.$$

For  $Y_i \in M_{n_1, n_2}(K)$ , we consider the Laurent series  $Y = \sum_{i=-N}^{\infty} Y_i x^i \in M_{n_1, n_2}(K((x)))$  with  $N \in \mathbb{N} \cup \{0\}$ .

We write  $\log^+ a := \log \max(1, a)$  ( $a \in \mathbb{R}$ ). André’s symbol  $h_{\cdot, \cdot}(\cdot)$  in [1] is defined by

$$\begin{aligned} h_{v,0}(Y) &:= \max_{i \leq 0} \log^+ |Y_i|_v, \\ h_{v,m}(Y) &:= \frac{1}{m} \max_{i \leq m} \log^+ |Y_i|_v \quad (m \neq 0). \end{aligned}$$

**Definition 2.** (Cf. [1]) We define *the size* of  $Y \in M_{n_1, n_2}(K((x)))$  as

$$\sigma(Y) := \overline{\lim}_{m \rightarrow \infty} \sum_v h_{v, m}(Y)$$

and *the global radii* of  $Y$  as

$$\rho(Y) := \sum_v \overline{\lim}_{m \rightarrow \infty} h_{v, m}(Y),$$

where  $\sum_v$  means that  $v$  ranges over all places of  $K$ .

The following definition coincides with the one in [6] in the case of  $Y \in K[[x]]$ .

**Definition 3.** We call  $Y \in M_{n_1, n_2}(K((x)))$  with  $\sigma(Y) < \infty$  a *matrix of G-functions*.

For  $f = f(x) = \sum_{i=0}^N f_i x^i \in K[x]$  and for every place  $v$  of  $K$ , the Gauss absolute value is defined by  $|f|_v := \max_{i=0, \dots, N} |f_i|_v$ .

For every place  $v$  with  $v \nmid \infty$  and for  $f, g \in K[x]$  with  $g \neq 0$ , the Gauss absolute value is extended to  $K(x)$  by

$$\left| \frac{f}{g} \right|_v := \frac{|f|_v}{|g|_v}.$$

We also define a pseudo valuation on  $M_n(K(x))$ : for  $M = (m_{i,j})_{i,j=1, \dots, n} \in M_n(K(x))$ ,

$$|M|_v := \max_{i,j=1, \dots, n} |m_{i,j}|_v.$$

Suppose that  $A \in M_n(K(x))$ . A sequence  $\{E_i\}_{i=0,1, \dots} \subset M_n(K(x))$  is defined by

$$E_0 := I$$

and recursively for  $i = 1, 2, \dots$ ,

$$E_{i+1} := \frac{1}{i+1} \left( \frac{d}{dx} E_i + E_i A \right).$$

For this sequence  $\{E_i\}_{i=0,1, \dots} \subset M_n(K(x))$  and for every place  $v \nmid \infty$ , we put

$$\begin{aligned} h_{v,0}(\{E_i\}) &:= \log^+ |E_0|_v, \\ h_{v,m}(\{E_i\}) &:= \frac{1}{m} \max_{i \leq m} \log^+ |E_i|_v \quad (m = 1, 2, \dots). \end{aligned}$$

**Definition 4.** We define *the size* of  $A$  as

$$\sigma(A) := \overline{\lim}_{m \rightarrow \infty} \sum_{v \nmid \infty} h_{v,m}(\{E_i\})$$

and *the global radii* of  $A$  as

$$\rho(A) := \sum_{v \nmid \infty} \overline{\lim}_{m \rightarrow \infty} h_{v,m}(\{E_i\}),$$

where  $\sum_{v \nmid \infty}$  means that  $v$  ranges over all finite places of  $K$ .

**Definition 5.** We call  $\frac{d}{dx} - A$  with  $\sigma(A) < \infty$  *G-operator* and  $\frac{d}{dx} - A$  with  $\rho(A) < \infty$  *the Arithmetic type*.

According to these notations, we state known results:

**Theorem 6.** (Cf. [1], [2], [3]) Suppose that  $A \in M_n(K(x))$  and suppose that  $A$  has at most the simple pole at  $x = 0$ . For a solution,  $y$ , of differential equation (1), let  $y$  belong to  $K[[x]]$  and its entries be linear independent over  $K(x)$ . Then the following five assertions are equivalent:

- (6.1)  $\sigma(y) < \infty$ ,
- (6.2)  $\sigma(A) < \infty$ ,
- (6.3)  $\sigma(A^*) < \infty$ ,
- (6.4)  $\rho(A) < \infty$ ,
- (6.5)  $\rho(A^*) < \infty$

where  $A^* = -{}^tA$ . Moreover they imply

$$(6.6) \quad \rho(y) < \infty.$$

Theorem 6 is the main theorem in [1]. Before stating André results, we need a definition.

After a transformation of differential equation (1), there exists the unique matrix solution of differential equation (1),  $Yx^C$  with  $Y \in Gl_n(K[[x]])$ ,  $Y|_{x=0} = I$ , where  $C$  is the residue of  $A$  at  $x = 0$ . This  $Y \in Gl_n(K[[x]])$  is called *the normalized uniform part of the solution* of differential equation (1).

He proved Theorem 6 by using the following:

**Theorem 7.** (Cf. [1]) Suppose that  $A \in M_n(K(x))$  and suppose that  $A$  has at most the simple pole at  $x = 0$ . let  $Y \in Gl_n(K[[x]])$  be the normalized uniform part of differential equation (1). Let differential equation (1) be Fuchsian and let all eigenvalues of the residue matrix of  $A$  at  $x = 0$  be rational numbers. Then

- (7.1)  $\sigma(A) < \infty$  if and only if  $\rho(A) < \infty$ ,
- (7.2)  $\rho(A) < \infty$  implies  $\rho(Y) < \infty$ ,
- (7.3)  $\rho(Y) < \infty$  implies  $\sigma(Y) < \infty$ .

i.e.,

$$\sigma(A) < \infty \text{ implies } \sigma(Y) < \infty.$$

Now for a differential equation

$$(8) \quad \frac{d}{dx}X = AX - XB, \quad (A, B \in M_n(K(x))),$$

we introduce its new size  $\sigma(A, B)$  of differential equation (8).

Let us define another sequence  $\{F_i\}_{i=0,1,\dots} \subset M_n(K(x))$  as

$$F_0 := I$$

and recursively for  $i = 1, 2, \dots$ ,

$$F_{i+1} := \frac{1}{i+1} \left( \frac{d}{dx} F_i - A F_i + F_i B \right).$$

**Definition 9.** We define the size of  $A$  and  $B$  as

$$\sigma(A, B) := \overline{\lim}_{m \rightarrow \infty} \sum_{v \nmid \infty} h_{v,m}(\{F_i\})$$

and the global radii of  $A$  and  $B$  as

$$\rho(A, B) := \sum_{v \nmid \infty} \overline{\lim}_{m \rightarrow \infty} h_{v,m}(\{F_i\}).$$

Namely  $\sigma(A) = \sigma(0, A)$ .

This size  $\sigma(A, B)$  has the following properties:

**Theorem 10.** (Cf. [5]) For any  $A, B, C \in M_n(K(x))$  and any  $T \in Gl_n(K(x))$ , the followings hold:

$$(10.1) \quad \sigma(A, A) = 0,$$

$$(10.2) \quad \sigma(A, B) = \sigma(T[A], T[B]),$$

$$(10.3) \quad \sigma(A, B) \leq \sigma(A, C) + \sigma(C, B).$$

Here  $T[A] = TAT^{-1} + \left(\frac{d}{dx}T\right)T^{-1}$ .

An application of Theorem 10 as the converse proposition of Theorem 7 is following:

**Theorem 11.** (Cf. [5]) Let  $A \in M_n(K(x))$  and let  $Y$  be the normalized uniform part of the solution of differential equation (1). Let  $u \in \mathcal{O}_K[x]$  be a common denominator of  $A$ , where  $\mathcal{O}_K$  denotes the integer ring of  $K$ . Let  $s := \max(\deg u, \deg(uA))$ . Suppose that

$$\mathcal{E} := \{\text{Eigenvalues of the residue of } A\} \subset \mathbb{Q}.$$

Then

$$(11.1) \quad \sigma(A) \leq 9n^4(s+1)\sigma(Y) + 3 \log N_{\mathcal{E}} + 3 \sum_{\substack{p|N_{\mathcal{E}} \\ p:\text{prime}}} \frac{\log p}{p-1} \\ + (s+1)h_{\infty}(u) + \log(s+1) + 3(n-1),$$

where  $h_{\infty}(u) := \frac{1}{m+1} \sum_{v|\infty} \max_{i \leq m} \log^+ |u_i|_v$  and  $N_{\mathcal{E}} \in \mathbb{N}$  is a common denominator of  $\mathcal{E}$ . i.e.,

$$\sigma(Y) < \infty \text{ implies } \sigma(A) < \infty.$$

*Remark 12.* The same result on the finiteness by another method was published [4].

From Theorem 7, Theorem 11 and the uniqueness of the normalized uniform part, we summarize them as follows:

**Theorem 13.** *Under the assumptions of Theorem 7, the following eight assertions are equivalent:*

- (13.1)  $\sigma(Y) < \infty,$
- (13.2)  $\sigma(A) < \infty,$
- (13.3)  $\rho(Y) < \infty,$
- (13.4)  $\rho(A) < \infty,$
- (13.5)  $\sigma(Y^{-1}) < \infty,$
- (13.6)  $\sigma(A^*) < \infty,$
- (13.7)  $\rho(Y^{-1}) < \infty,$
- (13.8)  $\rho(A^*) < \infty,$

where  $A^* = -{}^tA$ . More precisely

- (13.9)  $\sigma(A) = \sigma(A^*),$
- (13.10)  $\rho(A) = \rho(A^*).$

*Remark 14.* Equation (13.10) is derived using a different method in [1].

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