

NASH FUNCTIONS ON NONCOMPACT NASH MANIFOLDS

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§1. INTRODUCTION

A *Nash manifold* is a semialgebraic C^∞ submanifold of a Euclidean space. A *Nash function* on a Nash manifold is a C^∞ function with semialgebraic graph. Let M be a Nash manifold. Let \mathcal{N} denote the sheaf of Nash function germs on M . (We write \mathcal{N}_M if we need to emphasize M .) Let \mathcal{O} (or \mathcal{O}_M) denote the sheaf of C^ω function germs on M . We call a sheaf of ideals \mathcal{I} of \mathcal{N} *finite* if there exists a finite open semialgebraic covering $\{U_i\}$ of M such that for each i , $\mathcal{I}|_{U_i}$ is generated by Nash functions on U_i . (See [S] and [C-R-S₂] for elementary properties of sheaves of \mathcal{N} -ideals and \mathcal{N} -modules.) Let $\mathcal{N}(M)$ denote the ring of Nash functions on M and let $\mathcal{O}(M)$ denote the ring of C^ω functions on M .

[C-R-S₂] showed that the following three elementary conjectures are equivalent, and [C-R-S₁] gave a positive answer to the conjectures in the case where the manifold of domain M is compact.

Separation conjecture. *Let M be a Nash manifold. Let \mathfrak{p} be a prime ideal of $\mathcal{N}(M)$. Then $\mathfrak{p}\mathcal{O}(M)$ is a prime ideal of $\mathcal{O}(M)$.*

Global equation conjecture. *For the same M as above, every finite sheaf \mathcal{I} of \mathcal{N}_M -ideals is generated by global Nash functions on M .*

Extension conjecture. *For the same M and \mathcal{I} as above, the following natural homomorphism is surjective:*

$$H^0(M, \mathcal{N}) \longrightarrow H^0(M, \mathcal{N}/\mathcal{I}).$$

If these conjectures hold true, then the following conjecture also holds [C-R-S₂].

Factorization conjecture. *Given a Nash function f on a Nash manifold M and a C^ω factorization $f = f_1 f_2$, there exist Nash functions g_1 and g_2 on M and positive C^ω functions φ_1 and φ_2 such that $\varphi_1 \varphi_2 = 1$, $f_1 = \varphi_1 g_1$ and $f_2 = \varphi_2 g_2$.*

In the present paper, we prove the conjectures in the noncompact case. It suffices to show the following theorem.

Theorem. *Let $M \subset \mathbf{R}^n$ be a noncompact Nash manifold. Let U and V be open semialgebraic subset of M such that $M = U \cup V$. Let \mathcal{I} be a sheaf of \mathcal{N}_M -ideals such that $\mathcal{I}|_U$ and $\mathcal{I}|_V$ are generated by global cross-sections on U and V respectively. Then \mathcal{I} itself is generated by global cross-sections on M .*

The following proof of this theorem is completely different to the proof in [C-R-S₁] in the compact case. The proof in [C-R-S₁] is algebraic and based on the Néron

desingularization. On the other hand, the present proof is geometric, and the key is Lemma 1 (Proposition VI.2.8 in [S]) of the next section on extension of Nash functions to a compact domain.

We refer meanings and a history of the conjectures to [C-R-S_{1,2}].

§2. PROOF OF THE THEOREM

A manifold stands for a manifold without boundary unless otherwise specified. A manifold with corners is, by definition, not a manifold but locally diffeomorphic to an open subset of \mathbf{R}_+^n , where $\mathbf{R}_+ = \{x \in \mathbf{R} : x \geq 0\}$. Let M be a manifold with corners. $\text{Int } M$ —the interior of M —is the subset of M where M is locally diffeomorphic to \mathbf{R}^n . ∂M —the boundary of M —is the complement. A manifold with boundary is a manifold with corners such that the boundary is a manifold.

An *abstract Nash manifold* of dimension m is a C^ω manifold with a finite system of coordinate neighborhoods $\{\psi_i : U_i \rightarrow \mathbf{R}^m\}$ such that for each pair i and j , $\psi_i(U_i \cap U_j)$ is an open semialgebraic subset of \mathbf{R}^m and the map

$$\psi_j \circ \psi_i^{-1} : \psi_i(U_i \cap U_j) \longrightarrow \psi_j(U_i \cap U_j)$$

is a Nash diffeomorphism. A C^1 *Nash manifold* is a C^1 semialgebraic submanifold of a Euclidean space. An *abstract C^1 Nash manifold* is a C^1 manifold with a finite system of coordinate neighborhoods of C^1 semialgebraic class. Note that a Nash manifold is an abstract Nash manifold, but an abstract Nash manifold is not necessarily *affine*, i.e., an abstract Nash manifold cannot be always Nash imbedded in a Euclidean space (Mazur). On the other hand, a C^1 Nash manifold is an abstract C^1 Nash manifold and, conversely, an abstract C^1 Nash manifold is affine (Theorem III.1.1 in [S]).

For a Nash manifold with corners M , we say that *the boundary of M is shrunk* if we replace M with $M -$ (a small closed semialgebraic neighborhood of $\overline{\partial M} - \partial M$ in $\overline{\partial M}$). We call the replaced manifold with corners a *Nash submanifold with shrunk corners of M* .

The index $_x$ denotes the stalk of a sheaf at x or the germ of a set or a map at x .

Note. The theorem holds true if the closure \overline{M} of M in \mathbf{R}^n is compact and contained in a Nash manifold M' of the same dimension as M and if \mathcal{I} can be extended to a coherent sheaf \mathcal{I}' of $\mathcal{N}_{M'}$ -ideals on M' for the following reason.

It is easy to find a compact Nash manifold with boundary M'' with $M \subset \text{Int } M''$ and $M'' \subset M'$. Using the double of M'' , we easily construct a compact Nash manifold $M^{(3)}$ and a Nash map $\rho : M^{(3)} \rightarrow M''$ such that $\rho|_{\rho^{-1}(\text{Int } M'')} : \rho^{-1}(\text{Int } M'') \rightarrow \text{Int } M''$ is a trivial double covering. Let Ω be a union of connected components of $\rho^{-1}(\text{Int } M'')$ such that $\rho|_{\Omega}$ is a diffeomorphism onto $\text{Int } M''$. Let $\mathcal{I}^{(3)}$ denote the pull back of \mathcal{I}' by ρ . Then $\mathcal{I}^{(3)}$ is finite and hence generated by global cross-sections. Hence $\mathcal{I}'|_{\text{Int } M''}$ and then \mathcal{I} are generated by global cross-sections, because we can identify $\mathcal{I}'|_{\text{Int } M''}$ with $\mathcal{I}^{(3)}|_{\Omega}$.

Hence we will imbed M in a Euclidean space so that the image has such properties. The following lemma assures it.

Lemma 1 (Proposition VI.2.8 in [S]). *Let M be a noncompact Nash manifold, and let $f: M \rightarrow \mathbf{R}^m$ be a bounded Nash map. Then there exists a compact Nash manifold with corners M' and a Nash diffeomorphism $\pi: \text{Int } M' \rightarrow M$ such that $f \circ \pi$ can be extended to a Nash map $M' \rightarrow \mathbf{R}^m$.*

Using this lemma, we shall reduce the theorem to the following lemma.

Lemma 2. *Let M' and M'' be (not necessarily compact) Nash submanifolds of \mathbf{R}^n without boundary and with corners, respectively, such that $\overline{M'}$ is compact and contained in a Nash manifold of the same dimension, $\overline{M''}$ is a compact Nash manifold with corners and $\text{Int } M'' = \text{Int } \overline{M''}$ (i.e., $M'' = (\text{a compact Nash manifold with corners}) - (\text{a closed semialgebraic subset of the boundary})$). Let $p: M'' \rightarrow \mathbf{R}^n$ be a Nash map such that $p|_{\text{Int } M''}$ is a Nash imbedding into M' and $p(\partial M'')$ is contained in $\overline{M'} - M'$. Shrink the boundary of M'' . Then the abstract Nash manifold $M' \cup_{p|_{\text{Int } M''}} M''$, defined to be the union of M' and M'' pasted by the Nash diffeomorphism $p|_{\text{Int } M''}: \text{Int } M'' \rightarrow p(\text{Int } M'')$, is affine.*

Proof of the theorem. We can assume that M is bounded in \mathbf{R}^n because \mathbf{R}^n is Nash diffeomorphic to $S^n - \text{a point}$. Let the dimension of M be m . By the separation theorem of Mostowski [M], we have a Nash function ψ on M such that $-2 \leq \psi \leq 2$, $\psi > 1$ on $M - V (= U - V)$, and $\psi < -1$ on $M - U (= V - U)$. Replace M with graph ψ . Then we can assume that $M - V$ and $M - U$ have distance. Apply Lemma 1 to the inclusion map $M \rightarrow \mathbf{R}^n$. Then we assume, moreover, that \overline{M} is a Nash manifold with corners. Let φ be a positive Nash function on M such that $\varphi(x) \rightarrow 0$ as $M \ni x \rightarrow \text{a point of } \partial M$.

Let $f_1, \dots, f_k \in H^0(U, \mathcal{I}|_U)$ and $g_1, \dots, g_k \in H^0(V, \mathcal{I}|_V)$ be generators of $\mathcal{I}|_U$ and $\mathcal{I}|_V$ respectively. Multiplying small positive Nash functions, we can assume the generators are all bounded. Note that the restrictions of the both generators to $U \cap V$ are generators of $\mathcal{I}|_{U \cap V}$. Hence by I.6.5 in [S] there exist Nash functions $\alpha_{i,j}$ and $\beta_{i,j}$ on $U \cap V$, $i, j = 1, \dots, k$, such that for each i ,

$$(*) \quad f_i = \sum_{j=1}^k \alpha_{i,j} g_j \quad \text{and} \quad g_i = \sum_{j=1}^k \beta_{i,j} f_j \quad \text{on } U \cap V.$$

Shrink U and V keeping the property that $M - V$ and $M - U$ have distance. Then by Łojasiewicz Inequality, all $\varphi^l \alpha_{i,j}$ and $\varphi^l \beta_{i,j}$ are bounded for a positive integer l . Apply Lemma 1 to $f_i|_{U \cap V}$, $g_i|_{U \cap V}$, $\varphi^l \alpha_{i,j}$, $\varphi^l \beta_{i,j}$, $\varphi|_{U \cap V}$ and the inclusion map $U \cap V \rightarrow \mathbf{R}^n$. Then there exists a compact Nash manifold with corners X and a Nash diffeomorphism $\pi: \text{Int } X \rightarrow U \cap V$ such that all the Nash functions on $\text{Int } X$: $f_i \circ \pi$, $g_i \circ \pi$, $(\varphi^l \alpha_{i,j}) \circ \pi$, $(\varphi^l \beta_{i,j}) \circ \pi$ and $\varphi \circ \pi$ can be extended to X , and $\overline{\pi^{-1}(M - U)}$ and $\overline{\pi^{-1}(M - V)}$ have distance, where $\overline{\pi}$ denotes the extension of (the inclusion map) $\circ \pi: \text{Int } X \rightarrow \mathbf{R}^n$ to $X \rightarrow \mathbf{R}^n$.

First we modify the inclusion map of M into \mathbf{R}^n so that \mathcal{I} can be extended to $\overline{M} - (\overline{M - U}) - (\overline{M - V})$. We can assume that the abstract Nash manifold with corners $M \cup_{\pi} (X - \overline{\partial X \cap \overline{\pi^{-1}(M)}})$ is affine for the following reason. Set

$$M' = M, \quad M'' = X - \overline{\partial X \cap \overline{\pi^{-1}(M)}} \quad \text{and} \quad p = \overline{\pi}|_{M''}.$$

Then the assumptions in Lemma 2 are satisfied. Hence if we let \tilde{M}'' be a Nash submanifold with shrunk corners of M'' , then $M' \cup_{p|_{\text{Int } M''}} \tilde{M}''$ is affine. Shrink U and V a little so that (the shrunk U) \cap (the shrunk V) and $(M - \text{the original } U) \cap (M - \text{the original } V)$ have distance, and $(M - \text{the shrunk } U)$ and $(M - \text{the shrunk } V)$ have distance. Then the new $M \cup_{\pi} (X - \overline{\partial X \cap \bar{\pi}^{-1}(M)})$ coincides with $M' \cup_{p|_{\text{Int } M''}} \tilde{M}''$ for some \tilde{M}'' , and hence it is affine.

Set $M_1 = M \cup_{\pi} (X - \overline{\partial X \cap \bar{\pi}^{-1}(M)})$, let M_1 be contained in \mathbf{R}^n , and regard M as a submanifold of M_1 . Then $M_1 = \overline{M} - (\overline{M - U}) - (\overline{M - V})$, and it is a Nash manifold with corners. Set

$$U_1 = U \cup \partial M_1 \quad \text{and} \quad V_1 = V \cup \partial M_1.$$

Then we have $M_1 = U_1 \cup V_1$, and U_1 and V_1 are open in M_1 . Since $f_i \circ \pi$, $g_i \circ \pi$ and $\varphi \circ \pi$ can be extended to X , we have Nash function extensions $f_{1,i}$ of f_i to U_1 , $g_{1,i}$ of g_i to V_1 , $i = 1, \dots, k$ and φ_1 of φ to M_1 . Hence we have sheaf extensions \mathcal{I}_1^U and \mathcal{I}_1^V of \mathcal{I} to M_1 such that $\mathcal{I}_1^U|_{U_1}$ is generated by $f_{1,1}, \dots, f_{1,k}$, and $\mathcal{I}_1^V|_{V_1}$ is generated by $g_{1,1}, \dots, g_{1,k}$. By (*) and by the fact that $(\varphi^l \alpha_{i,j}) \circ \pi$ and $(\varphi^l \beta_{i,j}) \circ \pi$ can be extended to X , say, $\alpha_{1,i,j}$ and $\beta_{1,i,j}$ respectively, we have

$$\varphi_1^l \mathcal{I}_1^U \subset \mathcal{I}_1^V \quad \text{and} \quad \varphi_1^l \mathcal{I}_1^V \subset \mathcal{I}_1^U.$$

Let $M_2 \subset \mathbf{R}^n$ be a Nash manifold of dimension m such that $M_1 \subset M_2$ and any connected component of M_2 touches M_1 . Here also we can assume $\overline{M_2}$ is a compact Nash manifold with corners. Set

$$U_2 = U_1 \cup (M_2 - M_1) \quad \text{and} \quad V_2 = V_1 \cup (M_2 - M_1).$$

Then we have $M_2 = U_2 \cup V_2$, and U_2 and V_2 are open in M_2 . Choose M_2 so small that $f_{1,i}$, $g_{1,i}$, $\alpha_{1,i,j}$, $\beta_{1,i,j}$ and φ_1 can be extended to U_2 , V_2 , $U_2 \cap V_2$, $U_2 \cap V_2$ and M_2 respectively. Let $f_{2,i}$, $g_{2,i}$, $\alpha_{2,i,j}$, $\beta_{2,i,j}$ and φ_2 denote the respective extensions. Then there exist sheaves \mathcal{I}_2^U and \mathcal{I}_2^V of \mathcal{N}_{M_2} -ideals such that $\mathcal{I}_2^U|_{M_1} = \mathcal{I}_1^U$, $\mathcal{I}_2^V|_{M_1} = \mathcal{I}_1^V$, $\mathcal{I}_2^U|_{U_2}$ is generated by $f_{2,1}, \dots, f_{2,k}$, $\mathcal{I}_2^V|_{V_2}$ is generated by $g_{2,1}, \dots, g_{2,k}$ and

$$\varphi_2^l \mathcal{I}_2^U \subset \mathcal{I}_2^V \quad \text{and} \quad \varphi_2^l \mathcal{I}_2^V \subset \mathcal{I}_2^U.$$

Second, we want to extend \mathcal{I}_2^U to $\overline{M_2 - V_2}$. Apply Lemma 1 to $f_{2,1}, \dots, f_{2,k}$, $\varphi_2|_{U_2}$ and the inclusion map $U_2 \rightarrow \mathbf{R}^n$. Then we have a compact Nash manifold with corners Y and a Nash diffeomorphism $\tau: \text{Int } Y \rightarrow U_2$ such that each $f_{2,i} \circ \tau$ and $\varphi_2 \circ \tau$ can be extended to Y , and $\bar{\tau}^{-1}(M_2 - U_2)$ and $\bar{\tau}^{-1}(M_2 - V_2)$ have distance, where $\bar{\tau}$ is defined by τ as $\bar{\pi}$. Let M_3 denote the following abstract Nash manifold with corners:

$$M_2 \cup_{\tau|_{\text{Int } Y}} (\text{Int } Y \cup$$

(a small open semialgebraic neighborhood of $\partial Y \cap \overline{\tau^{-1}(U_2 - V_2)}$ in ∂Y)).

Then by the same reason as above, M_3 is affine, and we can assume $M_2 \subset M_3 \subset \mathbf{R}^n$. Set

$$U_3 = U_2 \cup (M_3 - M_2) \quad \text{and} \quad V_3 = V_2.$$

Then we have

$$\overline{M_2 - V_2} \subset U_3, \quad M_3 = U_3 \cup V_3 \quad \text{and} \quad U_3 \cap V_3 = U_2 \cap V_2,$$

and U_3 and V_3 are open in M_3 . Since $f_{2,i} \circ \tau$ and $\varphi_2 \circ \tau$ are extended to Y , $f_{2,i}$ and φ_2 can be extended to Nash functions $f_{3,i}$ on U_3 and φ_3 on M_3 . Let \mathcal{I}_3^U denote the sheaf of \mathcal{N}_{U_3} -ideals on U_3 (not on M_3) generated by $f_{3,1}, \dots, f_{3,k}$.

Third, as the above extension of M_1 to M_2 and then to M_3 , we obtain a Nash manifold M_4 of dimension m , open semialgebraic subsets U_4 and V_4 of M_4 , Nash functions $f_{4,i}$ on U_4 , $g_{4,i}$ on V_4 , $i = 1, \dots, k$ and φ_4 on M_4 , and sheaves \mathcal{I}_4^U of \mathcal{N}_{U_4} -ideals and \mathcal{I}_4^V of \mathcal{N}_{V_4} -ideals such that

$$\begin{aligned} \overline{M} &\subset M_4, \quad M_4 = U_4 \cup V_4, \\ U_4 \cap M &= U, \quad V_4 \cap M = V, \\ f_{4,i}|_U &= f_i, \quad g_{4,i}|_V = g_i, \quad \varphi_4|_M = \varphi, \\ (**) \quad \varphi_4^l \mathcal{I}_4^U &\subset \mathcal{I}_4^V, \quad \varphi_4^l \mathcal{I}_4^V \subset \mathcal{I}_4^U \quad \text{on} \quad U_4 \cap V_4, \end{aligned}$$

\mathcal{I}_4^U is generated by $f_{4,1}, \dots, f_{4,k}$, and \mathcal{I}_4^V is generated by $g_{4,1}, \dots, g_{4,k}$.

Finally, we define a sheaf \mathcal{I}_4 of \mathcal{N}_{M_4} -ideals so that for each $x \in M_4$,

$$\mathcal{I}_{4x} = \begin{cases} \{h \in \mathcal{N}_x : \varphi_{4x}^{l'} h \in \mathcal{I}_{4x}^U \text{ for some } l'\} & \text{if } x \in U_4 \\ \{h \in \mathcal{N}_x : \varphi_{4x}^{l'} h \in \mathcal{I}_{4x}^V \text{ for some } l'\} & \text{if } x \in V_4. \end{cases}$$

By (**), \mathcal{I}_4 is a well-defined coherent sheaf, and by the fact that φ is positive, it is an extension of \mathcal{I} . Hence the theorem follows from the note. \square

Proof of Lemma 2. Let $\dim M' = m$. Regard $M' \cup_{p|_{\text{Int } M''}} M''$ as an abstract C^1 Nash manifold with corners which is of class C^ω around its boundary. By Theorem III.1.1 in [S], there exists its C^1 Nash imbedding into a Euclidean space, say, $\mathbf{R}^{n'}$. By the proof of Theorem III.1.1, the imbedding map can be of class C^ω around the boundary. Hence the image can be of class C^ω around the boundary. By Theorem III.1.3, *ibid.*, and its proof, the image is modified to be a Nash manifold with corners through a C^1 Nash diffeomorphism of class C^ω around the boundary. Consequently, we have a Nash manifold with corners $M_1 \subset \mathbf{R}^{n'}$ and a C^1 Nash diffeomorphism $\rho: M_1 \rightarrow M' \cup_{p|_{\text{Int } M''}} M''$ of class C^ω around ∂M_1 . Here by the same arguments as before, we can assume $\overline{M_1}$ is compact and contained in a Nash manifold M_2 of dimension m . It suffices to approximate ρ by a Nash map in the C^1 topology, because a strong C^1 Nash approximation of a C^1 Nash diffeomorphism in the C^1 topology is a diffeomorphism by Lemma II.1.7, *ibid.* (See Chapter II, *ibid.*, for the topology.) Define a C^1 Nash map $\xi: M_1 \rightarrow \mathbf{R}^n$ by

$$\xi = \begin{cases} \rho & \text{on } \rho^{-1}(M') \\ p \circ \rho & \text{on } \rho^{-1}(M''). \end{cases}$$

Then $\xi(M_1) \subset \overline{M'}$, ξ is of class C^ω around ∂M_1 , and $\xi|_{\text{Int } M_1}$ is a C^1 diffeomorphism onto M' .

Shrink ∂M_1 . Then there exists a strong Nash approximation ξ' of ξ in the C^1 topology such that $\xi' = \xi$ on ∂M_1 and $\xi'(\text{Int } M_1) = M'$ for the following reason.

Let $\tilde{M}' \subset \mathbf{R}^n$ be a Nash manifold that contains $\overline{M'}$ and is of dimension m . Shrink ∂M_1 . Then by Lemma 3 below, there exists a Nash function φ on M_1 with zero set $= \partial M_1$. Let U be a small open semialgebraic neighborhood of ∂M_1 in M_2 where $\varphi|_{U \cap M_1}$ and $\xi|_{U \cap M_1}$ can be extended as a Nash function and a Nash map to \tilde{M}' respectively. Set $M_3 = M_1 \cup U$, and let $\tilde{\varphi}: M_3 \rightarrow \mathbf{R}$ and $\tilde{\xi}: M_3 \rightarrow \tilde{M}'$ denote the respective extensions. Apply Theorem II.5.2 in [S] to $\tilde{\varphi}$, $\tilde{\xi}$, M_3 and \tilde{M}' . Then there exists a Nash approximation $\tilde{\xi}': M_3 \rightarrow \tilde{M}'$ of $\tilde{\xi}$ in the C^1 topology such that $\tilde{\xi}' = \tilde{\xi}$ on $\tilde{\varphi}^{-1}(0)$ and $\tilde{\xi}'(M_3) = \tilde{\xi}(M_3)$. If we set $\xi' = \tilde{\xi}'|_{M_1}$ then ξ' is a Nash approximation of ξ in the C^1 topology and satisfies the required conditions.

Moreover, $\xi'|_{\text{Int } M_1}$ can be a Nash diffeomorphism onto M' for the following reason.

First we prove that $\xi'|_{\text{Int } M_1}$ can be an immersion. For each $i = 1, \dots, n$, let v_i denote the Nash vector field on M_1 such that for each $x \in M_1$,

$$\left(\frac{\partial}{\partial x_i} \right)_x = v_{ix} + (\text{a vector normal to the tangent space of } M_1 \text{ at } x).$$

For a C^1 map $\chi = (\chi_1, \dots, \chi_n): M_1 \rightarrow \mathbf{R}^n$, let $\alpha(\chi)$ denote the sum of the squares of the minors of degree m of the $n \times n$ matrix whose (i, j) -element is $v_i \chi_j$. Then $\chi|_{\text{Int } M_1}$ is an immersion if and only if $\alpha(\chi)$ is positive on $\text{Int } M_1$. It follows from Lojasiewicz Inequality and the property $\alpha(\xi) > 0$ on $\text{Int } M_1$ that $\alpha(\xi') > 0$ on $\text{Int } M_1$ if we choose ξ' so that $\xi' - \xi$ is the product of φ^l and a C^1 Nash map close to the zero map in the C^1 topology for a large integer l and for the above φ . Hence $\xi'|_{\text{Int } M_1}$ can be an immersion.

Second, we see that $\xi'|_{\text{Int } M_1}$ can be injective. For a map $\chi: M_1 \rightarrow \mathbf{R}^n$, let $\beta(\chi): M_1 \times M_1 \rightarrow \mathbf{R}^n$ be defined by

$$\beta(\chi)(x_1, x_2) = \chi(x_1) - \chi(x_2) \quad \text{for } (x_1, x_2) \in M_1 \times M_1.$$

Let Δ denote the diagonal of $M_1 \times M_1$. Then $\chi|_{\text{Int } M_1}$ is injective if and only if

$$(*) \quad \beta(\chi)^{-1}(0) = \Delta \quad \text{in } \text{Int } M_1 \times \text{Int } M_1,$$

the zero set of $\beta(\xi)$ contains Δ and is contained in $\partial M_1 \times \partial M_1 \cup \Delta$, and the rank of the Jacobian matrix of $\beta(\xi)$ at each point of $\text{Int } \Delta$ equals m . Note that $\dim \Delta = m$. Let l be a large integer and let $\gamma: M_1 \times M_1 \rightarrow \mathbf{R}^n$ be a C^1 Nash map which vanishes on Δ and is close to the zero map in the C^1 topology. Then by Lojasiewicz Inequality, it is easy to see that the zero set of the map

$$M_1 \times M_1 \ni (x_1, x_2) \longrightarrow \beta(\xi)(x_1, x_2) + (\varphi^{2l}(x_1) + \varphi^{2l}(x_2))\gamma(x_1, x_2) \in \mathbf{R}^n$$

coincides with the zero set of $\beta(\xi)$. Choose ξ' so that $\xi' - \xi$ is the product of $\varphi^{l'}$ and a C^1 Nash map close to the zero map in the C^1 topology for a much larger integer l' . Then $\beta(\xi')$ is of the above form. Hence ξ' has the property $(*)$. Thus $\xi'|_{\text{Int } M_1}$ can be injective.

By the above two facts, $\xi'|_{\text{Int } M_1}$ can be a diffeomorphism onto M' because $(\xi - \xi')(x)$ converges to $0 \in \mathbf{R}^n$ as a point x in $\text{Int } M_1$ converges to a point of $\overline{M_1} - \text{Int } M_1$.

Define a Nash map $\rho': \text{Int } M_1 \rightarrow M' \cup_{p|_{\text{Int } M''}} M''$ to be ξ' . Choose ξ' so that the map $\xi' - \xi: M_1 \rightarrow \mathbf{R}^n$ is the product of φ^l and a C^1 Nash map $M_1 \rightarrow \mathbf{R}^n$ for a sufficiently large integer l (Theorem II.5.2, *ibid.*). Then by Łojasiewicz Inequality we can extend ρ' to a semialgebraic homeomorphism $\rho': M_1 \rightarrow M' \cup_{p|_{\text{Int } M''}} M''$ which equals ρ on ∂M_1 . Clearly $\rho'|_{\text{Int } M_1}$ is a Nash diffeomorphism onto M' . Hence Lemma 2 follows if we can choose ξ' so that ρ' is a Nash diffeomorphism around ∂M_1 . For that it suffices to prove the following assertion.

Let π be the semialgebraic homeomorphism of M_1 such that $\rho \circ \pi = \rho'$. Then we can choose ξ' so that π is a Nash diffeomorphism around ∂M_1 .

It follows from $\rho \circ \pi = \rho'$ that $\xi \circ \pi = \xi'$. Since π is unique and since $\pi = \text{id}$ on ∂M_1 , the problem is local at ∂M_1 . Hence we can reduce the above assertion to the next one.

We can choose ξ' so that for each $x \in \partial M_1$ there exists a Nash diffeomorphism germ τ of M_{1x} such that $\xi_x \circ \tau = \xi'_x$.

We can assume $M_1 \subset \mathbf{R}^m$ and $M' \subset \mathbf{R}^m$ since the problem is local. Let J denote the Jacobian of ξ . Then we precisely state the above assertion as follows, which is due to [T].

There exists such τ if for each $x \in \partial M_1$, $\xi'_x - \xi_x$ is the product of $J_x^2 \varphi_x$ and a Nash map germ.

Such ξ' exists by the above construction of ξ' if we have a Nash function J' on M_1 such that $J'^{-1}(0) \subset \partial M_1$, and for each $x \in \partial M_1$, J'_x is the product of J_x and a Nash function germ. Let \mathcal{J} denote the finite sheaf of \mathcal{N}_{M_1} -ideals defined to be $J\mathcal{N}_{M_1}$ around ∂M_1 and \mathcal{N}_{M_1} outside of ∂M_1 . Then by Lemma 3, \mathcal{J} has finite generators if we shrink ∂M_1 . The sum of the squares of the generators fulfills the requirements for J' .

It remains to show the last assertion. We assume $M_1 = \tilde{M}' = \mathbf{R}^m$ for simplicity of notation. Let $g: \mathbf{R}^m \rightarrow \mathbf{R}^m$ be the Nash map germ such that $\xi'_0 - \xi_0 = J_0^2 \varphi_0 g$. By the Taylor expansion formula we have

$$\xi_0(x+y) = \xi_0(x) + y \cdot \frac{\partial \xi_0}{\partial x} + \sum_{i,j=1}^m y_i y_j f_{i,j}(x,y), \quad x, y = (y_1, \dots, y_m) \in \mathbf{R}^m,$$

for some Nash map germs $f_{i,j}: \mathbf{R}^{2m} \rightarrow \mathbf{R}^m$, where $\frac{\partial}{\partial x}$ denotes the Jacobian matrix. Substitute y with $J_0(x)y$. Then

$$\xi_0(x + J_0(x)y) - \xi_0(x) = J_0(x)y \cdot \frac{\partial \xi_0}{\partial x}(x) + J_0^2(x) \sum_{i,j=1}^m y_i y_j f'_{i,j}(x,y)$$

for some Nash map germs $f'_{i,j}$. Hence we need only find a Nash map germ $y = y(x): \mathbf{R}^m \rightarrow \mathbf{R}^m$ such that $y(0) = 0$ and

$$J_0(x)y(x) \cdot \frac{\partial \xi_0}{\partial x}(x) + J_0^2(x) \sum_{i,j=1}^m y_i(x)y_j(x)f'_{i,j}(x,y(x)) = J_0^2(x)\varphi_0(x)g(x).$$

Multiply this equality by the cofactor matrix of $\frac{\partial \xi_0}{\partial x}(x)$. Then it is equivalent to

$$y(x) + \sum_{i,j=1}^m y_i(x)y_j(x)f''_{i,j}(x,y(x)) = \varphi_0(x)g'(x),$$

where $f''_{i,j}$ and g' are some Nash map germs. By the implicit function theorem, the last equality is solved. \square

Lemma 3. *Let $M \subset \mathbf{R}^n$ be a Nash manifold with corners. Let \mathcal{I} be a finite sheaf of \mathcal{N}_M -ideals on M such that $\mathcal{I}_x = \mathcal{N}_x$ for $x \in \text{Int } M$. Shrink ∂M . Then Global equation conjecture and Extension conjecture for this \mathcal{I} hold true.*

Proof. We can assume $\overline{M} - M$ is a point. Let φ be the function on M which measures distance from $\overline{M} - M$, and let ε be a small positive number. Then φ is of class Nash on $\varphi^{-1}(]0, \varepsilon])$ and C^1 regular on $(\text{Int } M) \cap \varphi^{-1}(]0, \varepsilon])$ and on (each face of $\partial M) \cap \varphi^{-1}(]0, \varepsilon])$. Hence $M_1 = \varphi^{-1}(]0, \varepsilon])$ is a compact Nash manifold with corners. Set

$$M_2 = M - \{x \in \partial M : \varphi(x) \leq \varepsilon\} \quad \text{and} \quad M_3 = \varphi^{-1}(]0, \varepsilon]),$$

which are Nash manifolds with corners. By the semialgebraic version of Thom's First Isotopy Lemma [C-S₂], we have a semialgebraic map $\tau: \varphi^{-1}(]0, \varepsilon]) \rightarrow \varphi^{-1}(\varepsilon)$ such that $\tau = \text{id}$ on $\varphi^{-1}(\varepsilon)$ and $(\tau, \varphi)|_{M_2 \cap \varphi^{-1}(]0, \varepsilon])}$ is a Nash diffeomorphism onto $(M_2 \cap \varphi^{-1}(\varepsilon)) \times]0, \varepsilon]$. Using τ we easily construct a C^1 Nash diffeomorphism $\pi: M_3 \rightarrow M_2$ which is the identity on a small semialgebraic neighborhood of ∂M_3 in M_3 .

From the note it follows that there exists a Nash function on M_3 with zero set = ∂M_3 , and $\mathcal{I}|_{M_3}$ is generated by global cross-sections. We show that $\mathcal{I}|_{M_2}$ also is generated by global cross-sections. For that it suffices to find a Nash approximation $\pi': M_3 \rightarrow M_2$ of π in the C^1 topology such that $\pi' = \text{id}$ on ∂M_3 and the pull back of $\mathcal{I}|_{M_2}$ by π' equals $\mathcal{I}|_{M_3}$.

Let ψ be a global cross-section of $\mathcal{I}|_{M_3}$ with zero set = ∂M_3 . By Theorem II.5.2 in [S] there exists a Nash approximation π' of π such that the map $\pi' - \pi: M_3 \rightarrow \mathbf{R}^n$ is the product of ψ and a C^1 Nash map $\alpha: M_3 \rightarrow \mathbf{R}^n$ of class C^ω around ∂M_3 . We need only prove that for each $a \in \partial M_3$ and for each $f \in \mathcal{N}_a$, f is contained in \mathcal{I}_a if and only if $f \circ \pi'_a$ is in \mathcal{I}_a . (Note that $\pi'(a) = a$.) As the problem is local, we can assume $M \subset \mathbf{R}^m$ and $a = 0$, where $m = \dim M$. In general, for a Nash function germ g at 0 in \mathbf{R}^m there exists a Nash function germ h at 0 in $\mathbf{R}^m \times \mathbf{R}^m \times \mathbf{R}$ such that

$$g(x + zy) = g(x) + zh(x, y, z) \quad \text{for } (x, y, z) \text{ around } 0 \text{ in } \mathbf{R}^m \times \mathbf{R}^m \times \mathbf{R}.$$

Hence we have

$$f \circ \pi'_0(x) = f(x + \psi_0(x)\alpha_0(x)) = f(x) + \psi_0(x)f_1(x, \alpha_0(x), \psi_0(x))$$

for some Nash function germ f_1 at 0 in $\mathbf{R}^m \times \mathbf{R}^m \times \mathbf{R}$. Therefore, $f \in \mathcal{I}_0$ if and only if $f \circ \pi'_0 \in \mathcal{I}_0$. \square

Remark. *Global equation and Extension conjectures hold true for any real closed field R which contains \mathbf{R} .*

We prove this in the same way as in the proof of the implication (i) \Rightarrow (ii) of Theorem 2.4 in [C-S₁]. For semialgebraic subsets X and Y of \mathbf{R}^n and for a semi-algebraic map $f: X \rightarrow Y$, let X_R, Y_R and $f_R: X_R \rightarrow Y_R$ denote the extensions to R of X, Y and f respectively.

Proof of Global equation conjecture. It suffices to prove the theorem for a (not necessarily noncompact) Nash manifold M in R^n . Let $\dim M = m$. By Theorem 2.4 in [C-S₁], we can assume there exists a Nash manifold $M^{\mathbf{R}} \subset \mathbf{R}^n$ such that M is diffeomorphic to $M^{\mathbf{R}}$. Hence let $M = M^{\mathbf{R}}$. Moreover, by its proof we can assume $U = U^{\mathbf{R}}$ and $V = V^{\mathbf{R}}$ for some open semialgebraic sets $U^{\mathbf{R}}$ and $V^{\mathbf{R}}$ of $M^{\mathbf{R}}$. Let $f_1, \dots, f_k \in H^0(U, \mathcal{I}|_U)$ and $g_1, \dots, g_k \in H^0(V, \mathcal{I}|_V)$ be generators of $\mathcal{I}|_U$ and $\mathcal{I}|_V$ respectively. Let $\gamma_{i,j}: U \cap V \rightarrow R$ and $\delta_{i,j}: U \cap V \rightarrow R, i, j = 1, \dots, k$, be Nash functions such that for each i ,

$$(*) \quad f_i = \sum_{j=1}^k \gamma_{i,j} g_j \quad \text{and} \quad g_i = \sum_{j=1}^k \delta_{i,j} f_j \quad \text{on} \quad U \cap V.$$

Let $f: M \rightarrow R$ be a Nash function. Then we have a presentation

$$\text{graph } f = \bigcup_{\text{finite}} \{x \in R^{n+1} : \varphi(x, a) = 0, \varphi_1(x, a) > 0, \dots, \varphi_l(x, a) > 0\},$$

where φ and φ_i are polynomials with coefficients in \mathbf{Z} and a is a p -uple of elements of R . For $b \in \mathbf{R}^p$, set

$$X_b = \bigcup_{\text{finite}} \{x \in \mathbf{R}^{n+1} : \varphi(x, b) = 0, \varphi_1(x, b) > 0, \dots, \varphi_l(x, b) > 0\}.$$

Then, as noted in the proof of Theorem 2.4 in [C-S₁], the set of b such that X_b is a Nash manifold of dimension m is semialgebraic in \mathbf{R}^p . Moreover, by the same reason as in the proof, the set $B \subset \mathbf{R}^p$ of b such that X_b is the graph of a Nash function on $M^{\mathbf{R}}$ is semialgebraic. Note that $X_b \subset M^{\mathbf{R}} \times \mathbf{R}$. Set $X = \bigcup_{b \in B} X_b \times b$.

By Theorem 2.4 there exists a finite semialgebraic stratification $B = \bigcup B^i$ of B into Nash manifolds such that for each i , $X^i = X \cap \mathbf{R}^{n+1} \times B^i$ is a Nash manifold and that there is a Nash diffeomorphism $\xi^i: M^{\mathbf{R}} \times B^i \rightarrow X^i$ compatible with the projection onto B^i . For $(x, b) \in M^{\mathbf{R}} \times B^i$, $\xi^i(x, b)$ is of the form $(\xi_1^i(x, b), \xi_2^i(x, b), b) \in M^{\mathbf{R}} \times \mathbf{R} \times B^i$. Then it is easy to see that the map $M^{\mathbf{R}} \times B^i \ni (x, b) \rightarrow (\xi_1^i(x, b), b) \in M^{\mathbf{R}} \times B^i$ is a diffeomorphism. Hence we can assume ξ_1^i is the identity map of $M^{\mathbf{R}}$ and we have a Nash function $h^i: M^{\mathbf{R}} \times B^i \rightarrow \mathbf{R}$ such that for each $b \in B^i$, the graph of the function $h^i(\cdot, b): M^{\mathbf{R}} \rightarrow \mathbf{R}$ coincides with X_b .

Note that there exists i such that $a \in B_R^i$, i.e., $f = h_R^i(\cdot, a)$.

Consequently, there exist Nash manifolds A and C over \mathbf{R} , Nash maps $F = (F_1, \dots, F_k): U^{\mathbf{R}} \times A \rightarrow \mathbf{R}^k$, $G = (G_1, \dots, G_k): V^{\mathbf{R}} \times A \rightarrow \mathbf{R}^k$, Nash functions

$\Gamma_{i,j}: (U^{\mathbf{R}} \cap V^{\mathbf{R}}) \times C \rightarrow \mathbf{R}$ and $\Delta_{i,j}: (U^{\mathbf{R}} \cap V^{\mathbf{R}}) \times C \rightarrow \mathbf{R}$, $i, j = 1, \dots, k$, and points $a \in A_R$ and $c \in C_R$ such that

$$F_R(\cdot, a) = (f_1, \dots, f_k), \quad G_R(\cdot, a) = (g_1, \dots, g_k), \\ \Gamma_{i,jR}(\cdot, c) = \gamma_{i,j} \quad \text{and} \quad \Delta_{i,jR}(\cdot, c) = \delta_{i,j}.$$

Replace A , C , a and c with $A \times C$, $A \times C$, (a, c) and (a, c) respectively. Then we can assume $A = C$ and $a = c$. Moreover, we can choose A , F , G , $\Gamma_{i,j}$ and $\Delta_{i,j}$ so that for each i ,

$$(**) \quad F_i = \sum_{j=1}^k \Gamma_{i,j} G_j \quad \text{and} \quad G_i = \sum_{j=1}^k \Delta_{i,j} F_j \quad \text{on} \quad (U^{\mathbf{R}} \cap V^{\mathbf{R}}) \times A$$

by the same reason as above, because it is possible to express by a formula of the first order theory of real closed field the fact that the equality (**) holds.

By (**) there exists a sheaf of $\mathcal{N}_{M^{\mathbf{R}} \times A}$ -ideals \mathcal{J} on $M^{\mathbf{R}} \times A$ such that $\mathcal{J}|_{U^{\mathbf{R}} \times A}$ and $\mathcal{J}|_{V^{\mathbf{R}} \times A}$ are generated by F_1, \dots, F_k and G_1, \dots, G_k respectively. By the theorem we have a finite number of generators H_i of \mathcal{J} . Then it is easy to see that $H_{iR}(\cdot, a)$ generate \mathcal{I} . \square

Proof of Extension conjecture. It is sufficient to prove the following assertion.

Let $M \subset R^n$ be a Nash manifold. Let U and V be open semialgebraic subsets of M such that $M = U \cup V$. Let \mathcal{I} be a sheaf of \mathcal{N}_M -ideals generated by a finite number of global Nash functions. Let $f: U \rightarrow R$ and $g: V \rightarrow R$ be Nash functions such that $f - g$ is a cross-section of $\mathcal{I}|_{U \cap V}$. Then there exists a Nash function $h: M \rightarrow R$ such that $h|_U - f$ and $h|_V - g$ are cross-sections of $\mathcal{I}|_U$ and $\mathcal{I}|_V$ respectively.

Let φ_i , $i = 1, \dots, l$, be generators of \mathcal{I} . We have

$$f - g = \sum_{i=1}^l \gamma_i \varphi_i \quad \text{on} \quad U \cap V$$

for some Nash functions $\gamma_i: U \cap V \rightarrow R$. Then, as in the preceding proof of Global equation conjecture, we can assume $M = M^{\mathbf{R}}$, $U = U^{\mathbf{R}}$ and $V = V^{\mathbf{R}}$ for some Nash manifold $M^{\mathbf{R}}$ over \mathbf{R} and open semialgebraic subsets $U^{\mathbf{R}}$ and $V^{\mathbf{R}}$ of $M^{\mathbf{R}}$ and we obtain a Nash manifold A over \mathbf{R} , a point a of A_R and Nash functions $F: U^{\mathbf{R}} \times A \rightarrow \mathbf{R}$, $G: V^{\mathbf{R}} \times A \rightarrow \mathbf{R}$, $\Phi_i: M^{\mathbf{R}} \times A \rightarrow \mathbf{R}$, $i = 1, \dots, l$, and $\Gamma_i: (U^{\mathbf{R}} \cap V^{\mathbf{R}}) \times A \rightarrow \mathbf{R}$, $i = 1, \dots, l$, such that

$$F - G = \sum_{i=1}^l \Gamma_i \Phi_i \quad \text{on} \quad (U^{\mathbf{R}} \cap V^{\mathbf{R}}) \times A,$$

$$F_R(\cdot, a) = f, \quad G_R(\cdot, a) = g, \quad \Phi_{iR}(\cdot, a) = \varphi_i \quad \text{and} \quad \Gamma_{iR}(\cdot, a) = \gamma_i.$$

Let \mathcal{J} be the sheaf of $\mathcal{N}_{M^{\mathbf{R}} \times A}$ -ideals on $M^{\mathbf{R}} \times A$ generated by Φ_i . Then, since Extension conjecture holds true for \mathbf{R} , there exists a Nash function $H: M^{\mathbf{R}} \times A \rightarrow \mathbf{R}$ such that $H|_{U^{\mathbf{R}} \times A} - F$ and $H|_{V^{\mathbf{R}} \times A} - G$ are cross-sections of $\mathcal{J}|_{U^{\mathbf{R}} \times A}$ and $\mathcal{J}|_{V^{\mathbf{R}} \times A}$ respectively. Clearly $h = H_R(\cdot, a)$ fulfills the requirements. \square

Problem. Open problems are Global extension and Extension conjectures for a general real closed field.

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