

## Several properties on Aluthge transformation

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This report is based on the following preprints:

- [Y1] T.Yamazaki, *Characterizations of  $\log A \geq \log B$  and normaloid operators via Heinz inequality*, preprint.
- [Y2] T.Yamazaki, *Parallelisms between Aluthge transformation and powers of operators*, to appear in Acta Sci. Math. (Szeged).
- [Y3] T.Yamazaki, *An expression of spectral radius via Aluthge transformation*, preprint.

### ABSTRACT

In 1990, Aluthge defined an operator transformation  $\tilde{T}$  of  $T$  by  $\tilde{T} = |T|^{\frac{1}{2}}U|T|^{\frac{1}{2}}$ , where  $T = U|T|$  is the polar decomposition of  $T$ . This transformation has very interesting properties, and many authors call  $\tilde{T}$  *Aluthge transformation* and have studied properties of this transformation.

In this paper, firstly, we shall show properties of Aluthge transformation on operator norm, and a characterization of normaloid operators by giving a definition to  $n$ -th Aluthge transformation  $\widetilde{\widetilde{T}}_n = \widetilde{(\widetilde{T}_{n-1})}$ .

Secondly, we shall point out that there are parallelisms between Aluthge transformation and powers of operators. Moreover we shall show  $\lim_{n \rightarrow \infty} \|\widetilde{\widetilde{T}}_n\| = r(T)$  which is a parallel result to  $\lim_{n \rightarrow \infty} \|T^n\|^{\frac{1}{n}} = r(T)$ .

Lastly, we shall discuss relations between the orders  $|\tilde{T}|^p \geq |T|^p$  and  $|T|^{p-1} \geq |T^*|^{p-1}$  for some positive number  $p$ .

### 1. INTRODUCTION

In what follows, a capital letter means a bounded linear operator on a complex Hilbert space  $H$ . For each  $p > 0$ , an operator  $T$  is said to be  $p$ -hyponormal if  $|T|^{2p} \geq |T^*|^{2p}$ , where  $|T| = (T^*T)^{\frac{1}{2}}$ . Especially, an operator  $T$  is said to be *hyponormal* if  $T$  is 1-hyponormal. It is well known that "every  $p$ -hyponormal operator is  $q$ -hyponormal for  $p \geq q > 0$ ." And it is also well known that "for each  $q > 0$ , there

exists a  $q$ -hyponormal and non- $p$ -hyponormal operator for any  $p > q > 0$ ." Especially, there exists a  $\frac{1}{2}$ -hyponormal and non-hyponormal operator. Relating to these facts, many authors have studied some operator transformations from  $\frac{1}{2}$ -hyponormal operator to hyponormal operator. And the following two operator transformations were obtained:

Let  $T = U|T|$  be the polar decomposition of  $T$ .

- (i)  $S = U|T|^{\frac{1}{2}}$ .
- (ii)  $\tilde{T} = |T|^{\frac{1}{2}}U|T|^{\frac{1}{2}}$  (Aluthge transformation [1]).

If  $T$  is  $\frac{1}{2}$ -hyponormal, then both  $S$  and  $\tilde{T}$  are hyponormal. Moreover, it was shown that  $\sigma(T) = \sigma(\tilde{T})$  in [3, 4, 11], where  $\sigma(T)$  is the spectrum of  $T$ . So we understand that Aluthge transformation is a better transformation than (i).

In this paper, we shall show several properties of Aluthge transformation as follows: Firstly, it is well known that  $\|T\| \geq \|\tilde{T}\|$  holds for all operator  $T$ . Relating to this fact, we shall show a characterization of the condition  $\|T\| = \|\tilde{T}\|$ , and generalize this result by giving a definition to " $n$ -th Aluthge transformation". An operator  $T$  is said to be *normaloid* if  $\|T\| = r(T)$ , where  $r(T)$  is the spectral radius of  $T$ . It is well known that "for each  $p > 0$ , every  $p$ -hyponormal operator is normaloid." Moreover we shall show a characterization of normaloid operators via Aluthge transformation.

Secondly, we shall show a parallel result to powers of  $p$ -hyponormal operators for  $p \in [0, 1]$  via  $n$ -th Aluthge transformation. And we shall show a new expression of spectral radius via Aluthge transformation.

Lastly, we shall discuss relations between the orders  $|T|^p \geq |T^*|^p$  and  $|\tilde{T}|^{p-1} \geq |T|^{p-1}$  for some positive number  $p$ .

## 2. A CHARACTERIZATION OF NORMALOID OPERATORS

Fujii, Izumino and Nakamoto [6] showed the following characterization of normaloid operators via Aluthge transformation as follows:

**Theorem A** ([6]). *Let  $T \in B(H)$ . Then the following assertions are mutually equivalent:*

- (1)  $T$  is normaloid.
- (2)  $\|T\| = \|\tilde{T}\|$  and  $\tilde{T}$  is normaloid (i.e.,  $\|\tilde{T}\| = r(\tilde{T})$ ).

In this section, we shall discuss the condition under which  $\|T\| = \|\tilde{T}\|$  and normaloidness of  $T$  via Aluthge transformation. First, we obtain the following result:

**Theorem 1** ([Y1]). *Let  $T \in B(H)$ . Then for each natural number  $n$ , the following assertions are equivalent:*

- (1)  $\|T\| = \|T^{n+1}\|^{\frac{1}{n+1}}$ .
- (2)  $\|T\| = \|\tilde{T}^n\|^{\frac{1}{n}}$ .

**Remark 1.** Put  $n = 1$  in Theorem 1, we obtain the following equivalence relation:

$$(2.1) \quad \|T\| = \|T^2\|^{\frac{1}{2}} \iff \|T\| = \|\tilde{T}\|.$$

To prove Theorem 1, we cite the following norm inequality:

**Theorem B** ([10]). *Let  $A$  and  $B$  be positive operators, and  $X \in B(H)$ . Then the following inequalities hold:*

- (i)  $\|A^r X B^r\| \leq \|A X B\|^r \|X\|^{1-r}$  for  $r \in [0, 1]$ .
- (ii)  $\|A^r X B^r\| \geq \|A X B\|^r \|X\|^{1-r}$  for  $r > 1$ .

*Proof of Theorem 1.* Let  $T = U|T|$  be the polar decomposition of  $T$ .

Proof of (1)  $\implies$  (2). Assume that  $\|T\| = \|T^{n+1}\|^{\frac{1}{n+1}}$ . Then we have

$$\begin{aligned} \|T\| &= \|T^{n+1}\|^{\frac{1}{n+1}} \\ &= \||T|^{\frac{1}{2}}(|T|^{\frac{1}{2}}U|T|^{\frac{1}{2}})^n|T|^{\frac{1}{2}}\|^{\frac{1}{n+1}} \\ &\leq \|T\|^{\frac{1}{n+1}} \|\tilde{T}^n\|^{\frac{1}{n+1}}. \end{aligned}$$

Hence  $\|T\| \leq \|\tilde{T}^n\|^{\frac{1}{n}} \leq \|\tilde{T}\| \leq \|T\|$  hold.

Proof of (2)  $\implies$  (1). Assume that  $\|T\| = \|\tilde{T}^n\|^{\frac{1}{n}}$ . Then by (i) of Theorem B for  $\frac{1}{2} \in [0, 1]$ , we have

$$\begin{aligned} \|T\| &= \|\tilde{T}^n\|^{\frac{1}{n}} \\ &= \||T|^{\frac{1}{2}}U|T|^{\frac{1}{2}}\|^n\|^{\frac{1}{n}} \\ &= \||T|^{\frac{1}{2}}(U|T|)^{n-1}U|T|^{\frac{1}{2}}\|^{\frac{1}{n}} \\ &\leq \left\{ \||T|(U|T|)^{n-1}U|T|^{\frac{1}{2}}\|^{\frac{1}{2}} \cdot \|(U|T|)^{n-1}U\|^{\frac{1}{2}} \right\}^{\frac{1}{n}} \\ &\leq \|T^{n+1}\|^{\frac{1}{2n}} \cdot \|T^{n-1}\|^{\frac{1}{2n}} \\ &\leq \|T^{n+1}\|^{\frac{1}{2n}} \cdot \|T\|^{\frac{n-1}{2n}}. \end{aligned}$$

Hence we obtain

$$\|T\| \leq \|T^{n+1}\|^{\frac{1}{n+1}} \leq \|T\|.$$

Therefore the proof of Theorem 1 is complete.  $\square$

By considering the following “ $n$ -th Aluthge transformation”, we obtain another generalization of (2.1).

**Definition 1** ( $n$ -th Aluthge transformation [Y1]). Let  $T \in B(H)$  and  $T = U|T|$  be the polar decomposition of  $T$ . Then for each natural number  $n$ ,  $n$ -th Aluthge transformation  $\widetilde{T}_n$  of  $T$  is defined by  $\widetilde{T}_n = \widetilde{(\widetilde{T}_{n-1})}$  and  $\widetilde{T}_1 = \widetilde{T}$ .

**Theorem 2** ([Y1]). Let  $T \in B(H)$ . Then for each natural number  $n$ , the following assertions are equivalent:

- (1)  $\|T\| = \|T^{k+1}\|^{\frac{1}{k+1}}$  for all  $k = 1, 2, \dots, n$ .
- (2)  $\|T\| = \|\widetilde{T}_k\|$  for all  $k = 1, 2, \dots, n$ .

*Proof.* We shall prove Theorem 2 by induction on  $n$ .

In case  $n = 1$ . Theorem 2 holds by (2.1).

Assume that Theorem 2 holds in case  $n = m$ .

In case  $n = m + 1$ .

Proof of (1)  $\implies$  (2). Suppose that

$$\|T\| = \|T^2\|^{\frac{1}{2}} = \dots = \|T^{m+2}\|^{\frac{1}{m+2}}.$$

Then we have

$$(2.2) \quad \|T\| = \|\widetilde{T}\| = \dots = \|\widetilde{T}^{m+1}\|^{\frac{1}{m+1}}$$

by Theorem 1. Put  $S = \widetilde{T}$  in (2.2). Then (2.2) asserts

$$\|S\| = \|S^2\|^{\frac{1}{2}} = \dots = \|S^{m+1}\|^{\frac{1}{m+1}}.$$

By the induction hypothesis for the case  $n = m$ , we have

$$(2.3) \quad \|\widetilde{T}\| = \|S\| = \|\widetilde{S}\| = \dots = \|\widetilde{S}_m\| = \|\widetilde{(\widetilde{T}_m)}\| = \|\widetilde{T}_{m+1}\|.$$

Hence we obtain

$$\|T\| = \|\widetilde{T}\| = \dots = \|\widetilde{T}_{m+1}\|$$

by (2.2) and (2.3).

Proof of (2)  $\implies$  (1). Suppose that

$$(2.4) \quad \|T\| = \|\widetilde{T}\| = \dots = \|\widetilde{(\widetilde{T}_{m+1})}\| = \|\widetilde{(\widetilde{T})}_m\|.$$

Put  $S = \widetilde{T}$  in (2.4). Then we have

$$\|S\| = \|\widetilde{S}\| = \dots = \|\widetilde{S}_m\|$$

By the induction hypothesis for the case  $n = m$ , we have

$$\|T\| = \|\widetilde{T}\| = \|S\| = \|S^2\|^{\frac{1}{2}} = \dots = \|S^{m+1}\|^{\frac{1}{m+1}} = \|\widetilde{T}^{m+1}\|^{\frac{1}{m+1}}.$$

Hence we have

$$\|T\| = \|T^k\|^{\frac{1}{k}} \quad \text{for all } k = 1, 2, \dots, m+2$$

by Theorem 1.

Therefore the proof of Theorem 2 is complete.  $\square$

By Theorem 2, we obtain the following Corollary 3 which is a characterization of normaloid operators, immediately.

**Corollary 3** ([Y1]). *Let  $T \in B(H)$ . Then the following assertions are equivalent:*

- (1)  $T$  is normaloid.
- (2)  $\|T\| = \|\widetilde{T}_n\|$  for all natural number  $n$ .

By Corollary 3, we can obtain Theorem A, easily as follows:

$$\begin{aligned} & T \text{ is normaloid} \\ \iff & \|T\| = \|\widetilde{T}\| = \|\widetilde{T}_n\| = \|\widetilde{(\widetilde{T})_{n-1}}\| \text{ for all natural number } n \text{ by Corollary 3} \\ \iff & \|T\| = \|\widetilde{T}\| \text{ and } \widetilde{T} \text{ is normaloid by Corollary 3.} \end{aligned}$$

*Proof of Corollary 3.* We recall the following well-known result:

$$T \text{ is normaloid} \iff \|T\| = \|T^n\|^{\frac{1}{n}} \text{ for all positive integer } n.$$

Hence we obtain Corollary 3 by Theorem 2.  $\square$

### 3. PARALLEL RESULTS BETWEEN ALUTHGE TRANSFORMATION AND POWERS OF OPERATORS

It was shown that “there exists a hyponormal operator  $T$  such that  $T^2$  is not hyponormal” in [9]. Relating to this fact, Aluthge and Wang [2] showed that “if  $T$  is a  $p$ -hyponormal operator for  $p \in (0, 1]$ , then  $T^n$  is  $\frac{p}{n}$ -hyponormal for all natural number  $n$ .” As an extension of this result, the following result was shown in [8]:

**Theorem C** ([8]). *Let  $T$  be a  $p$ -hyponormal operator for  $p \in (0, 1]$ . Then for each natural number  $n$ , the following inequalities hold:*

- (i)  $|T|^{2(p+1)} \leq |T^2|^{p+1} \leq \dots \leq |T^n|^{\frac{2(p+1)}{n}}$ .
- (ii)  $|T^*|^{2(p+1)} \geq |T^{*2}|^{p+1} \geq \dots \geq |T^{*n}|^{\frac{2(p+1)}{n}}$ .

We remark that as a generalization of the result by Aluthge and Wang, Ito [12] showed that “if  $T$  is a  $p$ -hyponormal operator for  $p > 0$ , then  $T^n$  is  $\min\{1, \frac{p}{n}\}$ -hyponormal for all natural number  $n$ .” And he showed an extension of Theorem C. As a parallel result to Theorem C, we obtain the following result:

**Theorem 4** ([Y2]). Let  $T$  be a  $\frac{p}{2}$ -hyponormal operator for  $p \in (0, 1]$ . Then for each natural number  $n$ , the following inequalities hold:

- (i)  $|T|^{p+1} \leq |\widetilde{T}|^{p+1} \leq \dots \leq |\widetilde{T}_n|^{p+1}$ .
- (ii)  $|T^*|^{p+1} \geq |\widetilde{T}^*|^{p+1} \geq \dots \geq |\widetilde{T}_n^*|^{p+1}$ .

To prove Theorem 4, we prepare the following results and definition:

**Theorem D** ([1, 7, 11, 16]). Let  $T$  be a  $\frac{p}{2}$ -hyponormal operator for  $p > 0$  (i.e.,  $|T|^p \geq |T^*|^p$ ). Then the following inequalities hold:

- (i) In case  $p \in (0, 1]$ .  $|\widetilde{T}|^{p+1} \geq |T|^{p+1} \geq |(\widetilde{T})^*|^{p+1}$   
(i.e.,  $\widetilde{T}$  is  $\frac{p+1}{2}$ -hyponormal).
- (ii) In case  $p \in [1, 2]$ .  $|\widetilde{T}|^2 \geq |T|^2 \geq |(\widetilde{T})^*|^2$  (i.e.,  $\widetilde{T}$  is hyponormal).

Theorem D was shown in [1] when  $U$  is unitary, where  $T = U|T|$  is the polar decomposition of  $T$ . And Theorem D was shown in [11, 16]. Moreover, a generalization of Theorem D was shown in [7, 11, 16].

**Definition 2** (\*-Aluthge transformation [Y2]). Let  $T = U|T|$  be the polar decomposition of an operator  $T$ . Then \*-Aluthge transformation of  $T$  is defined as follows:

- (i)  $\widetilde{T}^{(*)} \stackrel{\text{def}}{=} (\widetilde{T}^*)^* = |T^*|^{\frac{1}{2}} U |T^*|^{\frac{1}{2}}$  (\*-Aluthge transformation).
- (ii) For each natural number  $n$ ,  
 $\widetilde{T}_n^{(*)} \stackrel{\text{def}}{=} \widetilde{(\widetilde{T}_{n-1}^{(*)})}^{(*)} = (\widetilde{T}_n^*)^*$  and  $\widetilde{T}_1^{(*)} \stackrel{\text{def}}{=} \widetilde{T}^{(*)}$   
( $n$ -th \*-Aluthge transformation).

As relations between  $\widetilde{T}$  and  $\widetilde{T}^{(*)}$ , we obtain the following results, immediately.

**Theorem 5** ([Y2]). Let  $T \in B(H)$ . Then the following assertions hold:

- (i)  $\sigma(\widetilde{T}) = \sigma(\widetilde{T}^{(*)}) = \sigma(T)$ .
- (ii)  $w(\widetilde{T}) = w(\widetilde{T}^{(*)})$ , where  $w(T)$  is the numerical radius of  $T$ .
- (iii)  $\|\widetilde{T}\| = \|\widetilde{T}^{(*)}\|$ .

We remark that (i) of Theorem 5 asserts more generalization form of  $\sigma(\widetilde{T}) - \{0\} = \sigma(\widetilde{T}^{(*)}) - \{0\} = \sigma(T) - \{0\}$ . And  $\sigma(\widetilde{T}) = \sigma(T)$  has been already shown in [3, 4, 11].

**Proposition 6** ([Y2]). Let  $T \in B(H)$ . Then for each  $p > 0$ ,

$$\widetilde{T} \text{ is } p\text{-hyponormal} \iff \widetilde{T}^{(*)} \text{ is } p\text{-hyponormal}.$$

**Remark 2.** If  $T$  is  $\frac{p}{2}$ -hyponormal for  $p \in (0, 2]$ , then we obtain the following assertions, easily.  $\widetilde{T}_n$  is  $\frac{p}{2}$ -hyponormal for all natural number  $n$  by using Theorem D

several times, and also  $\widetilde{T}_n^{(*)}$  is  $\frac{p}{2}$ -hyponormal for all natural number  $n$  by Theorem D and Proposition 6 several times.

*Proof of Theorem 4.* We shall prove Theorem 4 by induction on  $n$ .

Proof of (i). (a) By (i) of Theorem D, we have  $|\widetilde{T}|^{p+1} \geq |T|^{p+1}$ .

(b) Assume that  $|\widetilde{T}_{n-1}|^{p+1} \geq \dots \geq |\widetilde{T}|^{p+1} \geq |T|^{p+1}$ .

(c) Proof of  $|\widetilde{T}_n|^{p+1} \geq |\widetilde{T}_{n-1}|^{p+1}$ .

Put  $S = \widetilde{T}_{n-1}$ . Then  $S$  is also  $\frac{p}{2}$ -hyponormal by Remark 2. Hence we have

$$|\widetilde{T}_n|^{p+1} = |\widetilde{S}|^{p+1} \geq |S|^{p+1} = |\widetilde{T}_{n-1}|^{p+1} \quad \text{by (i) of Theorem D.}$$

Proof of (ii). Let  $T = U|T|$  be the polar decomposition of  $T$ .

(a) Proof of  $|T^*|^{p+1} \geq |\widetilde{T}^*|^{p+1}$ .

$$\begin{aligned} |T^*|^{p+1} &= U|T|^{p+1}U^* \\ &\geq U|\widetilde{T}|^{p+1}U^* \quad \text{by (i) of Theorem D} \\ &= U(|T|^{\frac{1}{2}}U|T|U^*|T|^{\frac{1}{2}})^{\frac{p+1}{2}}U^* \\ &= (U|T|^{\frac{1}{2}}U|T|U^*|T|^{\frac{1}{2}}U^*)^{\frac{p+1}{2}} \\ &= (|T^*|^{\frac{1}{2}}U|T^*|U^*|T^*|^{\frac{1}{2}})^{\frac{p+1}{2}} \\ &= |\widetilde{T}^*|^{p+1}. \end{aligned}$$

(b) Assume that  $|T^*|^{p+1} \geq |\widetilde{T}^*|^{p+1} \geq \dots \geq |\widetilde{T}_{n-1}^*|^{p+1}$ .

(c) Proof of  $|\widetilde{T}_{n-1}^*|^{p+1} \geq |\widetilde{T}_n^*|^{p+1}$ .

Put  $S = (\widetilde{T}_{n-1}^*)^* = \widetilde{T}_{n-1}^{(*)}$ . Then  $S$  is also  $\frac{p}{2}$ -hyponormal by Remark 2. By (a), we obtain

$$|\widetilde{T}_{n-1}^*|^{p+1} = |S^*|^{p+1} \geq |\widetilde{S}^*|^{p+1} = |\widetilde{T}_n^*|^{p+1}.$$

Therefore the proof of Theorem 4 is complete.  $\square$

By considering Theorem 4, we can understand that  $n$ -th Aluthge transformation and powers of operators have similar properties. On the other hand,  $\lim_{n \rightarrow \infty} \|T^n\|^{\frac{1}{n}} = r(T)$  is a very famous and useful result. So we shall show the parallel result to this one as follows:

**Theorem 7** ([Y3]). *Let  $T \in B(H)$ . Then  $\lim_{n \rightarrow \infty} \|\widetilde{T}_n\| = r(T)$ .*

To prove Theorem 7, we prepare the following lemmas:

**Lemma 8** ([Y3]). For a natural number  $n$  and  $k = 0, 1, \dots, n+1$ , let

$$(3.1) \quad {}_n D_k = \frac{n!(n-2k+1)}{k!(n-k+1)!}.$$

Then the following assertions hold:

- (i)  ${}_n D_0 = 1$  for all natural number  $n$ .
- (ii)  ${}_n D_k + {}_n D_{k+1} = {}_{n+1} D_{k+1}$  for all natural number  $n$  and  $k = 0, 1, \dots, n$ .
- (iii)  ${}_{2n+1} D_n = {}_{2n+2} D_{n+1}$  for all natural number  $n$ .
- (iv)  $\sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} (n-2k+1) {}_n D_k = 2^n$ ,  
where  $\lfloor \frac{n}{2} \rfloor$  is the largest integer satisfying  $\lfloor \frac{n}{2} \rfloor \leq \frac{n}{2}$ .
- (v)  $\lim_{n \rightarrow \infty} \frac{(n-2k+1) {}_n D_k}{2^n} = 0$  for all positive integer  $k$ .

**Lemma 9** ([Y3]). Let  $T \in B(H)$ . Then

$$\|\widetilde{T}^n\| \leq \|T^{n+1}\|^{\frac{1}{2}} \|T^{n-1}\|^{\frac{1}{2}}$$

holds for all natural number  $n$ .

*Proof.* Let  $T = U|T|$  be the polar decomposition of  $T$ . Then we have

$$\begin{aligned} \|\widetilde{T}^n\| &= \|(|T|^{\frac{1}{2}} U |T|^{\frac{1}{2}})^n\| = \| |T|^{\frac{1}{2}} (U|T|)^{n-1} U |T|^{\frac{1}{2}} \| \\ &\leq \| |T| (U|T|)^{n-1} U |T| \|^{\frac{1}{2}} \| (U|T|)^{n-1} U \|^{\frac{1}{2}} \quad \text{by (i) of Theorem B} \\ &\leq \|T^{n+1}\|^{\frac{1}{2}} \|T^{n-1}\|^{\frac{1}{2}}. \end{aligned}$$

□

**Lemma 10** ([Y3]). Let  $T \in B(H)$  and  $m = \lfloor \frac{n}{2} \rfloor$ . Then

$$\|\widetilde{T}_n\| \leq \|T^{n+1}\|^{\frac{n D_0}{2^n}} \|T^{n-1}\|^{\frac{n D_1}{2^n}} \dots \|T^{n-2k+1}\|^{\frac{n D_k}{2^n}} \dots \|T^{n-2m+1}\|^{\frac{n D_m}{2^n}}.$$

*Proof.* We shall prove Lemma 10 by induction on  $n$ .

(a)  $\|\widetilde{T}\| \leq \|T^2\|^{\frac{1}{2}}$  holds by Lemma 9.

(b) Assume that

$$(3.2) \quad \begin{aligned} \|\widetilde{T}_{n-1}\| &\leq \|T^n\|^{\frac{n-1 D_0}{2^{n-1}}} \|T^{n-2}\|^{\frac{n-1 D_1}{2^{n-1}}} \\ &\quad \times \dots \times \|T^{n-2k}\|^{\frac{n-1 D_k}{2^{n-1}}} \dots \|T^{n-2m}\|^{\frac{n-1 D_m}{2^{n-1}}}, \end{aligned}$$

where  $m = \lfloor \frac{n-1}{2} \rfloor$ .



(c-1) In case  $n = 2m + 1$  for  $m = 1, 2, \dots$ . Then  $\lfloor \frac{n}{2} \rfloor = \lfloor \frac{n-1}{2} \rfloor = m$ . Hence by (3.2), we have

$$\begin{aligned}
\|\widetilde{T}_n\| &= \|\widetilde{(T)}_{n-1}\| \\
&\leq \|\widetilde{T}^n\|^{\frac{n-1D_0}{2^{n-1}}} \|\widetilde{T}^{n-2}\|^{\frac{n-1D_1}{2^{n-1}}} \\
&\quad \times \dots \times \|\widetilde{T}^{n-2k+2}\|^{\frac{n-1D_{k-1}}{2^{n-1}}} \|\widetilde{T}^{n-2k}\|^{\frac{n-1D_k}{2^{n-1}}} \dots \|\widetilde{T}^3\|^{\frac{n-1D_{m-1}}{2^{n-1}}} \|\widetilde{T}\|^{\frac{n-1D_m}{2^{n-1}}} \\
&\leq \left( \|T^{n+1}\|^{\frac{1}{2}} \|T^{n-1}\|^{\frac{1}{2}} \right)^{\frac{n-1D_0}{2^{n-1}}} \left( \|T^{n-1}\|^{\frac{1}{2}} \|T^{n-3}\|^{\frac{1}{2}} \right)^{\frac{n-1D_1}{2^{n-1}}} \\
&\quad \times \dots \times \left( \|T^{n-2k+3}\|^{\frac{1}{2}} \|T^{n-2k+1}\|^{\frac{1}{2}} \right)^{\frac{n-1D_{k-1}}{2^{n-1}}} \left( \|T^{n-2k+1}\|^{\frac{1}{2}} \|T^{n-2k-1}\|^{\frac{1}{2}} \right)^{\frac{n-1D_k}{2^{n-1}}} \\
&\quad \times \dots \times \left( \|T^4\|^{\frac{1}{2}} \|T^2\|^{\frac{1}{2}} \right)^{\frac{n-1D_{m-1}}{2^{n-1}}} \|T^2\|^{\frac{n-1D_m}{2^n}} \\
&= \|T^{n+1}\|^{\frac{n-1D_0}{2^n}} \|T^{n-1}\|^{\frac{n-1D_0+n-1D_1}{2^n}} \\
&\quad \times \dots \times \|T^{n-2k+1}\|^{\frac{n-1D_{k-1}+n-1D_k}{2^n}} \dots \|T^2\|^{\frac{n-1D_{m-1}+n-1D_m}{2^n}} \\
&= \|T^{n+1}\|^{\frac{nD_0}{2^n}} \|T^{n-1}\|^{\frac{nD_1}{2^n}} \dots \|T^{n-2k+1}\|^{\frac{nD_k}{2^n}} \dots \|T^2\|^{\frac{nD_m}{2^n}}
\end{aligned}$$

by (i) and (ii) of Lemma 8, and the last inequality holds by Lemma 9.

(c-2) In case  $n = 2m + 2$  for  $m = 0, 1, 2, \dots$ . Then  $\lfloor \frac{n}{2} \rfloor = m + 1$  and  $\lfloor \frac{n-1}{2} \rfloor = m$ . Hence by (3.2), we have

$$\begin{aligned}
\|\widetilde{T}_n\| &= \|\widetilde{(T)}_{n-1}\| \\
&\leq \|\widetilde{T}^n\|^{\frac{n-1D_0}{2^{n-1}}} \|\widetilde{T}^{n-2}\|^{\frac{n-1D_1}{2^{n-1}}} \\
&\quad \times \dots \times \|\widetilde{T}^{n-2k+2}\|^{\frac{n-1D_{k-1}}{2^{n-1}}} \|\widetilde{T}^{n-2k}\|^{\frac{n-1D_k}{2^{n-1}}} \dots \|\widetilde{T}^4\|^{\frac{n-1D_{m-1}}{2^{n-1}}} \|\widetilde{T}^2\|^{\frac{n-1D_m}{2^{n-1}}} \\
&\leq \left( \|T^{n+1}\|^{\frac{1}{2}} \|T^{n-1}\|^{\frac{1}{2}} \right)^{\frac{n-1D_0}{2^{n-1}}} \left( \|T^{n-1}\|^{\frac{1}{2}} \|T^{n-3}\|^{\frac{1}{2}} \right)^{\frac{n-1D_1}{2^{n-1}}} \\
&\quad \times \dots \times \left( \|T^{n-2k+3}\|^{\frac{1}{2}} \|T^{n-2k+1}\|^{\frac{1}{2}} \right)^{\frac{n-1D_{k-1}}{2^{n-1}}} \left( \|T^{n-2k+1}\|^{\frac{1}{2}} \|T^{n-2k-1}\|^{\frac{1}{2}} \right)^{\frac{n-1D_k}{2^{n-1}}} \\
&\quad \times \dots \times \left( \|T^5\|^{\frac{1}{2}} \|T^3\|^{\frac{1}{2}} \right)^{\frac{n-1D_{m-1}}{2^{n-1}}} \left( \|T^3\|^{\frac{1}{2}} \|T\|^{\frac{1}{2}} \right)^{\frac{n-1D_m}{2^{n-1}}} \\
&= \|T^{n+1}\|^{\frac{n-1D_0}{2^n}} \|T^{n-1}\|^{\frac{n-1D_0+n-1D_1}{2^n}} \\
&\quad \times \dots \times \|T^{n-2k+1}\|^{\frac{n-1D_{k-1}+n-1D_k}{2^n}} \dots \|T^3\|^{\frac{n-1D_{m-1}+n-1D_m}{2^n}} \|T\|^{\frac{n-1D_m}{2^n}} \\
&= \|T^{n+1}\|^{\frac{nD_0}{2^n}} \|T^{n-1}\|^{\frac{nD_1}{2^n}} \dots \|T^{n-2k+1}\|^{\frac{nD_k}{2^n}} \dots \|T^3\|^{\frac{nD_m}{2^n}} \|T\|^{\frac{nD_{m+1}}{2^n}}
\end{aligned}$$

by (i), (ii) and (iii) of Lemma 8, and the last inequality holds by Lemma 9.  $\square$

**Lemma 11** ([Y3]). Let  $\{a_n\}_{n=1}^{\infty}$  be a sequence satisfying  $\lim_{n \rightarrow \infty} a_n = a$ , and for each natural number  $n$ ,  $\{c_{n,k}\}_{k=1}^n$  be a positive sequence satisfying

$$(3.3) \quad c_{n,1} + \cdots + c_{n,k} + \cdots + c_{n,n} = 1 \quad \text{for all natural number } n$$

and  $\lim_{n \rightarrow \infty} c_{n,k} = 0$  for fixed  $k = 1, 2, \dots$ . Then

$$\lim_{n \rightarrow \infty} (c_{n,1}a_1 + \cdots + c_{n,k}a_k + \cdots + c_{n,n}a_n) = a.$$

*Proof of Theorem 7.* Let  $m = [\frac{n}{2}]$ . Then by Lemma 10, (iv) of Lemma 8 and Arithmetic mean-Geometric mean inequality, we have

$$\begin{aligned} r(T) = r(\widetilde{T}_n) &\leq \|\widetilde{T}_n\| \leq \|T^{n+1}\|^{\frac{nD_0}{2^n}} \|T^{m-1}\|^{\frac{nD_1}{2^n}} \\ &\quad \cdots \|T^{n-2k+1}\|^{\frac{nD_k}{2^n}} \cdots \|T^{n-2m+1}\|^{\frac{nD_m}{2^n}} \\ &\leq \frac{(n+1)_n D_0}{2^n} \|T^{n+1}\|^{\frac{1}{n+1}} + \frac{(n-1)_n D_1}{2^n} \|T^{n-1}\|^{\frac{1}{n-1}} \\ &\quad + \cdots + \frac{(n-2k+1)_n D_k}{2^n} \|T^{n-2k+1}\|^{\frac{1}{n-2k+1}} \\ &\quad + \cdots + \frac{(n-2m+1)_n D_m}{2^n} \|T^{n-2m+1}\|^{\frac{1}{n-2m+1}} \\ &\rightarrow r(T) \quad \text{as } n \rightarrow \infty \end{aligned}$$

by  $\lim_{n \rightarrow \infty} \|T^n\|^{\frac{1}{n}} = r(T)$ , (iv) and (v) of Lemma 8 and Lemma 11.  $\square$

#### 4. RELATIONS BETWEEN THE ORDERS $|\widetilde{T}|^{p-1} \geq |T|^{p-1}$ AND $|T|^p \geq |T^*|^p$

In this section, we shall discuss properties of the order  $|\widetilde{T}|^p \geq |T|^p$  for some  $p > 0$ . Relating to this order, Theorem D is very famous. As a converse of Theorem D, we obtain the following result:

**Theorem 12** ([Y2]). Let  $T$  be an invertible operator. Then the following assertions hold:

- (i) For each  $p \in [2, 4]$ ,  $|\widetilde{T}|^p \geq |T|^p$  ensures  $|T|^{p-1} \geq |T^*|^{p-1}$ .
- (ii) For each  $p \geq 4$ ,  $|\widetilde{T}|^p \geq |T|^p$  ensures  $|T|^3 \geq |T^*|^3$ .

To prove Theorem 12, we need the following result:

**Theorem E** ([5, 13, 14, 15]). Let  $A$  and  $B$  be positive invertible operators. Then the following assertions hold:

- (i)  $A \geq B > 0$  ensures  $(B^{-\frac{t}{2}} A^p B^{-\frac{t}{2}})^{\frac{1-t}{p-t}} \geq B^{1-t}$  for  $1 \geq p \geq \frac{1}{2}$  with  $p > t \geq 0$ .
- (ii)  $A \geq B > 0$  ensures  $(B^{-\frac{t}{2}} A^p B^{-\frac{t}{2}})^{\frac{2p-t}{p-t}} \geq B^{2p-t}$  for  $\frac{1}{2} \geq p > t \geq 0$ .

*Proof of Theorem 12.* Let  $T = U|T|$  be the polar decomposition of  $T$ . Then  $U$  is unitary since  $T$  is invertible.

Proof of (i). By applying (i) of Theorem E to  $|\tilde{T}|^p \geq |T|^p$ , we have

$$(4.1) \quad (|T|^{-\frac{t_1 p}{2}} |\tilde{T}|^{pp_1} |T|^{-\frac{t_1 p}{2}})^{\frac{1-t_1}{p_1-t_1}} \geq |T|^{p(1-t_1)}$$

for  $1 \geq p_1 \geq \frac{1}{2}$  with  $p_1 > t_1 \geq 0$ .

Put  $p_1 = \frac{2}{p}$  and  $t_1 = \frac{1}{p}$  in (4.1). Then we have

$$(|T|^{-\frac{1}{2}} |\tilde{T}|^2 |T|^{-\frac{1}{2}})^{p-1} \geq |T|^{p-1}.$$

It is equivalent to

$$(|T|^{-\frac{1}{2}} |T|^{\frac{1}{2}} U^* |T| U |T|^{\frac{1}{2}} |T|^{-\frac{1}{2}})^{p-1} \geq |T|^{p-1},$$

that is,  $U^* |T|^{p-1} U \geq |T|^{p-1}$  since  $U$  is unitary. Hence we have  $|T|^{p-1} \geq U |T|^{p-1} U^* = |T^*|^{p-1}$ .

Proof of (ii). By applying (ii) of Theorem E to  $|\tilde{T}|^p \geq |T|^p$ , we have

$$(4.2) \quad (|T|^{-\frac{t_1 p}{2}} |\tilde{T}|^{pp_1} |T|^{-\frac{t_1 p}{2}})^{\frac{2p_1-t_1}{p_1-t_1}} \geq |T|^{p(2p_1-t_1)}$$

for  $\frac{1}{2} \geq p_1 > t_1 \geq 0$ .

Put  $p_1 = \frac{2}{p}$  and  $t_1 = \frac{1}{p}$  in (4.2). Then we have

$$(|T|^{-\frac{1}{2}} |\tilde{T}|^2 |T|^{-\frac{1}{2}})^3 \geq |T|^3.$$

It is equivalent to

$$(|T|^{-\frac{1}{2}} |T|^{\frac{1}{2}} U^* |T| U |T|^{\frac{1}{2}} |T|^{-\frac{1}{2}})^3 \geq |T|^3,$$

that is,  $U^* |T|^3 U \geq |T|^3$  since  $U$  is unitary. Hence we have  $|T|^3 \geq U |T|^3 U^* = |T^*|^3$ .  $\square$

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