

On aperiodic tilings by the projection method

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In 1982 quasi-crystals with icosahedral symmetry were discovered. (published in 1984). It had been axiomatic that the structure of a crystal was periodic, like a wallpaper pattern. Periodicity is another name for translational symmetry. Icosahedral symmetry is incompatible with translational symmetry and therefore quasi-crystals are not periodic. Most famous 2-dimensional mathematical model for a quasi-crystal is a Penrose tiling of the plane. In 1981 de Bruijn introduced projection methods to construct aperiodic tilings such as Penrose tilings.

We recall the definition of tilings by the projection method.

$L$  : a lattice in  $\mathbf{R}^d$  with a basis  $\{b_i | i = 1, 2, \dots, d\}$ .

$E$  : a  $p$ -dimensional subspace of  $\mathbf{R}^d$ ,

$E^\perp$  : its orthogonal complement.

$\pi : \mathbf{R}^d \rightarrow E, \pi^\perp : \mathbf{R}^d \rightarrow E^\perp$  : the orthogonal projections.

$A$  : a Voronoï cell of  $L$

For any  $x \in \mathbf{R}^d$  we put

$$W_x = \pi^\perp(x) + \pi^\perp(A) = \{\pi^\perp(x) + u | u \in \pi^\perp(A)\}$$

$$\Lambda(x) = \pi((W_x \times E) \cap L).$$

The Voronoï cell of a point  $v \in \Lambda(x)$

$$V(v) = \{u \in \mathbf{R}^n | |v - u| \leq |y - u|, \text{ for all } y \in \Lambda(x)\}.$$

$\mathcal{V}(x)$  : the Voronoï tiling induced by  $\Lambda(x)$ , which consists of the Voronoï cells of  $\Lambda(x)$ .

For a vertex  $v$  in  $\mathcal{V}(x)$

$$S(v) = \bigcup \{P \in \mathcal{V}(x) | v \in P\}.$$

The tiling  $T(x)$  given by the projection method is defined as the collection of tiles  $\text{Conv}(S(v) \cap \Lambda(x))$ , where  $\text{Conv}(B)$  denotes the convex hull of a set  $B$ . Note that  $\Lambda(x)$  is the set of the vertices of  $T(x)$ .

In order to state theorems we recall several definitions. The dual lattice  $L^*$  is defined by the set of vectors  $y \in \mathbf{R}^d$  such that  $\langle y, x \rangle \in \mathbf{Z}$  for all  $x \in L$ , where  $\langle \cdot, \cdot \rangle$  denotes standard inner product. A lattice  $L$  is called integral if all its vectors satisfy that  $\langle x, y \rangle \in \mathbf{Z}$  for all  $x, y \in L$ . The standard lattice is both integral and self dual.

For  $L = \mathbf{Z}^d$ , C. Hillman characterized the number of periods of the tilings. He also constructed periods for given tilings.

One of Hillman's results is extended to the case that  $L$  is integral.

*Theorem. Let  $T(x)$  be the tiling by the projection method and assume that  $L$  is integral. Then,  $\text{rank Ker}(\pi^\perp|L)$  is equal to the dimension of the linear space of the periods of  $T(x)$ .*

For the general lattices Theorem is not true. We have the following example;

$L$  : a lattice in  $\mathbf{R}^2$  with a basis  $\{(1, \sqrt{2}), (1, -1)\}$ ,

$E$  : the  $x$ -axis of  $\mathbf{R}^2$ .

In this case it is easy to see that all tilings in  $\mathbf{R}^1$  obtained by the projection method are periodic and  $\text{rank Ker}(\pi^\perp|L) = 0$ .

The following property is analogous to classical uniform distribution of sequences.

*Theorem (de Bruijn and Senechal, 1995)*

*Assume that  $\pi^\perp(L)$  is dense in  $E^\perp$ .*

*$K_1, K_2$ :  $(d-p)$ -dimensional cubes in  $E^\perp$*

*$J \subset E$ : a  $p$ -dimensional cube centered at the origin.*

*For any positive real number  $\lambda$ , we set*

$$P_\lambda^1 = K_1 \times \lambda J, P_\lambda^2 = K_2 \times \lambda J.$$

*Then,*

$$\lim_{\lambda \rightarrow \infty} \frac{\text{card } P_\lambda^1 \cap L}{\text{card } P_\lambda^2 \cap L} = \frac{\text{Vol}(K_1)}{\text{Vol}(K_2)}$$

A tiling space  $\mathcal{T}(E)$  is defined by a space of tilings consisting of all translates by  $E = \mathbf{R}^p$  of the tilings  $T(x)$  for all  $x \in E^\perp$ . Tiling spaces are topological dynamical systems, with a continuous  $\mathbf{R}^p$  translation action and a topology defined by a tiling metric on tilings of  $\mathbf{R}^p$ .

Let  $\text{Orb}(T(x))$  denote the orbit of  $T(x)$  in  $\mathcal{T}(E)$  by the  $\mathbf{R}^p$  translation action and  $\text{span}(A)$  denote the  $\mathbf{R}$ -linear span of a set  $A$ .

Uniform distribution of the projection method is closely related to the ergodicity of the tiling space.

**Theorem** *Let  $\mathcal{T}(E)$  be the tiling by the projection method in terms of a  $p$ -dimensional subspace  $E$  of  $\mathbf{R}^d$  and  $p' : E^\perp \rightarrow \text{span}(L^* \cap E^\perp)$  be the orthogonal projection. Define  $p : L \rightarrow \text{span}(L^* \cap E^\perp)$  by  $p = p' \circ (\pi^\perp|_L)$ . We take a basis  $x_1, \dots, x_k$  of the direct summand  $K$  such that  $L = p^{-1}(\{0\}) \oplus K$ . Then  $\mathcal{T}(E)$  decomposes into a  $k$  parameter family of orbit closures  $\overline{\text{Orb}(T(t_1x_1 + \dots + t_kx_k))}$  for  $t_1, \dots, t_k \in \mathbf{R}$ .*

*In particular, we obtain that  $k$  is equal to  $\text{rank}(L^* \cap E^\perp)$ .*

Note that  $\pi^\perp(L)$  is dense in  $E^\perp$  if and only if  $E^\perp \cap L^* = \{0\}$ . A. Hof(1988) proved that  $E^\perp \cap L^* = \{0\}$  if and only if  $\mathcal{T}(E) = \overline{\text{Orb}(T(0))}$ . Assume that  $L$  is integral. Then we see that  $\text{rank}(L^* \cap E^\perp) = \text{rank}(L \cap E^\perp) = \text{rank Ker}(\pi|_L)$  because  $L \subset L^*$  and  $L^*/L$  is finite. The number of independent periods of the tiling space  $\mathcal{T}(E^\perp)$  is equal to  $\text{rank Ker}(\pi|_L)$ . We immediately obtain the following theorem in the case that  $L$  is integral:

**Theorem** *Let  $\mathcal{T}(E)$  (resp.  $\mathcal{T}(E^\perp)$ ) be the tiling space by the projection method in terms of a  $p$ -dimensional subspace  $E$  (resp.  $(d-p)$ -dimensional subspace  $E^\perp$ ) of  $\mathbf{R}^d$  and assume that  $L$  is an integral lattice. Then  $\mathcal{T}(E)$  decomposes into a  $k$  parameter family of orbit closures, where  $k$  is equal to the number of independent periods of the tiling space  $\mathcal{T}(E^\perp)$ .*