

ON POSITIVITY OF TAYLOR COEFFICIENTS OF CONFORMAL MAPS

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ABSTRACT. We provide an approach to the proof of positivity of the Taylor coefficients for a given conformal map of the unit disk onto a plane domain. This short note is a summary of the joint work [2] with Stanisława Kanas.

1. INTRODUCTION

If a univalent function  $f(z) = a_0 + a_1z + a_2z^2 + \dots$  in the unit disk  $\mathbb{D} = \{z \in \mathbb{C}; |z| < 1\}$  has non-negative Taylor coefficients about the origin, namely,  $a_k \geq 0$  for all  $k \geq 0$ , various sharp estimates can easily be deduced. For example, one can show the sharp inequalities

$$|f(z) - a_0 - a_1z - \dots - a_kz^k| \leq f(|z|) - a_0 - a_1|z| - \dots - a_k|z|^k$$

and

$$|f^{(k)}(z)| \leq f^{(k)}(|z|)$$

for  $k = 0, 1, 2, \dots$ . Note that this sort of inequalities are, in general, not easy to establish.

As one immediately sees, a necessary condition for a univalent function  $f$  to have non-negative Taylor coefficients is that the image domain  $\Omega = f(\mathbb{D})$  is symmetric in the real axis. Under the assumption of this symmetric property, however, it seems to be difficult to give a sufficient condition for non-negativity of the coefficients in terms of the shape of  $\Omega$ . For instance, the convexity of  $\Omega$  is not sufficient. In fact, for a constant  $0 < c < 1$ , the function

$$f(z) = \frac{z}{1 + cz} = z - cz^2 + c^2z^3 - c^3z^4 + \dots$$

maps  $\mathbb{D}$  univalently onto a disk but has a negative coefficient. (In general, when  $f(z)$  has non-negative Taylor coefficients, the function  $\hat{f}(z) = -f(-z)$  has a negative coefficient unless  $f$  is an odd function.)

In this note, we will explain one approach to show positivity of the Taylor coefficients of a specific conformal map of the interior of a conic section.

2. CONFORMAL MAPPINGS ONTO DOMAINS BOUNDED BY CONIC SECTIONS

For  $k \in [0, \infty)$ , we set

$$\Omega_k = \{u + iv \in \mathbb{C}; u^2 > k^2(u - 1)^2 + k^2v^2, u > 0\}.$$

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Date: February 13, 2004.

Note that  $1 \in \Omega_k$  for all  $k$ .  $\Omega_0$  is nothing but the right half plane. When  $0 < k < 1$ ,  $\Omega_k$  is the unbounded domain enclosed by the right half of the hyperbola

$$\left(\frac{u + k^2/(1 - k^2)}{k/(1 - k^2)}\right)^2 - \frac{v^2}{1/(1 - k^2)} = 1$$

with focus at 1.  $\Omega_1$  becomes the unbounded domain enclosed by the parabola

$$v^2 = 2u - 1$$

with focus at 1. When  $k > 1$ , the domain  $\Omega_k$  is the interior of the ellipse

$$\left(\frac{u - k^2/(k^2 - 1)}{k/(k^2 - 1)}\right)^2 + \frac{v^2}{1/(k^2 - 1)} = 1$$

with focus at 1. For every  $k$ , the domain  $\Omega_k$  is convex and symmetric in the real axis. Note also that  $\Omega_{k_1} \supset \Omega_{k_2}$  if  $0 \leq k_1 \leq k_2$ .

Kanas and Wiśniowska [3] treated the family  $\Omega_k$  in their study of  $k$ -uniformly convex functions and gave the explicit formulae for the conformal homeomorphisms  $p_k : \mathbb{D} \rightarrow \Omega_k$  determined by  $p_k(0) = 1$  and  $p'_k(0) > 0$ . Here, an analytic function  $f(z)$  in the unit disk with  $f(0) = 0$ ,  $f'(0) = 1$  is called  $k$ -uniformly convex if the function  $1 + zf''(z)/f'(z)$  maps the unit disk analytically into  $\Omega_k$ . A function is 1-uniformly convex precisely when it is uniformly convex (see [4]).

In order to state their result, we prepare some notation. Let  $\mathcal{K}(z, t)$  and  $\mathcal{K}(t)$  be the normal and complete elliptic integrals, respectively, i.e.,

$$\mathcal{K}(z, t) = \int_0^z \frac{dx}{\sqrt{(1 - x^2)(1 - t^2 x^2)}}$$

and  $\mathcal{K}(t) = \mathcal{K}(1, t)$ . The quantity

$$\mu(t) = \frac{\pi \mathcal{K}(\sqrt{1 - t^2})}{2\mathcal{K}(t)}$$

is known as the modulus of the Groetszch ring  $\mathbb{D} \setminus [0, t]$  for  $0 < t < 1$ . Note that  $\mu(t)$  is a strictly decreasing smooth function. For details, see [1].

**Proposition 1** (Kanas-Wiśniowska [3]). *The conformal map  $p_k : \mathbb{D} \rightarrow \Omega_k$  with  $p_k(0) = 1$  and  $p'_k(0) > 0$  is given by*

$$p_k(z) = \begin{cases} (1 + z)/(1 - z) & \text{if } k = 0, \\ (1 - k^2)^{-1} \cosh[C_k \log(1 + \sqrt{z})/(1 - \sqrt{z})] - k^2/(1 - k^2) & \text{if } 0 < k < 1, \\ 1 + (2/\pi^2)[\log(1 + \sqrt{z})/(1 - \sqrt{z})]^2 & \text{if } k = 1, \\ (k^2 - 1)^{-1} \sin[C_k \mathcal{K}((z/\sqrt{t} - 1)/(1 - \sqrt{tz}), t)] + k^2/(k^2 - 1) & \text{if } 1 < k, \end{cases}$$

where  $C_k = (2/\pi) \arccos k$  for  $0 < k < 1$  and  $C_k = \pi/2\mathcal{K}(t)$  and  $t \in (0, 1)$  is chosen so that  $k = \cosh(\mu(t)/2)$  for  $k > 1$ .

## 3. MAIN RESULTS

For each  $k \in [0, \infty)$ , we write

$$p_k(z) = 1 + A_1(k)z + A_2(k)z^2 + \dots$$

for the conformal mapping  $p_k$  of  $\mathbb{D}$  onto  $\Omega_k$  with  $p_k(0) = 1$  and  $p'_k(0) > 0$ . Since  $\Omega_k$  lies in the right half-plane, Carathéodory's theorem yields that  $|A_n(k)| \leq 2$  holds for each  $n \geq 1$  and  $k \in [0, \infty)$ . Our main result is the following.

**Theorem 2.**  $A_n(k) > 0$  for all  $n \geq 1$  and  $k \in [0, +\infty)$ .

Since  $p_0(z) = 1 + 2z + 2z^2 + 2z^3 + \dots$  and

$$p_1(z) = 1 + \frac{2}{\pi^2} \left( z + \frac{z^2}{3} + \frac{z^3}{5} + \dots \right)^2,$$

the assertion of the theorem is trivial for  $k = 0$  and  $k = 1$ . When  $0 < k < 1$ , the assertion is also trivial because the function  $\cosh$  has the non-negative Taylor coefficients.

In what follows, we consider the cases when  $k > 1$ . Due to complexity of the representation of  $p_k$  given above for  $k > 1$ , we try to simplify it.

We now consider the conformal mapping  $J$  of  $\mathbb{D}$  onto  $\widehat{\mathbb{C}} \setminus [-1, 1]$  defined by  $f(z) = (z + z^{-1})/2$ . Since

$$J(e^{-s+it}) = \cosh s \cos t - i \sinh s \sin t,$$

the circle  $|z| = e^{-s}$  is mapped by  $J$  onto the ellipse  $E_s$  given by

$$\left( \frac{u}{\cosh s} \right)^2 + \left( \frac{v}{\sinh s} \right)^2 = 1$$

for  $s > 0$  and the radial segment  $(0, e^{it})$  is mapped by  $J$  into the component  $H_t$  of the hyperbola given by

$$\left( \frac{u}{\cos t} \right)^2 - \left( \frac{v}{\sin t} \right)^2 = 1, \quad u \cos t > 0,$$

for  $t \in \mathbb{R}$  with  $(2/\pi)t \notin \mathbb{Z}$ .

Let  $T_n$  be the Chebyshev polynomial of degree  $n$ , i.e.,  $T_n(\cos \theta) = \cos(n\theta)$ . Then it is well known that the  $n$ -fold mapping  $z \mapsto z^n$  is conjugate under  $J$  to  $T_n$ , in other words,

$$J(z^n) = T_n(J(z))$$

holds in  $|z| < 1$ . In particular, one can see that the ellipse  $E_s$  is mapped by  $T_n$  onto  $E_{ns}$  and that the hyperbola  $H_t$  is mapped by  $T_n$  onto  $H_{nt}$ .

Applying the above argument to  $T_2(w) = 2w^2 - 1$ , we obtain the following.

**Lemma 3.** *The Chebyshev polynomial  $T_2(w) = 2w^2 - 1$  maps the domain bounded by  $H_t$  and  $H_{\pi-t}$  onto the connected component of  $\mathbb{C} \setminus H_{2t}$  containing  $-1$ . Also,  $T_2$  maps the domain bounded by the ellipse  $E_s$  onto the domain bounded by  $E_{2s}$ .*

On the basis of the above lemma, we can obtain another representation of  $p_k$ .

**Theorem 4.** For  $k > 0$ , the function  $p_k$  is written by  $p_k(z) = 1 + Q_k(\sqrt{z})^2$ , where

$$Q_k(z) = \begin{cases} \sqrt{\frac{2}{1-k^2}} \sinh(C_k \operatorname{arctanh} z) & \text{if } 0 < k < 1, \\ \sqrt{\frac{1}{2\pi^2}} \operatorname{arctanh} z & \text{if } k = 1, \\ \sqrt{\frac{2}{k^2-1}} \sin(C'_k \mathcal{K}(z/\sqrt{s}, s)) & \text{if } 1 < k. \end{cases}$$

Here,  $C_k = (2/\pi) \arccos k$  when  $0 < k < 1$ , and  $s \in (0, 1)$  is chosen so that  $k = \cosh \mu(s)$  and  $C'_k = (\pi/2)/\mathcal{K}(s)$  when  $k > 1$ .

Furthermore, the function  $Q_k$  is odd and maps the unit disk conformally onto the domain  $D_k = \{x + iy : (k-1)x^2 + (k+1)y^2 < 1\}$ .

Note that  $D_k$  is the inside of a hyperbola when  $k < 1$  and  $D_k$  is the interior of an ellipse when  $k > 1$ . When  $k = 1$ , the domain  $D_k$  becomes the parallel strip  $-1/\sqrt{2} < \operatorname{Im} z < 1/\sqrt{2}$ . Also note that  $D_k$  is invariant under the involution  $z \mapsto -z$ .

#### 4. ROUGH IDEA OF THE PROOF

We indicate here how to deduce Theorem 2. A detailed exposition will appear in [2].

In order to prove positivity of the Taylor coefficients of  $p_k$ , it is enough to show that of  $Q_k$  thanks to Theorem 4. Though the assertion is trivial in the case when  $0 < k < 1$ , we first treat this case in order to highlight an idea of the present method. When  $0 < k < 1$ , one can check that  $w = Q_k(z)$  satisfies the linear differential equation

$$(1) \quad (1 - z^2)^2 w'' - 2z(1 - z^2)w' - C_k^2 w = 0$$

in  $\mathbb{D}$ .

**Lemma 5.** Let  $Q(z)$  be an analytic solution of (1) in  $\mathbb{D}$  with  $Q(0) = 0$  and  $Q'(0) > 0$ . Then  $Q$  has Taylor expansion in the form  $Q(z) = \sum_{n=0}^{\infty} B_n z^{2n+1}$  and the coefficients satisfy the inequalities

$$(2) \quad (2n+1)B_n - (2n-1)B_{n-1} > 0 \quad \text{and} \quad B_n > 0$$

for each  $n \geq 1$ .

*Proof.* By the linear differential equation (1), one obtains the recursive formula for coefficients

$$(2n+2)(2n+3)B_{n+1} - \{2(2n+1)^2 + C_k^2\}B_n + 2n(2n-1)B_{n-1} = 0$$

for  $n \geq 0$ , here we have set  $B_{-1} = 0$ . We now suppose that the assertion is true up to  $n$ . Then, by the above formula, we get

$$(3) \quad \begin{aligned} & (2n+2)\{(2n+3)B_{n+1} - (2n+1)B_n\} \\ &= \{2(2n+1)^2 - (2n+2)(2n+1) + C_k^2\}B_n - 2n(2n-1)B_{n-1} \\ &\geq \{2(2n+1)^2 - (2n+2)(2n+1)\}B_n - 2n(2n-1)B_{n-1} \\ &= 2n\{(2n+1)B_n - (2n-1)B_{n-1}\} > 0 \end{aligned}$$

Therefore, the assertion is also true for  $n+1$ . By induction, the proof is done.  $\square$

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In the case when  $k > 1$ , the function  $w = Q_k(z)$  satisfies the similar differential equation

$$(1 - sz^2)(1 - z^2/s)w'' - 2z((s + s^{-1})/2 - z^2)w' + \frac{C_k'^2}{s}w = 0$$

in  $\mathbb{D}$ , where  $s \in (0, 1)$  is chosen so that  $k = \cosh \mu(s)$  and  $C_k' = \pi/2\mathcal{K}(s)$ . Note that  $Q_k(z)$  satisfies  $Q_k(0) = 0$  and  $Q_k'(0) > 0$ .

The above two differential equations can also be unified into the form

$$(4) \quad (1 - 2Mz^2 + z^4)w'' - 2z(M - z^2)w' - cw = 0,$$

where  $M = 1$  and  $c = C_k'^2$  for  $0 < k < 1$  and  $M = (s + s^{-1})/2 \geq 1$  and  $c = -C_k'^2/s = -\pi^2/4s\mathcal{K}(s)^2$  for  $k > 1$ . Let  $w = Q(z)$  be the solution of the equation with the initial condition  $Q(0) = 0$  and  $Q'(0) = 1$ . In the same way as above, one obtains the relations for the coefficients of  $Q(z) = \sum_{n=0}^{\infty} B_n z^{2n+1}$ :

$$(5) \quad (2n+2)(2n+3)B_{n+1} - \{2M(2n+1)^2 + c\}B_n + 2n(2n-1)B_{n-1} = 0$$

for  $n \geq 0$ , where we also have set  $B_{-1} = 0$ .

In the case when  $k > 1$ , however, the above argument breaks down at the inequality (3) because now  $c < 0$ . In fact, the coefficients  $B_n$  tend rapidly to 0 as  $n \rightarrow \infty$ , therefore, some renormalization techniques are required in this case. See [2] for the details.

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