

# A square principle in the context of $\mathcal{P}_\kappa\lambda$

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## Abstract

We introduce a combinatorial principle for  $\mathcal{P}_\kappa\lambda$  based upon  $\square_\kappa$ . Although we cannot transfer one of the clauses of  $\square_\kappa$  to this context, we can replicate some of the desired consequences of that clause. We discuss this situation and its implications along with proving the relative consistency of some  $\mathcal{P}_\kappa\lambda$  versions of  $\square_\kappa$ .

## 1 Introduction

In this paper, we discuss the problem of generalising the square principle to the context of  $\mathcal{P}_\kappa\lambda$ . The research presented below is discussed in the author's thesis, [9]. (In fact, the principles presented there are slight variations on those defined below.) This combinatorial research follows a well-established tradition and is guided by the idea of transferring interesting notions from the theory of the combinatorics of ordinal numbers. For example, Jensen's diamond principle (see [5]) has been usefully generalised to this context (originally by Jech in [4], but also by Matet in [8] and by Džamonja in [3]).

The square principle cannot be directly transferred to the context of  $\mathcal{P}_\kappa\lambda$  for various reasons, as discussed below. The general approach that we follow is to establish a basic nontrivial square principle for  $\mathcal{P}_\kappa\lambda$  then explicitly add

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further properties of square in more complex forcings. In this paper, following the basic principle, we define a second principle in which the square principle's non-reflection property is added.

Throughout this paper,  $\kappa$  is a regular infinite cardinal and  $\lambda$  is a cardinal with  $\kappa \leq \lambda$ . We now give some basic definitions and clarify the notation used in this paper.

Let  $\mathcal{P}_\kappa\lambda = \{x \subseteq \lambda : |x| < \kappa\}$ .  $\mathcal{P}_\kappa\lambda$  is typically ordered by  $\subseteq$  and will be throughout this paper. Combinatorial ideas such as clubs and stationarity can be defined in this context, as described in [6]. Note that  $\kappa$  and  $\lambda$  are arguments and may be replaced by specified cardinals or sets respectively. In this paper, we will frequently consider  $\mathcal{P}_{|x|}(x)$  where  $x$  is a set. Note that  $\mathcal{P}_\kappa\lambda$  is also commonly written as  $[\lambda]^{<\kappa}$ .

The notation used in this paper is mostly standard. By  $x \subset y$  we mean ( $x \subseteq y$  and  $x \neq y$ ). We write  $\text{lim}(\alpha)$  as an abbreviation for “ $\alpha$  is a limit ordinal”;  $\text{otp}(X)$  denotes the ordertype of a wellordered set  $X$  and for an ordinal  $\alpha$ ,  $\text{cf}(\alpha)$  denotes the cofinality. For a function  $f$ ,  $\text{dom}(f)$  denotes the domain of  $f$  and  $\text{im}(f)$  denotes image of  $f$ , while  $f \upharpoonright X$  denotes the restriction of  $f$  to  $X$  where  $X \subseteq \text{dom}(f)$ . In forcing proofs, we follow the convention that for two conditions  $p, q$ ,  $p \leq q$  means  $p$  is a *weaker* condition than  $q$ . Lastly, we use  $\text{reg}(X)$  to denote the set of elements of  $X$  of regular cardinality.

Before we develop a version of the square principle in the context of  $\mathcal{P}_\kappa\lambda$ , we introduce the standard principle. This principle, denoted  $\square_\kappa$ , was developed by Jensen and has proved a useful tool in various areas of mathematical logic. It is defined as follows (although it should be noted that other equivalent formulations exist).

**Definition 1.1**  $\square_\kappa$  is the statement that there is a sequence  $\langle C_\alpha : \alpha \in \kappa^+, \text{lim}(\alpha) \rangle$  with the following properties:

- (i)  $C_\alpha$  is a club subset of  $\alpha$
- (ii) if  $\text{cf}(\alpha) < \kappa$  then  $\text{otp}(C_\alpha) < \kappa$
- (iii) (Coherence:) if  $\beta \in C_\alpha$  and  $\text{lim}(\beta)$  then  $C_\beta = C_\alpha \cap \beta$ .

Forcing can be used to produce a model of set theory in which  $\square_\kappa$  holds. This approach uses a partial order whose elements are initial segments of potential square sequences. It is also known that  $\square_\kappa$  holds in  $L$ , the universe

of constructible sets. The best-known proof uses fine structure theory and is due to Jensen; a good account of this proof is given in [2].

The square principle encapsulates various interesting properties. Coherence and anticoherence are discussed in the next section. Another property, non-reflection, is discussed further in the final section of this paper.

## 2 A square principle in the context of $\mathcal{P}_\kappa\lambda$

We will define a square-like principle that asserts the existence of a coherent set of subsets of  $\mathcal{P}_\kappa\lambda$  indexed by the elements of  $\mathcal{P}_\kappa\lambda$ . Note that in considering  $C_x \subseteq \mathcal{P}_{|x|}(x)$  for  $x \in \mathcal{P}_\kappa\lambda$  we require  $|x|$  to be regular and hence no club of  $\mathcal{P}_{|x|}(x)$  will have cardinality  $< |x|$ . Thus, the cardinalities of the clubs cannot be limited as they are for those corresponding to singular ordinals in  $\square_\kappa$ . It is necessary, therefore, to introduce alternative non-triviality conditions that add some of the basic properties of  $\square_\kappa$ . Also, note that if  $\kappa$  is a successor cardinal, coherence is trivial for a club of  $\mathcal{P}_\kappa\lambda$ , that is for the elements of  $[\lambda]^\kappa$ . Thus, while  $\square_\kappa$  actually asserts a property of  $\kappa^+$ , the principle defined below does not “look ahead” at  $\mathcal{P}_{\kappa+\lambda}$ .

For the reasons mentioned above, we must assume that  $\kappa$  is a regular limit cardinal. In fact, since we require stationary-many regular cardinals below  $\kappa$ , for the remainder of this paper we assume that  $\kappa$  is a Mahlo cardinal.

**Definition 2.1** *Suppose  $\kappa$  is a Mahlo cardinal and  $\lambda$  is an infinite cardinal with  $\kappa \leq \lambda$ . Suppose also that  $S$  is a stationary subset of  $\mathcal{P}_\kappa\lambda$ . Then  $\square_{\mathcal{P}_\kappa\lambda}(S)$  is the statement that there is a family of sets  $\{C_x : x \in S\}$  with the following properties:*

- (i)  $C_x$  is a club subset of  $\mathcal{P}_{|x|}(x)$  for all  $x \in S$
- (ii) (Coherence:) if  $x \in S$  and  $y \in C_x \cap S$  then  $C_y = C_x \cap \mathcal{P}_{|y|}(y)$
- (iii) (Anticoherence:) the set  $\{x \in S : \text{there is a cofinal set of } y \in S \cap \mathcal{P}_{|x|}(x) \text{ such that } C_y \neq C_x \cap \mathcal{P}_{|y|}(y)\}$  is stationary in  $\mathcal{P}_\kappa\lambda$ .

We write  $\square_{\mathcal{P}_\kappa\lambda}$  to mean that there is a stationary  $S \subseteq \mathcal{P}_\kappa\lambda$  such that  $\square_{\mathcal{P}_\kappa\lambda}(S)$  holds.

Note that the restriction to a stationary subset is not as serious a restriction

as it may appear since in this context we can at best have  $C_x$  defined for all  $x \in \text{reg}(\mathcal{P}_\kappa\lambda)$ , which is stationary if Mahlo is  $\kappa$ , but cannot be club. Note that stronger forms of stationarity could be substituted with appropriate adjustments to the forcing below.

The anticoherence property is implicit in the definition of  $\square_\kappa$  but must be explicitly required for  $\square_{\mathcal{P}_\kappa\lambda}$ . This ensures that the principle cannot be satisfied trivially, e.g. by setting  $C_x = \mathcal{P}_{|x|}(x)$  for all  $x \in \text{reg}(\mathcal{P}_\kappa\lambda)$ .

The  $\square_{\mathcal{P}_\kappa\lambda}$  principle is consistent with ZFC+ “ $\kappa$  is Mahlo”, as we assert in the following theorem. Important questions remain unanswered, however. Noteably, it is not known whether the principle holds in ZFC + “V=L” or even in ZFC.

Before we proceed with the consistency proof, note that we could also develop a principle based on clubs of  $\mathcal{P}_{\kappa_x}(x)$  for each  $x \in S$ . Recall that  $\kappa_x = x \cap \kappa$  if this is an ordinal and is undefined otherwise. Here, we would insist that  $S$  contains only elements  $x$  for which  $\kappa_x$  is a regular cardinal. Assuming that  $\kappa$  is Mahlo, the consistency of such a principle can be proved with a forcing analogous to the one for  $\square_{\mathcal{P}_\kappa\lambda}$ .

**Theorem 2.2** *Suppose  $M$  is a countable model of a sufficiently rich fragment of ZFC in which  $\kappa$  is Mahlo and  $\lambda \geq \kappa$ . Then there is a generic extension of this model which preserves cofinalities and cardinalities and in which  $\kappa$  is Mahlo and  $\square_{\mathcal{P}_\kappa\lambda}$  holds.*

This theorem is proved by forcing with the partial order defined below. Essentially, the partial order is composed of fragments of possible witnesses to  $\square_{\mathcal{P}_\kappa\lambda}$ .

**Definition 2.3** *Let  $P$  be a set whose elements  $p$  are characterised as follows:*

- (i)  $p$  is a function with  $\text{dom}(p) \in \mathcal{P}_\kappa(\text{reg}(\mathcal{P}_\kappa\lambda))$
- (ii) for all  $x \in \text{dom}(p)$ ,  $p(x)$  is either club in  $\mathcal{P}_{|x|}(x)$  or the empty set
- (iii) if  $x \in \text{dom}(p)$  and  $y \in p(x) \cap \text{reg}(\mathcal{P}_\kappa\lambda)$  then  $y \in \text{dom}(p)$  and either  $p(y) = p(x) \cap \mathcal{P}_{|y|}(y)$  or  $p(y) = \emptyset$
- (iv) if  $\text{dom}(p) \cap \mathcal{P}_{|y|}(y)$  is stationary in  $\mathcal{P}_{|y|}(y)$  then  $y \in \text{dom}(p)$ .

For  $p, q \in P$ ,  $p \leq q$  (meaning  $q$  is stronger than  $p$ ) iff  $p \subseteq q$ . We will also use the symbols  $<$ ,  $\geq$  and  $>$  in the natural way.

Note that if we let  $\emptyset$  be the function with empty domain then  $\emptyset$  is the unique minimal element of  $P$ . Clearly,  $P$  is non-empty. We must now establish various properties of  $(P, \leq)$  to show that a suitable generic object exists and that the resulting forcing preserves cofinalities and cardinalities.

**Lemma 2.4**  $(P, \leq)$  is separative.

*Proof.* Let  $p \in P$  and let  $x \in \text{reg}(\mathcal{P}_{\kappa\lambda})$  be such that  $\bigcup \text{dom}(p) \in \mathcal{P}_{|x|}(x)$ , which is possible because  $|\bigcup \text{dom}(p)| < \kappa$ . We now define  $q \in P$  such that  $p \leq q$  and  $x \in \text{dom}(q)$  and  $q(x) \neq \emptyset$ . For  $y \in \text{dom}(p)$ , let  $q(y) = p(y)$ . Let  $q(x)$  be any club of  $\mathcal{P}_{|x|}(x)$  that does not intersect  $\text{dom}(p)$ . Such a club exists because  $p$  satisfies (iv) of Definition 2.3. It is straightforward to check that  $q \in P$ , by checking against conditions (i)-(iv).

Now let  $r \geq p$  be defined as follows. Let  $r(y) = q(y)$  if  $y \neq x$ , let  $r(x) = \emptyset$  and let  $r(y)$  be undefined otherwise. Then  $r \in P$  and  $q, r$  are clearly incompatible extensions of  $p$ .

□.

Since  $P$  is separative, there is a generic object  $G$  in  $M[G]$  that is not in the ground model,  $M$ . We will see that this generic provides an example of a  $\square_{\mathcal{P}_{\kappa\lambda}}$  set. First, however, we must show that the forcing preserves cofinalities and cardinalities.

**Lemma 2.5**  $P$  satisfies the  $\kappa^+$ -chain condition.

*Proof.* Suppose  $X \subseteq P$  and  $|X| = \kappa^+$ . We show that  $X$  is not an antichain. Let  $\mathcal{A} = \{\text{dom}(p) : p \in X\}$ . By a  $\Delta$ -system argument, using the fact that  $\kappa$  is strongly inaccessible, we can find  $\mathcal{B} \subseteq \mathcal{A}$  such that  $|\mathcal{B}| = \kappa^+$  and  $\mathcal{B}$  is a  $\Delta$ -system with root  $R$ . That is, for all  $X, Y \in \mathcal{B}$ ,  $X \cap Y = R$ .

Consider the numbers of functions with domain  $R$  such that for each function  $f$  and each  $x \in R$ ,  $f(x) \subseteq \mathcal{P}_{|x|}(x)$ . Clearly, if we impose no further conditions on the value of  $f(x)$ , the number of distinct functions is equal to  $\prod_{x \in R} |\mathcal{P}(\mathcal{P}_{|x|}(x))|$ . Now for all  $x \in R$ ,  $|\mathcal{P}_{|x|}(x)| < \kappa$  and since  $\kappa^{<\kappa} = \kappa$ , it follows that  $|\mathcal{P}(\mathcal{P}_{|x|}(x))| \leq \kappa$ . Furthermore, since  $|R| < \kappa$ , it follows that  $\prod_{x \in R} |\mathcal{P}(\mathcal{P}_{|x|}(x))| \leq \kappa$ . In other words there are only  $\kappa$ -many suitable functions defined on  $R$ . But  $\mathcal{B} = \kappa^+$  so by the pigeonhole principle there must

be some function  $f$  defined on  $R$  such that  $p \upharpoonright R = f$  for  $\kappa^+$  many  $p \in X$  with  $\text{dom}(p) \in \mathcal{B}$ .

Now let  $Y = \{p \in X : \text{dom}(p) \in \mathcal{B} \text{ and } p \upharpoonright R = f\}$ . For  $p, q \in Y$ , if  $p(x) = q(x)$  for all  $x \in \text{dom}(p) \cap \text{dom}(q)$ , it is easily proved that  $p \cup q$  is a common extension of  $p, q$  and hence that  $p, q$  are compatible. Thus, the elements of  $Y$  are pairwise compatible because they agree on  $R$ , which is the intersection of their domains, by the definition of  $\mathcal{B}$ . Hence,  $X$  is not an antichain.

⊥.

We can now conclude that the forcing preserves cofinalities and cardinalities  $> \kappa$ . We now prove that  $P$  is  $< \kappa$ -directed closed. It will then follow that the forcing preserves cofinalities and cardinalities  $\leq \kappa$ .

**Lemma 2.6**  *$P$  is  $< \kappa$ -directed closed.*

*Proof.* Suppose  $\mu < \kappa$  and  $\{p_\alpha : \alpha < \mu\}$  is a set of pairwise compatible conditions from  $P$ . We define  $p_\mu^* = \bigcup_{\alpha < \mu} p_\alpha$ . This is a function since the conditions are pairwise compatible. It is easily checked that  $p_\mu^*$  satisfies (i)-(iii) of Definition 2.3. However, there may be  $x \in \text{reg}(\mathcal{P}_\kappa \lambda) \setminus \text{dom}(p_\mu^*)$  such that  $\text{dom}(p_\mu^*)$  is stationary in  $\mathcal{P}_{|x|}(x)$  so condition (iv) may not hold. We now make a small adjustment to  $p_\mu^*$  to obtain  $p_\mu$  still satisfying (i)-(iii) but also satisfying (iv). Let  $p_\mu(x) = p_\mu^*(x)$  for all  $x \in \text{dom}(p_\mu^*)$ . For  $x \in (\mathcal{P}(\bigcup \text{dom}(p_\mu^*)) \setminus \text{dom}(p_\mu^*))$ , let  $p_\mu(x) = \emptyset$ . Then  $p_\mu$  is as required since (i)-(iv) hold and for all  $\alpha < \mu$ ,  $p_\alpha < p_\mu$ .

⊥.

Note that since the forcing is  $< \kappa$ -closed, no new sets of ordinals of size  $< \kappa$  are introduced. Hence,  $(\mathcal{P}_\kappa \lambda)^{M[G]} = (\mathcal{P}_\kappa \lambda)^M$  and we can write  $\mathcal{P}_\kappa \lambda$  for the name  $\mathcal{P}_\kappa \lambda$ .

We must now ensure that for any generic  $G$  of  $P$ , the set  $\{x \in \mathcal{P}_\kappa \lambda : (\exists p \in G)(x \in \text{dom}(p) \text{ and } p(x) \neq \emptyset)\}$  is stationary in  $\mathcal{P}_\kappa \lambda$  in the generic extension. Before we do this we give a lemma that will be needed several times in the proof.

**Lemma 2.7** *Suppose  $p \in P$  and  $p \Vdash \text{--}(\mathcal{C} \text{ is a club of } \mathcal{P}_\kappa \lambda)$  and suppose  $y \in \mathcal{P}_\kappa \lambda$ . Then there is  $x \in \mathcal{P}_\kappa \lambda$  and  $q \in P$  such that  $q \geq p$  and  $q \Vdash \text{--}(y \subset x \text{ and } x \in \mathcal{C})$ .*

*Proof.* Let  $\underline{x}$  be a name such that  $p \Vdash (y \subseteq \underline{x} \text{ and } \underline{x} \in \mathcal{C})$ . This is possible because  $p \Vdash (\mathcal{C} \text{ is club})$ . Also,  $p \Vdash ((\exists \mu < \kappa)(|\underline{x}| < \mu))$  because  $\kappa$  is a limit cardinal.

Let  $p_0 \geq p$  and  $\nu$  a cardinal such that  $p_0 \Vdash (\mu = \nu)$ . So  $p_0 \Vdash (|\underline{x}| < \nu \text{ and } \nu < \kappa)$ . Thus we can find a condition  $p_1 \geq p_0$  and a name for an enumeration of  $\underline{x}$  in an ordertype  $< \alpha$  so that:  $p_1 \Vdash (i^* < \nu \text{ and } \underline{x} = \{\gamma_i : i < i^*\})$  and we can extend again to obtain  $\beta$  and  $p_2$  such that  $p_2 \Vdash (\underline{x} = \{\gamma_i : i < \beta\})$ .

Now let  $q_0 \geq p_2$  be such that  $q_0 \Vdash (\gamma_0 = \delta_0)$ . That is,  $q_0$  identifies the value of the name  $\gamma_i$ . By induction on  $i < \beta$  we construct an increasing sequence  $\langle q_\alpha : \alpha < \beta \rangle$  and a sequence  $\langle \delta_\alpha : \alpha < \beta \rangle$  such that  $q_\alpha \Vdash (\forall \xi < \alpha)(\gamma_\xi = \delta_x i)$ . This is possible because  $P$  is  $< \kappa$ -closed.

Again, by the  $< \kappa$ -closure of  $P$ , it is possible to find  $q \in P$  that identifies all the elements of  $\underline{x}$ . That is, there is  $z \in \mathcal{P}_\kappa \lambda$  and  $q \geq p$  such that  $q \Vdash (y \subseteq z \text{ and } z \in \mathcal{C})$ , as required.

⊣.

**Lemma 2.8** *Let  $G$  be a generic of  $P$ . Then  $M[G] \models \{x \in \mathcal{P}_\kappa \lambda : (\exists p \in G)(x \in \text{dom}(p) \text{ and } p(x) \neq \emptyset)\}$  is stationary in  $\mathcal{P}_\kappa \lambda$ .*

*Proof.* Let  $\underline{S}$  be a name of the set  $\{x \in \mathcal{P}_\kappa \lambda : (\exists p \in G)(x \in \text{dom}(p) \text{ and } p(x) \neq \emptyset)\}$ .

Suppose  $p_0 \in G$  is such that  $p_0 \Vdash (\mathcal{C} \text{ is club in } \mathcal{P}_\kappa \lambda \text{ and } \mathcal{C} \cap \underline{S} = \emptyset \text{ and } x_0 \in \mathcal{C} \cap \mathcal{P}_\kappa \lambda)$ . Note that we use the previous lemma to obtain  $p_0 \Vdash (x_0 \in \mathcal{C} \cap \mathcal{P}_\kappa \lambda)$ . We derive a contradiction by finding  $p \geq p_0$  such that  $p \Vdash (\mathcal{C} \cap \underline{S} \neq \emptyset)$ . The strategy is to fix a chain of elements of  $\mathcal{C}$  and  $\underline{S}$  up to a regular limit where the two chains intersect.

Let  $y_0 \in \text{reg}(\mathcal{P}_\kappa \lambda)$  be such that  $(\cup \text{dom}(p_0) \cup x_0) \in \mathcal{P}_{|y_0|}(y_0)$ . We now identify  $p_0^* \geq p_0$  such that  $y_0 \in \text{dom}(p_0^*)$ .

Let  $D_0$  be a linearly ordered club of  $\mathcal{P}_{|y_0|}(y_0)$  that does not intersect  $\text{dom}(p_0)$ . Such a club exists by definition of  $P$  (in particular, clause (iv) of Definition 2.3). Note that having  $D_0$  linearly ordered is convenient but not strictly necessary; it is possible because  $|y_0|$  is regular.

$$\text{Let } p_0^*(u) = \begin{cases} p_0(u) & \text{if } u \in \text{dom}(p_0) \\ D_0 & \text{if } u = y_0 \\ \emptyset & \text{if } u \in \text{reg}(\mathcal{P}(y_0) \setminus (\text{dom}(p_0) \cup \{y_0\})) \\ \text{undefined} & \text{otherwise} \end{cases}$$

Then by checking against Definition 2.3, it is apparent that  $p_0^* \in P$ . Note also that  $p_0^* \geq p_0$ .

Now using the preceding lemma, let  $p_1 \geq p_0^*$  be such that for some  $x_1 \in \mathcal{P}_\kappa \lambda$ ,  $p_1 \Vdash (x_1 \in \mathcal{C} \cap \mathcal{P}_\kappa \lambda \text{ and } y_0 \subseteq x_1)$ .

We now proceed inductively to define  $p_\alpha, x_\alpha, y_\alpha, p_\alpha^*$  so that for all  $\beta < \alpha$ ,  $y_\beta \in p_\alpha(y_\alpha)$  and  $p_\beta \leq p_\alpha \leq p_\alpha^*$ . In the case when  $\alpha$  is a limit ordinal, we describe the condition under which the induction will stop. We will then observe that this condition will be met at some stage  $\alpha < \kappa$ .

**Case 1:**  $\alpha = \beta + 1$

By the inductive definition,  $p_\alpha$  and  $x_\alpha$  are already defined. We now define  $p_\alpha^*$  and  $y_\alpha$  then also define  $p_{\alpha+1}$  and  $x_{\alpha+1}$ . Let  $y_\alpha \in \text{reg}(\mathcal{P}_\kappa \lambda)$  be such that  $\bigcup \text{dom}(p_\beta) \cup x_\alpha \in \mathcal{P}_{|y_\alpha|}(y_\alpha)$ . We now identify  $p_\alpha^* \geq p_\alpha$  such that  $y_\alpha \in \text{dom}(p_\alpha^*)$ . Unlike in the case  $\alpha = 0$ , we will define  $p_\alpha^*(y_\alpha)$  so that it has non-trivial coherence. In particular, for all  $\beta < \alpha$ , we will have  $y_\beta \in p_\alpha^*(y_\alpha)$ .

The inductive hypothesis implies that  $y_\beta \in \text{dom}(p_\alpha)$  so we can find a linearly ordered club  $D_\alpha$  of  $\mathcal{P}_{|y_\alpha|}(y_\alpha)$  that does not intersect  $\text{dom}(p_\alpha)$  and satisfies  $u \in D_\alpha \Rightarrow y_\beta \subseteq u$ . Such a club exists by (iv) of Definition 2.3 and by intersecting with the club  $\{u \in \mathcal{P}_\kappa \lambda : y_\beta \subseteq u\}$ . Now let  $D_\alpha^* = p_\alpha(y_\beta) \cup \{y_\beta\} \cup D_\alpha$ .

$$\text{Let } p_\alpha^*(u) = \begin{cases} p_\alpha(u) & \text{if } u \in \text{dom}(p_\alpha) \\ D_\alpha^* & \text{if } u = y_\alpha \\ \emptyset & \text{if } u \in \text{reg}(\mathcal{P}(y_\alpha) \setminus (\text{dom}(p_\alpha) \cup \{y_\alpha\})) \\ \text{undefined} & \text{otherwise} \end{cases}$$

It is easily checked that  $p_\alpha^*$  satisfies (i) to (iv) of Definition 2.3 and that  $p_\alpha^* \geq p_\alpha$ . Note also that  $y_\beta \in p_\alpha^*(y_\alpha)$ .

Now using the previous lemma, let  $p_{\alpha+1} \geq p_\alpha^*$  be such that for some  $x_{\alpha+1} \in \mathcal{P}_\kappa \lambda$ ,  $p_{\alpha+1} \Vdash (x_{\alpha+1} \in \mathcal{C} \cap \mathcal{P}_\kappa \lambda \text{ and } y_\alpha \subseteq x_{\alpha+1})$ .

**Case 2:**  $\alpha$  is a limit ordinal  $< \kappa$

Note that  $x_\alpha$  and  $p_\alpha$  are not yet defined. Let  $p_\alpha \in P$  be such that  $p_\alpha \geq p_\beta$  for all  $\beta < \alpha$ . This is possible because  $P$  is  $< \kappa$ -closed. Let  $s_\alpha = \bigcup \{y_\beta : \beta < \alpha\}$ .



If  $|s_\alpha|$  is regular then this will be the final stage of the induction. We then proceed to define  $y$  and  $p$  as described below. So suppose now that  $|s_\alpha|$  is singular. Note in particular that  $s_\alpha \notin \text{reg}(\mathcal{P}_\kappa\lambda)$  so  $s_\alpha \notin \text{dom}(p_\alpha^*)$ .

By the inductive definitions of  $y_\beta$ ,  $s_\alpha = \bigcup \bigcup \{\text{dom}(p_\beta) : \beta < \alpha\}$ , that is  $s_\alpha$  is the set of ordinals that are in at least one element of the domain of at least one  $p_\beta$ . Let  $y_\alpha \in \text{reg}(\mathcal{P}_\kappa\lambda)$  be such that  $s_\alpha \in \mathcal{P}_{|y_\alpha|}(y_\alpha)$ . Thus, for any  $\beta < \alpha$ , if  $u \in \text{dom}(p_\beta)$  then  $u \in \mathcal{P}_{|y_\alpha|}(y_\alpha)$ .

Let  $D_\alpha$  be a linearly ordered club of  $\mathcal{P}_{|y_\alpha|}(y_\alpha)$  that does not intersect  $\text{dom}(p_\alpha)$  and such that if  $u \in D_\alpha$  then  $s_\alpha \subseteq u$ . Let  $D_\alpha^* = \bigcup \{p_\beta(y_\beta) : \beta < \alpha\} \cup \{s_\alpha\} \cup D_\alpha$ .

$$\text{Let } p_\alpha^*(u) = \begin{cases} p_\alpha(u) & \text{if } u \in \text{dom}(p_\alpha) \\ D_\alpha^* & \text{if } u = y_\alpha \\ \emptyset & \text{if } u \in \text{reg}(\mathcal{P}(y_\alpha) \setminus (\text{dom}(p_\alpha) \cup \{y_\alpha\})) \\ \text{undefined} & \text{otherwise} \end{cases}$$

Then  $p_\alpha^* \in P$  and  $(\forall \beta < \alpha)(p_\alpha^* \geq p_\beta)$ .

As before, let  $p_{\alpha+1} \geq p_\alpha^*$  be such that for some  $x_{\alpha+1} \in \mathcal{P}_\kappa\lambda$ ,  $p_\alpha \Vdash (x_\alpha \in \mathcal{C} \cap \mathcal{P}_\kappa\lambda \text{ and } y_\alpha \subseteq x_\alpha)$ .

We repeat this procedure until we reach a limit ordinal  $\alpha = \mu < \kappa$  such that  $s_\alpha$  (as defined in Case 2) has inaccessible cardinality. There must be such a  $\mu$  because  $\kappa$  is Mahlo. Otherwise the set  $\{s_\alpha : \alpha < \kappa \text{ and } \text{lim}(\alpha)\}$  would be a club subset of  $\kappa$  that does not intersect the set of regular cardinals, contradicting the fact that  $\kappa$  is Mahlo. So suppose  $|s_\alpha|$  is regular. Then  $|s_\alpha|$  is inaccessible because the sequence  $\langle |y_\beta| : \beta < \alpha \rangle$  is strictly increasing by the inductive definitions of  $y_\beta$  for  $\beta < \alpha$ .

Let  $y = s_\alpha$  and let  $E = \bigcup \{\text{dom}(p_\beta) : \beta < \alpha\}$ . Now define  $p$  as follows.

$$\text{Let } p(u) = \begin{cases} p_\beta(u) & \text{if } (\exists \beta < \mu)(u \in \text{dom}(p_\beta)) \\ \bigcup \{p_\beta(y_\beta) : \beta < \mu\} & \text{if } u = y \\ \emptyset & \text{if } u \in \text{reg}(\mathcal{P}(y) \setminus (E \cup \{y\})) \\ \text{undefined} & \text{otherwise} \end{cases}$$

As before, by checking against (i)-(iv) of Definition 2.3, we see that  $p \in P$ . We now show that  $p \Vdash \mathcal{C} \cap \mathcal{S} \neq \emptyset$ .

Note that  $\bigcup_{\beta < \mu} y_\beta = y = \bigcup_{\beta < \mu} x_\beta$  because for any  $\beta < \mu$ ,  $x_\beta \subset y_\beta \subseteq x_{\beta+1} \subset y_{\beta+1}$ . By the definition of  $p$ , it is clear that  $p(y) \neq \emptyset$  and hence that  $p \Vdash y \in \mathcal{S}$ . Also, since  $p \Vdash (\mathcal{C} \text{ is club in } \mathcal{P}_\kappa\lambda \text{ and } (\forall \beta < \mu)(x_\beta \in \mathcal{C}))$  it

follows that  $p \Vdash -y \in \mathcal{C}$ . Hence,  $p \Vdash -y \in \mathcal{C} \cap \mathcal{S}$ , which is a contradiction because  $p \geq p_0$  and  $p_0 \Vdash \mathcal{C} \cap \mathcal{S} = \emptyset$ .

⊥.

We now establish that the proposed witness to  $\square_{\mathcal{P}_\kappa\lambda}$  satisfies the anticohereence condition.

**Lemma 2.9** *Let  $G$  be a generic of  $P$ . Then let*

$\mathcal{S} = \{x \in \mathcal{P}_\kappa\lambda : (\exists p \in G)(x \in \text{dom}(p) \text{ and } p(x) \neq \emptyset)\}$  and let

$\mathcal{T} = \{x \in \mathcal{S} : \text{there is a cofinal set of } y \in \mathcal{S} \cap \mathcal{P}_{|x|}(x) \text{ such that } (\exists p \in G)(\{x, y\} \subseteq \text{dom}(p) \text{ and } p(y) \neq p(x) \cap \mathcal{P}_{|y|}(y))\}$ .

*Then  $M[G] \models \mathcal{T}$  is stationary in  $\mathcal{P}_\kappa\lambda$ .*

*Proof (outline).* We proceed as in Lemma 2.8, forming the sequence of forcing conditions as before but at each stage, we interrupt the induction after setting  $p_\alpha^*$  but before setting  $x_{\alpha+1}$ . We set  $z_\alpha \supset y_\alpha$  and define  $q \geq p_\alpha^*$  such that  $z_\alpha \in \text{dom}(q)$  but  $q(z_\alpha) \cap q(y_\alpha) = \emptyset$ . Now continue as before but defining  $x_{\alpha+1}$  so that  $z_\alpha \subset x_{\alpha+1}$  and with  $q \leq p$ .

⊥.

Finally, we need to verify that  $\kappa$  is Mahlo in the generic extension  $M[G]$ .

**Lemma 2.10** *If  $G$  is a generic of  $P$  then  $M[G] \models \kappa$  is Mahlo.*

*Proof.* Working in  $M[G]$ , suppose  $C$  is a club in  $\kappa$ . Then if  $C^* = \{x \in \mathcal{P}_\kappa\lambda : |x| \in C\}$ , it follows that  $C^*$  is club in  $\mathcal{P}_\kappa\lambda$ . By Lemma 2.8, we can find  $y$  in  $C^* \cap \{x \in \mathcal{P}_\kappa\lambda : (\exists p \in G)(x \in \text{dom}(p) \text{ and } p(x) \neq \emptyset)\}$ . Then  $|y|$  is a regular cardinal in both  $M$  and  $M[G]$ , by the preservation of cofinalities and cardinalities. Furthermore,  $|y| \in C$ . Hence the set of regular cardinals is stationary in  $\kappa$ . To see that  $\kappa$  remains a strong limit, note that for all  $\mu < \kappa$ ,  $(2^\mu)^{M[G]} = (2^\mu)^M$  by  $< \kappa$ -closure so  $\kappa$  remains a strong limit in the generic extension. Hence  $\kappa$  is Mahlo in  $M[G]$  as required.

⊥.

Given generic  $G$  of  $P$ , let  $S = \{x \in \text{reg}(\mathcal{P}_\kappa\lambda) : (\exists p \in G)(p(x) \neq \emptyset)\}$  and for  $x \in S$ , let  $C_x = p(x)$  where  $p$  is an element of  $G$  with  $x \in \text{dom}(p)$ . The

preceding series of lemmas together prove that this  $S$  and  $\{C_x : x \in S\}$  provides a witness to  $\square_{\mathcal{P}_{\kappa\lambda}}$  in  $M[G]$ . Thus, Theorem 2.2 is proved.

We proved in Lemma 2.10 that this forcing preserves the fact that  $\kappa$  is Mahlo. In fact, we can do more than this and preserve supercompactness. Since forcing with  $P$  is  $\kappa$ -directed closed, if  $\kappa$  is supercompact in the ground model and we first force with a Laver preparation, then the supercompactness of  $\kappa$  is preserved when we force with  $P$ .

**Theorem 2.11** *Suppose  $M$  is a countable model of a sufficiently rich fragment of ZFC in which  $\kappa$  is supercompact and  $\lambda \geq \kappa$ . Then there is a generic extension of this model which preserves cofinalities and cardinalities and in which  $\kappa$  is supercompact and  $\square_{\mathcal{P}_{\kappa\lambda}}$  holds.*

*Proof.* This follows by forcing with a Laver preparation followed by forcing with  $P$ . We use the fact that  $P$  is  $\kappa$ -directed closed.

◻.

### 3 A $\mathcal{P}_{\kappa\lambda}$ version of square with a non-reflection property

One of the useful properties encapsulated by the square sequence is that of stationary non-reflection. This is demonstrated in the theorem presented below, which makes use of Fodor's Lemma, which we present here without proof.

**Lemma 3.1 (Fodor's Lemma)** *Suppose that  $S$  is a stationary subset of a regular cardinal  $\mu$ . Suppose also that  $f : S \rightarrow \mu$  is such that  $f(\alpha) < \alpha$  for all  $\alpha \in S$ . Then there is a stationary subset  $T \subseteq S$  such that  $f$  is constant on  $T$ .*

The following well-known theorem is presented here with proof to motivate the work towards a  $\mathcal{P}_{\kappa\lambda}$  version of the theorem discussed in the remainder of this section.

**Theorem 3.2** *If  $\square_{\kappa}$  holds then  $\kappa^+$  has a non-reflecting stationary subset.*

*Proof.* Suppose  $\langle C_\alpha : \alpha < \kappa^+ \text{ and } \lim(\alpha) \rangle$  is as specified in the definition of  $\square_\kappa$ . Let  $T = \{\alpha < \kappa^+ : \text{cf}(\alpha) < \kappa < \alpha\}$ . To see that this is stationary, let  $C$  be an arbitrary club of  $\kappa^+$  and let  $C^* = C \setminus \kappa$ . Then the  $\omega$ th element of  $C^*$  is an element of  $T$ .

Now define  $F : T \rightarrow \kappa$  by  $F(\alpha) = \text{otp}(C_\alpha)$ . By part (ii) of Definition 1.1 and the definition of  $T$ ,  $F(\alpha) < \kappa < \text{otp}(\alpha)$  for all  $\alpha \in T$ . Hence, by Fodor's Lemma, we can select a stationary subset  $R \subseteq T$  such that  $F$  is constant on  $R$ .

Now suppose  $R$  reflects in  $\alpha$  for some  $\alpha \in R$ . Let  $\beta, \gamma \in R \cap C_\alpha$  with  $\beta < \gamma$ . Then  $C_\beta \cup \{\beta\} \subseteq C_\gamma$  as  $\beta = \sup(C_\beta)$ . Thus  $F(\gamma) = \text{otp}(C_\gamma) \geq \text{otp}(C_\beta) + 1 > F(\beta)$ . But this is a contradiction because  $F$  is constant on  $R$ .

⊥.

We now extend  $\square_{\mathcal{P}_{\kappa\lambda}}$  to produce a square principle that has a non-reflection property explicitly built into the definition. We then give a non-reflection theorem using this new principle.

**Definition 3.3**  $\square_{\mathcal{P}_{\kappa\lambda}}(S, f)$  holds if  $f : S \rightarrow \kappa$  and  $S$  is stationary and there is a witness  $\{C_x : x \in S\}$  to  $\square_{\mathcal{P}_{\kappa\lambda}}(S)$  such that in addition to (i)-(iii) from Definition 2.1 we have:

(iv)  $f(x) \in x$

(v) if  $y \in C_x$  then  $f(x) \neq f(y)$ .

We now prove the relative consistency of this principle by extending the partial order  $P$  used in the proof of Theorem 2.2.

**Theorem 3.4** Suppose  $M$  is a countable model of a sufficiently rich fragment of ZFC in which  $\kappa$  is Mahlo and  $\lambda \geq \kappa$ . Then there is a generic extension of this model which preserves cofinalities and cardinalities and in which  $\kappa$  is Mahlo and for some  $f, S$ ,  $\square_{\mathcal{P}_{\kappa\lambda}}(S, f)$  holds.

We force with the poset  $Q$  defined below.

**Definition 3.5**  $p, q \in Q$  iff  $p \in P$  and  $q$  is as follows:

(i)  $q$  is a function with domain  $\{x \in \text{dom}(p) : p(x) \neq \emptyset\}$

(ii)  $q(x) \in x$  for all  $x \in \text{dom}(q)$

(iii) if  $x \in \text{dom}(p)$  and  $y \in p(x) \cap \text{dom}(p)$  and  $p(y) \neq \emptyset$  then  $q(y) \neq q(x)$ .

If  $(p, q), (p', q') \in Q$  then  $(p, q) \leq (p', q')$  iff  $p \subseteq p'$  and  $q \subseteq q'$ .

We do not present all of the details of the forcing proof. Instead we describe how to upgrade the proof of Theorem 2.2 to include the new property.

Note that  $(\emptyset, \emptyset) \in Q$  so  $Q$  is non-empty and has a minimal element. We must now establish various properties of  $(Q, \leq)$  to show that a suitable generic object exists and that the resulting forcing preserves cofinalities and cardinalities.

**Lemma 3.6**  $(Q, \leq)$  is separative.

*Proof.* Let  $(p, q) \in Q$  and let  $x \in \text{reg}(\mathcal{P}_\kappa \lambda) \setminus \text{dom}(p)$  such that there is  $\gamma \in x \setminus \text{im}(q)$ . Let  $(p_0, q_0) \geq (p, q)$  be such that  $p_0(x)$  is a club in  $\mathcal{P}_{|x|}(x)$  that does not intersect  $\text{dom}(p)$  and let  $q_0(x) = \gamma$ . Such a  $p_0$  can be found by Definition 2.3 (iv) and because  $|\text{dom}(p)| < \kappa \leq |\text{reg}(\mathcal{P}_\kappa \lambda)|$  so there must be some  $x \in \text{reg}(\mathcal{P}_\kappa \lambda) \setminus \text{dom}(p)$ . Now let  $(p_1, q_1) \geq (p, q)$  be such that  $x \in \text{dom}(p_1)$  and  $p_1(x) = \emptyset$  and hence  $x \notin \text{dom}(q_1)$ . Clearly  $(p_0, q_0)$  and  $(p_1, q_1)$  are incompatible extensions of  $(p, q)$ . Hence,  $Q$  is separative.

⊢.

We now prove that forcing with  $Q$  preserves cofinalities and cardinalities by showing that  $Q$  has the  $\kappa^+$ -chain condition and is  $< \kappa$ -directed closed.

We now use the  $\Delta$ -System Lemma to show that  $Q$  has the  $\kappa^+$ -chain condition.

**Lemma 3.7**  $Q$  satisfies the  $\kappa^+$ -chain condition.

*Proof.* Let  $A$  be a subset of  $Q$  of size  $\kappa^+$ . Now let  $\mathcal{A} = \{\text{dom}(p) : \exists q(p, q) \in A\}$ . By the  $\Delta$ -System Lemma, using the fact that  $\kappa$  is a strong limit, we can find  $\mathcal{B} \subseteq \mathcal{A}$  such that  $|\mathcal{B}| = \kappa^+$  and  $\mathcal{B}$  is a  $\Delta$ -system with root  $R$ .

Consider the number of pairs of functions  $(p, q)$  definable on  $R$  such that for each function  $(p, q)$  and each  $x \in R$ ,  $p(x) \in \mathcal{P}(\mathcal{P}_{|x|}(x))$  and  $q(x) \in x$ . By the

argument in the proof of Lemma 2.5, the number of possible values that  $p(x)$  can take is  $< \kappa$ . The number of possible values that  $q(x)$  can take is clearly  $|x|$ . Since  $|x| < \kappa$ , the number of possible pairs  $(p(x), q(x))$  is  $< \kappa$ . But  $|\mathcal{B}| = \kappa^+$  so by the pigeonhole principle there must be some pair of functions  $(g, h)$  defined on  $R$  such that  $p \upharpoonright R = g$  and  $q \upharpoonright R = h$  for  $\kappa^+$  many  $(p, q) \in X$  with  $\text{dom}(p) \in \mathcal{B}$ .

Now let  $Y = \{(p, q) \in X : p \upharpoonright R = g \text{ and } q \upharpoonright R = h\}$ . For any  $(p_0, q_0), (p_1, q_1) \in Y$ , using the fact that  $p_0, p_1$  and  $q_0, q_1$  agree  $R$ , it is straightforward to verify that  $(p_0 \cup p_1, q_0 \cup q_1) \in Q$ . Thus,  $(p_0, q_0), (p_1, q_1)$  have a common extension in  $Q$  and hence are compatible. Hence,  $A$  is not an antichain.

⊥.

**Lemma 3.8**  $Q$  is  $< \kappa$ -directed closed.

*Proof.* Suppose  $\mu < \kappa$  and  $\{(p_\alpha, q_\alpha) : \alpha < \mu\}$  is a set of pairwise compatible conditions from  $Q$ . We define  $p_\mu^* = \bigcup_{\alpha < \mu} p_\alpha$  and  $q_\mu^* = \bigcup_{\alpha < \mu} q_\alpha$ . Now extend  $p_\mu^*$  to  $p_\mu$  as in the proof of the  $< \kappa$ -directed closure of  $P$ . Note that we need not add new elements to the domain of  $q_\mu^*$  since  $x \in \text{dom}(p_\mu) \setminus \text{dom}(p_\mu^*) \Rightarrow p_\mu(x) = \emptyset$ . That is, we may set  $q_\mu = q_\mu^*$ . Now for any  $x, y \in \text{dom}(q_\mu)$ , there is some  $\alpha < \mu$  such that  $x, y \in \text{dom}(q_\alpha)$ . Since  $(p_\alpha, q_\alpha) \in Q$  it follows that  $x \in p_\mu(x) \Rightarrow q_\mu(x) \neq q_\mu(y)$  and vice versa as required. It follows that  $(p_\alpha, q_\alpha) \in Q$  and for all  $\beta < \mu$ ,  $(p_\alpha, q_\alpha) \leq (p_\mu, q_\mu)$ .

⊥.

It follows from the preceding lemmas that forcing with  $Q$  preserves cofinalities and cardinalities. As with  $P$ , this forcing is  $< \kappa$ -closed so for a generic  $G$  of  $Q$ ,  $(\mathcal{P}_\kappa \lambda)^{M[G]} = (\mathcal{P}_\kappa \lambda)^M$  and we can write  $\mathcal{P}_\kappa \lambda$  for the name  $\mathcal{P}_\kappa \lambda$  in the following. We must now ensure that for any generic  $G$  of  $Q$ , the set  $\{x \in \mathcal{P}_\kappa \lambda : (\exists (p, q) \in G)(x \in \text{dom}(p) \text{ and } p(x) \neq \emptyset)\}$  is stationary in  $\mathcal{P}_\kappa \lambda$ . Note that the following variation on Lemma 2.7 holds. The proof is almost identical to the proof of Lemma 2.7.

**Lemma 3.9** Suppose  $(p, q) \in Q$  and  $(p, q) \Vdash (C \text{ is a club of } \mathcal{P}_\kappa \lambda)$ . Then there is  $x \in \mathcal{P}_\kappa \lambda$  and  $(p', q') \in Q$  such that  $(p', q') \geq (p, q)$  and  $(p', q') \Vdash x \in C$ .

**Lemma 3.10** Let  $G$  be a generic of  $Q$ . Then  $M[G] \models \{x \in \mathcal{P}_\kappa \lambda : (\exists p \in G)(x \in \text{dom}(p) \text{ and } p(x) \neq \emptyset)\}$  is stationary in  $\mathcal{P}_\kappa \lambda$ .

*Proof.* We proceed as in the proof of Lemma 2.8 but define  $(p_\alpha, q_\alpha)$  and  $(p_\alpha^*, q_\alpha^*)$  at each stage. We now describe how to set  $q_\alpha$ . Let  $\gamma \in y_0 \setminus \{q(y_0)\}$ . We insist, without loss of generality, that for all  $\alpha$ ,  $\gamma$  is not in the image of  $q_\alpha$  or  $q_\alpha^*$ . For all  $\alpha < \mu$  we set  $q(y_\alpha) = \gamma_\alpha \in y_\alpha \setminus \bigcup_{\beta < \alpha} y_\beta$ . By definition of  $y_\alpha$ , such a  $\gamma_\alpha$  will always exist. At the final stage, when defining  $(p, q)$ , we define  $p$  as before and set  $q(y) = \gamma$ .

⊣.

The last two lemmas that we need follow by arguments exactly analogous to the corresponding lemmas for  $P$ .

**Lemma 3.11** *Let  $G$  be a generic of  $Q$ . Then let  $S = \{x \in \mathcal{P}_\kappa \lambda : (\exists(p, q) \in G)(x \in \text{dom}(p) \text{ and } p(x) \neq \emptyset)\}$  and let  $T = \{x \in S : \text{there is a cofinal set of } y \in S \cap \mathcal{P}_{|x|}(x) \text{ such that } (\exists(p, q) \in G)(\{x, y\} \subseteq \text{dom}(p) \text{ and } p(y) \neq p(x) \cap \mathcal{P}_{|y|}(y))\}$ .*

*Then  $M[G] \models T$  is stationary in  $\mathcal{P}_\kappa \lambda$ .*

**Lemma 3.12** *If  $G$  is a generic of  $Q$  then  $M[G] \models \kappa$  is Mahlo.*

By forcing with the partial order  $(Q, \leq)$ , Theorem 3.4 is proved. We set  $S = \{x \in \mathcal{P}_\kappa \lambda : (\exists(p, q) \in G)(x \in \text{dom}(p) \text{ and } p(x) \neq \emptyset)\}$  and set  $f = \bigcup \{q : \exists p((p, q) \in G)\}$ . Then  $f$  and  $\{C_x : (\exists(p, q) \in G)(C_x = p(x) \neq \emptyset)\}$ , together witness that  $\square_{\mathcal{P}_\kappa \lambda}(S, f)$  holds, as required.

We now show how  $\square_{\mathcal{P}_\kappa \lambda}(S, f)$  gives non-reflection in  $\mathcal{P}_{|x|}(x)$  for stationary many  $x \in \mathcal{P}_\kappa \lambda$ . We then state without proof some related results proved by Abe in [1] and by Koszmider in [7].

The following is proved by forcing and draws on Gitik's method of shooting clubs in  $\mathcal{P}_\kappa \lambda$ .

**Theorem 3.13 (Abe)** *Let  $V \subset W$  be two models of ZFC with the same ordinals,  $(\kappa^+)^V = (\kappa^+)^W$ ; let  $C$  be a club subset of  $\kappa$  of  $V$ -inaccessibles; let  $\kappa$  be an inaccessible cardinal in  $W$  and let  $T = \{x \in \mathcal{P}_\kappa \kappa^+ : V \models |x| \text{ is not inaccessible}\}$ . Then there is a forcing notion in  $W$  that preserves cofinalities and cardinalities and such that there is a stationary  $S \subset \mathcal{P}_\kappa \kappa^+$  such that  $S \cap \mathcal{P}_{\kappa^x}(x)$  is non-stationary for any  $x \in T$ .*

Koszmider in [7] gives a different kind of non-reflection result, considering reflection in  $\mathcal{P}_\kappa(X)$  where  $X \subset \lambda$ .

**Theorem 3.14 (Koszmider)** *It is consistent that there is a stationary set  $S \subseteq \mathcal{P}_\kappa \lambda$  such that  $S \cap \mathcal{P}_\kappa X$  is non-stationary in  $\mathcal{P}_\kappa X$  for any  $X \subset \lambda$  with  $|X| \geq \kappa$  in the generic extension.*

Finally we consider the following theorem of Abe which gives a form of non-reflection when  $\kappa$  is supercompact.

**Theorem 3.15 (Abe)** *If it is consistent that there is a supercompact cardinal then it is consistent that there is a supercompact  $\kappa$ , a cardinal  $\lambda \geq \kappa$  and a stationary set  $X \subseteq \mathcal{P}_\kappa \lambda$  such that  $X \cap \mathcal{P}_\kappa \alpha$  is non-stationary in  $\mathcal{P}_\kappa \alpha$  for any  $\alpha < \lambda$ .*

The following definition presents the form of non-reflection that we examine with  $\square_{\mathcal{P}_\kappa \lambda}(S, f)$ .

**Definition 3.16** *A stationary set  $S \subseteq \mathcal{P}_\kappa \lambda$  reflects in  $\mathcal{P}_{|x|}(x)$  if  $S \cap \mathcal{P}_{|x|}(x)$  is stationary in  $\mathcal{P}_{|x|}(x)$ .*

The non-reflection theorem follows easily from the  $\square_{\mathcal{P}_\kappa \lambda}(S, f)$  principle. Note that the proof is closely analogously to the proof of non-reflection from  $\square_\kappa$  in the theory of cardinals. This theorem draws on the variation on Fodor's Lemma presented below. Lacking a suitable reference, we present a proof.

**Lemma 3.17** *Suppose that  $S$  is a stationary subset of  $\mathcal{P}_\kappa \lambda$ . Suppose also that  $f : S \rightarrow \lambda$  is such that  $f(x) \in x$  for all  $x \in S$ . Then there is a stationary subset  $T \subseteq S$  such that  $f$  is constant on  $T$ .*

*Proof.* Suppose  $f : S \rightarrow \lambda$  is a counterexample. For each  $\alpha < \lambda$  choose  $C_\alpha$  club in  $\mathcal{P}_\kappa \lambda$  with  $(f^{-1}(\alpha)) \cap C_\alpha = \emptyset$ . Now let  $D$  be the diagonal intersection of the  $C_\alpha$ ,  $D = \Delta \langle C_\alpha : \alpha < \lambda \rangle$  and take  $y \in S \cap D$ , guaranteed to exist because  $D$  is club. Then  $f(y) \in y$  so since  $y \in D$  we have  $y \in C_{f(y)}$ . Hence,  $y \in f^{-1}(f(y)) \cap C_{f(y)}$ , contradicting the choice of  $C_{f(y)}$ .

◻.



**Theorem 3.18** *Suppose  $\kappa$  is Mahlo and  $\lambda \geq \kappa$ . Then if  $\square_{\mathcal{P}_{\kappa\lambda}}(S, f)$  holds then there is a stationary set  $T \subseteq S$  such that  $T$  does not reflect in  $\mathcal{P}_{|x|}(x)$  for any  $x \in S$ .*

*Proof.* Let  $\{C_x : x \in S\}$  witness  $\square_{\mathcal{P}_{\kappa\lambda}}(S, f)$ . Note that since  $f(x) \in x$ , by the preceding lemma it follows that there is a stationary set  $T \subseteq S$  such that  $f(x)$  is constant on  $T$ . Now suppose  $T$  reflects in  $\mathcal{P}_{|x|}(x)$  for some  $x \in S$ . Let  $y \in T \cap C_x$ . The set  $\{u \in \mathcal{P}_{|x|}(x) : y \subseteq u \text{ and } |y| < |u|\}$  is club in  $\mathcal{P}_{|x|}(x)$  so we can find  $z \in T \cap C_x$  such that  $y \in \mathcal{P}_{|z|}(z)$ . By the definition of  $\square_{\mathcal{P}_{\kappa\lambda}}(S, f)$ , we have that  $C_z = C_x \cap \mathcal{P}_{|z|}(z)$  so  $y \in C_z$ . But then  $f(y) \neq f(z)$ , contradicting the definition of  $T$ . Thus  $T$  cannot reflect in  $\mathcal{P}_{|x|}(x)$ .

◻.

It should be noted that for some  $\kappa$ , for example the first Mahlo cardinal, the conclusion of this theorem holds in ZFC. (Simply let  $S = T = \text{reg}(\mathcal{P}_{\kappa\lambda})$ .) The theorem becomes more relevant for cardinals higher in the Mahlo hierarchy (i.e. those that are  $\alpha$ -Mahlo for  $\alpha > 0$ ).

As with  $\square_{\mathcal{P}_{\kappa\lambda}}(S)$  we may use a Laver preparation to prove that  $\square_{\mathcal{P}_{\kappa\lambda}}(S, f)$  is consistent even for supercompact  $\kappa$ . Thus, supercompactness of  $\kappa$  does not prevent this principle or the corresponding non-reflection theorem.

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