

**Research problems in number theory III.**

By  
I. Kátai

**Abstract**

Some open problems in the field of arithmetic functions are presented.

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### §1. Introduction

In this paper we shall formulate some open problems, conjectures in the field of number theory. Some of them were formulated earlier in one of my papers [1], [2], [3], [4].

**Notations.** As usual  $\mathbb{N}, \mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$  denote the set of natural numbers, integers, rational, real, or complex numbers, respectively. Let  $\mathbb{Q}_x, \mathbb{R}_x$  be the multiplicative group of positive elements of  $\mathbb{Q}, \mathbb{R}$ , resp. . Let  $\mathcal{P}$  be the set of prime numbers. Let  $P(n)$  be the largest prime factor of  $n$ .

### §2. Continuous homomorphisms as arithmetical functions

**2.1.** For some additively written commutative group  $G$  let  $\mathcal{A}_G^*$  be the set of those functions  $f : \mathbb{N} \rightarrow G$ , for which  $f(mn) = f(m) + f(n)$  holds for every couple of  $m, n \in \mathbb{N}$ .

We say that  $\mathcal{A}_G^*$  is the class of completely additive functions.

Let  $G$  be multiplicatively written, commutative group,  $\mathcal{M}_G^*$  be the class of those  $g : \mathbb{N} \rightarrow G$ , for which  $g(mn) = g(m) \cdot g(n)$  for every pair of  $m, n \in \mathbb{N}$ . We say that  $\mathcal{M}_G^*$  is the set of completely multiplicative functions.

If  $f \in \mathcal{A}_G^*$ , then its domain  $\mathbb{N}$  can be extended to  $\mathbb{Q}_x$  by

$$f\left(\frac{m}{n}\right) := f(m) - f(n),$$

and the equation

$$f(r_1 r_2) = f(r_1) + f(r_2)$$

remains valid for every  $r_1, r_2 \in \mathbb{Q}_x$ .

Let  $G$  be a topological group and  $f : \mathbb{Q}_x \rightarrow G$ ,  $f \in \mathcal{A}_G^*$  be continuous at 1. Then, for each  $\alpha \in \mathbb{R}_x$  there exists the limit

$$\lim_{r \rightarrow \alpha} f(r) =: \Phi(\alpha),$$

$\Phi$  is continuous everywhere in  $\mathbb{R}_x$ , furthermore  $\Phi(\alpha\beta) = \Phi(\alpha) + \Phi(\beta)$  is valid for all  $\alpha, \beta \in \mathbb{R}_x$ , i.e.  $\phi$  is a continuous homomorphism of  $\mathbb{R}_x$  into  $G$ .

The following conjectures 1,2 are proposed by M.V. Subbarao and myself.

**Conjecture 1.** Let  $G$  be a compact Abelian topological group,  $f \in \mathcal{A}_G^*$ , and let the closure of  $f(\mathbb{N})$  be  $G$  (closure  $f(\mathbb{N})$  is a closed subgroup in  $G$ ). Let  $U$  be the set of those  $u$  for which there exists an infinite sequence of integers  $n_\nu \nearrow$ , such that  $f(n_\nu + 1) - f(n_\nu) \rightarrow u$ .

Then  $U$  is a subspace in  $G$ , furthermore  $f(n) := \Phi(n) + V(n)$ , where  $\Phi$  is a continuous homomorphism,  $\phi : \mathbb{R}_x \rightarrow G$ ,  $V(\mathbb{N}) \subseteq U$ ,  $\text{clos } V(\mathbb{N}) = U$ .

The next conjecture is a special case of Conjecture 1.

**Conjecture 2.** Let  $f : \mathbb{N} \rightarrow \mathbb{C}$  be a completely multiplicative function,  $|f(n)| = 1$  ( $n \in \mathbb{N}$ ),  $\delta_f(n) = f(n+1)\bar{f}(n)$ .

Let  $\mathcal{A}_k = \{\alpha_1, \dots, \alpha_k\}$  be the set of limit points of  $\{\delta_f(n) \mid n \in \mathbb{N}\}$ . Then  $\mathcal{A}_k = S_k$ , where  $S_k$  is the set of  $k$ 'th complex units, i.e.  $S_k = \{w \mid w^k = 1\}$ , furthermore  $f(n) = n^{i\tau} F(n)$  with a suitable  $\tau \in \mathbb{R}$ , and  $F(\mathbb{N}) = S_k$ , and for every  $w \in S_k$  there exists a sequence  $n_\nu \nearrow \infty$  such that  $F(n_\nu + 1)\bar{F}(n_\nu) = w$  ( $\nu = 1, 2, \dots$ ).

The motivation of the problems, and partial results can be read in [7], [8].

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A weakened form of Conjecture 2 has been proved by E. Wirsing recently [6]: *Under the conditions of Conjecture 2,  $\mathcal{A}_k \subseteq S_l$  for a suitable  $l$ , and  $f(n) = n^{i\tau} F(n)$ ,  $\mathbb{F}(\mathbb{N}) \subseteq S_l$ .*

**2.2.** Let  $T = \mathbb{R}/\mathbb{Z}$  be the one-dimensional torus. Let  $\mathcal{A}_T$  be the set of additive functions taking values from  $T$ , i.e.  $F : \mathbb{N} \rightarrow T$  belongs to  $\mathcal{A}_T$  if  $F(mn) = F(m) + F(n)$  holds for all coprime pairs of  $m, n$ . We say that  $F$  is of finite support, if  $F(p^\alpha) = 0$  holds for every large prime  $p$ , and every  $\alpha \in \mathbb{N}$ .

For  $F_\nu \in \mathcal{A}_T (\nu = 0, \dots, k-1)$  let

$$L_n(F_0, \dots, F_{k-1}) := F_0(n) + \dots + F_{k-1}(n+k-1).$$

Let  $\mathcal{L}_0^{(k)}$  be the space of those  $k$ -tuples  $(F_0, \dots, F_{k-1})$  of  $F_\nu \in \mathcal{A}_T$  for which

$$L_n(F_0, \dots, F_{k-1}) = 0 \quad (n \in \mathbb{N})$$

holds.

**Conjecture 3.**  $\mathcal{L}_0^{(k)}$  is a finite dimensional  $\mathbb{Z}$  module, and each  $F_j$  is of finite support.

**Conjecture 4.** If  $F_\nu \in \mathcal{A}_T (\nu = 0, 1, \dots, k-1)$ , and

$$L_n(F_0, \dots, F_{k-1}) \rightarrow 0 \quad (n \rightarrow \infty)$$

then there exist suitable real numbers  $\tau_0, \dots, \tau_{k-1}$  such that  $\tau_0 + \dots + \tau_{k-1} = 0$ , and for  $H_j(n) := F_j(n) - \tau_j \log n (j = 0, \dots, k-1)$  we have

$$L_n(H_0, \dots, H_{k-1}) = 0 \quad (n = 1, 2, \dots).$$

**Conjecture 5.** For every integer  $k (\geq 1)$  there exists a constant  $c_k$  such that for every prime  $p$  greater than  $c_k$ ,

$$\min_{j=1, \dots, p-1} \max_{\substack{l \in [-k, k] \\ l \neq 0}} P(jp+l) < p.$$

The conjecture is open even in the case  $k = 2$ .

Let  $Q_x^l$  be the  $l$ -fold direct product of  $Q_x$ . Let furthermore  $O_l$  be its subgroup, generated by the elements  $(n, n+1, \dots, n+l-1) (n \in \mathbb{N})$ .

The following assertions are true:

(1) Let  $\mathcal{L}_0^{*(l)}$  be the space of those  $l$ -tuples  $(F_0, \dots, F_{l-1})$  of  $F_\nu \in \mathcal{A}_T^*$  for which  $L_n(F_0, \dots, F_{l-1}) = 0 (n \in \mathbb{N})$ . Assume that Conjecture 5 is true for  $k = l$ . Then  $\mathcal{L}_0^{*(l)}$  is a finite dimensional space.

(2)  $\mathcal{L}_0^{*(l)}$  (defined in (1)) is of finite dimensional, if and only if the factor group  $Q_x^l/O_l$  is finite.  $\mathcal{L}_0^{*(l)}$  is trivial (it contains only  $(0, \dots, 0)$ ) if and only if  $O_l = Q_x^l$ .

**2.3.** Let  $\mathcal{A}^* = \mathcal{A}_{\mathbb{R}}^*$ .

**Definition 1.** (Set of uniqueness). We say that  $E \subseteq \mathbb{N}$  is a set of uniqueness for the class of completely additive functions, if  $f \in \mathcal{A}^*$ ,  $f(E) = 0$  implies that  $f(\mathbb{N}) = 0$ .

**Definition 2.** (Set of uniqueness mod 1). We say that  $E \subseteq \mathbb{N}$  is a set of uniqueness mod 1, if  $f \in \mathcal{A}_T^*$ ,  $f(E) = 0$  implies that  $f(\mathbb{N}) = 0$ .

I introduced the notion "set of uniqueness" in [10] and proved [11] that the set of "primes + 1" can be extended by finitely many integers so that the resulting set is a set of uniqueness. My guess that the set of shifted primes itself is a set of uniqueness, was proved by Elliott [12]. It was proved by Wolke [13] that  $E$  is a set of uniqueness if and only if for every  $n \in \mathbb{N}$  there exists a suitable  $k \in \mathbb{N}$ , such that

$$n^k = \prod_{i=1}^h a_i^{\varepsilon_i}, \quad \text{where } a_i \in E, \varepsilon_i = \pm 1.$$

It was proved (by Meyer, Indlekofer, Dress and Volkman, Hoffman, Elliott, independently) that  $E$  is a set of uniqueness mod 1, if every  $n \in \mathbb{N}$  can be written as

$$n = \prod_{j=1}^s a_j^{d_j}, \quad a_j \in E, \quad d_j \in \mathbb{Z}, \quad (j = 1, \dots, s).$$

**Conjecture 6.** *The set of "prime +1" s is a set of uniqueness mod 1.*

Conjecture 6 is proposed by several mathematicians independently.

A quite detailed treatment of this topic is given by Elliott [14].

Indlekofer and Timofeev proved that  $\{u^2 + v^2 + a \mid u, v \in \mathbb{Z}\}$  is a set of uniqueness mod 1, if  $a \neq 0$ . The same result is proved by De Koninck and Kátai.

### §3. On $q$ -additive and $q$ -multiplicative functions

Let  $q \geq 2$  be an arbitrary integer,  $\mathcal{E} = \{0, 1, \dots, q-1\}$  and let  $\varepsilon_0(n), \varepsilon_1(n), \dots$  be the digits in the  $q$ -ary expansion of  $n : n = \varepsilon_0(n) + \varepsilon_1(n)q + \dots$ . This is a finite expansion, since  $\varepsilon_j(n) = 0$  if  $q^j > n$ .

Let  $f : \mathbb{N}_0 \rightarrow \mathbb{R}$  be such a function for which  $f(0) = 0$ , and

$$f(n) = \sum_{j=0}^{\infty} f(\varepsilon_j(n)q^j)$$

holds for every  $n$ . We say that  $f$  is  $q$ -additive, and the set of  $q$ -additive functions is denoted by  $\mathcal{A}_q$ .

We say that  $g : \mathbb{N}_0 \rightarrow \mathbb{C}$  is  $q$ -multiplicative if  $g(0) = 1$ , and  $g(n) = \prod_{j=0}^{\infty} g(\varepsilon_j(n)q^j)$  holds for every

$n$ . Let  $\mathcal{M}_q$  be the set of  $q$ -multiplicative functions, and  $\overline{\mathcal{M}}_q$  be those of  $\mathcal{M}_q$  for the elements  $g \in \overline{\mathcal{M}}_q$  additionally  $|g(n)| = 1$  ( $n \in \mathbb{N}_0$ ) holds as well.

Let  $g \in \overline{\mathcal{M}}_q$ ,

$$P(x) = \sum_{p \leq x} g(p), \quad S(x \mid \alpha) = \sum_{\substack{l < x \\ (l, q) = 1}} g(l)e(\alpha l)$$

where  $e(y) := e^{2\pi iy}$ .

We are interested in to give necessary and sufficient conditions for  $g$  to satisfy

$$(3.1) \quad \lim_{x \rightarrow \infty} \frac{P(x)}{\pi(x)} = 0.$$

**Conjecture 7.** *Let  $g \in \overline{\mathcal{M}}_q$ . Then (3.1) holds if and only if*

$$(3.2) \quad x^{-1}S(x, r) \rightarrow 0$$

for every  $r \in \mathbb{Q}$ .

The necessity of (7.2) is quite obvious, since if it does not hold, then

$$\sum_{j=0}^{\infty} \sum_{c \in E} \operatorname{Re} (1 - g(cq^j)e(cq^j r)) < \infty,$$

whence one can prove easily that (3.2) cannot hold. The difficulty is in the sufficiency.

Let  $T_{l_1, l_2}^M = T_{l_1, l_2} =$

$$= \#\{p_1, p_2 \in \mathcal{P}, p_2 - p_1 = l_2 - l_1, p_1 \equiv l_1 \pmod{q^M}, p_2 \leq x\},$$

$$H(d) := \prod_{\substack{p|d \\ p \nmid 2q}} \left(1 + \frac{1}{p-2}\right).$$

**Conjecture 8.** *There exists a constant  $\delta \in (0, 1/2)$ , such that for  $M = [\delta N]$ ,  $N = \left\lceil \frac{\log x}{\log q} \right\rceil$ ,*

$$\sum_{\substack{l_1, l_2 < q^M \\ (l_1 l_2, q) = 1 \\ l_1 \neq l_2}} \left| T_{l_1, l_2}^{(M)} - \frac{x}{\varphi(q^M)(\log x)^2} H(l_2 - l_1) \right| < \frac{\varepsilon(x)x \cdot q^M}{(\log x)^2}$$

with a suitable function  $\varepsilon(x) \rightarrow 0$  ( $x \rightarrow \infty$ ).

In [15] we proved that Conjecture 8 implies the fulfilment of Conjecture 1.

Furthermore in [15] we proved the following assertion: Let  $Y(x) \nearrow \infty$ , so that  $\frac{\log Y(x)}{\log x} \rightarrow 0$ . Let  $\mathcal{N}_x := \{n \leq x, p(n) > Y(x)\}$ , where  $p(n)$  is the smallest prime factor of  $n$ .

Let  $N(x) = \text{card}(\mathcal{N}_x)$ . Let  $L(x)$  be strongly multiplicative,  $(L(p^h) =) L(p) = \frac{1}{p-2}$  if  $p \nmid 2q$ , and  $L(p) = 0$  otherwise. Let

$$U(x) := \sum_{n \in \mathcal{N}_x} g(n).$$

Then

$$\left| \frac{U(x)}{N(x)} \right|^2 \leq \sum_{d < D} \frac{L(d)}{d} \sum_{a=0}^{d-1} \left| q^{-M} S \left( q^M \mid \frac{a}{d} \right) \right|^2 + \frac{c_1}{D} + o_x(1),$$

where  $M = \left\lceil \frac{1 \log x}{4 \log q} \right\rceil$ ,  $c_1$  is a positive constant which depends only on  $q$ ,  $o_x(1)$  does depend on  $Y(x)$ , and  $D$  is an arbitrary real numbers.

#### §4. The distribution of $q$ -ary digits on some subsets of integers

**4.1.** Let  $\mathcal{B} (\subseteq \mathbb{N}_0)$  be infinite,  $B(x) = \#\{b \leq x, b \in \mathcal{B}\}$ . For  $0 \leq l_1 < l_2 < \dots < l_h$ ,  $b_1, \dots, b_h \in E$ , let  $A_{\mathcal{B}} \left( x \mid \begin{smallmatrix} l \\ b \end{smallmatrix} \right)$  be the size of those integers  $n \in \mathcal{B}$ ,  $n \leq x$ , for which  $\varepsilon_{l_j}(n) = b_j$  ( $j = 1, \dots, h$ ) simultaneously hold.

**Conjecture 9.** *For every  $h \left( \leq \frac{N}{3} \right)$ ,  $1 \leq l_1 < \dots < l_h (\leq N)$ , and  $b_1, \dots, b_h \in \mathcal{E}$  denote*

$$\left( \Delta_h \left( \begin{smallmatrix} l \\ b \end{smallmatrix} \right) = \right) \Delta_h \left( \begin{smallmatrix} l_1, \dots, l_h \\ b_1, \dots, b_h \end{smallmatrix} \right) := \frac{q^h A_{\mathcal{P}} \left( q^n \mid \begin{smallmatrix} l \\ b \end{smallmatrix} \right)}{\pi(q^N)} - 1.$$

Then

$$(4.1) \quad \sup_{1 \leq h \leq \frac{N}{3}} \sup_{\substack{l_1, \dots, l_h \\ b_1, \dots, b_h}} \left| \Delta_h \left( \begin{smallmatrix} l \\ b \end{smallmatrix} \right) \right| \rightarrow 0 \quad \text{as } N \rightarrow \infty.$$

Here  $\mathcal{P}$  is the set of primes.

**Remarks.** 1. Inequality, similar but much weaker than (4.1) was proved in [16].

2. These type of inequalities would be interesting for other sets  $\mathcal{B}$  instead of  $\mathcal{P}$ , like  $\mathcal{B} = \{\text{fixed polynomial } (n) \mid n \in \mathbb{N}\}$ , or  $= \{\text{fixed polynomial } (p) \mid p \in \mathcal{P}\}$ . We would be able to use them in proving

central limit theorems with remainder terms for  $f(P(n))$ , or  $f(P(p))$ , where  $f \in \mathcal{A}_q$ ,  $P = \text{polynomial}$ . (See [17], [18], [19], [20], [21]).

#### 4.2.

**Conjecture 10.** *If  $g \in \overline{\mathcal{M}}_q$ ,  $g(p) = 1$  for every  $p \in \mathcal{P}$ , then  $g(nq) = 1$  ( $n \in \mathbb{N}$ ).*

See [22], where it is proved that there exists an absolute constant  $c (> 0)$  such that  $g \in \overline{\mathcal{M}}_q$ ,  $g(p) = 1$  implies that there exists an integer  $k$ ,  $1 \leq k \leq c$  for which  $g^k(nq) = 1$  ( $n \in \mathbb{N}$ ).

### §5. On a theorem of H. Daboussi

H. Daboussi proved several years ago that for  $f \in \mathcal{A}$ ,  $|f(n)| \leq 1$ , and for every irrational  $\alpha$ , in the notation

$$m(f, \alpha, x) := \frac{1}{x} \left| \sum_{n \leq x} f(n) e(n\alpha) \right|,$$

we have

$$\sup_{f \in \mathcal{A}, |f| \leq 1} m(f, \alpha, x) \rightarrow 0 \quad (x \rightarrow \infty).$$

This theorem has been generalized in different directions.

Let  $\mathcal{P}_k$  be the set of square-free numbers  $n$  with exactly  $k$  prime-factors:  $n = p_1 p_2 \dots p_k$ . Let  $\alpha$  be an irrational number. Let  $q_1 < q_2 < \dots < q_r$  be the whole set of primes less than  $x$ . Let  $X_{q_j}$  ( $j = 1, \dots, r$ ) be complex numbers,

$$Q_k(X_{q_1}, \dots, X_{q_r}) := \left| \sum_{\substack{n=p_1 \dots p_k < x \\ n \in \mathcal{P}_k}} X_{p_1} \dots X_{p_k} e(n\alpha) \right|.$$

Let

$$\delta_k(x) := \max_{|X_{q_1}| \leq 1, \dots, |X_{q_r}| \leq 1} \frac{Q_k(X_{q_1}, \dots, X_{q_r})}{\tilde{\pi}_k(x)},$$

$$\delta_k := \limsup_{x \rightarrow \infty} \delta_k(x),$$

where  $\tilde{\pi}_k(x)$  is the number of  $n \leq x$ ,  $n \in \mathcal{P}_k$ .

**Conjecture 11.** *We have  $\delta_k < 1$ , if  $k \geq 2$ . Furthermore  $\delta_k \rightarrow 0$  (if  $k \rightarrow \infty$ ).*

**Remark.** Recently I could prove that  $\delta_2 = 0$  for almost all  $\alpha$ .

### §6. Some problems originated from Rényi-Parry expansions

See our papers written jointly with Daróczy [23 - 26].

Let  $\mathbb{C}^\infty$  denote the space of sequences  $\underline{c} = (c_0, c_1, \dots)$  the coordinates  $c_\nu$  of which  $\in \mathbb{C}$ . This shift operator  $\sigma : \mathbb{C}^\infty \rightarrow \mathbb{C}^\infty$  is defined by  $\sigma((c_0, c_1, \dots)) = (c_1, c_2, \dots)$ . Let  $t_0 = 1$ ,  $t_\nu \in \mathbb{C}$ ,  $t_\nu$  be bounded,  $\underline{t} = (t_0, t_1, \dots)$ . Let

$$(6.1) \quad R(z) = t_0 + t_1 z + \dots$$

Let  $l_1$  be the linear space of those  $\underline{b} \in \mathbb{C}^\infty$ , for which  $\sum |b_\nu| < \infty$ .

The scalar product of an element  $\underline{b} \in l_1$  and a bounded sequence  $\underline{c}$  let:

$$\underline{c} \underline{b} = \underline{b} \underline{c} = \sum_{\nu=0}^{\infty} b_\nu c_\nu.$$

Let

$$(6.2) \quad \mathcal{H}_t := \{\underline{b} \in l_1 \mid \sigma^l(\underline{b})\underline{t} = 0, l = 0, 1, 2, \dots\}.$$

It is clear that  $\mathcal{H}_t$  is a closed linear subspace of  $l_1$ , furthermore  $\sigma(\mathcal{H}_t) \subseteq \mathcal{H}_t$ .

Let  $\mathcal{H}_t^{(0)} \subseteq \mathcal{H}_t$  be the set of those  $\underline{b} \in \mathcal{H}_t$  for which

$$(6.3) \quad |b_\nu| \leq C(\varepsilon, \underline{b})e^{-\varepsilon\nu} \quad (\nu \geq 0)$$

holds with some  $\varepsilon > 0$  and finite  $C(\varepsilon, \underline{b})$ .

If  $\rho$  is a root of  $R(z)$ ,  $|\rho| < 1$ , then  $b_\nu := \rho^\nu$  satisfies  $\sigma^l(\underline{b})\underline{t} = 0$  ( $l = 0, 1, \dots$ ), and  $|b_\nu| \leq C \cdot e^{-\varepsilon\nu}$  with  $C = 1$ , and with  $\varepsilon$  counted from  $e^{-\varepsilon} = |\rho|$ . If the order of the multiplicity of the root  $\rho$  is  $m$ , then  $\underline{b} \in \mathcal{H}_t$ , if  $b_\nu = \nu^j \rho^\nu$  ( $\nu = 0, 1, \dots$ ), for every  $j = 0, 1, \dots, m-1$ . The sequences  $b_\nu = \nu^j \rho^\nu$  ( $\nu = 0, 1, \dots$ ) are called elementary solutions. Let  $\mathcal{H}_t^{(e)}$  be the space of finite linear combinations of the elementary solutions, and let  $\overline{\mathcal{H}_t^{(e)}}$  be the closure of  $\mathcal{H}_t^{(e)}$ .

**Conjecture 12.** We have:  $\overline{\mathcal{H}_t^{(e)}} = \mathcal{H}_t$ .

**Conjecture 13.** Assume that  $R(z) \neq 0$  in  $|z| < 1$ . Then  $\mathcal{H}_t = \{0\}$ .

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