# Truth-table reductions and minimum sizes of forcing conditions (preliminary draft)

Masahiro Kumabe<sup>1)</sup>, Toshio Suzuki<sup>2)</sup>\*, Takeshi Yamazaki<sup>3)</sup>
1): University of the Air,

31-1, Ōoka 2, Minami-ku, Yokohama 232-0061, Japan kumabe@u-air.ac.jp

2): Department of Mathematics and Information Sciences Tokyo Metropolitan University,

Minami-Ohsawa, Hachioji, Tokyo 192-0397, Japan toshio-suzuki@center.tmu.ac.jp

3): Department of Mathematics, Tohoku University, Sendai 980-8578, Japan yamazaki@math.tohoku.ac.jp

October 29, 2006

# 放送大学 教養 陽部正博,首都大学東京 理工 鈴木 登志雄, 東北大学 理 山崎 武

#### Abstract

This note is a refinement of our former note [KSY05] "Logarithmic truth-table reductions and minimum sizes of forcing conditions (preliminary draft)"  $S\bar{u}rikaiseki-kenky\bar{u}sho$   $K\bar{o}ky\bar{u}roku$  1442 (2005), 42-47. The current note extends and corrects [KSY05]. In our former works, for a given concept of reduction, we study the following hypothesis: "For a random oracle A, with probability one, the degree of the one-query tautologies with respect to A is strictly higher than the degree of A." In our former works, the following three results

<sup>\*</sup>Corresponding author. He was partially supported by Grant-in-Aid for Scientific Research (No. 14740082 and No. 17540131), Japan Society for the Promotion of Science.

are shown: (1) the hypothesis for polynomial-time Turing reduction is equivalent to the assertion that the probabilistic complexity class R is not equal to NP, (2) the hypothesis for polynomial-time truth-table reduction implies that P is not NP, (3) [KSY05] the hypothesis holds for  $(\log n)^{O(1)}$ -question truth-table-reduction (without polynomial-time bound). In this note, we show that if  $\varepsilon$  is an enough small positive number, then we can substitute  $\varepsilon \ell$  for  $(\log n)^{O(1)}$  in the statement of (3), where  $\ell$  denotes the total number of occurrences of symbols in a relativized formula. We also show the hypothesis holds for monotone truth-table reduction.

## 1 Preface

In our former works [Su98, Su99, Su00, Su01, Su02, Su05, KSY05], by extending the work of Ambos-Spies [Am86] and related works, we consider the relationships with the canonical product measure of Cantor space and complexity of one-query tautologies. A formula F of the relativized propositional calculus is called a one-query forumla if F has exactly one occurrence of a query symbol. For example,

$$(q_0 \Leftrightarrow \xi^3(q_1, q_2, q_3)) \Rightarrow (q_1 \Rightarrow q_0)$$

is a one-query formula, where  $q_0, q_1, q_2, q_3$  are usual propositional variables. We assume that each propositional variable takes the value 0 or 1 (0 denotes false and 1 denotes true). And,  $\xi^3$  in the above formula is a query symbol. For a given oracle A, a function  $A^3$  is defined as follows, where  $\lambda$  is the empty string, and the query symbol  $\xi^3$  is interpreted as the function  $A^3$ .

$$A^{3}(000) = A(\lambda), \quad A^{3}(001) = A(0), \quad A^{3}(010) = A(1), \quad A^{3}(011) = A(00),$$
  
 $A^{3}(100) = A(01), \quad A^{3}(101) = A(10), \quad A^{3}(110) = A(11), \quad A^{3}(111) = A(000).$ 

Thus, more informally, the following holds for each  $j = 0, 1, \dots, 2^3 - 1$ , where the order of strings is defined as the canonical length-lexicographic order.

$$A^{3}$$
 (the  $(j+1)$ st 3-bit string) =  $A$  (the  $(j+1)$ st string).

For each n, an n-ary Boolean function  $A^n$  is defined in the same way, and an interpretation of the query symbol  $\xi^n$  is defined in the same way. For an oracle A, the concept of a tautology with respect to A is defined in a natural way. If a one-query formula F is a tautology with respect to A, then we say F is a one-query tautology with respect to A. The set of all one-query tautologies with respect to A is denoted by  $1\text{TAUT}^A$ .

In [Su02], for a given concept  $\leq_{\alpha}$  of reduction, we study the following hypothesis, where 1TAUT<sup>X</sup> denotes the set of all one-query tautologies with respect to an oracle X.

One-query-jump hypothesis for  $\leq_{\alpha}$ : The class  $\{X: 1\text{TAUT}^X \leq_{\alpha} X\}$  has measure zero.

For a given reduction  $\leq_{\alpha}$ , we denote the corresponding one-query-jump hypothesis by  $[\leq_{\alpha}]$ .

In [Su98], it is shown that the one query-jump hypothesis for p-T reduction is equivalent to " $R \neq NP$ ."

And, in [Su02], it is shown that the one query-jump hypothesis for p-tt reduction implies "P  $\neq$  NP."

In [Su05], we show that the one query-jump hypothesis for p-btt reduction holds, where p-btt denotes polynomial-time bounded-truth-table reduction. The anonymous referee of [Su05] noticed that the one query-jump hypothesis holds for bounded-truth-table reduction without polynomial-time bound, and Kumabe independently noticed the same result. The referee's proof, which may be found in [Su05], uses some concepts of resource-bounded generic oracles in [AM97]. Kumabe's proof is more simple.

In [KSY05] we show that the one query-jump hypothesis holds for  $(\log n)^{O(1)}$ -question tt-reduction (without polynomial-time bound).

A Boolean formula is called *monotone* if every propositonal connective in it is either disjunction or conjunction, and it does not have an occurrences of negation symbol. A tt-reduction is called a *monotone tt-reduction* if its truth table is monotone for every input. In §3, we show that the one query-jump hypothesis holds for monotone tt-reduction (without polynomial-time bound). In §4, we show the following. If  $\varepsilon$  is an enough small positive number then the one query-jump hypothesis holds for  $\varepsilon \ell$ -question tt-reduction (without polynomial-time bound), where  $\ell$  denotes the total number of occurrences of symbols in a relativized formula. In §5, we apply the result of §4 to minimum sizes of forcing conditions.

Corrigendum to our former note Theorem 4 in our former note [KSY05, p.45] has an error in its proof.

### 2 Notation

Most of our notation is the same as that of [Su02], [Su05] and [KSY05]. Almost all undefined notions may be found in these papers.

 $\omega$  stands for  $\{0, 1, 2, 3 \cdots\}$ , while N stands for  $\{1, 2, 3 \cdots\}$ . In some textbooks, the complexity class R is denoted by RP. For the detail of the class R, see for example [BDG88].

The definition of polynomial-time truth-table reduction and its variant may be found in [LLS75].

#### monotone tt-reduction

If A is tt-reducible to B via f and, if for any input x, propositional connectives used in the truth table (i.e., the  $\varphi_x$  of  $f(x) = (\varphi_x, s_{x,1}, \dots, s_{x,k})$ ) is conjunction and

disjunction only, and negation is not used, then we say "A is monotone tt-reducible to B via f". If A is monotone tt-reducible to B via some function, then we say "A is monotone tt-reducible to B".

#### $\ell(F)$ , length of a formula

In this note, a given relativised formula F, the symbol  $\ell(F)$  denotes the total number of occurrences of propositional variables  $(q_0, q_1, q_2, \cdots)$ , propositional connectives  $(\land, \lor, \neg, \Rightarrow, \Leftrightarrow)$ , query symbols  $(\xi^1, \xi^2, \xi^3, \cdots)$  and punctuation marks (commas, parentheses). In the case of a given string x is not (the binary code of) a relativized formula, the symbol  $\ell(x)$  denotes the binary length of x.

#### $\varepsilon\ell$ -question tt-reduction

Suppose that  $\varepsilon$  is a positive real number. If A is tt-reducible to B via f and, if for any input x it holds that

$$k \leq \varepsilon \ell(x)$$
,

where k is the norm of f at x, then we say "A is  $\varepsilon \ell$ -question tt-reducible to B via some function, then we say "A is  $\varepsilon \ell$ -question tt-reducible to B".

#### 3 Monotone truth table redcution

Theorem 1 The Lebesgue measure of the set

$$\{X: 1\text{TAUT}^X \text{ is monotone } tt\text{-reducible to } X\}$$

is zero. In other words, one-query jump hypothesis holds for monotone tt-reduction (without polynomial-time bound).

# 4 The case where norm is linear of length of a formula

**Theorem 2** (Main Theorem) Let  $\varepsilon$  be a positive real number and suppose that  $\varepsilon$  is enough small. Then the Lebesgue measure of the following class is zero.

$$\{X: 1\text{TAUT}^X \leq_{\varepsilon \ell - \mathrm{tt}} X\}$$

In other words, the one-query-jump hypothesis holds for  $\varepsilon \ell$ -question tt-reduction (without polynomial-time bound).

# 5 Lower bounds for forcing complexity

**Theorem 3** Let  $\varepsilon$  be a positive real number and suppose that  $\varepsilon$  is enough small. Let  $\mathcal{D}_{\varepsilon\ell}$  be the class of all oracles D such that there exists a positive integer c (c may

depend on D) of the following property. For any  $F \in 1\text{TAUT}^D$  such that  $\ell(F) \geq c$ , there exists a forcing condition S such that S is a subfunction of D, S forces F to be a tautology and such that  $|\operatorname{dom} S| \leq \varepsilon \ell(F)$ , where the left-hand side denotes the cardinality of  $\operatorname{dom} S$ . Then  $\mathcal{D}_{\varepsilon \ell}$  has measure zero.

### References

- [Am86] Ambos-Spies, K.: Randomness, relativizations, and polynomial reducibilities. In: Structure in Complexity Theory, Lect. Notes Comput. Sci. 223 (A. L. Selman, Eds.), pp.23-34, Springer, Berlin, 1986.
- [AM97] Ambos-Spies, K., Mayordomo, E.: Resource-bounded measure and randomness. In: Complexity, logic, and recursion theory, Lecture Notes in Pure and Applied Mathematics 187 (A. Sorbi, Eds.), pp.1-47, Marcel Dekker, New York, 1997.
- [BDG88] Balcázar, J. L., Díaz, J., Gabarró, J.: Structural complexity I. Springer, Berlin, 1988.
- [BG81] Bennett, C. H., Gill, J.: Relative to a random oracle A,  $P^A \neq NP^A \neq$  co-NP<sup>A</sup> with probability 1. SIAM J. Comput., 10 (1981), pp. 96-113.
- [Do92] Dowd, M.: Generic oracles, uniform machines, and codes. *Information and Computation*, **96** (1992), pp. 65-76.
- [KSY05] Kumabe, M., Suzuki, T. and Yamazaki, T.: Logarithmic truth-table reductions and minimum sizes of forcing conditions (preliminary draft). Sūrikaisekikenkyūsho Kōkyūroku, 1442 (2005), pp. 42-47.
- [LLS75] Ladner, R. E., Lynch, N. A., Selman, A. L.: A comparison of polynomial time reducibilities. *Theoret. Comput. Sci.*, 1 (1975), pp.103-123.
- [Su98] Suzuki, T.: Recognizing tautology by a deterministic algorithm whose while-loop's execution time is bounded by forcing. *Kobe Journal of Mathematics*, **15** (1998), pp. 91-102.
- [Su99] Suzuki, T.: Computational complexity of Boolean formulas with query symbols. Doctoral dissertation (1999), Institute of Mathematics, University of Tsukuba, Tsukuba-City, Japan.
- [Su00] Suzuki, T.: Complexity of the r-query tautologies in the presence of a generic oracle. Notre Dame J. Formal Logic, 41 (2000), pp. 142-151.
- [Su01] Suzuki, T.: Forcing complexity: minimum sizes of forcing conditions. Notre Dame J. Formal Logic, 42 (2001), pp. 117-120.

- [Su02] Suzuki, T.: Degrees of Dowd-type generic oracles. *Inform. and Comput.*, **176** (2002), pp. 66-87.
- [Su05] Suzuki, T.: Bounded truth table does not reduce the one-query tautologies to a random oracle. Archive for Mathematical Logic, 44 (2005), pp. 751-762.