

## EXTENSION OF OPERATORS WITH SEPARABLE RANGE

MANUEL GONZÁLEZ

**ABSTRACT.** A Banach space  $E$  is *injective* if it satisfies the following *extension property*: for every space  $X$  and every subspace  $Y$  of  $X$ , each operator  $T : Y \rightarrow E$  admits an extension  $\hat{T} : X \rightarrow E$ . Many people have investigated these spaces, but it remains unknown whether every injective Banach space is isomorphic to a space of continuous functions  $C(K)$  with  $K$  a Stonian compact.

We consider two weaker forms of injectivity:  $E$  is *separably injective* if it satisfies the extension property when  $X$  is separable; it is *universally separably injective* if it satisfies the extension property when  $Y$  is separable. Obviously, injective  $\Rightarrow$  universally separably injective  $\Rightarrow$  separably injective, but the converse implications fail. We show that the corresponding classes of Banach spaces are much richer in examples and structural properties than injectivity.

### 1. INTRODUCTION

A Banach space  $E$  is said to be  $\lambda$ -*injective* ( $\lambda \geq 1$ ) if it satisfies the following *extension property*:

for every Banach space  $X$  and every subspace  $Y$  of  $X$ , each operator  $T : Y \rightarrow E$  admits an extension  $\hat{T} : X \rightarrow E$  satisfying  $\|\hat{T}\| \leq \lambda\|T\|$ .

The space  $E$  is *injective* if it is  $\lambda$ -injective for some  $\lambda \geq 1$ .

Nachbin, Goodner, Kelley and Hasumi [21, 10, 15, 12] characterized the 1-injective spaces as those Banach spaces linearly isometrically isomorphic to a  $C(K)$  space, with  $K$  a Stonian compact. However, despite the deep investigations of Argyros [1, 2, 3, 4], Haydon [13], Rosenthal [23, 24] and other authors, finding a description of the class of injective Banach spaces seems to be an unmanageable problem. It is not even known if every injective space is isomorphic to a 1-injective space or to a  $C(K)$  space.

We deal with two weaker forms of injectivity which admit a similar definition. Namely, we say that  $E$  is  $\lambda$ -*separably injective* (and write  $E \in \Upsilon_\lambda$ ) if it satisfies the previously described extension property, but only for  $X$  separable. Also, we say that  $E$  is  $\lambda$ -*universally separably injective* (and write  $E \in \Upsilon_\lambda^{univ}$ ) if it satisfies the extension property when  $Y$  is separable. Obviously,  $\Upsilon_\lambda^{univ} \subset \Upsilon_\lambda$ .

The space  $E$  is *separably injective* if  $E \in \Upsilon := \bigcup_{\lambda \geq 1} \Upsilon_\lambda$ ; and it is *universally separably injective* if  $E \in \Upsilon^{univ} := \bigcup_{\lambda \geq 1} \Upsilon_\lambda^{univ}$ .

Our aim is to show that the classes  $\Upsilon$  and  $\Upsilon^{univ}$  are much richer in examples and structure than the class of injective spaces. Here we include only a few proofs. For a detailed account, we refer to [5].

Among other results, we give several characterizations of spaces in  $\Upsilon_\lambda$  and prove some basic properties of these spaces: they are  $\mathcal{L}_{\infty, \lambda+}$ -spaces and have Pełczyński's property (V). The space  $c_0$  is in  $\Upsilon_2$  and every (infinite dimensional) separable Banach space in  $\Upsilon$  is isomorphic to  $c_0$ . A  $C(K)$  space belongs to  $\Upsilon_1$  if and only if the compact

---

The author is supported in part by DGICYT (Spain), Grant MTM2007-67994.

$K$  is an  $F$ -space; we also give some other characterizations. We also show some stability properties of the classes  $\Upsilon$  and  $\Upsilon^{univ}$ : both have the three-space property; and if  $(E_n) \subset \Upsilon_\lambda$ , then  $c_0(E_n) \in \Upsilon$ . Moreover, if  $Y$  is a subspace of  $X$ , then  $Y, X \in \Upsilon$  implies  $X/Y \in \Upsilon$ , and  $X \in \Upsilon^{univ}$  and  $Y \in \Upsilon$  imply  $X/Y \in \Upsilon^{univ}$ ; thus  $\ell_\infty/c_0 \in \Upsilon^{univ}$ . The stability properties allow us to construct many new examples of spaces in  $\Upsilon$  or  $\Upsilon^{univ}$ . Among them, we show spaces in  $\Upsilon^{univ}$  which are not isomorphic to any complemented subspace of any  $C(K)$  space. We also show that an ultraproduct of Banach spaces (following a countably incomplete ultrafilter) is injective only in the trivial case in which it is finite dimensional. However, if an ultraproduct is a  $\mathcal{L}_\infty$  space, then it is universally separably injective.

**Notations and Conventions.** Throughout the paper the ground field is  $\mathbb{R}$ . Of course, most of our results can be adapted to the complex setting. The Banach-Mazur distance between the Banach spaces  $X$  and  $Y$  is

$$\text{dist}_{BM}(X, Y) = \inf\{\|T\| \cdot \|T^{-1}\| : T \text{ is an isomorphism between } X \text{ and } Y\}.$$

A Banach space  $X$  is a  $\mathcal{L}_{\infty, \lambda}$ -space (with  $1 \leq \lambda < \infty$ ) if every finite dimensional subspace  $F$  of  $X$  is contained in another finite dimensional subspace of  $X$  whose Banach-Mazur distance to the corresponding  $\ell_\infty^n$  is at most  $\lambda$ . A space  $X$  is a  $\mathcal{L}_\infty$ -space if it is a  $\mathcal{L}_{\infty, \lambda}$ -space for some  $\lambda \geq 1$ ; and it is a  $\mathcal{L}_{\infty, \lambda^+}$ -space when it is a  $\mathcal{L}_{\infty, \lambda'}$ -space for all  $\lambda' > \lambda$ .

We write  $C(K)$  for the Banach space of all continuous functions on the compact space  $K$ , with the sup norm. Topological spaces are assumed to be Hausdorff. We write  $|S|$  for the cardinality of a set  $S$ .

Let  $\Gamma$  be a set. We denote by  $\ell_\infty(\Gamma)$  the space of all bounded scalar functions on  $\Gamma$ , endowed with the sup norm. Moreover,  $c_0(\Gamma)$  is the closed subspace spanned by the characteristic functions of the singletons of  $\Gamma$ .

The *density character*  $\text{dens}(X)$  of a Banach space  $X$  is the least cardinal  $\mathfrak{m}$  for which  $X$  has a dense subset of cardinality  $\mathfrak{m}$ . Observe that  $\text{dens}(\ell_\infty(\Gamma)) = 2^{|\Gamma|}$ .

This paper describes joint work with Antonio Avilés, Félix Cabello, Jesús M.F. Castillo and Yolanda Moreno [5]. It was presented during the R.I.M.S. Conference *Prospects of non-commutative analysis in operator theory* at Kyoto University, October 28-30, 2009. The author thanks Professors Muneo Chō and Kotaro Tanahashi for their attentions during this Conference.

## 2. INJECTIVE SPACES

A Banach space  $E$  is *injective* if for every Banach space  $X$  and every subspace  $Y$  of  $X$ , each operator  $T: Y \rightarrow E$  admits an extension  $\widehat{T}: X \rightarrow E$ .

The space  $E$  is *1-injective* if we can always get  $T$  with  $\|\widehat{T}\| = \|T\|$ .

**Remark 1.** *It is not difficult to show that  $E$  is injective if and only if every subspace of a Banach space isomorphic to  $E$  is complemented.*

The first examples of injective Banach spaces are obtained as a direct consequence of the Hahn-Banach Theorem:

- (1)  $\mathbb{K}$  is 1-injective.
- (2)  $\ell_\infty(I)$  is 1-injective. Indeed, for each  $T: Y \rightarrow \ell_\infty(I)$  there exists a family  $(y_i^*)_{i \in I} \subset Y^*$  so that  $Ty = (y_i^*(y))$  and  $\|T\| = \sup_{i \in I} \|y_i^*\|$ .

**Remark 2.** Every Banach space  $X$  can be embedded as a subspace of a  $\ell_\infty(\Gamma)$  space with  $|\Gamma| = \text{dens}(X)$ . Indeed, let  $\{x_i : i \in \Gamma\}$  be a dense subset of  $X$  and choose, for each  $i \in \Gamma$ , a norm-one  $f_i \in X^*$  such that  $f_i(x_i) = \|x_i\|$ . Then the operator  $T : X \rightarrow \ell_\infty(\Gamma)$  defined by  $T(x) := (f_i(x))$  is an isometric embedding.

As a consequence, a Banach space  $E$  is injective if and only if it is isomorphic to a complemented subspace of  $\ell_\infty(\Gamma)$  for some set  $\Gamma$ .

In the period 1950–58, a characterization of the 1-injective Banach spaces was obtained in several steps by Nachbin, Goodner, Kelley and Hasumi. Recall that a topological space is said to be *Stonian* if the closure of each open subset is open.

**Theorem 3.** [21, 10, 15, 12]

Every 1-injective space is isometrically isomorphic to some  $C(K)$  space, where  $K$  is a Stonian compact.

However, the following problems have remained open:

- (1) Is every injective space isomorphic to a 1-injective space?
- (2) Is every injective space isomorphic to a  $C(K)$  space?
- (3) Which is the structure of an injective space?

#### Other examples of 1-injective spaces.

- (1) Let  $I$  be a non-empty set endowed with the discrete topology. Denoting by  $\beta I$  the Stone-Ćech compactification of  $I$ ,  $C(\beta I) \equiv \ell_\infty(I) \equiv c_0(I)^{**}$ , a second dual space.

It was proved by Haydon [13] that every injective space isomorphic to a second dual is isomorphic to  $\ell_\infty(I)$  for some set  $I$ .

- (2) Let  $\mu$  be a finite measure for which  $L_1(\mu)$  non-separable. Then  $L_\infty(\mu) \equiv L_1(\mu)^*$  is 1-injective, but it is not isomorphic to a second dual space.
- (3) Rosenthal [23] proved that there exists a Stonian compact  $K_G$  such that  $C(K_G)$  is not isomorphic to any dual space.

The following result of Rosenthal is helpful to show that some Banach spaces are not injective.

**Proposition 4.** [24]

(a) Every infinite dimensional injective Banach space contains a subspace isomorphic to  $\ell_\infty$ .

(b) If an injective space contains a subspace isomorphic to  $c_0(I)$ , then it also contains a subspace isomorphic to  $\ell_\infty(I)$ .

**Corollary 5.** The quotient space  $\ell_\infty/c_0$  is not injective.

*Proof.* Let  $\{A_i : i \in I\}$  be an uncountable family of infinite subsets of  $\mathbb{N}$  such that  $A_i \cap A_j$  is finite for  $i \neq j$ . The characteristic function of each  $A_i$  corresponds to an element  $x_i \in \ell_\infty$ . Let  $z_i$  denote the image of  $x_i$  in  $\ell_\infty/c_0$ .

The subspace generated by  $\{z_i : i \in I\}$  in  $\ell_\infty/c_0$  is isomorphic to  $c_0(I)$ . However,  $\ell_\infty/c_0$  does not contain subspaces isomorphic to  $\ell_\infty(I)$ .  $\square$

## 3. SEPARABLY INJECTIVE SPACES

Let  $X$  be a Banach space and let  $Y$  be a subspace of  $X$ . We say that a Banach space  $E$  satisfies the  $\lambda$ -extension property for  $(X, Y)$  if each operator  $T: Y \rightarrow E$  has an extension  $\widehat{T}: X \rightarrow E$  with  $\|\widehat{T}\| \leq \lambda\|T\|$ .

**Definition 6.** Let  $1 \leq \lambda < \infty$ .

$E$  is  $\lambda$ -separably injective ( $E \in \Upsilon_\lambda$ ) if it satisfies the  $\lambda$ -extension property for  $(X, Y)$  when  $X$  is separable.

$E$  is  $\lambda$ -universally separably injective ( $E \in \Upsilon_\lambda^{univ}$ ) if it satisfies the  $\lambda$ -extension property for  $(X, Y)$  when  $Y$  is separable.

**Notations:**  $\Upsilon := \bigcup_{\lambda \geq 1} \Upsilon_\lambda$ ,  $\Upsilon^{univ} := \bigcup_{\lambda \geq 1} \Upsilon_\lambda^{univ}$ .

**Proposition 7.** The following implications hold:

$E$  injective  $\Rightarrow E \in \Upsilon^{univ} \Rightarrow E \in \Upsilon \Rightarrow E \in \mathcal{L}_\infty$ .

All the converse implications fail, in general.

If  $E$  is isomorphic to a dual space and  $E \in \mathcal{L}_\infty$  then  $E$  is injective.

**3.1. Earlier results.** Several people have studied separably injective Banach spaces. Here we describe some of their results.

**Proposition 8.** The following assertions hold:

- (1) Let  $I$  be an infinite set. Then  $c_0(I) \in \Upsilon_2$  (Sobczyk, [25]). However,  $c_0(I)$  is not universally separably injective.
- (2) If  $E \in \Upsilon$  is infinite dimensional and separable then  $E$  is isomorphic to  $c_0$  (Zippin, [26]).
- (3) If  $E$  is infinite dimensional and  $E \in \Upsilon_\lambda$  with  $\lambda < 2$  then  $E$  is non-separable (Ostrovskii, [22]).

Next we give a good description of the  $C(K)$  spaces which are 1-separably injective due to several authors (see [5]). Recall that a compact space is a  $F$ -space if disjoint open  $F_\sigma$  subsets have disjoint closures.

**Theorem 9.** For a compact space  $K$ , the following assertions are equivalent:

- (a)  $C(K)$  is 1-separably injective;
- (b) given  $(f_i)$  and  $(g_j)$  in  $C(K)$  with  $f_i \leq g_j$  for each  $i, j$  there exists  $h \in C(K)$  such that  $f_i \leq h \leq g_j$  for each  $i, j$ ;
- (c) Every sequence of mutually intersecting balls in  $C(K)$  has nonempty intersection;
- (d)  $K$  is a  $F$ -space;
- (e) Given  $f \in C(K)$  there is  $u \in C(K)$  such that  $f = u|f|$ .

Observe that part (e) in the previous Theorem has several applications:

- (1) A closed subset of a compact  $F$ -space is a  $F$ -space; in particular,  $\ell_\infty/c_0 \equiv C(\beta\mathbb{N} \setminus \mathbb{N}) \in \Upsilon_1$ .
- (2) The space  $B[0, 1]$  of bounded Borel functions on  $[0, 1]$  belongs to  $\Upsilon_1$ .

**3.2. Characterizations and properties.** We present several characterizations of the separably injective Banach spaces and describe some stability properties of the class  $\Upsilon$  that allow us to obtain new examples from the previously known ones.

**Proposition 10.** *For a Banach space  $E$ , the following assertions are equivalent:*

- (1)  $E \in \Upsilon$ ;
- (2) if  $X/Y$  is separable, every  $T : Y \rightarrow E$  extends to  $X$ ;
- (3)  $X \supset M \simeq E$ ,  $X/M$  separable  $\Rightarrow M$  complemented in  $X$ ;
- (4) if  $Y \subset \ell_1$ , every  $T : Y \rightarrow E$  extends to  $\ell_1$ .

**Proposition 11.** *Let  $E \in \Upsilon_\lambda$  infinite dimensional. Then:*

- (i)  $E$  is a  $\mathcal{L}_{\infty, \lambda}$ -space;
- (ii)  $E$  contains a copy of  $c_0$ ;
- (iii)  $E$  has Pełczyński's property (V): every non-weakly compact  $T : E \rightarrow Y$  is an isomorphism on a subspace of  $E$  isomorphic to  $c_0$ .

We say that a class  $\mathcal{C}$  of Banach spaces has the *three-space property* if the following condition is satisfied:

$$Y \subset X; \quad Y, X/Y \in \mathcal{C} \Rightarrow X \in \mathcal{C}.$$

We refer to [7] for information on classes of Banach spaces with the three-space property. The following properties allow us to construct examples of separably injective Banach spaces.

**Proposition 12.**

- (i) The class  $\Upsilon$  has the three-space property;
- (ii)  $X \supset M$ ,  $X, M \in \Upsilon \Rightarrow X/M \in \Upsilon$ ;
- (iii)  $(E_n) \subset \Upsilon_\lambda \Rightarrow c_0(E_n) \in \Upsilon_{\lambda(1+\lambda)}$ .

**3.3. On universally separably injective spaces.** First we describe a natural example of universally separably injective Banach space.

Let  $\Gamma$  be an uncountable set. We denote

$$\ell_\infty^c(\Gamma) := \{(a_i) \in \ell_\infty(\Gamma) : \text{supp } ((a_i)) \text{ countable}\}.$$

**Proposition 13.**  $\ell_\infty^c(\Gamma) \in \Upsilon_1^{\text{univ}}$ , but it is not injective.

*Proof.* Given an infinite countable subset  $J$  of  $\Gamma$ ,  $\{(a_i) \in \ell_\infty^c(\Gamma) : \text{supp } ((a_i)) \subset J\}$  is a subspace of  $\ell_\infty^c(\Gamma)$  isometric to  $\ell_\infty$ . Therefore, every separable subspace of  $\ell_\infty^c(\Gamma)$  is contained in a subspace isometric to  $\ell_\infty$ . From this fact, it follows that  $\ell_\infty^c(\Gamma) \in \Upsilon_1^{\text{univ}}$ .

The space  $\ell_\infty^c(\Gamma)$  is not injective because it contains a subspace isomorphic to  $c_0(\Gamma)$ , but it does not contain subspaces isomorphic to  $\ell_\infty(\Gamma)$ .  $\square$

Surprisingly, the property that allowed us to show that  $\ell_\infty^c(\Gamma) \in \Upsilon^{\text{univ}}$  characterizes the universally separably injective spaces.

**Theorem 14 (Structure).**  $E \in \Upsilon^{\text{univ}}$  if and only if each separable subspace of  $E$  is contained in another subspace isomorphic to  $\ell_\infty$ .

The following result shows that infinite dimensional spaces in  $\Upsilon^{\text{univ}}$  are big.

**Proposition 15.** If  $E \in \Upsilon^{\text{univ}}$ , every non-weakly compact operator  $T : E \rightarrow Y$  is an isomorphism on a subspace of  $E$  isomorphic to  $\ell_\infty$ .

Recall that two Banach spaces  $E$  and  $F$  are *essentially incomparable* if given operators  $T : E \rightarrow F$  and  $S : F \rightarrow E$ ,  $I_E - ST$  (equivalently,  $I_F - TS$ ) is a bijective isomorphism up to some finite dimensional subspaces [8].

**Corollary 16.** *Every infinite dimensional space in  $\Upsilon^{univ}$  contains a subspace isomorphic to  $\ell_\infty$ .*

*If  $E \in \Upsilon^{univ}$  and  $F$  contains no subspaces isomorphic to  $\ell_\infty$  then  $E$  and  $F$  are essentially incomparable.*

The following result describe some stability properties of the class  $\Upsilon^{univ}$

**Proposition 17** (Construction of examples).

(i) *The class  $\Upsilon^{univ}$  has the three-space property:*

$$Y \subset X; \quad Y, X/Y \in \Upsilon^{univ} \Rightarrow X \in \Upsilon^{univ};$$

(ii)  *$X \supset M$ ,  $X \in \Upsilon^{univ}$ ,  $M \in \Upsilon \Rightarrow X/M \in \Upsilon^{univ}$ .*

**3.4. Special properties of spaces in  $\Upsilon_1$ .** Here we present some properties of the 1-separably injective Banach spaces in which special axioms of set theory are involved.

We denote by C.H. the *continuum hypothesis*:  $\mathfrak{c} = \aleph_1$ , and Z.F.C. represents the *Zermelo-Fraenkel axioms*, including Choice.

**Proposition 18.** *Let  $E$  be a 1-separably injective space. Then*

- (1)  *$E$  is Grothendieck; i.e., every operator from  $E$  into  $c_0$  is weakly compact;*
- (2)  *$E$  is a Lindenstrauss space; i.e.,  $E^*$  is linearly isometric to some  $L_1(\mu)$  space;*
- (3) *if  $E$  is infinite dimensional, then  $\text{dens}(E) \geq \mathfrak{c}$ .*

The following result is a direct application of an argument of Lindenstrauss [17].

**Proposition 19.** *Under C.H., the classes  $\Upsilon_1$  and  $\Upsilon_1^{univ}$  coincide.*

**Corollary 20.** *Under C.H., every  $E \in \Upsilon_1$  contains a subspace isomorphic to  $\ell_\infty$ .*

In the following result we show that C.H. is necessary for the coincidence of  $\Upsilon_1$  and  $\Upsilon_1^{univ}$ .

**Theorem 21.** *Under Z.F.C. +  $\mathfrak{c} = \aleph_2$ , there exists a compact space  $K_0$  such that  $C(K_0) \in \Upsilon_1$  but  $C(K_0) \notin \Upsilon_1^{univ}$ .*

We observe that we do not know if the space  $C(K_0)$  in the previous Theorem belongs to  $\Upsilon^{univ}$ .

Let  $\mathcal{K}u$  denote the Banach space of universal disposition for separable spaces constructed by Kubis [16]. Observe that  $\mathcal{K}u$  is not isomorphic to any  $C(K)$  space.

**Proposition 22.** *Under C.H.,  $\mathcal{K}u \in \Upsilon_1^{univ}$ .*

**3.5. Ideals and  $M$ -ideals.** Here we give some results for closed ideals of  $C(K)$  spaces and the corresponding quotients. We also give some related abstract results in terms of  $M$ -ideals in Banach spaces.

Let  $M$  be a closed subset of a compact  $K$ . Then  $L := K \setminus M$  is locally compact. Moreover,  $C_0(L)$  is a closed ideal in  $C(K)$  and the quotient space  $C(K)/C_0(L)$  is isometric to  $C(M)$ . Consequently, we have an exact sequence

$$0 \longrightarrow C_0(L) \longrightarrow C(K) \longrightarrow C(M) \longrightarrow 0.$$

**Theorem 23.** *Let  $M$  be a closed subset of a compact  $K$ .*

- (1)  $C(K) \in \Upsilon_\lambda^{univ} \Rightarrow C(M) \in \Upsilon_\lambda^{univ}$ ;
- (2)  $C(K) \in \Upsilon_\lambda \Rightarrow C_0(L) \in \Upsilon_{2\lambda}$ .

Recall that a closed subspace  $J$  of a Banach space  $E$  is a  $M$ -ideal if  $E^* = J^\perp \oplus_1 N$  for some closed subspace  $N$ .

**Theorem 24.** *Let  $J$  be a  $M$ -ideal in  $E$ .*

- (1)  $E \in \Upsilon_\lambda^{univ} \Rightarrow E/J \in \Upsilon_{\lambda^2}^{univ}$ ;
- (2)  $E \in \Upsilon_\lambda \Rightarrow J \in \Upsilon_{2\lambda^2}$ .

**3.6. Ultraproducts of Banach spaces.** Here we give some results involving ultraproducts of Banach spaces. First, we recall the concept of ultraproduct. For additional information, we refer to [14] or [9, A4].

Let  $I$  be an infinite set and let  $\mathcal{U}$  be a countably incomplete ultrafilter on  $I$ . Recall that  $\mathcal{U}$  is countably incomplete if and only if there exists a sequence  $(I_n)$  of subsets of  $I$  in  $\mathcal{U}$  such that  $\bigcap_{n=1}^\infty I_n = \emptyset$ .

Let  $(X_i)_{i \in I}$  be a family of Banach spaces. Then

$$\ell_\infty(X_i) := \{(x_i) : x_i \in X_i, \sup_i \|x_i\| < \infty\},$$

endowed with the supremum norm, is a Banach space, and

$$c_0^\mathcal{U}(X_i) := \{(x_i) \in \ell_\infty(X_i) : \lim_{i \rightarrow \mathcal{U}} \|x_i\| = 0\}$$

is a closed subspace of  $\ell_\infty(X_i)$ .

The *ultraproduct of  $(X_i)_{i \in I}$  following  $\mathcal{U}$*  is defined as the quotient

$$(X_i)_\mathcal{U} := \frac{\ell_\infty(X_i)}{c_0^\mathcal{U}(X_i)}.$$

If  $[x_i]$  is the element of  $(X_i)_\mathcal{U}$  which has  $(x_i)$  as a representative then

$$\|[x_i]\| = \lim_{i \rightarrow \mathcal{U}} \|x_i\|.$$

In the case  $X_i = X$  for all  $i$ , we denote the ultraproduct by  $X_\mathcal{U}$ , and call it the *ultrapower of  $X$  following  $\mathcal{U}$* .

**3.7. Ultraproducts which are  $\mathcal{L}_\infty$ -spaces.** Recall that  $X$  is a  $\mathcal{L}_\infty$  space if there exists  $\lambda$  ( $1 \leq \lambda < \infty$ ) such that every finite dimensional subspace of  $X$  is contained in another finite dimensional subspace  $F$  so that  $\text{dist}_{BM}(F, \ell_\infty^{\dim F}) \leq \lambda$ . We refer to [6, 19] for information on  $\mathcal{L}_\infty$ -spaces.

Our first result says that non-trivial ultraproducts are never injective.

**Theorem 25.**  *$(X_i)_\mathcal{U}$  is injective if and only if it is finite dimensional.*

Our second results says that ultraproducts which are  $\mathcal{L}_\infty$ -spaces belong to  $\Upsilon^{univ}$ .

**Theorem 26.**  *$(X_i)_\mathcal{U}$   $\mathcal{L}_\infty$ -space  $\Rightarrow (X_i)_\mathcal{U} \in \Upsilon^{univ}$ .*

Let us state some consequences:

- (1)  $(X_i) \subset \mathcal{L}_{\infty, \lambda^+} \Rightarrow (X_i)_\mathcal{U} \in \Upsilon_\lambda^{univ}$ .
- (2)  $(X_i)$  Lindenstrauss spaces (e.g.,  $C(K)$  spaces)  $\Rightarrow (X_i)_\mathcal{U} \in \Upsilon_1^{univ}$ .

Let  $\mathcal{G}u$  denote the Banach space of universal disposition for finite dimensional spaces constructed by Gurarii [11].

**Theorem 27.** *The ultrapower  $(\mathcal{G}u)_{\mathcal{U}}$  belongs to  $\Upsilon_1^{univ}$ , but it is not isomorphic to a complemented subspace of any  $C(K)$  space.*

It was proved by Kubis [16] that, under C.H., there is only one Banach space of universal disposition for separable spaces with density character  $\aleph_1$ . As a consequence, we derive the following result.

**Proposition 28.** *Under C.H., for each non-trivial ultrafilter  $\mathcal{U}$  on  $\mathbb{N}$ ,  $\mathcal{K}u = (\mathcal{G}u)_{\mathcal{U}}$ .*

**3.8. Automorphic character.** Let  $\mathcal{C}$  be a class of Banach spaces.

We say that a Banach space  $E$  is *automorphic for  $\mathcal{C}$*  if, given subspaces  $M_1$  and  $M_2$  of  $E$  with  $M_1 \simeq M_2 \in \mathcal{C}$  and  $\text{dens}(E/M_1) = \text{dens}(E/M_2) \geq \aleph_0$ , each bijective isomorphism  $j : M_1 \rightarrow M_2$  extends to an automorphism of  $E$ .

The following list contains all known examples of Banach spaces which are automorphic for all their subspaces:

- (1)  $\ell_2(I)$  (trivial);
- (2)  $c_0$  (Lindenstrauss-Rosenthal [18]);
- (3)  $c_0(I)$  (Moreno-Plichko [20]).

**Remark 29.** *It would be interesting to know if  $c_0$  and  $\ell_2$  are the only infinite dimensional separable spaces which are automorphic for all their subspaces.*

Let us see the relations between the automorphic character of a space and its extension properties.

**Proposition 30.** *Let  $E$  be a Banach space automorphic for separable spaces.*

- (1) *If  $E$  contains a subspace isomorphic to  $\ell_1$  then  $E \in \Upsilon$ .*
- (2) *If  $E$  contains a subspace isomorphic to  $\ell_\infty$  then  $E \in \Upsilon^{univ}$ .*

**3.9. Automorphic character of Banach spaces in  $\Upsilon^{univ}$ .** Recall that an operator  $U : X \rightarrow Y$  is *Fredholm* if the kernel  $\ker(U)$  and the cokernel  $Y/U(X)$  are finite dimensional (hence  $U(X)$  is closed). In this case, we define the *index* of  $U$  by

$$\text{ind}(U) := \dim \ker(U) - \dim Y/U(X).$$

The following two Propositions were proved by Lindenstrauss and Rosenthal [18] for  $E = \ell_\infty$ .

**Proposition 31.** *Let  $M$  be a subspace of  $E \in \Upsilon^{univ}$ .*

*If  $j : M \rightarrow E$  is an isomorphism and  $E/M$  and  $E/j(M)$  are reflexive, then there are extensions  $U : E \rightarrow E$  of  $j$ .*

*All the extensions are Fredholm operators with the same index.*

**Proposition 32.** *Each  $E \in \Upsilon^{univ}$  is automorphic for separable spaces.*

We say that a subspace  $M$  of a Banach space  $E$  is  $c_0(I)$ -*supplemented* if there exists another subspace  $N$  of  $E$  isomorphic to  $c_0(I)$  such that  $M \cap N = \{0\}$  and  $M + N$  closed.

**Proposition 33.** *Every  $E \in \Upsilon^{univ}$  is automorphic for subspaces of  $\ell_\infty(I)$  which are  $c_0(I)$ -supplemented; i.e., if  $M_1$  and  $M_2$  are  $c_0(I)$ -supplemented subspaces of  $E$  and  $M_1 \simeq M_2 \simeq N \subset \ell_\infty(I)$ , then each isomorphism from  $M_1$  onto  $M_2$  extends to an automorphism of  $E$ .*



## 4. OPEN PROBLEMS

Here we describe some questions which remain unsolved.

(1)  $X, Y \in \Upsilon \Rightarrow X \hat{\otimes}_\varepsilon Y \in \Upsilon$ ? ( $\hat{\otimes}_\varepsilon$ : injective tensor product)

We have a positive answer to (1) in a special case:  $Y \in \Upsilon \Rightarrow c_0(Y) \equiv c_0 \hat{\otimes}_\varepsilon Y \in \Upsilon$ .

The corresponding implication for  $\Upsilon^{univ}$  fails because  $X \supset c_0$  and  $\dim Y = \infty$  imply  $X \hat{\otimes}_\varepsilon Y \supset c_0$  complemented.

We do not know the answer in the case  $X = Y = \ell_\infty$ .

(2) Characterize the compact spaces  $K$  for which  $C(K) \in \Upsilon^{univ}$ .

We conjecture that  $K$   $\sigma$ -Stonian  $\Rightarrow C(K) \in \Upsilon^{univ}$ , where  $K$  is  $\sigma$ -Stonian if the closure of each open  $F_\sigma$ -set is open.

(3) Is  $\ell_\infty/C[0, 1]$  separably injective?

Note that, since  $\ell_\infty$  is automorphic for separable spaces, the quotient  $\ell_\infty/C[0, 1]$  does not depend on the way we embed  $C[0, 1]$  into  $\ell_\infty$ .

## REFERENCES

- [1] S.A. Argyros. *Weak compactness in  $L^1(\lambda)$  and injective Banach spaces*. Israel J. Math. 37 (1980), 21–33.
- [2] S.A. Argyros. *On the dimension of injective Banach spaces*. Proc. Amer. Math. Soc. 78 (1980), 267–268.
- [3] S.A. Argyros. *On nonseparable Banach spaces*. Trans. Amer. Math. Soc. 270 (1982), 193–216.
- [4] S.A. Argyros. *On the space of bounded measurable functions*. Quart. J. Math. Oxford Ser. (2) 34 (1983), 129–132.
- [5] A. Avilés, F. Cabello, J.M.F. Castillo, M. González and Y. Moreno. *On separably injective Banach spaces*. Preprint 2009, 103 pages.
- [6] J. Bourgain. *New classes of  $L^p$ -spaces*. Lecture Notes in Math. 889. Springer-Verlag, 1981.
- [7] J.M.F. Castillo and M. González. *Three-space problems in Banach space theory*. Lecture Notes in Math. 1667. Springer-Verlag, 1997.
- [8] M. González. *On essentially incomparable Banach spaces*. Math. Zeits. 215 (1994), 621–629.
- [9] M. González and A. Martínez-Abejón. *Tauberian operators*. Operator Theory: Advances and applications, vol. 194. Birkhäuser Verlag, Basel, 2010.
- [10] D.B. Goodner. *Projections in normed linear spaces*. Trans. Amer. Math. Soc. 69 (1950), 89–108.
- [11] V.I. Gurariĭ. *Spaces of universal placement, isotropic spaces and a problem of Mazur on rotations of Banach spaces (Russian)*. Sibirsk. Mat. Ž. 7 (1966), 1002–1013.
- [12] M. Hasumi. *The extension property of complex Banach spaces*. Tôhoku Math. J. 10 (1958), 135–142.
- [13] R.G. Haydon. *On dual  $L_1$ -spaces and injective bidual Banach spaces*. Israel J. Math. 31 (1978), 142–152.
- [14] S. Heinrich. *Ultraproducts in Banach space theory*. J. Reine Angew. Math. 313 (1980), 72–104.
- [15] J.L. Kelley. *Banach spaces with the extension property*. Trans. Amer. Math. Soc. 72 (1952), 323–326.
- [16] W. Kubis, *Fraïssé sequences - a category-theoretic approach to universal homogeneous structures*, arXiv:0711.1683v1, Nov 2007.
- [17] J. Lindenstrauss. *On the extension of operators with range in a  $C(K)$  space*. Proc. Amer. Math. Soc. 15 (1964), 218–225.

## MANUEL GONZÁLEZ

- [18] J. Lindenstrauss and H.P. Rosenthal. *Automorphisms in  $c_0$ ,  $\ell_1$  and  $m$* . Israel J. Math. 9 (1969), 227–239.
- [19] J. Lindenstrauss and L. Tzafriri, *Classical Banach spaces*. Lecture Notes in Math. 338. Springer-Verlag, 1973.
- [20] Y. Moreno and A. Plichko. *On automorphic Banach spaces*. Israel J. Math. 169 (2009) 29–45.
- [21] L. Nachbin. *A theorem of the Hahn-Banach type for linear transformations*. Trans. Amer. Math. Soc. 68 (1950), 28–46
- [22] M. I. Ostrovskii. *Separably injective Banach spaces*. Functional Anal. i. Prilozhen 20 (1986), 80–81. English transl.: Functional Anal. Appl. 20 (1986), 154–155.
- [23] H.P. Rosenthal. *On injective Banach spaces and the spaces  $L^\infty(\mu)$  for finite measures  $\mu$* . Acta Math. 124 (1970), 205–248.
- [24] H.P., Rosenthal. *On relatively disjoint families of measures, with some applications to Banach space theory*. Studia Math. 37 (1970), 13–36.
- [25] A. Sobczyk. *On the extension of linear transformations*. Trans. Amer. Math. Soc. 55 (1944), 153–169.
- [26] M. Zippin. *The separable extension problem*. Israel J. Math. 26 (1977), 372–387.

DEPARTAMENTO DE MATEMÁTICAS, FACULTAD DE CIENCIAS, UNIVERSIDAD DE CANTABRIA,  
E-39071 SANTANDER, ESPAÑA  
E-mail address: gonzalem@unican.es