

## EPIMORPHISMS BETWEEN 2-BRIDGE LINK GROUPS: ESSENTIAL SIMPLE LOOPS ON 2-BRIDGE SPHERES

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### 1. INTRODUCTION

The purpose of this note is to explain some of the ideas in [4] which gives an answer to a question on certain word problems on 2-bridge link groups raised in [10]. The key tool used in the proof is small cancellation theory, applied to two-generator and one-relator presentations of 2-bridge link groups. We note that it has been proved by Weinbaum [16] and Appel and Schupp [2] that the word and conjugacy problems for prime alternating link groups are solvable, by using small cancellation theory (see also [3] and references in it). Moreover, it was also shown by Sela [14] and Préaux [11] that the word and conjugacy problems for any link group are solvable. A characteristic feature of [4] is that we give a complete answer to a special (but also natural) word problem for the groups of 2-bridge links, which form a special (but also important) family of prime alternating links. In the sequels [5, 6, 7] of [4], we give a complete answer to certain natural conjugacy problems, and the solutions will be used in [8] to establish a variation of McShane's identity for 2-bridge link groups, which had been conjectured by [13].

### 2. MAIN RESULTS

Consider the discrete group,  $H$ , of isometries of the Euclidean plane  $\mathbb{R}^2$  generated by the  $\pi$ -rotations around the points in the lattice  $\mathbb{Z}^2$ . Set  $(\mathcal{S}^2, \mathcal{P}) := (\mathbb{R}^2, \mathbb{Z}^2)/H$  and call it the *Conway sphere*. Then  $\mathcal{S}^2$  is homeomorphic to the 2-sphere, and  $\mathcal{P}$  consists of four points in  $\mathcal{S}^2$ . We also call  $\mathcal{S}^2$  the Conway sphere. Let  $\mathcal{S} := \mathcal{S}^2 - \mathcal{P}$  be the complementary 4-times punctured sphere. For each  $r \in \hat{\mathbb{Q}} := \mathbb{Q} \cup \{\infty\}$ , let  $\alpha_r$  be the simple loop in  $\mathcal{S}$  obtained as the projection of a line in  $\mathbb{R}^2 - \mathbb{Z}^2$  of slope  $r$ . Then  $\alpha_r$  is *essential* in  $\mathcal{S}$ , i.e., it does not bound a disk in  $\mathcal{S}$  and is not homotopic to a loop around a puncture. Conversely, any essential simple loop in  $\mathcal{S}$  is isotopic to  $\alpha_r$  for a unique  $r \in \hat{\mathbb{Q}}$ . Then  $r$  is called the *slope* of the simple loop. Similarly, any simple arc  $\delta$  in  $\mathcal{S}^2$  joining two different points in  $\mathcal{P}$  such that  $\delta \cap \mathcal{P} = \partial\delta$  is isotopic to the image of a line in  $\mathbb{R}^2$  of some slope  $r \in \hat{\mathbb{Q}}$  which intersects  $\mathbb{Z}^2$ . We call  $r$  the *slope* of  $\delta$ .

A *trivial tangle* is a pair  $(B^3, t)$ , where  $B^3$  is a 3-ball and  $t$  is a union of two arcs properly embedded in  $B^3$  which is parallel to a union of two mutually disjoint arcs in  $\partial B^3$ . Let  $\tau$  be the simple unknotted arc in  $B^3$  joining the two components of  $t$  as illustrated in Figure 1. We call it the *core tunnel* of the trivial tangle. Pick a base point  $x_0$  in  $\text{int } \tau$ , and let  $(\mu_1, \mu_2)$  be the generating pair of the fundamental group  $\pi_1(B^3 - t, x_0)$  each of which is represented by a based loop consisting of a small peripheral simple loop around a component of  $t$  and a subarc of  $\tau$  joining the circle to  $x_0$ . For any base point  $x \in B^3 - t$ , the generating pair of  $\pi_1(B^3 - t, x)$  corresponding to the generating pair  $(\mu_1, \mu_2)$  of  $\pi_1(B^3 - t, x_0)$  via a

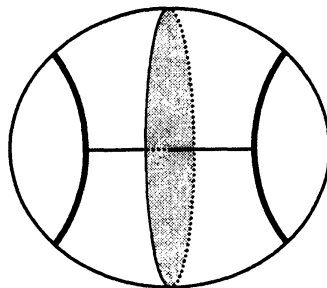


FIGURE 1. A trivial tangle

path joining  $x$  to  $x_0$  is denoted by the same symbol. The pair  $(\mu_1, \mu_2)$  is unique up to (i) reversal of the order, (ii) replacement of one of the members with its inverse, and (iii) simultaneous conjugation. We call the equivalence class of  $(\mu_1, \mu_2)$  the *meridian pair* of the fundamental group  $\pi_1(B^3 - t)$ .

By a *rational tangle*, we mean a trivial tangle  $(B^3, t)$  which is endowed with a homeomorphism from  $\partial(B^3, t)$  to  $(S^2, P)$ . Through the homeomorphism we identify the boundary of a rational tangle with the Conway sphere. Thus the slope of an essential simple loop in  $\partial B^3 - t$  is defined. We define the *slope* of a rational tangle to be the slope of an essential loop on  $\partial B^3 - t$  which bounds a disk in  $B^3$  separating the components of  $t$ . (Such a loop is unique up to isotopy on  $\partial B^3 - t$  and is called a *meridian* of the rational tangle.) We denote a rational tangle of slope  $r$  by  $(B^3, t(r))$ . By van Kampen's theorem, the fundamental group  $\pi_1(B^3 - t(r))$  is identified with the quotient  $\pi_1(\mathcal{S})/\langle\langle \alpha_r \rangle\rangle$ , where  $\langle\langle \alpha_r \rangle\rangle$  denotes the normal closure.

For each  $r \in \hat{\mathbb{Q}}$ , the *2-bridge link*  $K(r)$  of slope  $r$  is defined to be the sum of the rational tangles of slopes  $\infty$  and  $r$ , namely,  $(S^3, K(r))$  is obtained from  $(B^3, t(\infty))$  and  $(B^3, t(r))$  by identifying their boundaries through the identity map on the Conway sphere  $(S^2, P)$ . (Recall that the boundaries of rational tangles are identified with the Conway sphere.)  $K(r)$  has one or two components according as the denominator of  $r$  is odd or even. We call  $(B^3, t(\infty))$  and  $(B^3, t(r))$ , respectively, the *upper tangle* and *lower tangle* of the 2-bridge link.

Let  $\mathcal{D}$  be the *Farey tessellation*, whose ideal vertex set is identified with  $\hat{\mathbb{Q}}$ . For each  $r \in \hat{\mathbb{Q}}$ , let  $\Gamma_r$  be the group of automorphisms of  $\mathcal{D}$  generated by reflections in the edges of  $\mathcal{D}$  with an endpoint  $r$ , and let  $\hat{\Gamma}_r$  be the group generated by  $\Gamma_r$  and  $\Gamma_\infty$ . Then the region,  $R$ , bounded by a pair of Farey edges with an endpoint  $\infty$  and a pair of Farey edges with an endpoint  $r$  forms a fundamental domain of the action of  $\hat{\Gamma}_r$  on  $\mathbb{H}^2$  (see Figure 2). Let  $I_1$  and  $I_2$  be the closed intervals in  $\hat{\mathbb{R}}$  obtained as the intersection with  $\hat{\mathbb{R}}$  of the closure of  $R$ . Suppose that  $r$  is a rational number with  $0 < r < 1$ . (We may always assume this except when we treat the trivial knot and the trivial 2-component link.) Write

$$r = \frac{1}{m_1 + \frac{1}{m_2 + \cdots + \frac{1}{m_k}}} =: [m_1, m_2, \dots, m_k],$$

where  $k \geq 1$ ,  $(m_1, \dots, m_k) \in (\mathbb{Z}_+)^k$ , and  $m_k \geq 2$ . Then the above intervals are given by  $I_1 = [0, r_1]$  and  $I_2 = [r_2, 1]$ , where

$$r_1 = \begin{cases} [m_1, m_2, \dots, m_{k-1}] & \text{if } k \text{ is odd,} \\ [m_1, m_2, \dots, m_{k-1}, m_k - 1] & \text{if } k \text{ is even,} \end{cases}$$

$$r_2 = \begin{cases} [m_1, m_2, \dots, m_{k-1}, m_k - 1] & \text{if } k \text{ is odd,} \\ [m_1, m_2, \dots, m_{k-1}] & \text{if } k \text{ is even.} \end{cases}$$

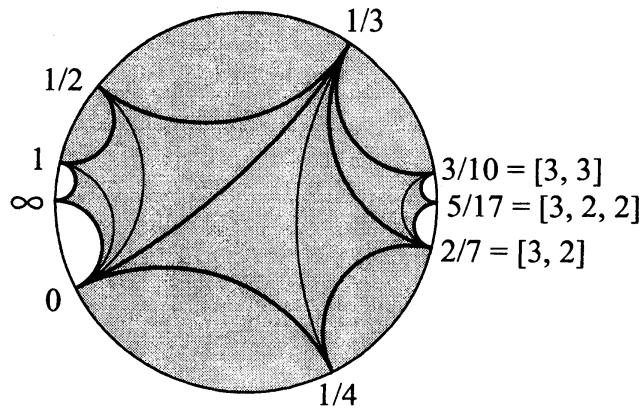


FIGURE 2. A fundamental domain of  $\hat{\Gamma}_r$  in the Farey tessellation (the shaded domain) for  $r = 5/17 = \frac{1}{3 + \frac{1}{2 + \frac{1}{2}}} =: [3, 2, 2]$ .

We recall the following fact ([10, Proposition 4.6 and Corollary 4.7] and [4, Lemma 7.1]) which describes the role of  $\hat{\Gamma}_r$  in the study of 2-bridge link groups.

**Proposition 2.1.** (1) *If two elements  $s$  and  $s'$  of  $\hat{\mathbb{Q}}$  belong to the same orbit  $\hat{\Gamma}_r$ -orbit, then the unoriented loops  $\alpha_s$  and  $\alpha_{s'}$  are homotopic in  $S^3 - K(r)$ .*

(2) *For any  $s \in \hat{\mathbb{Q}}$ , there is a unique rational number  $s_0 \in I_1 \cup I_2 \cup \{\infty, r\}$  such that  $s$  is contained in the  $\hat{\Gamma}_r$ -orbit of  $s_0$ . In particular,  $\alpha_s$  is homotopic to  $\alpha_{s_0}$  in  $S^3 - K(r)$ . Thus if  $s_0 \in \{\infty, r\}$  then  $\alpha_s$  is null-homotopic in  $S^3 - K(r)$ .*

Thus the following question naturally arises (see [10, Question 9.1(2)]).

**Question 2.2.** (1) Which essential simple loops on  $\mathcal{S}$  are null-homotopic in  $S^3 - K(r)$ ?

(2) For two distinct rational numbers  $s, s' \in I_1 \cup I_2$ , when are the unoriented loops  $\alpha_s$  and  $\alpha_{s'}$  homotopic in  $S^3 - K(r)$ ?

A complete answer to Question 2.2(1) is given by [4, Main Theorem 2.3] as follows.

**Theorem 2.3.** *The loop  $\alpha_s$  is null-homotopic in  $S^3 - K(r)$  if and only if  $s$  belongs to the  $\hat{\Gamma}_r$ -orbit of  $\infty$  or  $r$ . In other words, if  $s \in I_1 \cup I_2$  then  $\alpha_s$  is not null-homotopic in  $S^3 - K(r)$ .*

This theorem implies the following theorem [4, Main Theorem 2.4], which gives a partial answer to [10, Question 9.1(1)].

**Theorem 2.4.** *There is an upper-meridian-pair-preserving epimorphism from  $G(K(s))$  to  $G(K(r))$  if and only if  $s$  or  $s + 1$  belongs to the  $\hat{\Gamma}_r$ -orbit of  $r$  or  $\infty$ .*

The following theorem, established in the series of papers [5, 6, 7], gives a complete answer to Question 2.2(2).

**Theorem 2.5.** (1) *Suppose  $r = 1/p$ , where  $p \geq 2$  is an integer. Then, for any two distinct  $s, s' \in I_1 \cup I_2$ , the unoriented loops  $\alpha_s$  and  $\alpha_{s'}$  are homotopic in  $S^3 - K(r)$  if and only if  $s = q_1/p_1$  and  $s' = q_2/p_2$  satisfy  $q_1 = q_2$  and  $q_1/(p_1 + p_2) = 1/p$ , where  $(p_i, q_i)$  is a pair of relatively prime positive integers.*

(2) *Suppose  $r = 3/8$ , namely  $K(r)$  is the Whitehead link. Then, for any two distinct  $s, s' \in I_1 \cup I_2$ , the unoriented loops  $\alpha_s$  and  $\alpha_{s'}$  are homotopic in  $S^3 - K(r)$  if and only if the set  $\{s, s'\}$  equals either  $\{1/6, 3/10\}$  or  $\{3/4, 5/12\}$ .*

(3) *Suppose  $r \neq 1/p$  and  $r \neq 3/8$ . Then, for any two distinct  $s, s' \in I_1 \cup I_2$ , the unoriented loops  $\alpha_s$  and  $\alpha_{s'}$  are never homotopic in  $S^3 - K(r)$ .*

These results will be used in [8] to prove the following variation of McShane’s identity, which had been conjectured in [13].

**Theorem 2.6.** *Suppose  $r = q/p$  satisfies the condition  $q \not\equiv \pm 1 \pmod{p}$ , and let  $\rho$  be the holonomy representation of the complete hyperbolic structure of  $S^3 - K(r)$ . Then the following identity holds:*

$$2 \sum_{s \in \text{int}I_1} \frac{1}{1 + e^{l_\rho(\alpha_s)}} + 2 \sum_{s \in \text{int}I_2} \frac{1}{1 + e^{l_\rho(\alpha_s)}} + \sum_{s \in \partial I_1 \cup \partial I_2} \frac{1}{1 + e^{l_\rho(\alpha_s)}} = -1.$$

Further the modulus  $\lambda(L(r))$  of the cusp torus of the cusped hyperbolic manifold  $S^3 - K(r)$  with respect to a suitable choice of a longitude is given by the following formula:

$$\lambda(K(r)) = 2 \sum_{s \in \text{int}I_1} \frac{1}{1 + e^{l_\rho(\alpha_s)}} + \sum_{r \in \partial I_1} \frac{1}{1 + e^{l_\rho(\alpha_s)}}.$$

In the above theorem,  $l_\rho(\alpha_s)$  is an element of  $\mathbb{C}/2\pi\sqrt{-1}\mathbb{Z}$  defined as follows. The  $PSL(2, \mathbb{C})$ -representation of  $\pi_1(\mathcal{S})$  induced by  $\rho$  extends to a representation, denoted by the same symbol  $\rho$ , of the orbifold fundamental group of the  $(2, 2, 2, \infty)$ -orbifold,  $\mathcal{O}$ , obtained as the quotient of  $\mathcal{S}$  by the natural  $\mathbb{Z}/(2\mathbb{Z}) \oplus \mathbb{Z}/(2\mathbb{Z})$ -action (see e.g., [1, Proposition 2.2.2]). Each simple loop  $\alpha_s$  in  $\mathcal{S}$  doubly covers a simple loop in  $\mathcal{O}$ . Let  $\sqrt{u_s}$  be (a conjugacy class of) an element of  $\pi_1(\mathcal{O})$  represented by the simple loop. Then  $l_\rho(\alpha_s)$  denotes the complex translation length of the hyperbolic isometry  $\rho(\sqrt{u_s}) \in PSL(2, \mathbb{C}) \cong \text{Isom}(\mathbb{H}^3)$ .

We also obtain the following theorem concerning the set of end invariants  $\mathcal{E}(\rho)$ , defined by Tan, Wong and Zhang [15], of the  $PSL(2, \mathbb{C})$ -representation of  $\pi_1(T)$  induced by the representation  $\rho$  in Theorem 2.6, where  $T$  is the once-punctured torus obtained as the double covering of the orbifold  $\mathcal{O}$ .

**Theorem 2.7.** *Let  $r = q/p$  be a rational number. If  $q \not\equiv \pm 1 \pmod{p}$ , then let  $\rho$  be the holonomy representation of the complete hyperbolic structure of  $S^3 - K(r)$ . If  $q \equiv \pm 1 \pmod{p}$ , then let  $\rho$  be the faithful discrete  $PSL(2, \mathbb{R})$ -representation of the quotient of  $G(K(r))$  by the infinite cyclic center. In both cases, we continue to denote by the same symbol  $\rho$  the  $PSL(2, \mathbb{C})$ -representation of  $\pi_1(T)$  induced by  $\rho$ . Then the set of end invariants  $\mathcal{E}(\rho)$  of  $\rho$  is equal to the limit set  $\Lambda(\hat{\Gamma}_r)$  of  $\hat{\Gamma}_r$ .*

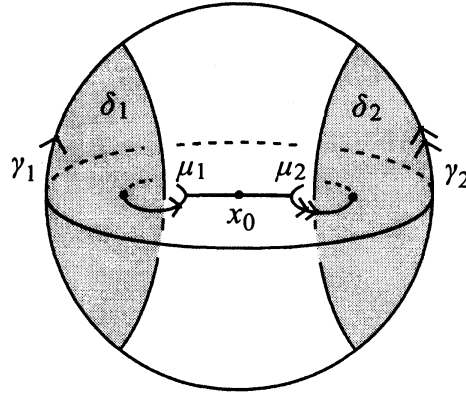


FIGURE 3.  $\pi_1(B^3 - t(\infty), x_0) = F(a, b)$ , where  $a$  and  $b$  are represented by  $\mu_1$  and  $\mu_2$ , respectively.

### 3. PRESENTATIONS OF 2-BRIDGE LINK GROUPS

In this section, we introduce the upper presentation of a 2-bridge link group which we shall use throughout this paper. By van Kampen's theorem, the link group  $G(K(r)) = \pi_1(S^3 - K(r))$  is identified with  $\pi_1(\mathbf{S})/\langle\langle\alpha_\infty, \alpha_r\rangle\rangle$ . We call the image in the link group of the meridian pair of the fundamental group  $\pi_1(B^3 - t(\infty))$  (resp.  $\pi_1(B^3 - t(r))$ ) the *upper meridian pair* (resp. *lower meridian pair*). The link group is regarded as the quotient of the rank 2 free group,  $\pi_1(B^3 - t(\infty)) \cong \pi_1(\mathbf{S})/\langle\langle\alpha_\infty\rangle\rangle$ , by the normal closure of  $\alpha_r$ . This gives a one-relator presentation of the link group.

To find the presentation of  $G(K(r))$  explicitly, let  $a$  and  $b$ , respectively, be the elements of  $\pi_1(B^3 - t(\infty), x_0)$  represented by the oriented loops  $\mu_1$  and  $\mu_2$  based on  $x_0$  as illustrated in Figure 3. Then  $\{a, b\}$  forms the meridian pair of  $\pi_1(B^3 - t(\infty))$ , which is identified with the free group  $F(a, b)$ . Note that  $\mu_i$  intersects the disk,  $\delta_i$ , in  $B^3$  bounded by a component of  $t(\infty)$  and the essential arc,  $\gamma_i$ , on  $\partial(B^3, t(\infty)) = (\mathbf{S}^2, \mathbf{P})$  of slope  $1/0$ , in Figure 3. Obtain a word  $u_r$  in  $\{a, b\}$  by reading the intersection of the (suitably oriented) loop  $\alpha_r$  with  $\gamma_1 \cup \gamma_2$ , where a positive intersection with  $\gamma_1$  (resp.  $\gamma_2$ ) corresponds to  $a$  (resp.  $b$ ). Then the word  $u_r$  represents the free homotopy class of  $\alpha_r$ . It then follows that

$$\begin{aligned} G(K(r)) &= \pi_1(S^3 - K(r)) \cong \pi_1(B^3 - t(\infty))/\langle\langle\alpha_r\rangle\rangle \\ &\cong F(a, b)/\langle\langle u_r\rangle\rangle \cong \langle a, b \mid u_r \rangle. \end{aligned}$$

If  $r \neq \infty$ , then  $\alpha_r$  intersects  $\gamma_1$  and  $\gamma_2$  alternately, and hence  $a$  and  $b$  appear in  $(u_r)$  alternately.

By using the universal abelian covering  $\mathbb{R}^2 - \mathbb{Z}^2 \rightarrow \mathbf{S}$ , we can write down the word  $u_r$  explicitly. Note that the inverse image of  $\gamma_1$  (resp.  $\gamma_2$ ) in  $\mathbb{R}^2 - \mathbb{Z}^2$  is the union of the single arrowed (resp. double arrowed) vertical edges in Figure 4. Let  $L(r)$  be the line in  $\mathbb{R}^2$  of slope  $r$  passing through the origin, and let  $L^+(r)$  be the line obtained by translating  $L(r)$  by the vector  $(0, \eta)$  for sufficiently small positive real number  $\eta$ . Then  $L^+(r)$  lies in  $\mathbb{R}^2 - \mathbb{Z}^2$  and projects to the simple loop  $\alpha_r$ . Pick a base point,  $z$ , from the intersection of  $L^+(r)$  with the second quadrant, and consider the sub-line-segment of  $L^+(r)$  bounded by  $z$  and  $z + (2p, 2q)$ . Then it forms a fundamental domain of the covering  $L^+(r) \rightarrow \alpha_r$ , and the word  $u_r$  is obtained by reading the intersection of the line-segment with the vertical lattice lines. To be precise, for each integer  $0 \leq i \leq 2p - 1$ , let  $P_i^+$  be the intersection of

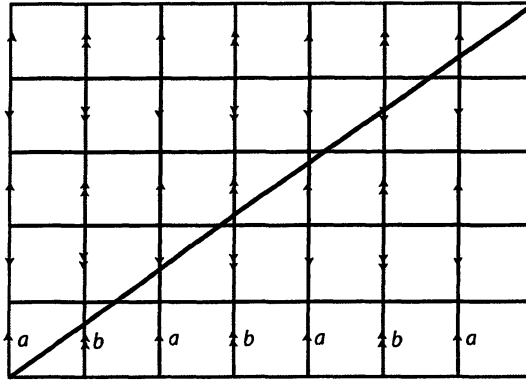


FIGURE 4. The line of slope  $5/7$  gives  $\hat{u}_{5/7} = ba^{-1}bab^{-1}a$ , so the free homotopy class of  $\alpha_{5/7}$  is represented by the cyclic word  $(u_{5/7}) = (a\hat{u}_{5/7}b^{-1}\hat{u}_{5/7}^{-1}) = (aba^{-1}bab^{-1}ab^{-1}a^{-1}ba^{-1}b^{-1}ab^{-1})$ . Since the inverse image of  $\gamma_1$  (resp.  $\gamma_2$ ) in  $\mathbb{R}^2$  is the union of the single arrowed (resp. double arrowed) vertical edges, a positive intersection with a single arrowed (resp. double arrowed) edge corresponds to  $a$  (resp.  $b$ ).

the line-segment with the vertical lattice line  $x = i$ . We define the *letter* at  $P_i^+$  to be  $a$  or  $b$  according as  $P_i^+$  lies on a vertical edge with a single arrow or double arrow in Figure 4, namely according as  $i$  is even or odd. We define the *sign* of  $P_i^+$  to be  $+1$  or  $-1$  according as the corresponding arrow is upward or downward. Then the letter and the sign of  $P_i^+$ , respectively, give the letter and the exponent of the  $(i + 1)$ -th term of the word  $u_r$  for each  $0 \leq i \leq 2p - 1$ . This gives the following formula for the word  $u_r$  (see Figure 4).

$$u_r = a^{\varepsilon_1} b^{\varepsilon_2} \dots a^{\varepsilon_{2p-1}} b^{\varepsilon_{2p}},$$

where  $\varepsilon_i = (-1)^{\lceil (i-1)q/p \rceil - 1}$ . Here  $\lceil t \rceil$  denotes the smallest integer greater than  $t$ .

In order to simplify this formula, let  $\hat{u}_r$  be the subword of  $u_r$  corresponding to the set  $\{P_i^+ \mid 1 \leq i \leq p - 1\}$ . Then  $\hat{u}_r$  is obtained from the open interval in  $L(r)$  bounded by  $(0, 0)$  and  $(p, q)$  by reading its intersection with the vertical lattice lines, and so we obtain the following formula.

$$\hat{u}_r = \begin{cases} b^{\varepsilon_1} a^{\varepsilon_2} \dots b^{\varepsilon_{p-2}} a^{\varepsilon_{p-1}} & \text{if } p \text{ is odd,} \\ b^{\varepsilon_1} a^{\varepsilon_2} \dots a^{\varepsilon_{p-2}} b^{\varepsilon_{p-1}} & \text{if } p \text{ is even,} \end{cases}$$

where  $\varepsilon_i = (-1)^{\lfloor iq/p \rfloor}$ . By using the symmetry around  $(p, q)$  of  $\mathbb{R}^2 - \mathbb{Z}^2$ , we can observe that the subword of  $u_r$  corresponding to the set  $\{P_i^+ \mid p + 1 \leq i \leq 2p - 1\}$  is equal to  $\hat{u}_r^{-1}$ . Hence we obtain the following formula (see [12, Proposition 1]).

$$u_r = \begin{cases} a\hat{u}_{q/p}b^{(-1)^q}\hat{u}_{q/p}^{-1} & \text{if } p \text{ is odd,} \\ a\hat{u}_{q/p}a^{-1}\hat{u}_{q/p}^{-1} & \text{if } p \text{ is even,} \end{cases}$$

We now describe a natural decomposition of the word  $u_r$ , which plays a key role in this paper. Let  $r_i = q_i/p_i$  ( $i = 1, 2$ ) be the rational number introduced in Section 2. Consider the infinite broken line,  $B$ , obtained by joining the lattice points

$$\dots, (0, 0), (p_2, q_2), (p, q), (p + p_2, q + q_2), (2p, 2q), \dots$$

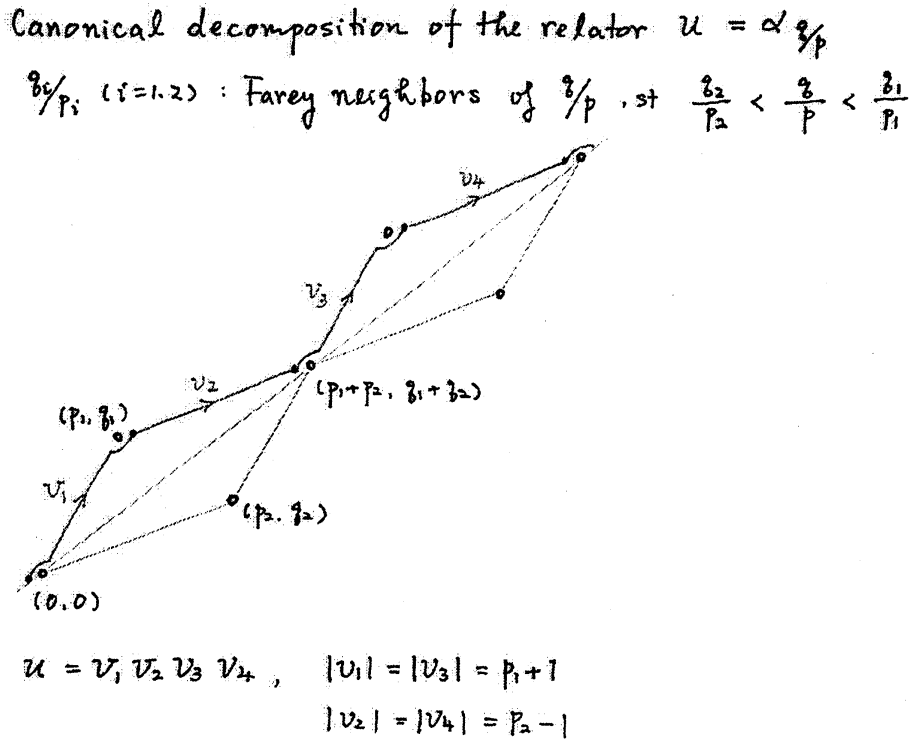


FIGURE 5. The decomposition of the relator  $u_r = v_1 v_2 v_3 v_4$

which is invariant by the translation  $(x, y) \mapsto (x + p, y + q)$ . By slightly modifying  $B$  near the lattice points, we obtain a (topological) line,  $B^+$ , in  $\mathbb{R}^2 - \mathbb{Z}^2$ , invariant by the translation, which is homotopic to the line  $L^+(r)$ . Pick a point,  $z_0 \in B^+$  in the second quadrant, and consider the sub-path of  $B^+$  bounded by  $z_0$  and  $z_4 := z_0 + (2p, 2q)$ . Then the word  $u_r$  is also obtained by reading the intersection of the sub-path with the vertical lattice lines. Pick a point  $z_1 \in B^+$  whose  $x$ -coordinate is  $p_2 +$  (small positive number), and set  $z_2 := z_0 + (p, q)$  and  $z_3 := z_1 + (p, q)$ . Let  $B_i^+$  be the sub-path of  $B^+$  joining  $z_{i-1}$  with  $z_i$  ( $i = 1, 2, 3, 4$ ). Let  $v_i$  be the subword of  $u_r$  corresponding to  $B_i^+$ . Then we have the decomposition

$$u_r = v_1 v_2 v_3 v_4.$$

The subword  $v_i$  is non-empty except when  $r = 1/p$  ( $p \in \mathbb{N}$ ) and  $i \in \{1, 3\}$ . The importance of this decomposition is described in the following section.

#### 4. SEQUENCES ASSOCIATED WITH THE SIMPLE LOOP $\alpha_r$

In this section, we define a sequence  $S(r)$  of slope  $r$  and a cyclic sequence  $CS(r)$  of slope  $r$  all of which arise from the single word  $u_r$  representing the simple loop  $\alpha_r$ , and observe several important properties of these sequences, so that we can adopt small cancellation theory in the succeeding sections.

We fix some definitions and notation. Let  $X$  be a set. By a *word* in  $X$ , we mean a finite sequence  $x_1^{\epsilon_1} x_2^{\epsilon_2} \cdots x_n^{\epsilon_n}$  where  $x_i \in X$  and  $\epsilon_i = \pm 1$ . Here we call  $x_i^{\epsilon_i}$  the  $i$ -th letter of the word. For two words  $u, v$  in  $X$ , by  $u \equiv v$  we denote the *visual equality* of  $u$  and  $v$ , meaning

that if  $u = x_1^{\epsilon_1} \cdots x_n^{\epsilon_n}$  and  $v = y_1^{\delta_1} \cdots y_m^{\delta_m}$  ( $x_i, y_j \in X$ ;  $\epsilon_i, \delta_j = \pm 1$ ), then  $n = m$  and  $x_i = y_i$  and  $\epsilon_i = \delta_i$  for each  $i = 1, \dots, n$ . The length of a word  $v$  is denoted by  $|v|$ . A word  $v$  in  $X$  is said to be *reduced* if  $v$  does not contain  $xx^{-1}$  or  $x^{-1}x$  for any  $x \in X$ . A word is said to be *cyclically reduced* if all its cyclic permutations are reduced. A *cyclic word* is defined to be the set of all cyclic permutations of a cyclically reduced word. By  $(v)$  we denote the cyclic word associated with a cyclically reduced word  $v$ . Also by  $(u) \equiv (v)$  we mean the *visual equality* of two cyclic words  $(u)$  and  $(v)$ . In fact,  $(u) \equiv (v)$  if and only if  $v$  is visually a cyclic shift of  $u$ .

**Definition 4.1.** (1) Let  $v$  be a nonempty reduced word in  $\{a, b\}$ . Decompose  $v$  into

$$v \equiv v_1 v_2 \cdots v_t,$$

where, for each  $i = 1, \dots, t-1$ , all letters in  $v_i$  have positive (resp. negative) exponents, and all letters in  $v_{i+1}$  have negative (resp. positive) exponents. Then the sequence of positive integers  $S(v) := (|v_1|, |v_2|, \dots, |v_t|)$  is called the *S-sequence of  $v$* .

(2) Let  $(v)$  be a nonempty reduced cyclic word in  $\{a, b\}$  represented by a word  $v$ . Decompose  $(v)$  into

$$(v) \equiv (v_1 v_2 \cdots v_t),$$

where all letters in  $v_i$  have positive (resp. negative) exponents, and all letters in  $v_{i+1}$  have negative (resp. positive) exponents (taking subindices modulo  $t$ ). Then the *cyclic S-sequence of  $(v)$*  is called the *cyclic S-sequence of  $(v)$* . Here the double parentheses denote that the sequence is considered modulo cyclic permutations.

**Definition 4.2.** For a rational number  $r$  with  $0 < r \leq 1$ , let  $u_r$  be the word in  $\{a, b\}$  defined in Section 3. Then the symbol  $S(r)$  (resp.  $CS(r)$ ) denotes the *S-sequence  $S(u_r)$*  of  $u_r$  (resp. cyclic *S-sequence  $CS(u_r)$*  of  $(u_r)$ ), which is called the *S-sequence of slope  $r$*  (resp. the *cyclic S-sequence of slope  $r$* ).

In the remainder of this paper unless specified otherwise, we suppose that  $r$  is a rational number with  $0 < r \leq 1$ , and write  $r$  as a continued fraction:

$$r = [m_1, m_2, \dots, m_k],$$

where  $k \geq 1$ ,  $(m_1, \dots, m_k) \in (\mathbb{Z}_+)^k$  and  $m_k \geq 2$  unless  $k = 1$ . For brevity, we write  $m$  for  $m_1$ .

The following proposition plays a key role in the proof of Lemma 5.4 and Theorem 5.2.

**Proposition 4.3** ([4, Proposition 4.10]). *The sequence  $S(r)$  has a decomposition  $(S_1, S_2, S_1, S_2)$  which satisfies the following.*

- (1) *Each  $S_i$  is symmetric, i.e., the sequence obtained from  $S_i$  by reversing the order is equal to  $S_i$ . (Here,  $S_1$  is empty if  $k = 1$ .)*
- (2) *Each  $S_i$  occurs only twice in the cyclic sequence  $CS(r)$ .*
- (3)  *$S_1$  begins and ends with  $m + 1$ .*
- (4)  *$S_2$  begins and ends with  $m$ .*

The above decomposition corresponds to the decomposition  $u_r = v_1 v_2 v_3 v_4$  introduced in Section 3. To be precise, we have  $S_1 = S(v_1) = S(v_3)$  and  $S_2 = S(v_2) = S(v_4)$ . The following proposition plays a key role in the proof of the main theorem.



**Proposition 4.4.** *Let  $S(r) = (S_1, S_2, S_1, S_2)$  be as in Proposition 4.3. For a rational number  $s$  with  $0 < s \leq 1$ , suppose that the cyclic  $S$ -sequence  $CS(s)$  contains both  $S_1$  and  $S_2$  as a subsequence. Then  $s \notin I_1 \cup I_2$ .*

## 5. SMALL CANCELLATION CONDITIONS FOR 2-BRIDGE LINK GROUPS

Let  $F(X)$  be the free group with basis  $X$ . A subset  $R$  of  $F(X)$  is called *symmetrized*, if all elements of  $R$  are cyclically reduced and, for each  $w \in R$ , all cyclic permutations of  $w$  and  $w^{-1}$  also belong to  $R$ .

**Definition 5.1.** Suppose that  $R$  is a symmetrized subset of  $F(X)$ . A nonempty word  $b$  is called a *piece* if there exist distinct  $w_1, w_2 \in R$  such that  $w_1 \equiv bc_1$  and  $w_2 \equiv bc_2$ . Small cancellation conditions  $C(p)$  and  $T(q)$ , where  $p$  and  $q$  are integers such that  $p \geq 2$  and  $q \geq 3$ , are defined as follows (see [9]).

- (1) Condition  $C(p)$ : If  $w \in R$  is a product of  $n$  pieces, then  $n \geq p$ .
- (2) Condition  $T(q)$ : For  $w_1, \dots, w_n \in R$  with no successive elements  $w_i, w_{i+1}$  an inverse pair ( $i \bmod n$ ), if  $n < q$ , then at least one of the products  $w_1w_2, \dots, w_{n-1}w_n, w_nw_1$  is freely reduced without cancellation.

The following key theorem enables us to apply small cancellation theory to the groups presentation  $\langle a, b \mid u_r \rangle$  of  $G(K(r))$ .

**Theorem 5.2.** *Let  $r$  be a rational number such that  $0 < r < 1$ . Recall the presentation  $\langle a, b \mid u_r \rangle$  of  $G(K(r))$  given in Section 3, and let  $R$  be the symmetrized subset of  $F(a, b)$  generated by the single relator  $u_r$ . Then  $R$  satisfies  $C(4)$  and  $T(4)$ .*

**Definition 5.3.** For a positive integer  $n$ , a non-empty subword  $w$  of the cyclic word  $(u_r)$  is called a *maximal  $n$ -piece* if  $w$  is a product of  $n$  pieces and if any subword  $w'$  of  $u_r$  which properly contains  $w$  as an *initial* subword is not a product of  $n$ -pieces.

Theorem 5.2 actually follows from the following complete characterizations of the maximal  $n$ -pieces for  $n = 1, 2, 3$ . (For simplicity, we describe the result only for generic case.)

**Lemma 5.4.** *Suppose that  $r$  is a rational number such that  $0 < r < 1$  and  $r \neq 1/p$  for any integer  $p \geq 2$ . Let  $v_{ib}^*$  be the maximal proper initial subword of  $v_i$ , i.e., the initial subword of  $v_i$  such that  $|v_{ib}^*| = |v_i| - 1$  ( $i = 1, 2, 3, 4$ ). Then the following hold, where  $v_{ib}$  and  $v_{ie}$  are nonempty initial and terminal subwords of  $v_i$  with  $|v_{ib}|, |v_{ie}| \leq |v_i| - 1$ , respectively.*

- (1) *The following is the list of all maximal 1-pieces of  $(u_r)$ , arranged in the order of the position of the initial letter:*

$$v_{1b}^*, v_{1e}v_2, v_2v_{3b}^*, v_{2e}v_{3b}^*, v_{3b}^*, v_{3e}v_4, v_4v_{1b}^*, v_{4e}v_{1b}^*.$$

- (2) *The following is the list of all maximal 2-pieces of  $(u_r)$ , arranged in the order of the position of the initial letter:*

$$v_1v_2, v_{1e}v_2v_{3b}^*, v_2v_3v_4, v_{2e}v_3v_4, v_3v_4, v_{3e}v_4v_{1b}^*, v_4v_1v_2, v_{4e}v_1v_2.$$

- (3) *The following is the list of all maximal 3-pieces of  $(u_r)$ , arranged in the order of the position of the initial letter:*

$$v_1v_2v_{3b}^*, v_{1e}v_2v_3v_4, v_2v_3v_4v_{1b}^*, v_{2e}v_3v_4v_{1b}^*, v_3v_4v_{1b}^*, v_{3e}v_4v_1v_2, v_4v_1v_2v_{3b}^*, v_{4e}v_1v_2v_{3b}^*.$$

**Corollary 5.5.** (1) A subword  $w$  of the cyclic word  $(u_r^{\pm 1})$  is a piece if and only if  $S(w)$  does not contain  $S_1$  as a subsequence and does not contain  $S_2$  in its interior, i.e.,  $S(w)$  does not contain a subsequence  $(\ell_1, S_2, \ell_2)$  for some  $\ell_1, \ell_2 \in \mathbb{Z}_+$ .

(2) For a subword  $w$  of the cyclic word  $(u_r^{\pm 1})$ ,  $w$  is not a product of two pieces if and only if  $S(w)$  either contains  $(S_1, S_2)$  as a proper initial subsequence or contains  $(S_2, S_1)$  as a proper terminal subsequence.

## 6. OUTLINE OF THE PROOF OF THEOREM 2.3

Let  $R$  be the symmetrized subset of  $F(a, b)$  generated by the single relator  $u_r$  of the group presentation  $G(K(r)) = \langle a, b \mid u_r \rangle$ . Suppose on the contrary that  $\alpha_s$  is null-homotopic in  $S^3 - K(r)$ , i.e.,  $u_s = 1$  in  $G(K(r))$ , for some  $s \in I_1 \cup I_2$ . Then there is a *van Kampen diagram*  $M$  over  $G(K(r)) = \langle a, b \mid R \rangle$  such that the boundary label is  $u_s$ . Here  $M$  is a simply connected 2-dimensional complex embedded in  $\mathbb{R}^2$ , together with a function  $\phi$  assigning to each oriented edge  $e$  of  $M$ , as a *label*, a reduced word  $\phi(e)$  in  $\{a, b\}$  such that the following hold.

- (1) If  $e$  is an oriented edge of  $M$  and  $e^{-1}$  is the oppositely oriented edge, then  $\phi(e^{-1}) = \phi(e)^{-1}$ .
- (2) For any boundary cycle  $\delta$  of any face of  $M$ ,  $\phi(\delta)$  is a cyclically reduced word representing an element of  $R$ . (If  $\alpha = e_1, \dots, e_n$  is a path in  $M$ , we define  $\phi(\alpha) \equiv \phi(e_1) \cdots \phi(e_n)$ .)

We may assume  $M$  is *reduced*, namely it satisfies the following condition: Let  $D_1$  and  $D_2$  be faces (not necessarily distinct) of  $M$  with an edge  $e \subseteq \partial D_1 \cap \partial D_2$ , and let  $e\delta_1$  and  $\delta_2 e^{-1}$  be boundary cycles of  $D_1$  and  $D_2$ , respectively. Set  $\phi(\delta_1) = f_1$  and  $\phi(\delta_2) = f_2$ . Then we have  $f_2 \neq f_1^{-1}$ .

Moreover, we may assume the following conditions:

- (1)  $d_M(v) \geq 3$  for every vertex  $v \in M - \partial M$ .
- (2) For every edge  $e$  of  $\partial M$ , the label  $\phi(e)$  is a piece.
- (3) For a path  $e_1, \dots, e_n$  in  $\partial M$  of length  $n \geq 2$  such that the vertex  $\bar{e}_i \cap \bar{e}_{i+1}$  has degree 2 for  $i = 1, 2, \dots, n-1$ ,  $\phi(e_1)\phi(e_2) \cdots \phi(e_n)$  cannot be expressed as a product of less than  $n$  pieces.

Since  $R$  satisfies the conditions  $C(4)$  and  $T(4)$  by Theorem 5.2,  $M$  is a  $[4, 4]$ -map, i.e.,

- (1)  $d_M(v) \geq 4$  for every vertex  $v \in M - \partial M$ .
- (2)  $d_M(D) \geq 4$  for every face  $D \in M$ .

Here,  $d_M(v)$ , the *degree of*  $v$ , denotes the number of oriented edges in  $M$  having  $v$  as initial vertex, and  $d_M(D)$ , the *degree of*  $D$ , denotes the number of oriented edges in a boundary cycle of  $D$ .

Now, for simplicity, assume that  $M$  is homeomorphic to a disk. (In general, we need to consider an extremal disk of  $M$ .) Then by the Curvature Formula of Lyndon and Schupp (see [9, Corollary V.3.4]), we have

$$\sum_{v \in \partial M} (3 - d_M v) \geq 4.$$

By using this formula, we see that there are three edges  $e_1, e_2$  and  $e_3$  in  $\partial M$  such that  $e_1 \cap e_2 = \{v_1\}$  and  $e_2 \cap e_3 = \{v_2\}$ , where  $d_M(v_i) = 2$  for each  $i = 1, 2$ . Since  $\phi(e_1)\phi(e_2)\phi(e_3)$  is not expressed as a product of two pieces, we see by Corollary 5.5 that the boundary label

of  $M$  contains a subword,  $w$ , with  $S(w) = (S_1, S_2, \ell)$  or  $(\ell, S_2, S_1)$ . This in turn implies that the  $S$ -sequence of the boundary label contains both  $S_1$  and  $S_2$  as subsequences. Hence, by Proposition 4.4, we have  $s \notin I_1 \cup I_2$ , a contradiction.

## 7. OUTLINE OF THE PROOF OF THEOREM 2.5

Suppose, for two distinct  $s, s' \in I_1 \cup I_2$ , the unoriented loops  $\alpha_s$  and  $\alpha_{s'}$  are homotopic in  $S^3 - K(r)$ . Then there is a reduced annular  $R$ -diagram, such that  $u_s$  is an outer boundary label and  $u_{s'}^{\pm 1}$  is an inner boundary label of  $M$ . Again we can see that  $M$  is a  $[4, 4]$ -map and hence we have the following curvature formula.

$$0 \leq \sum_{v \in \partial M} (3 - d_M(v)).$$

By using this formula, we obtain the following very strong structure theorem for  $M$ , which plays key roles throughout the series of papers [5, 6, 7].

**Theorem 7.1.** *Figure 6(a) illustrates the only possible type of the outer boundary layer of  $M$ , while Figure 6(b) illustrates the only possible type of whole  $M$ . (The number of faces per layer and the number of layers are variable.)*

In the above theorem, the *outer boundary layer* of the annular map  $M$  is a submap of  $M$  consisting of all faces  $D$  such that the intersection of  $\partial D$  with the outer boundary of  $M$  contains an edge, together with the edges and vertices contained in  $\partial D$ .

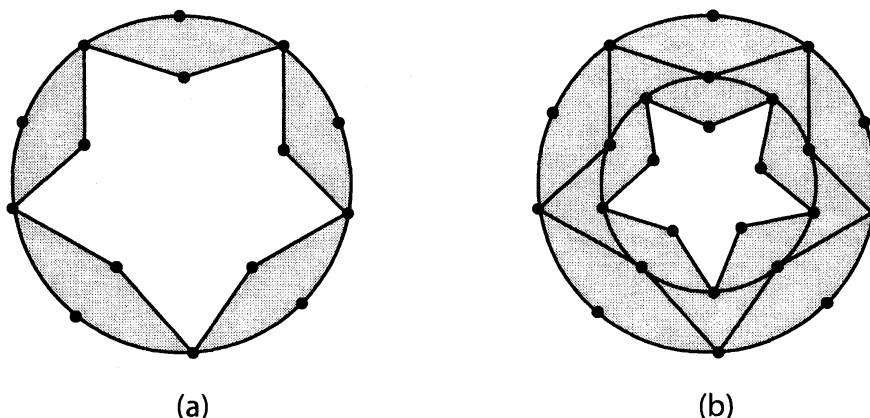


FIGURE 6.

The first paper [5] of the series treats the case when the 2-bridge link is a  $(2, p)$ -torus link, the second paper [6], treats the case of 2-bridge links of slope  $n/(2n + 1)$  and  $(n + 1)/(3n + 2)$ , where  $n \geq 2$  is an arbitrary integer, and the third paper [7] treats the general case. The two families treated in the second paper play special roles in the project in the sense that the treatment of these links form a base step of an inductive proof of the theorem for general 2-bridge links. We note that both a 2-bridge link of slope  $n/(2n + 1)$  with  $n = 2$  and a 2-bridge link of slope  $(n + 1)/(3n + 2)$  with  $n = 1$  are the figure-eight knot. It is a bit surprising that the treatment of the figure-eight knot is the most complicated. This reminds us of the phenomenon in the theory of exceptional Dehn filling that the figure-eight knot attains the maximal number of exceptional Dehn fillings.

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