

## O-MINIMAL TOPOLOGY

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Let  $R$  be a real closed field and assume an o-minimal structure over  $R$ . Between the last century, topology of real manifolds ( $\mathbf{R}$ -manifolds) was vastly and profoundly investigated. We consider manifolds over  $R$  with the o-minimal structure (called definable manifolds). I will explain whether known important results on  $\mathbf{R}$ -manifolds hold and what properties definable manifolds have but  $\mathbf{R}$ -manifolds do not necessarily have.

There are three kinds of  $\mathbf{R}$ -manifolds:  $C^0$   $\mathbf{R}$ -manifolds, PL  $\mathbf{R}$ -manifolds and  $C^r$   $\mathbf{R}$ -manifolds ( $r = 1, \dots, \omega$ ). A  $*$   $\mathbf{R}$ -manifold ( $*$  =  $C^0$ , PL or  $C^r$ ) is defined by a local  $*$  coordinate system, and a PL homeomorphism between polyhedra means a homeomorphism which is linear on each simplex of some simplicial decomposition of the domain of definition. It is easy to imbed a PL  $\mathbf{R}$ -manifold into a Euclidean space by a PL imbedding so that the image is a polyhedron. Hence we regard a PL  $\mathbf{R}$ -manifold as a polyhedron in some Euclidean space. Since there is not a large difference between topology of  $C^1$   $\mathbf{R}$ -manifolds and topology of  $C^r$   $\mathbf{R}$ -manifolds ( $r = 2, \dots$ ), we consider  $C^1$   $\mathbf{R}$ -manifolds only.

A non-compact  $C^0$  PL or  $C^1$   $\mathbf{R}$ -manifold is called *compactifiable* if it is homeomorphic, PL homeomorphic or  $C^1$  diffeomorphic, respectively, to the interior of a compact  $C^0$ , PL or  $C^1$ , respectively,  $\mathbf{R}$ -manifold with boundary. The simplest example of a non-compactifiable connected  $\mathbf{R}$ -manifold is  $\mathbf{R}^2 - \mathbf{Z} \times \{0\}$ . Note only that there are non-compactifiable contractible  $C^0$ , PL or  $C^1$   $\mathbf{R}$ -manifolds and, even if compactifiable, compactifications are not necessarily unique up to homeomorphisms, PL homeomorphisms or  $C^1$  diffeomorphisms, respectively.

### 1. $C^1$ $\mathbf{R}$ -MANIFOLDS AND PL $\mathbf{R}$ -MANIFOLDS

In the following sense we can regard a  $C^1$   $\mathbf{R}$ -manifold as PL  $\mathbf{R}$ -manifold.

**Cairns-Whitehead Theorem** (see [5]). *Given a  $C^1$   $\mathbf{R}$ -manifold  $M$ , there exist a PL  $\mathbf{R}$ -manifold  $M^{PL}$ , a simplicial decomposition  $K$  of  $M^{PL}$  and a homeomorphism  $\pi : M^{PL} \rightarrow M$  such that  $\pi|_{\sigma} : \sigma \rightarrow \pi(\sigma)$  is a  $C^1$  diffeomorphism for each  $\sigma \in K$ . Such  $M^{PL}$  is unique, i.e. if there is another  $M_1^{PL}$  of the same properties then  $M^{PL}$  and  $M_1^{PL}$  are PL homeomorphic.*

Hence the correspondence  $M \rightarrow M^{PL}$  induces a well-defined map  $\Pi$  from the  $C^1$  diffeomorphism classes of  $C^1$   $\mathbf{R}$ -manifolds to the PL homeomorphism classes of PL  $\mathbf{R}$ -manifolds. We call  $M^{PL}$  a  $C^1$  triangulation of  $M$ . Then a natural question is whether  $\Pi$  is bijective, which was posed by Thom. Namely, is any PL  $\mathbf{R}$ -manifold a  $C^1$  triangulation of some  $C^1$   $\mathbf{R}$ -manifold? If  $C^1$  triangulations of two  $C^1$   $\mathbf{R}$ -manifolds are PL homeomorphic, are the  $C^1$   $\mathbf{R}$ -manifolds  $C^1$  diffeomorphic? Milnor, Munkres,

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Hirsch et al. studied the problems and obtained many results. For example, a PL  $\mathbf{R}$ -manifold of dimension  $\leq 7$  and a contractible PL  $\mathbf{R}$ -manifold are  $C^1$  triangulations of some  $C^1$   $\mathbf{R}$ -manifolds; there are multi  $C^1$   $\mathbf{R}$ -manifolds whose  $C^1$  triangulations are PL homeomorphic to a PL  $\mathbf{R}$ -sphere (the boundary of a simplex) of dimension  $\geq 7$  (we call such  $C^1$   $\mathbf{R}$ -manifolds exotic spheres); the restriction of  $\Pi$  to manifolds of dimension  $\leq 3$  is bijective (we say that a PL  $\mathbf{R}$ -manifold of dimension  $\leq 3$  admits a unique  $C^1$   $\mathbf{R}$ -manifold structure).

## 2. PL $\mathbf{R}$ -MANIFOLDS AND $C^0$ $\mathbf{R}$ -MANIFOLDS

A  $C^0$   $\mathbf{R}$ -manifold of dimension  $\leq 3$  admits a unique PL  $\mathbf{R}$ -manifold structure (i.e. a  $C^0$   $\mathbf{R}$ -manifold of dimension  $\leq 3$  is homeomorphic to some PL  $\mathbf{R}$ -manifold and such PL  $\mathbf{R}$ -manifolds are PL homeomorphic each other). However the relation of  $C^0$   $\mathbf{R}$ -manifolds and PL  $\mathbf{R}$ -manifolds of dimension 4 is very complicated. On the other hand, the relation of manifolds of dimension  $\geq 5$  is clarified by Kirby and Siebenmann.

**Kirby-Siebenmann Theorem** (see [3]). *Given a compact  $C^0$   $\mathbf{R}$ -manifold  $M$  of dimension  $\geq 5$ , there is a well-defined obstruction  $\tau(M)$  in  $H^4(M, \mathbf{Z}_2)$  such that  $M$  admits a PL  $\mathbf{R}$ -manifold structure if and only if  $\tau(M) = 0$ . Given a compact PL  $\mathbf{R}$ -manifolds  $M$  of dimension  $\geq 5$ , there is one-to-one correspondence from  $H^3(M_1, \mathbf{Z}_2)$  to isotopy classes of PL  $\mathbf{R}$ -manifold structures on  $C^0$   $\mathbf{R}$ -manifold  $M$ .*

Consequently, there exists a compact  $C^0$   $\mathbf{R}$ -manifold  $M$  of dimension  $\geq 5$  which does not admit a PL  $\mathbf{R}$ -manifold structure; there exist compact PL  $\mathbf{R}$ -manifolds  $M_1$  and  $M_2$  of dimension  $\geq 5$  which are homeomorphic but not PL homeomorphic; a compact  $C^0$   $\mathbf{R}$ -manifold  $M$  of dimension  $\geq 5$  admits a PL  $\mathbf{R}$ -manifold structure if  $H^4(M, \mathbf{Z}_2) = 0$ ; compact PL  $\mathbf{R}$ -manifolds  $M_1$  and  $M_2$  of dimension  $\geq 5$  are PL homeomorphic if they are homeomorphic and  $H^3(M_1, \mathbf{Z}_2) = 0$ .

## 3. O-MINIMAL STRUCTURES OVER A REAL CLOSED FIELD

An *o-minimal structure* over  $R$  is a sequence  $\{S_n : n \in \mathbf{N}\}$  such that for each  $n \in \mathbf{N}$ ,

- (i)  $S_n$  is a boolean algebra of subsets of  $R^n$ ,
- (ii) if  $X \in S_n$ , then  $R \times X$  and  $X \times R$  are elements of  $S_{n+1}$ ,
- (iii) every algebraic set in  $R^n$  is an element of  $S_n$ ,
- (iv) if  $X \in S_{n+1}$ , then the image of  $X$  under the projection of  $R^{n+1}$  onto the first  $n$  coordinates is an element of  $S_n$ , and
- (v) an element of  $S_1$  is a finite union of points and open intervals  $(a, b) = \{x \in R : a < x < b\}$  ( $a, b \in R \cup \{\pm\infty\}$ ).

An element of  $S_n$  is called *definable*, and a map between definable sets is called *definable* if its graph is definable. We call a definable set in  $R^n$  *compact* if it is closed and bounded in  $R^n$ . There are two fundamentals on topology of definable sets.

**Triangulation Theorem.** *A definable set is definably homeomorphic to a finite union of open simplexes. A compact definable set is definably homeomorphic to a finite union of simplexes.*

**Uniqueness Theorem.** *Two definable polyhedra are definably PL homeomorphic if they are definably homeomorphic.*

We naturally define a definable  $C^0$ , PL or  $C^1$  manifold. Then an immediate corollary is

**Corollary.** *A compact definable  $C^0$  manifold is definably homeomorphic to a compact definable PL manifold, and a non-compact definable  $C^0$  manifold is definably homeomorphic to the interior of a compact definable PL manifold with boundary, i.e., a non-compact definable  $C^0$  or PL manifold is compactifiable. Moreover these compact definable PL manifolds possibly with boundary are unique up to PL homeomorphisms.*

Thus there is no difference between definable  $C^0$  manifolds, definable PL manifolds and their compactifications. This is the difference between  $\mathbf{R}$ -manifolds and definable manifolds.

The triangulation theorem is proved in the same way as triangulations of semianalytic sets by Lojasiewicz [4] (see [7] and [9]). However the proof of the uniqueness theorem is very complicated [8]. It requires knowledge of PL topology, stratification theory and model theory.

#### 4. COMPACT DEFINABLE PL MANIFOLDS POSSIBLY WITH BOUNDARY

It is not easy to study definable  $C^0$  manifolds directly. However, by the above corollary it suffices to consider compact definable PL manifolds possibly with boundary, which are easy to treat as follows.

We naturally define a  $\mathbf{Q}$ -polyhedron and a PL  $\mathbf{Q}$ -manifold in  $\mathbf{Q}^n$ . Note that a compact  $\mathbf{Q}$ -polyhedron is a finite union of  $\mathbf{Q}$ -simplexes but an  $R$ -polyhedron closed and bounded in  $R^n$  is not necessarily a finite union of  $R$ -simplexes if  $R$  is non-Archimedean. For a  $\mathbf{Q}$ -simplex  $\sigma$  in  $\mathbf{Q}^n$ , let  $\sigma_R$  denote the simplex in  $R^n$  spanned by the vertices of  $\sigma$ . For a compact  $\mathbf{Q}$ -polyhedron  $X$  in  $\mathbf{Q}^n$ , we define  $X_R$  to be  $\cup_{\sigma \in K} \sigma_R$  for a simplicial decomposition  $K$  of  $X$ . It is easy to see that if  $M$  is a compact PL  $\mathbf{Q}$ -manifold possibly with boundary then  $M_R$  is a compact definable PL manifold possibly with boundary.

**Theorem.** *The correspondence  $M \rightarrow M_R$  is a bijection from the PL homeomorphism classes of compact PL  $\mathbf{Q}$ -manifolds possibly with boundary to the definably PL homeomorphism classes of compact definable PL manifolds possibly with boundary.*

Thus we regard a compact definable PL manifolds possibly with boundary as a compact PL ( $\mathbf{Q}$  or)  $\mathbf{R}$ -manifold possibly with boundary. The proof of the theorem is short but requires some elementary knowledge of PL topology and model theory [8].

#### 5. DEFINABLE $C^1$ MANIFOLDS

We will reduce problems on definable  $C^1$  manifolds to the  $\mathbf{R}$ -case. There are many o-minimal structures over fixed  $R$ . A PL manifold is definable if and only if it is a finite union of open simplexes. Hence definability of a PL manifold does not depend on the choice of an o-minimal structure. However this is not the case for a  $C^1$  manifold. Indeed there is a  $C^1$  manifold which is definable in an o-minimal structure but not so in another. Hence we need to introduce an o-minimal structure such that a  $C^1$  manifold definable in this special structure is definable in any o-minimal structure. That is the *semialgebraic* structure. A *semialgebraic* set is a subset of  $R^n$  of the form  $\cup \cap \{x \in R^n : f_i(x) *_i 0\}$ , where  $f_i$  are a finite number

of polynomial functions on  $R^n$  and  $*_i$  means  $=$  or  $>$ . An equivalent definition is that a *semialgebraic* set is a subset of  $R^n$  definable in any o-minimal structure. A *semialgebraic* map between semialgebraic sets is a map with semialgebraic graph. It follows that a semialgebraic  $C^1$  manifold and a semialgebraic  $C^1$  map between semialgebraic  $C^1$  manifolds are definable in any o-minimal structure.

By the following theorem we can reduce problems on definable  $C^1$  manifolds to the semialgebraic  $C^1$  case.

**Theorem [2].** *A definable  $C^1$  manifold is definably  $C^1$  diffeomorphic to a semialgebraic  $C^1$  manifold.*

Next we will reduce to the  $\mathbf{R}$ -case. Let  $\mathbf{R}_{\text{alg}}$  denote the real algebraic numbers, which is the smallest real closed field. For a polynomial function  $f$  on  $\mathbf{R}_{\text{alg}}^n$ , let  $f_R$  denote the polynomial function on  $R^n$  naturally extended from  $f$ . For a semialgebraic set  $X = \cup \cap \{x \in \mathbf{R}_{\text{alg}}^n : f_i(x) *_i 0\}$ , define  $X_R$  to be  $\cup \cap \{x \in R^n : f_{iR}(x) *_i 0\}$ . Then we easily see that  $X$  is a semialgebraic  $C^1$   $\mathbf{Q}$ -manifold if and only if  $X_R$  is a semialgebraic  $C^1$  manifold.

**Theorem [1].** *The correspondence  $M \rightarrow M_R$  is a bijection from the semialgebraic  $C^\infty$  diffeomorphism classes of semialgebraic  $C^\infty$   $\mathbf{R}_{\text{alg}}$ -manifolds to the semialgebraic  $C^1$  diffeomorphism classes of semialgebraic  $C^1$   $R$ -manifolds.*

Thus it suffices to consider semialgebraic  $C^\infty$   $\mathbf{R}$ - (or  $\mathbf{R}_{\text{alg}}$ -)manifolds. Compactification of a semialgebraic  $C^\infty$   $\mathbf{R}$ -manifold is always possible as follows.

**Theorem [6].** *A non-compact semialgebraic  $C^\infty$   $\mathbf{R}$ -manifold is semialgebraically  $C^\infty$  diffeomorphic to the interior of a compact semialgebraic  $\mathbf{R}$ -manifold with boundary. Such a compact semialgebraic  $\mathbf{R}$ -manifold with boundary is unique up to semialgebraically  $C^\infty$  diffeomorphisms.*

The proof of the first theorem is the same as the proof of the second. The proof of the second is based on the Morse theory over  $R$ . The proof of the third uses the Artin-Mazur theorem and the Hironaka desingularization theorem.

Thus the facts shown in section 1 hold for definable  $C^1$  manifolds and definable PL manifolds. However, the original proofs of the Cairns-Whitehead theorem and the facts that a PL  $\mathbf{R}$ -manifold of dimension  $\leq 7$  and a compact PL  $\mathbf{R}$ -manifold admit  $C^1$   $\mathbf{R}$ -manifold structures are false for non-Archimedean  $R$ . We can prove them using the above theorems. A typical example of a theorem which holds for  $\mathbf{R}$  but not for non-Archimedean  $R$  is the simplicial approximation theorem. The simplicial approximation theorem states that any  $C^0$  function on an  $\mathbf{R}$ -polyhedron is approximated by a PL function in the  $C^0$  topology. However, if  $R$  is non-Archimedean, then the function  $R \supset [0, 1] \ni x \rightarrow x^2 \in R$  cannot be approximated by a PL function (see [8]).

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