

## A VERY BRIEF INTRODUCTION TO VIRTUAL HAKEN CONJECTURE

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This note is a brief summary of my talk that I gave at RIMS Seminar “Representation spaces, twisted topological invariants and geometric structures of 3-manifolds” on May 30, 2012. The aim of this survey is to give an overview of works used to prove so-called *virtually Haken conjecture*. When I was preparing for my talk, the paper by Aschenbrenner–Friedl–Wilton [6] was very useful and I learned a lot from this.

### 1. THURSTON’S QUESTIONS

In 1982, Thurston asked 24 questions, saying “Here are a few questions and projects concerning 3-manifolds and Kleinian groups which I find fascinating.” The first question was the famous geometrization conjecture and the questions (15)–(18) were the following [19]:

(15) Are finitely-generated Kleinian groups LERF?

A group  $G$  is LERF if for every finitely generated subgroup  $L < G$ , and for all  $g \in G \setminus L$ , there exists a finite group  $K$  and a homomorphism  $\phi : G \rightarrow K$  such that  $\phi(g) \notin \phi(L)$ . See section 2 for more details.

(16) Does every hyperbolic 3-manifold have a finite-sheeted cover which is Haken?

A compact, orientable, irreducible 3-manifold  $M$  is called Haken if  $M$  contains an orientable, incompressible surface.  $M$  is called virtually Haken if  $M$  has a finite-sheeted cover that is Haken. Waldhausen asked whether every compact, orientable, irreducible 3-manifold with infinite fundamental group is virtually Haken [20]. After the proof of the geometrization conjecture, the conjecture was only open for hyperbolic 3-manifolds.

(17) Does every aspherical 3-manifold have a finite-sheeted cover with positive first Betti number?

A 3-manifold  $M$  is called aspherical if all its higher homotopy groups ( $\pi_i(M)$  for  $i \geq 2$ ) vanish. A group  $G$  is said to have positive first Betti number if  $\beta_1(G) = \text{rank } H_1(G; \mathbb{Q}) > 0$ . A group  $G$  is said to have virtually positive first Betti number if  $G$  has a finite index subgroup  $G' < G$  with  $\beta_1(G') > 0$ . A group  $G$  is said to have virtually infinite first Betti number if, for any  $k > 0$ , there exists finite index subgroup  $G' < G$  with  $\beta_1(G') > k$ . A group  $G$  is called large if it has a finite index subgroup  $G' < G$  and an epimorphism  $\phi : G \rightarrow \mathbb{Z} * \mathbb{Z}$ . A 3-manifold  $M$  is said to have corresponding properties if  $\pi_1(M)$  has.

(18) Does every hyperbolic 3-manifold have a finite-sheeted cover which fibers over the circle?<sup>1</sup>

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<sup>1</sup>After this question, Thurston wrote, “This dubious-sounding question seems to have a definite chance for a positive answer”

Let  $\Sigma$  be a surface and  $\phi : \Sigma \rightarrow \Sigma$  a homeomorphism. The mapping torus  $T_\phi$  of  $\phi$  is the manifold

$$T_\phi = \Sigma \times [0, 1]/(x, 0) \sim (\phi(x), 1).$$

A 3-manifold  $M$  is said to fiber over the circle if  $M$  can be obtained as a mapping torus.  $M$  is called virtually fibered if  $M$  has a finite-sheeted cover which fibers over the circle. There are examples of graph manifolds which are not virtually fibered [15].

Now, we have the following:

**Theorem 1.1** (Agol [3]). *All these conjectures are valid.*

## 2. LOCALLY EXTENDED RESIDUALLY FINITE

We want to know when one can lift  $\pi_1$ -injective immersions to embeddings in finite-sheeted covers, and LERF allows this (Scott [18]).

**2.1. Residually finite.** A group  $G$  is residually finite (RF) if for every nontrivial  $g \in G$ , there exists a finite group  $K$  and a homomorphism  $\phi : G \rightarrow K$  such that  $\phi(g) \neq 1$ .

**Facts 2.1.** *Suppose that  $G$  is residually finite and finitely generated. Then following hold:*

- (1)  $G$  is Hopfian<sup>2</sup> (Mal'cev).
- (2)  $\text{Aut}(G)$  is residually finite. (Baumslag)
- (3)  $G$  has a solvable word problem.

**Example 2.2.** (1) Finitely-generated subgroup of  $\text{GL}(n, k)$ , where  $k$  is a field. (Mal'cev)

(2) The fundamental group of any compact 3-manifold. (Hempel [13] and geometrization)

(3) Mapping class group of surfaces

It is known that the group  $\langle a, b | b^{-1}a^2b = b^3 \rangle$  is not Hopfian, in particular, not residually finite.

**Question 2.3.** *Is every hyperbolic group residually finite?*

The expected answer seems to be NO, but....

**Theorem 2.4** (Agol–Groves–Manning [5]). *If every hyperbolic group is residually finite, then every quasi-convex subgroup of a hyperbolic group is separable.*

**2.2. LERF.** A group  $G$  is LERF (locally extended residually finite) if for every finitely generated subgroup  $L < G$ , and for all  $g \in G \setminus L$ , there exists a finite group  $K$  and a homomorphism  $\phi : G \rightarrow K$  such that  $\phi(g) \notin \phi(L)$ .

**Examples 2.5.** (1) free group (Hall)

- (2) surface group (Scott [18]),
- (3) Bianchi groups (Agol–Long–Reid [1])
- (4) Quasiconvex subgroups of word-hyperbolic Coxeter group (Haglund–Wise [12])

Not all 3-manifold groups are LERF (Burns–Karrass–Solitar [8]).

## 3. CUBE COMPLEX

Surprisingly, cube complexes play an essential rule to solve Thurston's questions (15)–(18). Let us begin with the basic definitions.

<sup>2</sup>A group  $G$  is Hopfian if every homomorphic mapping of  $G$  onto itself is an automorphism.

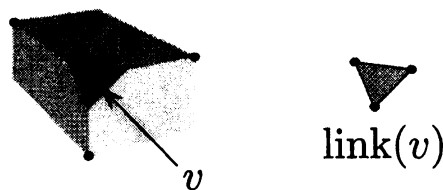


FIGURE 1. The link of a 0-cube

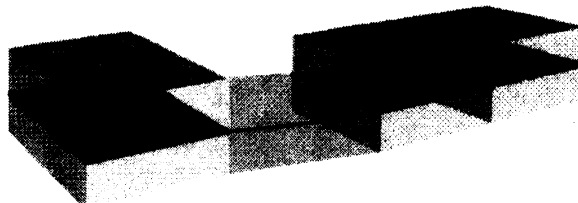


FIGURE 2. Hyperplane

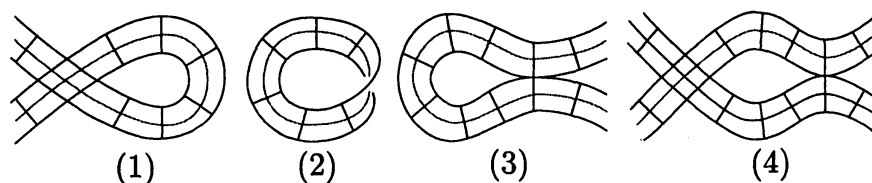


FIGURE 3. Immersed Hyperplane Pathologies

**3.1. Basic definitions.** An  $n$ -cube is a copy of  $[-1, 1]^n$  and a 0-cube is a single point. A cube complex is a cell complex formed from cubes by identifying subcubes. The link of a 0-cube  $v$  is a complex of simplices whose  $n$ -simplices correspond to corners of  $n + 1$ -cubes meeting at  $v$ . See Figure 1. A flag complex is a simplicial complex such that  $n + 1$  vertices span an  $n$ -simplex if and only if they are pairwise adjacent. A cube complex  $C$  is nonpositively curved if  $\text{link}(v)$  is a flag complex for each 0-cube  $v \in C^0$ . A cube complex  $X$  is CAT(0) if it is simply connected and nonpositively curved.

A midcube in  $[-1, 1]^n$  is a subspace obtained by restricting one coordinate to 0. We then glue together midcubes in adjacent cubes whenever they meet, to get the hyperplanes of  $X$ . See Figure 2.

**Definition 3.1** ([11]). A cube complex is spacial if all the following hold: See Figure 3.

- (1) No immersed hyperplane crosses itself.
- (2) Each immersed hyperplane is 2-sided.
- (3) No immersed hyperplane self-osculates.
- (4) No two immersed hyperplanes inter-osculate.

**Theorem 3.2** (Haglund–Wise [11]). *If  $X$  is a compact special cube complex and its fundamental group  $\pi_1(X)$  is word-hyperbolic, then every quasiconvex subgroup is separable.*

**3.2. Salvetti complex.** Let  $\Sigma$  be any graph. We build a cube complex  $S_\Sigma$  as follows:

- (1)  $S_\Sigma$  has one 0-cell;
- (2)  $S_\Sigma$  has one (oriented) 1-cell  $e_v$  for each vertex  $v$  of  $\Sigma$ ;

- (3)  $S_\Sigma$  has a square 2-cell with boundary reading  $e_u e_v \overline{e_u e_v}$  whenever  $u$  and  $v$  are joined by an edge in  $\Sigma$ ;
- (4) for  $n > 2$ , the  $n$ -skeleton is defined inductively — attach an  $n$ -cube to any sub-complex isomorphic to the boundary of  $n$ -cube which does not already bound an  $n$ -cube.

Let  $V(\Sigma) = \{v_1, \dots, v_k\}$  be the vertex set of the graph  $\Sigma$ . The right-angled Artin group (RAAG) associated to  $\Sigma$  is the group given as follows:

$$A_\Sigma = \langle v_1, \dots, v_k \mid [v_i, v_j] = 1 \text{ if } v_i \text{ and } v_j \text{ are connected by an edge} \rangle$$

The fundamental group of the Salvetti complex  $S_\Sigma$  is right-angled Artin group.

The hyperplane graph of a cube complex  $X$  is the graph  $\Sigma(X)$  with vertex-set equal to the hyperplanes of  $X$ , and with two vertices joined by an edge if and only if the corresponding hyperplanes intersect.

Typing map  $\phi_X : X \rightarrow S_{\Sigma(X)}$  is defined as follows:

- (0) Each 0-cell of  $X$  maps to the unique 0-cell  $x_0$  of  $S_{\Sigma(X)}$
- (1) Each 1-cell  $e$  of  $X$  goes to the unique 1-cell in  $S_{\Sigma(X)}$  which corresponds to the unique hyperplane that  $e$  crosses.
- (2)  $\phi_X$  is defined inductively on higher dimensional cubes.

**Theorem 3.3** (Haglund–Wise [11]). *Let  $X$  be a non-positively curved cube complex. Then  $X$  is special if and only if there exists a graph  $\Sigma$  and there is an immersion  $X \rightarrow S_\Sigma$  that is a local isometry at the level of the 2-skeleta.*

**3.3. Compact special group.** A group is called (compact) special if it is the fundamental group of a non-positively curved (compact) special cube complex.

Let  $X$  be a geodesic metric space. A subspace  $Y$  is said to be quasi-convex if there exists  $\kappa \geq 0$  such that any geodesic in  $X$  with endpoints in  $Y$  is contained within the  $\kappa$ -neighborhood of  $Y$ .

Let  $\pi$  be a group with a fixed generating set  $S$ . A subgroup  $H \subset \pi$  is said to be quasi-convex if it is a quasi-convex subspace of  $\text{Cay}_S(\pi)$ , the Cayley graph of  $\pi$  with respect to the generating set  $S$ .

**Corollary 3.4.** *(See Corollary 5.8 and Corollary 5.9 in [6].) A group is special if and only if it is a subgroup of a Right-Angled Artin Group. A group is compact special if and only if it is a quasi-convex subgroup of a Right-Angled Artin Group.*

**3.4. Virtually Compact Special Theorem.** Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces. A function  $f : X \rightarrow Y$  is called a quasi-isometric embedding<sup>3</sup> if there exist constants  $K \geq 1$  and  $C \geq 0$  such that

$$\frac{1}{K}d_X(x, y) - C \leq d_Y(f(x), f(y)) \leq Kd_X(x, y) + C$$

for any  $x, y \in X$ .

**Definition 3.5** (Quasiconvex hierarchy). The class  $\mathcal{QH}$  is defined to be the smallest class of finitely generated groups that is closed under isomorphism and satisfies the following properties.

- (1) The trivial group 1 is in  $\mathcal{QH}$ .

<sup>3</sup>Here, it is not required to preserve any algebraic structure

- (2) Amalgamated product  $G \cong A *_C B$  is in  $\mathcal{QH}$  if  $A, B \in \mathcal{QH}$  and  $C$  is finitely generated and the inclusion map  $C \hookrightarrow A *_C B$  is a quasi-isometric embedding.
- (3) HNN extension  $G \cong A *_C$  is in  $\mathcal{QH}$  if  $A \in \mathcal{QH}$  and  $C$  is finitely generated and the inclusion map  $C \hookrightarrow A *_C$  is a quasi-isometric embedding.

**Theorem 3.6** (Virtually Compact Special Theorem for  $\mathcal{QH}$  [21]). *If  $G \in \mathcal{QH}$  is word-hyperbolic, then  $G$  is virtually compact special.*

This theorem has an application to one-relater groups.

**Corollary 3.7** ([21]). *Every one-relater group with torsion is virtually compact special.*

Let  $N$  be a closed, hyperbolic 3-manifold which contains an incompressible geometrically finite surface. Thurston showed that  $N$  admits a hierarchy of geometrically finite surfaces. A subgroup of  $\pi_1(N)$  is geometrically finite if and only if it is quasiconvex. Combining these results and virtually compact special theorem, we get the following:

**Theorem 3.8** (Wise). *Let  $N$  be a closed hyperbolic 3-manifold which contains an incompressible geometrically finite surface, then  $\pi_1(N)$  is virtually compact special.*

**3.5. Surface subgroups.** Let us recall some notions in Kleinian group theory. A Fuchsian group is a discrete subgroup of  $\mathrm{PSL}(2, \mathbb{R})$ . A Kleinian group is a discrete subgroup of  $\mathrm{PSL}(2, \mathbb{C})$ . A quasifuchsian group is a Kleinian group  $G$  that is conjugate to a Fuchsian group by a quasiconformal automorphism of  $\hat{\mathbb{C}}$ .

Fix an identification of  $\pi_1(N)$  with a discrete subgroup of  $\mathrm{PSL}(2, \mathbb{C})$ .  $N$  is said to contain a dense set of quasifuchsian surface groups if for each great circle  $C$  of  $\partial\mathbb{H}^3 = S^2$  there exists a sequence of  $\pi_1$ -injective immersions  $\iota : \Sigma_i \rightarrow N$  of surfaces  $\Sigma_i$  such that the following hold:

- (1) for each  $i$ , the group  $\iota_*(\pi_1(\Sigma_i))$  is a quasifuchsian surface group.
- (2) the sequence  $\partial\Sigma_i \subset \partial\mathbb{H}^3$  converges to  $C$  in the Hausdorff metric.

**Theorem 3.9** (Kahn–Markovic [14]). *Every closed hyperbolic 3-manifold contains a dense set of quasifuchsian surface groups.*

**3.6. Constructing cube complex.** Let  $G$  be a finitely generated group with Cayley graph  $\mathrm{Cay}(G)$ . A subgroup  $H \subset G$  is codimension-1 if it has a finite neighborhood  $N_r(H)$  such that  $\mathrm{Cay}(G) \setminus N_r(H)$  contains at least two components that are deep in the sense that they do not lie in any  $N_s(H)$ .

**Example 3.10.** (1)  $\mathbb{Z}^n$  in  $\mathbb{Z}^{n+1}$ .

- (2) Any infinite cyclic subgroup of a closed surface subgroup.

Let  $H_1, \dots, H_k$  be a collection of codimension-1 subgroups. The wall associated to  $H_i$  is a fixed partition  $\{\overleftarrow{N}_i, \overrightarrow{N}_i\}$  of  $\mathrm{Cay}(G)$ . The translated wall associated to  $gH_i$  is the partition  $\{g\overleftarrow{N}_i, g\overrightarrow{N}_i\}$ .

The (1-skeleton of) “dual cube complex” due to Sageev is defined as follows:

- (1) A 0-cube is a choice of one halfspace from each wall such that each element of  $G$  lies in all but finitely many of these chosen halfspaces.
- (2) Two 0-cubes are joined by a 1-cube precisely when their choices differ on exactly one wall.

Let  $G$  be a word-hyperbolic group, and  $H_1, \dots, H_k$  be a collection of quasiconvex codimension-1 subgroups. Then the action of  $G$  on the dual cube complex is cocompact. (See Sageev [16], [17].)

**Theorem 3.11** ([7]). *Let  $G$  be a word-hyperbolic group. Suppose that for each pair of distinct points  $(u, v) \in (\partial G)^2$  there exists a quasiconvex codimension-1 subgroup  $H$  such that  $u$  and  $v$  lie in distinct components of  $\partial G \setminus \partial H$ . Then there is a finite collection  $H_1, \dots, H_k$  of quasiconvex codimension-1 subgroups such that  $G$  acts properly and cocompactly on the resulting dual  $CAT(0)$  cube complex.*

Combining theorem 3.9 and theorem 3.11, Bergeron and Wise showed that

**Theorem 3.12** ([7]). *Let  $M$  be a closed hyperbolic 3-manifold. Then  $\pi_1(M)$  acts properly and cocompactly on a  $CAT(0)$  cube complex.*

**3.7. RFRS and virtual fiber.** For a group  $G$ , set  $G_r^{(1)} = \{x \in G \mid \exists k \neq 0, x^k \in [G, G]\}$ .

**Definition 3.13** (RFRS [4]). A group  $G$  is residually finite  $\mathbb{Q}$ -solvable (RFRS) if there is a sequence of subgroups  $G = G_0 > G_1 > G_2 > \dots$  such that  $G \triangleright G_i$ ,  $[G : G_i] < \infty$ ,  $\bigcap_i G_i = \{1\}$  and  $G_{i+1} \geq (G_i)_r^{(1)}$ .

**Theorem 3.14** (Agol [4]). *The following hold:*

- *If  $G$  is RFRS, then any subgroup  $H < G$  is as well.*
- *Right angled Artin groups are virtually RFRS. (Agol [4])*

**Examples 3.15.** The following are other examples of (virtually) RFRS:

- surface groups,
- reflection groups,
- arithmetic hyperbolic groups defined by a quadratic.

**Theorem 3.16** (Agol [4]). *If  $M$  is aspherical and  $\pi_1(M)$  is RFRS, then  $M$  virtually fibers.*

#### 4. THE FINAL STEP

This is what Agol showed for the final step of the conjectures.

**Theorem 4.1** (Agol [3]). *Let  $G$  be a word-hyperbolic group acting properly and cocompactly on a  $CAT(0)$  cube complex  $X$ . Then  $G$  has a finite index subgroup  $F$  acting specially on  $X$ .*

Combining and theorem 3.8, 3.12, 4.1 and other cases, the situation can be described in a very nice way.

**Theorem 4.2** (Virtually Compact Special Theorem). *If  $N$  is a hyperbolic 3-manifold, then  $\pi_1(N)$  is virtually compact special.*

If  $\pi_1(N)$  is virtually (compact) special, then it is a subgroup of a RAAG (theorem 3.3). By theorem 3.14 and 3.16,  $N$  virtually fibers.

To show that  $\pi_1(M)$  is LERF, we need the next theorem.

**Theorem 4.3** (Tameness [2],[9]). *Let  $N$  be a hyperbolic 3-manifold, not necessarily of finite volume. If  $\pi_1(N)$  is finitely generated, then  $N$  is topologically tame, i.e.,  $N$  is homeomorphic to the interior of a compact 3-manifold.*

A 3-manifold  $N$  is fibered if there exists a fibration  $N \rightarrow S^1$ . A surface fiber in a 3-manifold  $N$  is the fiber of a fibration  $N \rightarrow S^1$ .  $\Gamma \subset \pi_1(N)$  is a surface fiber subgroup if there exists a surface fiber  $\Sigma$  such that  $\Gamma = \pi_1(\Sigma)$ .  $\Gamma \subset \pi_1(N)$  is a virtual surface fiber subgroup if  $N$  admits a finite cover  $N' \rightarrow N$  such that  $\Gamma \subset \pi_1(N')$  and such that  $\Gamma$  is a surface fiber subgroup of  $N'$ .

**Theorem 4.4** (Subgroup Tameness Theorem). *Let  $N$  be a hyperbolic 3-manifold and let  $\Gamma \subset \pi_1(N)$  be a finitely generated subgroup. Then either*

- (1)  $\Gamma$  is a virtual surface fiber group, or
- (2)  $\Gamma$  is geometrically finite.

For the proof of the theorem, theorem 4.3 and the covering theorem (due to Canary) is used.

Theorem 3.2 and 4.4 are used to show the next theorem.

**Theorem 4.5** (Agol). *Let  $M$  be a closed hyperbolic 3-manifold. Then there is a finite-sheeted cover  $\widetilde{M} \rightarrow M$  such that  $\widetilde{M}$  fibers over the circle. Moreover,  $\pi_1(M)$  is LERF and large.*

Then, a standard argument in 3-manifold theory shows the next theorem.

**Theorem 4.6** (Agol). *Let  $M$  be a closed aspherical 3-manifold. Then there is a finite-sheeted cover  $\widetilde{M} \rightarrow M$  such that  $\widetilde{M}$  is Haken.*

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