

# Wave fronts with one principal curvature a constant in the hyperbolic three-space

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## Abstract

In this note, we prove that weakly complete wave fronts with one principal curvature a constant  $c$  in the hyperbolic 3-space is either a totally umbilical sphere or umbilic free, if  $|c| > 1$ . Moreover, we derive their orientability.

## 1 Introduction

By the Hartman-Nirenberg theorem, complete flat surfaces in the Euclidean 3-space  $\mathbf{R}^3$  are cylinders over a complete planar regular curve (cf. [2]). This fact implies that such surfaces are trivial. On the other hand, if we admit some singularities, there exist many nontrivial examples of flat surfaces. Murata-Umehara investigated global properties of flat surfaces with admissible singularities called *flat fronts* and then proved the following (for precise definitions, see Section 2).

**Fact 1.1** ([5]). *A complete flat front in the Euclidean 3-space whose singular point set is non-empty has no umbilics, is orientable and co-orientable. Moreover, if its ends are embedded, there exist at least four singular points other than cuspidal edges.*

This estimate is sharp (see FIGURE 1).

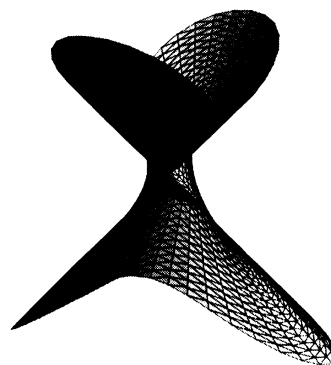


Figure 1: A complete flat front in  $\mathbf{R}^3$  which has four singular points other than cuspidal edges.

We here remark that a flat surface is considered to be a surface such that one of the principal curvatures is identically zero. In the case of nonzero constant, Shiohama and Takagi [6] showed that a complete surface one of whose principal

curvatures is a nonzero constant is either totally umbilical or umbilic-free. The latter case, such a surface is a tube of a complete regular curve in  $\mathbf{R}^3$  (i.e., a *channel surface*). In [4], the author investigated wave fronts such that one of the principal curvatures is a nonzero constant (cf. Definition 3.1) and proved the following.

**Fact 1.2** ([4]). *A weakly complete wave front in the Euclidean 3-space such that one of the principal curvatures is a nonzero constant has no umbilics and is orientable.*

Although wave fronts with one principal curvature a nonzero constant are co-orientable by definition (cf. Remark 3.2), there exists co-orientable and non-orientable ones (see FIGURE 2).

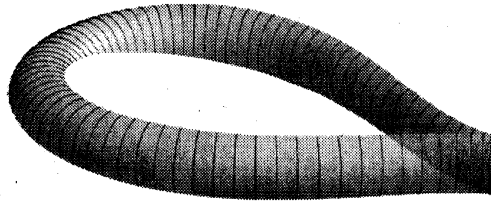


Figure 2: A non-orientable wave front with one principal curvature a nonzero constant in  $\mathbf{R}^3$ .

In the case of non-flat space forms, Aledo-Gálvez [1] investigated (immersed) surfaces with one principal curvature a constant  $c$  in the hyperbolic 3-space  $H^3$ . In particular, they proved that *a complete surface one of whose principal curvatures is a constant  $c$  is either totally umbilical or umbilic-free, if  $|c| > 1$*  [1, Theorem 1.1]. Moreover, they showed that, if  $|c| \leq 1$ , such a result does not hold. That is, if  $|c| \leq 1$ , they exhibited examples of non-totally-umbilical complete surfaces one of whose principal curvatures is a constant  $c$  whose umbilic point set is not empty [1, Example 2.1, Example 2.2]. While their examples are given by the first and second fundamental forms, Izumiya-Saji-Takahashi gave an explicit description of such examples in the case of  $|c| = 1$  [3, Example 5.7].

In this paper, we give a generalization of Aledo-Gálvez's Theorem [1, Theorem 1.1] as follows (cf. Theorem 3.7 and Theorem 3.8).

**Theorem 1.3.** *A weakly complete wave front in the hyperbolic 3-space such that one of the principal curvatures is a constant  $c$  satisfying  $|c| > 1$  has no umbilics and is orientable.*

This theorem is a direct conclusion of Theorem 3.7 and Theorem 3.8. In the case of  $|c| \leq 1$ , such a result does not hold (see [1, Example 2.1, Example 2.2]).

This paper is organized as follows. In Section 2, we review fundamental properties of wave fronts in  $H^3$ . Then, in Section 3, we define wave fronts one of whose principal curvatures is a constant and give a proof of Theorem 1.3.

## 2 Preliminaries : wave fronts in $H^3$

In this section, we review fundamental properties of wave fronts in the hyperbolic 3-space  $H^3$ . Here, we regard  $H^3$  as

$$H^3 = \{ \mathbf{x} = (x_0, x_1, x_2, x_3) \in \mathbf{R}_1^4; \langle \mathbf{x}, \mathbf{x} \rangle = -1, x_0 > 0 \},$$

where  $\mathbf{R}_1^4$  is the Lorentz-Minkowski 4-space with the inner product

$$\langle \mathbf{x}, \mathbf{x} \rangle = -x_0^2 + x_1^2 + x_2^2 + x_3^2, \quad \mathbf{x} = (x_0, x_1, x_2, x_3) \in \mathbf{R}_1^4.$$

If we denote by  $S_1^3$  the de Sitter 3-space  $S_1^3 = \{ \mathbf{x} \in \mathbf{R}_1^4; \langle \mathbf{x}, \mathbf{x} \rangle = 1 \}$ , the unit tangent bundle  $T_1H^3$  of  $H^3$  is given by

$$T_1H^3 = \{ (p, \nu) \in H^3 \times S_1^3; \langle p, \nu \rangle = 0 \}.$$

Let  $M^2$  be a smooth 2-manifold and  $f : M^2 \rightarrow H^3$  be a smooth map. We call  $f$  a *frontal*, if for any point  $p \in M^2$ , there exists a neighborhood  $U$  of  $p$  and a smooth map  $\nu : U \rightarrow S_1^3$  such that

$$\langle df_p(\mathbf{v}), \nu(p) \rangle = 0$$

holds for all  $\mathbf{v} \in T_pM^2$ . Then,  $\nu$  is said to be the unit normal vector field of the frontal  $f$ . If  $\nu$  is well-defined on  $M^2$ ,  $f$  is called *co-orientable*. Moreover,  $f$  is *orientable* if  $M^2$  is orientable. A point  $p \in M^2$  is said to be a *singular* (resp. *regular*) point if  $\text{rank}(df)_p < 2$  (resp.  $\text{rank}(df)_p = 2$ ). As in the introduction, we call the frontal  $f$  *wave front*, if the map

$$L := (f, \nu) : U \rightarrow T_1H^3$$

is an immersion. The map  $L$  is called the *Legendrian lift* of  $f$ .

**Lemma 2.1** ([5, Lemma 1.1]). *Let  $M^2$  be a smooth 2-manifold and  $f : M^2 \rightarrow H^3$  be a co-orientable wave front. If  $p \in M^2$  is a singular point of  $f$ , then there exist a real number  $\delta > 0$  such that  $p$  is a regular point of the parallel front  $f_\delta := (\cosh \delta)f + (\sinh \delta)\nu$ .*

For a co-orientable wave front  $f : M^2 \rightarrow H^3$ , take  $p \in M^2$  arbitrary. By Lemma 2.1, there exist a neighborhood  $U$  and a real number  $\delta$  such that  $f_\delta$  is immersion on  $U$ . Then, a point  $p \in M^2$  is called *umbilic* of  $f$  if  $p$  is umbilic point of  $f_\delta$ . By definition, umbilic points are common in its parallel family.

**Lemma 2.2.** *Let  $M^2$  be a smooth 2-manifold,  $f : M^2 \rightarrow H^3$  be a co-orientable wave front and  $p \in M^2$  be a singular point of  $f$ . Then,  $p$  is umbilic if and only if  $\text{rank}(df)_p = 0$  holds.*

Lemma 2.2 is an analogue of [4, Lemma 2.2].

**Lemma 2.3** ([5, Lemma 1.3]). *Let  $M^2$  be a smooth 2-manifold,  $f : M^2 \rightarrow H^3$  be a co-orientable wave front and  $\nu$  be a unit normal vector field of  $f$ . For a non-umbilic point  $p \in M^2$ , there exist a local coordinate system  $(U; u, v)$  centered at  $p$  such that*

$f_u$  and  $\nu_u$  (resp.  $f_v$  and  $\nu_v$ ) are linearly independent on  $U$ . In particular, the pair  $\{f_u, \nu_u\}$  (resp.  $\{f_v, \nu_v\}$ ) does not vanishes at the same time and

$$\langle f_u, f_v \rangle = \langle f_u, \nu_v \rangle = \langle f_v, \nu_u \rangle = 0$$

holds.

Such a coordinate system is called *principal curvature line*.

*Definition 2.4* (cf. [5, Definition 1.5]). Let  $M^2$  be a smooth 2-manifold and  $f : M^2 \rightarrow H^3$  be a co-orientable wave front. A direction  $\mathbf{v} \in T_p M^2$  is called a *principal direction* of  $f$  if  $df(\mathbf{v})$  and  $d\nu(\mathbf{v})$  are linearly dependent. Moreover, for an open interval  $I \subseteq \mathbf{R}$ , a curve  $\sigma(t) : I \rightarrow M^2$  is called a *principal curvature line* if  $\sigma'(t)$  gives a principal direction for all  $t \in I$ .

On a principal curvature line coordinate neighborhood, every coordinate curve gives a principal curvature line.

For  $j = 1, 2$ , let  $\Lambda_j : M^2 \rightarrow P^1(\mathbf{R})$  be the *principal curvature map* of a wave front  $f$  (for a precise definition, see [5, Section 1]). In particular, if  $(U; u, v)$  is a principal curvature line coordinate system,  $\Lambda_j|_U : U \rightarrow P^1(\mathbf{R})$  ( $j = 1, 2$ ) coincide with the smooth maps

$$\Lambda_1 = [-\nu_u : f_u], \quad \Lambda_2 = [-\nu_v : f_v],$$

respectively. Here, where  $[-\nu_u : f_u]$  and  $[-\nu_v : f_v]$  mean the proportional ratio of  $\{-\nu_u, f_u\}$  and  $\{-\nu_v, f_v\}$  respectively as elements of the real projective line  $P^1(\mathbf{R})$ .

**Proposition 2.5** ([5, Lemma 1.7]). *Let  $f : M^2 \rightarrow H^3$  be a co-orientable wave front and  $\Lambda_1, \Lambda_2$  be the principal curvature maps of  $f$ . Then, a point  $p \in M^2$  is umbilic if and only if  $\Lambda_1(p) = \Lambda_2(p)$  holds. On the other hand,  $p \in M^2$  is a singular point if and only if either  $\Lambda_1(p) = [1 : 0]$  or  $\Lambda_2(p) = [1 : 0]$  holds.*

At the end of this section, we recall the weakly completeness of wave fronts as follows. Let  $f : M^2 \rightarrow H^3$  be a wave front and  $\nu$  be a (locally defined) unit normal vector field of  $f$ . Then the symmetric covariant 2-tensor

$$ds_{\#}^2 := \langle df, df \rangle + \langle d\nu, d\nu \rangle$$

gives a Riemannian metric on  $M^2$  which is called a *lift metric* of  $f$ . The lift metric is a pull-back metric of the Sasakian metric of the unit tangent bundle  $T_1 H^3$  of  $H^3$  through the Legendrian lift  $L = (f, \nu)$  of  $f$ . The lift metric  $ds_{\#}^2$  is independent of a choice of  $\nu$ .

*Definition 2.6.* A wave front is called *weakly complete* if its lift metric gives a complete Riemannian metric.

### 3 Wave fronts one of whose principal curvatures is a nonzero constant

In this section, we give a definition of wave fronts one of whose principal curvatures is a nonzero constant. Then, we give a proof of Theorem 1.3 by showing Theorem 3.7 and Theorem 3.8.

### 3.1 Definitions

Let  $M^2$  be a smooth 2-manifold. Consider a co-orientable front  $f : M^2 \rightarrow H^3$  such that for some real numbers  $a, b \in \mathbf{R}$  ( $a^2 + b^2 \neq 0$ ),  $f$  satisfies

$$(3.1) \quad \text{rank}(a(d\nu)_p + b(df)_p) < 2$$

for any  $p \in M^2$ , where  $\nu : M^2 \rightarrow S_1^3$  is the unit normal vector field of  $f$ . If  $a \neq 0, b = 0$ , then  $f$  is called an extrinsically flat front, and if  $a = 0, b \neq 0$ , then all the points of  $M^2$  are singular.

From now on, we consider the case  $a \neq 0, b \neq 0$ . Setting  $c = b/a$ , (3.1) turns out to be

$$(3.2) \quad \text{rank}((d\nu)_p + c(df)_p) < 2$$

for any  $p \in M^2$ .

*Definition 3.1.* Let  $c$  be a real number,  $f : M^2 \rightarrow H^3$  be a co-orientable front and  $\nu : M^2 \rightarrow S_1^3$  be the unit normal vector field of  $f$ . Then,  $f$  is called *one of whose principal curvatures is a constant  $c$*  if  $f$  satisfies (3.2).

*Remark 3.2* (Non-co-orientable case). Consider a non-co-orientable wave front satisfying (3.2). Changing  $\nu$  to  $-\nu$ , we have that such a wave front satisfies both of

$$(3.3) \quad \text{rank}((d\nu)_p + c(df)_p) < 2 \quad \text{and} \quad \text{rank}((d\nu)_p - c(df)_p) < 2,$$

for any  $p \in M^2$ . (3.3) implies that such a wave front must be isoparametric (i.e., both of the principal curvatures are constant), and hence has no singular points. Since isoparametric surfaces must be orientable, a wave front satisfying (3.3) must be co-orientable. This is a contradiction. Therefore, we have that *wave fronts satisfying (3.2) must be co-orientable*.

### 3.2 Proof of Theorem 1.3

From now on, we denote by  $\mathcal{U}_f$  the umbilic point set of a wave front  $f : M^2 \rightarrow H^3$ . Lemma 3.3 and Lemma 3.4 can be proved in the similar way as [4, Lemma 3.5] and [4, Lemma 3.6], respectively.

**Lemma 3.3.** *Let  $f : M^2 \rightarrow H^3$  be a wave front one of whose principal curvatures is a constant  $c$ . If  $p \in M^2$  is a umbilic point of  $f$ , the  $f$  is regular at  $p$ .*

**Lemma 3.4.** *Let  $f : M^2 \rightarrow H^3$  be a wave front one of whose principal curvature is a constant  $c$  and  $q \in M^2 \setminus \mathcal{U}_f$  be a non-umbilic point of  $f$ . Then there exists a curvatureline coordinate system  $(U; u, v)$  around  $q$  such that*

- $u$ -curves are curvature line of  $\Lambda_1$ ,  $v$ -curves are curvature line of  $\Lambda_2 \equiv [c : 1]$ ,
- $|f_v| \equiv 1$ .
- $\nu_u + cf_u \neq \mathbf{0}, \quad \nu_v + cf_v = \mathbf{0}, \quad f_{vv} = f + c\nu$

hold on  $U$ , where  $\mathbf{0} = (0, 0, 0, 0)$ .

A regular curve in  $H^3$  is called a *planar circle*, if its curvature function is a constant greater than 1 and its torsion function is identically zero. For a planar circle  $\hat{\sigma} = \hat{\sigma}(t)$ , there exist a point  $p \in H^3$  such that  $\text{dist}_{H^3}(p, \hat{\sigma}(t))$  is a constant for all  $t$ , where  $\text{dist}_{H^3}(\cdot, \cdot)$  is the distance function of  $H^3$ . We call  $p$  the center of  $\hat{\sigma}$ . Lemma 3.5 and Lemma 3.6 can be proved in the similar way as [4, Lemma 3.7] and [4, Lemma 3.8], respectively.

**Lemma 3.5.** *Let  $f : M^2 \rightarrow H^3$  be a wave front one of whose principal curvature is a constant  $c$  and  $\sigma(t) : \mathbf{R} \supseteq I \rightarrow M^2$  be a principal curvatureline of  $\Lambda_2 \equiv c$  parametrized by arc-length passing through a non-umbilic point  $q \in M^2 \setminus \mathcal{U}_f$ . If  $|c| > 1$ ,  $\hat{\sigma}(t) := f \circ \sigma(t)$  is a planar circle in  $H^3$  whose curvature is  $c$  and there exist real constants  $a, b \in \mathbf{R}$  such that  $\Lambda_1$  is given by*

$$(3.4) \quad \Lambda_1(\sigma(t)) = \left[ 1 + c(c^2 - 1) \left( a \cos \left( \sqrt{c^2 - 1}t \right) + b \sin \left( \sqrt{c^2 - 1}t \right) \right) : \right. \\ \left. c + (c^2 - 1) \left( a \cos \left( \sqrt{c^2 - 1}t \right) + b \sin \left( \sqrt{c^2 - 1}t \right) \right) \right]$$

on  $\sigma(t)$ . Furthermore,  $\sigma(I)$  and  $\mathcal{U}_f$  has no intersection.

**Lemma 3.6.** *Let  $f : M^2 \rightarrow H^3$  be a wave front one of whose principal curvature is a constant  $c$  with  $|c| > 1$  and  $(U; u, v)$  be a curvatureline coordinate system as in Lemma 3.4 around a non-umbilic point  $q \in M^2 \setminus \mathcal{U}_f$ . Then, the map  $C : U \rightarrow H^3$  defined by*

$$C(u, v) = \frac{1}{\sqrt{c^2 - 1}} (c f(u, v) + \nu(u, v))$$

is independent of  $v$  and is a regular curve  $C = \gamma(u)$  in  $H^3$ . Moreover, if we set  $\sigma_{u_0, v_0}(t) : \mathbf{R} \supseteq J \rightarrow M^2$  as the curvatureline of  $\Lambda_2$  such that  $\sigma_{u_0, v_0}(0) = (u_0, v_0) \in U$ , the center of the planar circle  $\hat{\sigma}_{u_0, v_0} := f \circ \sigma_{u_0, v_0}$  is  $\gamma(u_0)$  and the image of  $\hat{\sigma}_{u_0, v_0}$  is included in the normal plane  $\gamma'(u_0)^\perp$ .

**Theorem 3.7.** *Let  $c$  be a constant satisfying  $|c| > 1$  and  $f : M^2 \rightarrow H^3$  be a wave front one of whose principal curvature is  $c$ . If  $f$  is weakly complete,  $f$  is totally umbilic or umbilic-free. In the latter case,  $f$  is described as*

$$(3.5) \quad f(u, v) = \frac{1}{\sqrt{c^2 - 1}} \left( -c\gamma(u) + \cos \left( \sqrt{c^2 - 1}t \right) \mathbf{e}_1(u) + \sin \left( \sqrt{c^2 - 1}t \right) \mathbf{e}_2(u) \right),$$

where  $(u, v) \in \mathbf{R} \times S^1$ ,  $S^1 = \mathbf{R}/2\pi\mathbf{Z}$ ,  $\gamma(u)$  is a complete regular curve in  $H^3$  and  $\{\mathbf{e}_1, \mathbf{e}_2\}$  is a orthonormal frame of the normal bundle of  $\gamma$ .

*Proof.* Assume that  $f$  is not totally umbilic. First of all, we shall prove that the curvatureline of  $\Lambda_2 \equiv c$  passing through the non-umbilic point  $p \in M^2 \setminus \mathcal{U}_f$  is defined on  $S^1$ . Let  $(U; u, v)$  be a curvatureline coordinate system around  $p$  as in Lemma 3.4. Then each curvatureline of  $\Lambda_2$  is given by the  $v$ -curves on  $U$ . The lift metric  $ds_{\#}^2$  of  $f$  is given by

$$ds_{\#}^2 = \langle df, df \rangle + \langle d\nu, d\nu \rangle = (\langle f_u, f_u \rangle + \langle \nu_u, \nu_u \rangle) du^2 + (1 + c^2) dv^2$$

on  $U$ . In particular, each  $v$ -curve is a geodesic of  $ds_{\#}^2$ , and hence it is defined on  $\mathbf{R}$  since  $f$  is weakly complete. Since the image of each curvatureline of  $\Lambda_2$  is a planar circle, the domain of each curvatureline is  $S^1$ .

Suppose that the umbilic point set  $\mathcal{U}_f$  of  $f$  is not empty. Take an umbilic point  $q \in \partial\mathcal{U}_f$ . Then there exists a sequence  $\{p_n\} \subseteq M^2 \setminus \mathcal{U}_f$  such that  $\lim_{n \rightarrow \infty} p_n = q$ . For each  $p_n$ , let  $\sigma_n$  be the curvatureline of  $\Lambda_2$  passing through  $p_n$ . By Lemma 3.5,  $\hat{\sigma}_n := f \circ \sigma_n$  is a planar circle of a constant curvature  $c$ . Therefore, there exists a subsequence  $\{n_k\}$  such that  $\hat{\sigma}_q = \lim_{k \rightarrow \infty} \hat{\sigma}_{n_k}$  is also a planar circle of a constant curvature  $c$ . Every point on the inverse image  $\sigma_q$  of  $\hat{\sigma}_q$  through  $f$  is umbilic by Lemma 3.5.

On the other hand, by Lemma 3.5, For each  $\sigma_{n_k} = \sigma_{n_k}(v)$ , there exist  $v_k$  such that  $\Lambda_1(\sigma_{n_k}(v_k)) = [1 : c]$ . If we take the limit as  $k \rightarrow \infty$ , we have  $\sigma_q = \lim_{k \rightarrow \infty} \sigma_{n_k}$ . Therefore, by the continuity of the principal curvature map  $\Lambda_1$ , there exists a point on  $\sigma_q$  such that  $\Lambda_1 = [1 : c] \neq [c : 1] = \Lambda_2$ , which is a contradiction. Thus we have  $\mathcal{U}_f = \emptyset$ .  $\square$

**Theorem 3.8.** *Let  $f : M^2 \rightarrow H^3$  be a wave front one of whose principal curvature is a constant  $c$  with  $|c| > 1$ . If  $f$  is weakly complete,  $f$  is orientable.*

*Proof.* If  $f$  is totally umbilic,  $f$  is orientable. Thus we assume that  $f$  is not totally umbilic. Then, by Theorem 3.7,  $f$  is represented as in (3.5). Take an orthonormal frame  $e_1, e_2$  of  $\gamma$  such that  $\{\gamma'(u), e_1(u), e_2(u)\}$  is a positively oriented orthogonal frame. Setting  $e_0 := e_1 \times e_2$ , we have

$$\gamma'(u) = \varphi(u) e_0(u), \quad \left( \varphi(u) = \sqrt{\langle \gamma'(u), \gamma'(u) \rangle} \right).$$

If  $f$  is not orientable, there exist real numbers  $u_0, L$  such that  $\gamma(u+L) = \gamma(u)$  holds for each  $u \in \mathbf{R}$  and

$$e_1(u_0 + L) \times e_2(u_0 + L) = -e_1(u_0) \times e_2(u_0)$$

holds. Since  $e_0(u_0 + L) = -e_0(u_0)$ , we have

$$\gamma'(u_0 + L) = \varphi(u_0 + L) e_0(u_0 + L) = -\varphi(u_0) e_0(u_0) = -\gamma'(u_0),$$

which contradicts to  $\gamma'(u_0 + L) = \gamma'(u_0)$ .  $\square$

Theorem 3.7 and Theorem 3.8 imply Theorem 1.3.

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