

## Novikov 方程式の多重ソリトン解とピーコン極限

### Multisoliton solutions of the Novikov equation and their peakon limit

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#### Abstract

The Novikov equation is an integrable generalization of the Degasperis-Procesi equation. We develop a systematic method for solving the Novikov equation. In particular, we present a parametric representation for the smooth bright multisoliton solutions on a constant background and investigate their property. We show that the tau-functions associated with the soliton solutions are related to those of a model equation for shallow-water waves introduced by Hirota and Satsuma. We also construct a new type of singular solution by specifying a complex phase parameter. We demonstrate that both smooth and singular solitons recover the peaked waves (or peakons) when the background field tends to zero.

#### 1. Introduction

We consider the Novikov equation [1]

$$m_t + u^2 m_x + 3u u_x m = 0, \quad m = u - u_{xx}, \quad u = u(x, t), \quad (1.1)$$

subjected to the boundary condition  $u \rightarrow u_0$  as  $x \rightarrow \pm\infty$ . The Novikov equation is an integrable generalization of the following Degasperis-Procesi (DP) equation

$$m_t + u m_x + 3u_x m = 0. \quad (1.2)$$

There exists another type of integrable equation with cubic nonlinearity known as the modified Camassa-Holm (CH) equation [2]

$$m_t + [m(u^2 - u_x^2)]_x = 0, \quad (1.3)$$

which is an integrable generalization of the CH equation [3]

$$m_t + u m_x + 2u_x m = 0. \quad (1.4)$$

The purpose of this paper is:

- to develop a systematic method for obtaining the soliton solutions of the Novikov equation
- to investigate the properties of the smooth and singular soliton solutions.

The details have been published in [4] and hence we summarize the main results.

## 2. Reciprocal transformation and SWW equation

### 2.1. Reciprocal transformation

We introduce the the coordinate transformation  $(x, t) \rightarrow (y, \tau)$

$$dy = m^{2/3} dx - m^{2/3} u^2 dt, \quad d\tau = dt. \quad (2.1)$$

It follows from (2.1) that the variable  $x = x(y, \tau)$  satisfies a system of linear PDEs

$$x_y = m^{-2/3}, \quad x_\tau = u^2. \quad (2.2)$$

We apply the transformation (2.1) to the Novikov equation and find that it can be recast into the form

$$m_\tau + 3m^{5/3} u u_y = 0. \quad (2.3)$$

On the other hand,  $u$  from (1.1) can be rewritten in terms of  $m$  as

$$u = m + m^{4/3} u_{yy} + \frac{2}{3} m^{1/3} m_y u_y. \quad (2.4)$$

If we define the new variables  $V$  and  $W$  by  $V = m^{2/3}$  and  $W = um^{1/3}$ , respectively, then equations (2.3) and (2.4) can be put into the form

$$\left(\frac{1}{V}\right)_\tau = \left(\frac{W^2}{V}\right)_y, \quad (2.5)$$

$$W_{yy} + UW + 1 = 0, \quad (2.6a)$$

where

$$U = -\frac{V_{yy}}{2V} + \frac{V_y^2}{4V^2} - \frac{1}{V^2}. \quad (2.6b)$$

The integrability of the Novikov equation is evidenced by the existence of the Lax representation. Actually, it can be written in terms of the variables  $y$  and  $\tau$  as

$$\psi_{yyy} + U\psi_y = \lambda^2\psi, \quad \psi_\tau = \frac{1}{\lambda^2} (W\psi_{yy} - W_y\psi_y) - \frac{2}{3\lambda^2} \psi. \quad (2.7)$$

**Proposition 2.1.** *The variables  $U$  and  $W$  satisfies a linear partial differential equation (PDE)*

$$U_\tau + 3W_y = 0. \quad (2.8)$$

If we eliminate the variable  $W$  from (2.6a) and (2.8), we obtain a single equation for  $U$ :

$$UU_{\tau yy} - U_y U_{\tau y} + U^2 U_\tau + 3U_y = 0. \quad (2.9)$$

## 2.2. SWW equation

We first seek the  $N$ -soliton solution of equation (2.9) of the form

$$U = U_0 + 6(\ln f)_{yy}, \quad f = f(y, \tau). \quad (2.10)$$

The above dependent variable transformation enables us to recast (2.9) to the bilinear equation for  $f$

$$(D_\tau D_y^3 - 3W_0 D_y^2 + U_0 D_\tau D_y) f \cdot f = 0, \quad U_0 = -u_0^{-4/3}, \quad W_0 = u_0^{4/3}. \quad (2.11)$$

Here, the bilinear operators  $D_y$  and  $D_\tau$  are defined by

$$D_y^m D_\tau^n f \cdot g = \left( \frac{\partial}{\partial y} - \frac{\partial}{\partial y'} \right)^m \left( \frac{\partial}{\partial \tau} - \frac{\partial}{\partial \tau'} \right)^n f(y, \tau) g(y', \tau') \Big|_{y'=y, \tau'=\tau}.$$

Recall that the bilinear equation (2.11) can be transformed to a model equation for shallow-water waves (SWW)

$$q_\tau + 3\kappa^4 q_y - 3\kappa^2 q q_\tau + 3\kappa^2 q_y \int_y^\infty q_\tau dy - \kappa^2 q_{\tau yy} = 0, \quad q = q(y, \tau), \quad (2.12)$$

through the dependent variable transformation  $q = 2(\ln f)_{yy}$ , where the positive parameter  $\kappa$  has been introduced for later convenience by the relation  $\kappa = u_0^{2/3}$  so that  $U_0 = -\kappa^{-2}$  and  $W_0 = \kappa^2$ . Substituting (2.10) into equation (2.8) and integrating once with respect to  $y$  under the boundary condition  $W \rightarrow \kappa^2, |y| \rightarrow \infty$ , we obtain the expression of  $W$  in terms of the tau-function  $f$

$$W = \kappa^2 - 2(\ln f)_{\tau y}. \quad (2.13)$$

Finally, it follows from (2.2) and the definition of  $W$  that the variable  $x = x(y, \tau)$  obeys the linear PDE

$$x_\tau = W^2 x_y. \quad (2.14)$$

Thus, the problem under consideration is to solve (2.14) with the known function  $W$  from (2.13).

### 2.3. Bilinear identities for the tau-functions

The tau-function  $f$  for the  $N$ -soliton solution of the SWW equation is given compactly by

$$f = \sum_{\mu=0,1} \exp \left[ \sum_{i=1}^N \mu_i \xi_i + \sum_{1 \leq i < j \leq N} \mu_i \mu_j \gamma_{ij} \right], \quad (2.15a)$$

with

$$\xi_i = k_i \left[ y - \frac{3\kappa^4}{1 - (\kappa k_i)^2} \tau - y_{i0} \right], \quad (i = 1, 2, \dots, N), \quad (2.15b)$$

$$e^{\gamma_{ij}} = \frac{(k_i - k_j)^2 [(k_i^2 - k_i k_j + k_j^2) \kappa^2 - 3]}{(k_i + k_j)^2 [(k_i^2 + k_i k_j + k_j^2) \kappa^2 - 3]}, \quad (i, j = 1, 2, \dots, N; i \neq j). \quad (2.15c)$$

Here,  $k_i$  and  $y_{i0}$  are the amplitude and phase parameters of the  $i$ th soliton, respectively, and the notation  $\sum_{\mu=0,1}$  implies the summation over all possible combinations of  $\mu_1 = 0, 1, \mu_2 = 0, 1, \dots, \mu_N = 0, 1$ .

To proceed, let us introduce some notations. The  $N$ -soliton solution from (2.15) is parametrized by the  $N$  phase variables  $\xi_i$  ( $i = 1, 2, \dots, N$ ) and hence we use a vector notation  $f = f(\xi)$  with an  $N$ -component row vector  $\xi = (\xi_1, \xi_2, \dots, \xi_N)$ . Let  $\phi = (\phi_1, \phi_2, \dots, \phi_N)$  be an  $N$ -component row vector with the elements

$$e^{-\phi_i} = \sqrt{\frac{(1 - \frac{\kappa k_i}{2})(1 - \kappa k_i)}{(1 + \frac{\kappa k_i}{2})(1 + \kappa k_i)}}, \quad (i = 1, 2, \dots, N). \quad (2.16)$$

Define the tau-functions  $f_1, f'_1, f_2$  and  $f'_2$  by making use of the above notation

$$f_1 = f(\xi - \phi), \quad f'_1 = f(\xi - 2\phi), \quad f_2 = f(\xi + \phi), \quad f'_2 = f(\xi + 2\phi). \quad (2.17)$$

**Proposition 2.2.** *The tau-functions  $f, f'_1$  and  $f'_2$  satisfy the bilinear identities*

$$D_y f'_1 \cdot f'_2 + \frac{2}{\kappa} f'_1 f'_2 = \frac{2}{\kappa^3} (\kappa^2 f^2 - D_\tau D_y f \cdot f), \quad (2.18)$$

$$D_\tau f'_1 \cdot f'_2 + 2\kappa^3 f'_1 f'_2 = \frac{2}{\kappa^3} (\kappa^6 f^2 + D_\tau^2 f \cdot f), \quad (2.19)$$

$$D_y^3 f'_1 \cdot f'_2 + \frac{6}{\kappa} D_y^2 f'_1 \cdot f'_2 + \frac{11}{\kappa^2} D_y f'_1 \cdot f'_2 + \frac{6}{\kappa^3} (f'_1 f'_2 - f^2) = 0, \quad (2.20)$$

$$\begin{aligned} D_\tau f'_1 \cdot f'_2 + \kappa D_\tau D_y f'_1 \cdot f'_2 + \frac{\kappa^2}{4} D_\tau D_y^2 f'_1 \cdot f'_2 + \kappa^3 (f'_1 f'_2 - f^2) + \frac{\kappa^4}{2} D_y f'_1 \cdot f'_2 + \frac{\kappa^5}{2} D_y^2 f'_1 \cdot f'_2 \\ = \frac{1}{2\kappa} (D_\tau^2 D_y^2 f \cdot f + \kappa^6 D_y^2 f \cdot f). \end{aligned} \quad (2.21)$$

### 3. The $N$ -soliton solution

Let us introduce the tau-function  $g = g(\xi)$

$$g = \sum_{\mu, \nu=0,1} \exp \left[ \sum_{i=1}^N (\mu_i + \nu_i) \xi_i + \sum_{i=1}^N (2\mu_i \nu_i - \mu_i - \nu_i) \ln a_i + \frac{1}{2} \sum_{\substack{i,j=1 \\ (i \neq j)}}^N (\mu_i \mu_j + \nu_i \nu_j) A_{2i-1, 2j-1} + \frac{1}{2} \sum_{\substack{i,j=1 \\ (i \neq j)}}^N (\mu_i \nu_j + \mu_j \nu_i) A_{2i-1, 2j} \right]. \quad (3.1a)$$

Here

$$a_i = \sqrt{\frac{1 - \frac{\kappa^2 k_i^2}{4}}{1 - \kappa^2 k_i^2}}, \quad (i = 1, 2, \dots, N), \quad (3.1b)$$

$$\exp [A_{2i-1, 2j-1}] = \frac{(p_i - p_j)(q_i - q_j)}{(p_i + q_j)(q_i + p_j)}, \quad (i, j = 1, 2, \dots, N; i \neq j), \quad (3.1c)$$

$$\exp [A_{2i-1, 2j}] = \frac{(p_i - q_j)(q_i - p_j)}{(p_i + q_j)(q_i + q_j)}, \quad (i, j = 1, 2, \dots, N; i \neq j), \quad (3.1d)$$

$$p_i = \frac{k_i}{2} \left[ 1 + \frac{2}{\kappa k_i} \sqrt{\frac{1}{3} \left( 1 - \frac{1}{4} \kappa^2 k_i^2 \right)} \right], \quad (i = 1, 2, \dots, N), \quad (3.1e)$$

$$q_i = \frac{k_i}{2} \left[ 1 - \frac{2}{\kappa k_i} \sqrt{\frac{1}{3} \left( 1 - \frac{1}{4} \kappa^2 k_i^2 \right)} \right], \quad (i = 1, 2, \dots, N), \quad (3.1f)$$

and  $\xi_i$  ( $i = 1, 2, \dots, N$ ) are already given by (2.15b).

The tau-functions  $g_1$  and  $g_2$  are defined by

$$g_1 = g(\xi - \phi), \quad g_2 = g(\xi + \phi), \quad (3.2)$$

where  $\phi$  is the  $N$ -component row vector introduced by (2.16).

**Theorem 3.1.** *The Novikov equation (1.1) admits the parametric representation for the  $N$ -soliton solution*

$$u^2 = u^2(y, \tau) = \kappa^3 + \frac{1}{2} \frac{\partial}{\partial \tau} \ln \frac{g_1}{g_2}, \quad (3.3a)$$

$$x = x(y, \tau) = \frac{y}{\kappa} + \kappa^3 \tau + \frac{1}{2} \ln \frac{g_1}{g_2} + d, \quad (3.3b)$$

where the tau-functions  $g_1$  and  $g_2$  are given by (3.1) and (3.2) and  $d$  is an arbitrary constant.

**Remark 3.1.** The tau-function  $g$  has already appeared in constructing the  $N$ -soliton solution of the DP equation.

**Proposition 3.1.** *The following relation holds among the tau-functions  $g$ ,  $f_1$  and  $f_2$*

$$g = f_1 f_2 + \kappa D_y f_1 \cdot f_2, \quad (3.4)$$

where  $f_1$  and  $f_2$  are defined by (2.17).

**Proposition 3.2.** *The tau-functions  $f$ ,  $g_1$  and  $g_2$  satisfy the relations*

$$\left( D_y + \frac{2}{\kappa} \right) g_1 \cdot g_2 = \frac{2}{\kappa} f^4, \quad (3.5a)$$

$$(D_\tau + 2\kappa^3) g_1 \cdot g_2 = \frac{2}{\kappa} (\kappa^2 f^2 - D_\tau D_y f \cdot f)^2. \quad (3.5b)$$

#### 4. Properties of soliton solutions

##### 4.1. One-soliton solution

##### 4.1.1. Smooth soliton

The tau-functions corresponding to the one-soliton solution are given by

$$g_1 = 1 + \frac{4(1-\alpha)}{2+\alpha} e^\xi + \frac{2-\alpha}{2+\alpha} \frac{1-\alpha}{1+\alpha} e^{2\xi}, \quad (4.1a)$$

$$g_2 = 1 + \frac{4(1+\alpha)}{2-\alpha} e^\xi + \frac{2+\alpha}{2-\alpha} \frac{1+\alpha}{1-\alpha} e^{2\xi}, \quad (4.1b)$$

with

$$\xi = k(y - \tilde{c}\tau - y_0), \quad \tilde{c} = \frac{3\kappa^4}{1-\alpha^2}, \quad (4.1c)$$

where we have put  $\xi = \xi_1$ ,  $k = k_1$ ,  $\alpha = \kappa k_1$  and  $y_0 = y_{10}$  for simplicity. We assume  $k > 0$  hereafter and the condition  $0 < \alpha < 1$  is imposed to assure the smoothness of the solution.

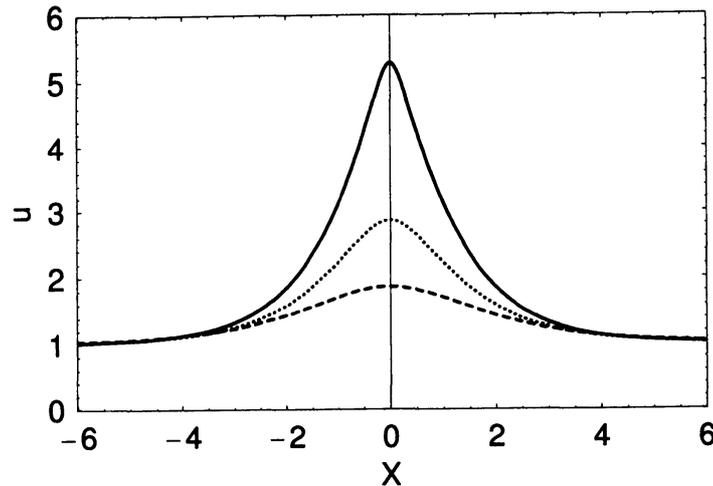
The parametric representation of the smooth one-soliton solution follows from (3.3) and (4.1). It can be written in the form

$$\begin{aligned} u^2 &= \kappa^3 + \frac{12k\alpha\tilde{c}}{4-\alpha^2} \frac{\cosh \xi + \frac{1}{2} \frac{2+\alpha^2}{1-\alpha^2}}{\cosh 2\xi + \frac{8(2+\alpha^2)}{4-\alpha^2} \cosh \xi + \frac{3(4-\alpha^2+3\alpha^4)}{(1-\alpha^2)(4-\alpha^2)}} \\ &= \frac{2\kappa^3 \left( \cosh \xi + \frac{1+2\alpha^2}{1-\alpha^2} \right)^2}{\cosh 2\xi + \frac{8(2+\alpha^2)}{4-\alpha^2} \cosh \xi + \frac{3(4-\alpha^2+3\alpha^4)}{(1-\alpha^2)(4-\alpha^2)}}, \end{aligned} \quad (4.2a)$$

$$X \equiv x - ct - x_0 = \frac{\xi}{\alpha} + \frac{1}{2} \ln \left( \frac{\tanh^2 \frac{\xi}{2} - \frac{2}{\alpha} \tanh \frac{\xi}{2} + \frac{4-\alpha^2}{3\alpha^2}}{\tanh^2 \frac{\xi}{2} + \frac{2}{\alpha} \tanh \frac{\xi}{2} + \frac{4-\alpha^2}{3\alpha^2}} \right), \quad (4.2b)$$

where

$$c = \frac{\tilde{c}}{\kappa} + \kappa^3 = \frac{\kappa^3(4-\alpha^2)}{1-\alpha^2}, \quad (4.2c)$$



**Figure 1.** The profile of smooth solitons with  $\kappa = 1$ .  $\alpha = 0.7$  (dashed curve),  $\alpha = 0.85$  (dotted curve),  $\alpha = 0.95$  (solid curve).

is the velocity of the soliton in the  $(x, t)$  coordinate system and  $x_0 = y_0/\kappa$ .

Figure 1 depicts the profile of smooth solitons against the stationary coordinate  $X$  for three distinct values of  $\alpha$  with  $\kappa = 1$ . The one-soliton solution represents a bright soliton on a constant background  $u = \kappa^{3/2}$  whose center position  $x_c$  is located at  $x_c = ct + x_0$ . The amplitude of the soliton with respect to the background field, which we denote by  $A$ , is found to be as

$$A = \kappa^{3/2} \left( \frac{2 + \alpha^2}{\sqrt{(1 - \alpha^2)(4 - \alpha^2)}} - 1 \right). \quad (4.3)$$

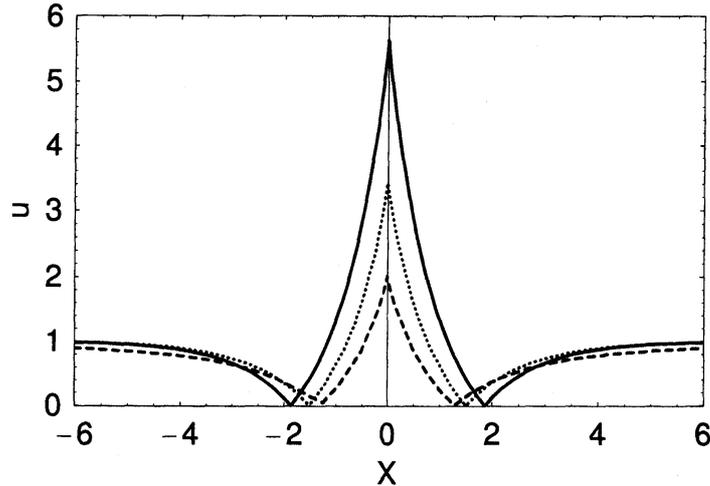
Eliminating the parameter  $\alpha$  from (4.2c) and (4.3), we obtain the amplitude-velocity relation

$$c = \frac{1}{2} \left[ (A + \kappa^{3/2})^2 + 4\kappa^3 + (A + \kappa^{3/2}) \sqrt{(A + \kappa^{3/2})^2 + 8\kappa^3} \right]. \quad (4.4)$$

#### 4.1.2. Singular soliton

The singular soliton is obtained from the smooth soliton (4.2) if one replaces the phase variable  $x_0$  and  $y_0$  by  $x_0 + \pi i/\alpha$  and  $y_0 + \pi i/k$ , respectively. In this setting,  $\cosh \xi \rightarrow -\cosh \xi$  and  $\tanh(\xi/2) \rightarrow \coth(\xi/2)$ , giving rise to the parametric representation of  $u^2$

$$u^2 = \frac{2\kappa^3 \left( -\cosh \xi + \frac{1+2\alpha^2}{1-\alpha^2} \right)^2}{\cosh 2\xi - \frac{8(2+\alpha^2)}{4-\alpha^2} \cosh \xi + \frac{3(4-\alpha^2+3\alpha^4)}{(1-\alpha^2)(4-\alpha^2)}}, \quad (4.5a)$$



**Figure 2.** The profile of singular solitons with  $\kappa = 1$ .  $\alpha = 0.1$  (dashed curve),  $\alpha = 0.85$  (dotted curve),  $\alpha = 0.95$  (solid curve).

$$X \equiv x - ct - x_0 = \frac{\xi}{\alpha} + \frac{1}{2} \ln \left( \frac{\coth^2 \frac{\xi}{2} - \frac{2}{\alpha} \coth \frac{\xi}{2} + \frac{4-\alpha^2}{3\alpha^2}}{\coth^2 \frac{\xi}{2} + \frac{2}{\alpha} \coth \frac{\xi}{2} + \frac{4-\alpha^2}{3\alpha^2}} \right). \quad (4.5b)$$

Figure 2 shows the typical profile of singular solitons for three distinct values of  $\alpha$  with  $\kappa = 1$ . We can observe that the singularities appear both at the crest  $X = 0$  and at  $X = \pm X_0$ , where  $X_0$  is a positive constant.

#### 4.1.3. Peakon

It has been shown that the Novikov equation admits no smooth solutions which vanish at infinity. Under the same boundary condition, however it exhibits a peaked wave (or peakon) solution of the form

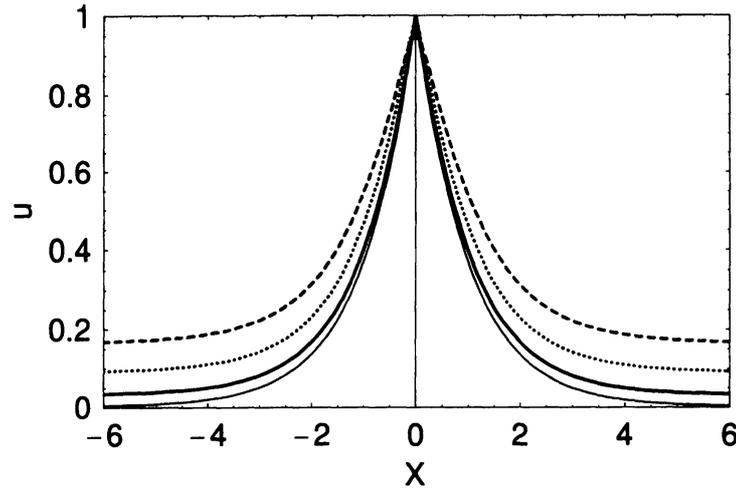
$$u = \sqrt{c} e^{-|x-ct-x_0|}. \quad (4.6)$$

We can show analytically that the smooth soliton recovers the peakon in the limit of  $\kappa \rightarrow 0$  with the velocity  $c$  of the soliton being fixed, which we term the peakon limit. Here, we provide a numerical evidence for the validity of the limiting procedure. The passage to the peakon solution is illustrated in figure 3 for four distinct values of  $\kappa$ . We can observe that the profile drawn by the thin solid curve fits very well with the peakon solution (4.6) with  $c = 1$ . Figure 4 show the limiting process of the singular soliton as well. Obviously, the singular soliton recovers the peakon in the peakon limit.

#### 4.2. Two-soliton solution

The tau-functions  $g_1$  and  $g_2$  for the two-soliton solution are given by

$$g_1 = 1 + 2b_1 e^{\xi_1} + 2b_2 e^{\xi_2} + (a_1 b_1)^2 e^{2\xi_1} + (a_2 b_2)^2 e^{2\xi_2} + 2\nu b_1 b_2 e^{\xi_1 + \xi_2} + 2\delta b_2 (a_1 b_1)^2 b_2 e^{2\xi_1 + \xi_2}$$



**Figure 3.** The peakon limit of the smooth soliton with  $c = 1$ .  $\kappa = 0.3$  (dashed curve),  $\kappa = 0.2$  (dotted curve),  $\kappa = 0.1$  (bold solid curve),  $\kappa = 0.01$  (thin solid curve).

$$+2\delta b_1(a_2 b_2)^2 e^{\xi_1+2\xi_2} + \delta^2(a_1 a_2 b_1 b_2)^2 e^{2\xi_1+2\xi_2}, \quad (4.7a)$$

$$g_2 = 1 + \frac{2}{a_1^2 b_1} e^{\xi_1} + \frac{2}{a_2^2 b_2} e^{\xi_2} + \frac{1}{(a_1 b_1)^2} e^{2\xi_1} + \frac{1}{(a_2 b_2)^2} e^{2\xi_2} + \frac{2\nu}{(a_1 a_2)^2 b_1 b_2} e^{\xi_1+\xi_2} \\ + \frac{2\delta}{(a_1 a_2)^2 b_1^2 b_2} e^{2\xi_1+\xi_2} + \frac{2\delta}{(a_1 a_2)^2 b_1 b_2^2} e^{\xi_1+2\xi_2} + \frac{\delta^2}{(a_1 a_2 b_1 b_2)^2} e^{2\xi_1+2\xi_2}, \quad (4.7b)$$

where

$$\xi_i = k_i(y - \tilde{c}_i \tau - y_{i0}), \quad \tilde{c}_i = \frac{3\kappa^4}{1 - (\kappa k_i)^2}, \quad (i = 1, 2), \quad (4.7c)$$

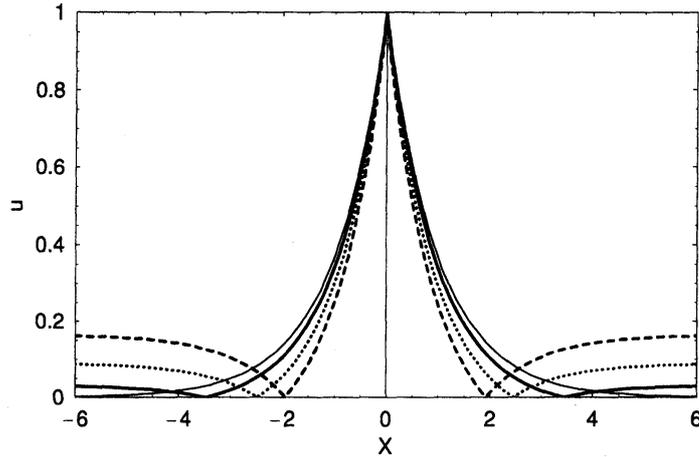
$$a_i = \sqrt{\frac{1 - \frac{(\kappa k_i)^2}{4}}{1 - (\kappa k_i)^2}}, \quad b_i = \frac{1 - \kappa k_i}{1 + \frac{\kappa k_i}{2}}, \quad (i = 1, 2), \quad (4.7d)$$

$$\delta = \frac{(k_1 - k_2)^2 [(k_1^2 - k_1 k_2 + k_2^2) \kappa^2 - 3]}{(k_1 + k_2)^2 [(k_1^2 + k_1 k_2 + k_2^2) \kappa^2 - 3]}, \quad \nu = \frac{(2k_1^4 - k_1^2 k_2^2 + 2k_2^4) \kappa^2 - 6(k_1^2 + k_2^2)}{(k_1 + k_2)^2 [(k_1^2 + k_1 k_2 + k_2^2) \kappa^2 - 3]}. \quad (4.7e)$$

Figure 5 depicts the time evolution of the two-soliton solution as well as its limiting profile in the peakon limit. The asymptotic analysis shows that the phase shifts of solitons are given by

$$\Delta_1 = -\frac{1}{\kappa k_1} \ln \left[ \frac{(k_1 - k_2)^2 \{ (k_1^2 - k_1 k_2 + k_2^2) \kappa^2 - 3 \}}{(k_1 + k_2)^2 \{ [(k_1^2 + k_1 k_2 + k_2^2) \kappa^2 - 3] \}} \right] - \ln \left[ \frac{(1 + \frac{\kappa k_2}{2})(1 + \kappa k_2)}{(1 - \frac{\kappa k_2}{2})(1 - \kappa k_2)} \right], \quad (4.8a)$$

$$\Delta_2 = \frac{1}{\kappa k_2} \ln \left[ \frac{(k_1 - k_2)^2 \{ (k_1^2 - k_1 k_2 + k_2^2) \kappa^2 - 3 \}}{(k_1 + k_2)^2 \{ [(k_1^2 + k_1 k_2 + k_2^2) \kappa^2 - 3] \}} \right] + \ln \left[ \frac{(1 + \frac{\kappa k_1}{2})(1 + \kappa k_1)}{(1 - \frac{\kappa k_1}{2})(1 - \kappa k_1)} \right]. \quad (4.8b)$$



**Figure 4.** The peakon limit of the singular soliton with  $c = 1$ .  $\kappa = 0.3$  (dashed curve),  $\kappa = 0.2$  (dotted curve),  $\kappa = 0.1$  (bold solid curve),  $\kappa = 0.01$  (thin solid curve).

It is interesting that the above formulas coincide formally with those of the two-soliton solution of the DP equation. In the latter case, the parameter  $\kappa^3$  is the coefficient of the linear dispersive term  $u_x$ . We can see that there exists a critical curve along which  $\Delta_1 = \Delta_2$  and beyond which  $\Delta_1 < \Delta_2$ , implying that the phase shift of the small soliton is greater than that of the large soliton. Such a phenomenon has never been observed in the interaction process of solitons for the Korteweg-de Vries and SWW equations.

In the peakon limit, formulas (4.8a) and (4.9b) reduce respectively to

$$\Delta_1 = \ln \left[ \frac{c_1(c_1 + c_2)}{(c_1 - c_2)^2} \right], \quad (4.9a)$$

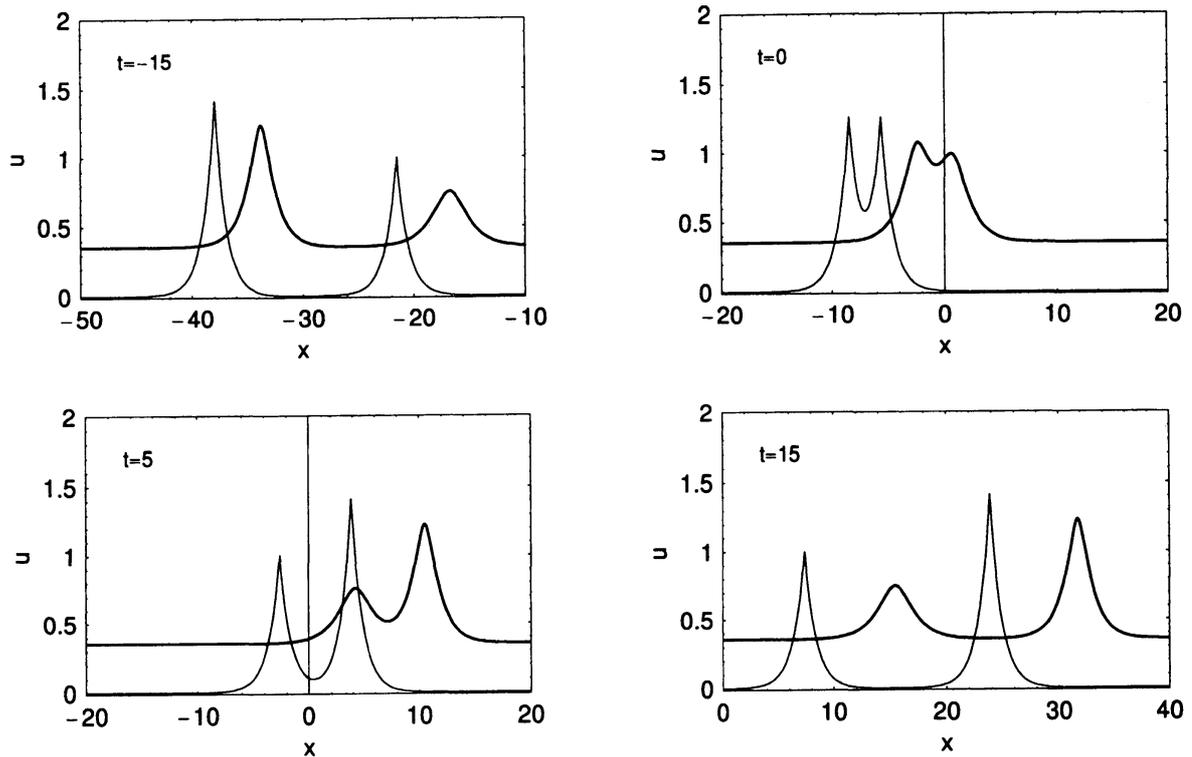
$$\Delta_2 = \ln \left[ \frac{(c_1 - c_2)^2}{c_2(c_1 + c_2)} \right]. \quad (4.9b)$$

This result reproduces the formulas for the phase shift of the two-peakon solution of the Novikov equation. We recall that they coincide formally with the corresponding formulas for the two-peakon solution of the DP equation.

#### 4.3. $N$ -soliton solution

The asymptotic analysis of the  $N$ -soliton solution reveals that the phase shift of the  $i$ th soliton is given by

$$\Delta_i = \frac{1}{\kappa k_i} \sum_{j=1}^{i-1} \ln \left[ \frac{(k_i - k_j)^2 \{ (k_i^2 - k_i k_j + k_j^2) \kappa^2 - 3 \}}{(k_i + k_j)^2 \{ (k_i^2 + k_i k_j + k_j^2) \kappa^2 - 3 \}} \right]$$



**Figure 5.** The profile of the smooth two-soliton solution ( $\kappa = 0.5$ , bold solid curve) and its peakon limit ( $\kappa = 0.01$ , thin solid curve) with  $c_1 = 2, c_2 = 1$  and  $y_{10} = y_{20} = 0$ .

$$\begin{aligned}
 & -\frac{1}{\kappa k_i} \sum_{j=i+1}^N \ln \left[ \frac{(k_i - k_j)^2 \{ (k_i^2 - k_i k_j + k_j^2) \kappa^2 - 3 \}}{(k_i + k_j)^2 \{ (k_i^2 + k_i k_j + k_j^2) \kappa^2 - 3 \}} \right] + \sum_{j=1}^{i-1} \ln \left[ \frac{(1 + \frac{\kappa k_i}{2})(1 + \kappa k_i)}{(1 - \frac{\kappa k_i}{2})(1 - \kappa k_i)} \right] \\
 & - \sum_{j=i+1}^N \ln \left[ \frac{(1 + \frac{\kappa k_i}{2})(1 + \kappa k_i)}{(1 - \frac{\kappa k_i}{2})(1 - \kappa k_i)} \right], \quad (i = 1, 2, \dots, N). \quad (4.10)
 \end{aligned}$$

The peakon limit of (4.10) can be carried out straightforwardly to give the formulas

$$\Delta_i = \sum_{j=1}^{i-1} \ln \left[ \frac{(c_i - c_j)^2}{c_i(c_i + c_j)} \right] - \sum_{j=i+1}^N \ln \left[ \frac{(c_i - c_j)^2}{c_i(c_i + c_j)} \right], \quad (i = 1, 2, \dots, N), \quad (4.11)$$

which reproduce the corresponding formulas for the  $N$ -peakon solution of the Novikov equation and they coincide formally with those of the DP equation.

## 5. Summary

- We have constructed the smooth and singular multi-soliton solutions of the Novikov equation.

- The structure of the tau-functions associated with the  $N$ -soliton solution is essentially the same as that of a model equation for shallow-water waves introduced Hirota and Satsuma.
- The peakon limit of both smooth and singular solitons recovers the peakon when the background field tends to zero.
- The formula for the phase shift coincides formally with that of the  $N$ -soliton solution of the DP equation.

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