Noise-robustness of Random Bit Generations by Chaotic Semiconductor Lasers

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Abstract

We claim that a property of *noise-robustness* is important for reliable physical random bit generators (RBGs), and we report that RBGs using chaotic semiconductor lasers are noise-robust, i.e. insensitive to properties of a noise source. Here, we study an influence of changes in the temporal correlation of noise sequence on unpredictability of the laser chaos employing the Lang-Kobayashi model, and compare it with that of a bistable RBG.

1 Introduction

Random bit generation is one of the important technologies of the information security, such as secret key generation, secret calculation, and secret distribution. For the information security technology, random bits should be hard to predict. Thus, *physical* random bit generation is expected to be employed for the technologies, since the physical random bits are generated from unpredictable physical phenomena, as thermal noise and quantum noise. Recently, many researchers study and develop physical RBGs by using semiconductor lasers [1], a superluminescent LED [2], and hybrid Boolean networks [3]. These studies mainly focus their attention on the generation speed of the random bits, and less attention is being paid to reliability of the RBGs.

In this paper, for the reliable physical RBG, we emphasize that physical RBGs should be *noise-robust*. In general, physical RBGs use some kind of noise source as a black box, which means noise is generated by unknown rules and it is hard to control. Therefore, the properties of noise can be changed unexpectedly or some hidden properties of noise might exist or appear because of our limited knowledge of noise source. For instance, the noise distribution get to be biased or the noise sequence can get to have a temporal correlation accidentally. Even so, the reliable physical RBGs are required to be less affected by the changes of noise properties and/or appearing the hidden noise properties, particularly for the usage of the security technology. More concretely, we say that physical RBGs are noise-robust if the unpredictability of the physical RBGs is not sensitive to the noise properties.

The physical RBG by the semiconductor laser chaos is one of the promising physical RBGs since it can generate random bits fast enough [1] and its unpredictability is theoretically examined by Harayama *et al.* [7]. Hence, we study the noise-robustness of physical RBG by the laser chaos in this paper. Dependency of the noise strength on the unpredictability of physical RBG by the laser chaos is studied by Mikami *et al.* [6]. Here, we consider the temporal correlation of noise time sequence. Specifically, by employing Lang-Kobayashi model, we study the noise-robustness of physical RBG by the laser chaos, and also we compare it with that of the bistable RBG which is now commonly used, for instance, in Intel's Ivy Bridge [4].

The numerical model of the laser chaos, the numerical method, and the noise sequence is described in Sec. 2 briefly. The noise-robustness of RBGs by chaotic laser to the temporal correlation of noise sequence are studied in Sec. 3. In Sec. 4, we give conclusions and discussions.

2 Numerical model and method

The chaotic dynamics of the semiconductor laser with delayed feedback can be studied by the Lang-Kobayashi model equation:

$$\frac{dE(t)}{dt} = \frac{1}{2} \left[-\frac{1}{\tau_p} + F\left(E(t), N(t)\right) \right] E(t) \\
+\kappa E(t - \tau_D) \cos \theta(t) + \xi_E(t), \\
\frac{d\phi(t)}{dt} = \frac{\alpha}{2} \left[-\frac{1}{\tau_p} + F\left(E(t), N(t)\right) \right] \\
-\kappa \frac{E(t - \tau_D)}{E(t)} \sin \theta(t) + \xi_{\phi}(t), \\
\frac{dN(t)}{dt} = -\frac{N(t)}{\tau_s} - F\left(E(t), N(t)\right) E(t)^2 + J,$$
(1)

where $E(t) \in \mathbb{R}$ is an amplitude of a complex electric field, $\phi(t) \in \mathbb{R}$ is a phase of a complex electric field, $N(t) \in \mathbb{R}$ is a career density, $\theta(t) := \omega \tau + \phi(t) - \phi(t - \tau)$, and $F\left(E(t), N(t)\right) := G_N \frac{N(t) - N_0}{1 + \epsilon E(t)^2}$. The parameter in the equations and their values used in the numerical experiments are shown in Tab.1. The period of the relaxation oscillation is $T_{\text{relax}} = 2\pi/\omega_{\text{relax}} = 0.35[\text{ns}]$, the external cavity length is $L = c\tau_D/2 = 0.037[\text{m}]$, and $J/J_{\text{th}} = 1.44$. $\xi(t)$ is a model of the noise in the laser system such as the spontaneous emission, which is usually assumed as a white Gaussian process. Here we consider $\xi(t)$ as a Ornstein- Uhlenbeck (OU) process in Sec.4. Numerical solutions of the Lang-Kobayashi equation are calculated by using 4th order Runge-Kutta method (the time step $\Delta t = 1.0 \times 10^{-3}$), and the Ornstein-Uhlenbeck (OU) process is calculated by using the method of Fox *et al.*[5].

Symbols	Parameters	Values
$ au_D$.	External-cavity round-trip time	0.25ns
$ au_p$	Photon lifetime	$1.927 \mathrm{ps}$
$ au_{s}$	Carrier lifetime	2.04 ns
lpha	Linewidth enhancement factor	5.0
G_N	Gain coefficient	$8.4 imes 10^{-13} ext{m}^3 ext{s}^{-1}$
N_0	Carrier density at transparency	$1.400 imes 10^{24} \mathrm{m}^{-3}$
÷	Gain saturation coefficient	$2.5 imes 10^{-23}$
κ	Feedback strength	$6.25 \ { m n} s^{-1}$
J	Injection current	$1.42 \times 10^{33} \mathrm{m}^{-3} \mathrm{s}^{-1}$
ω	Optical angular frequency	$1.225 imes 10^{15} \mathrm{s}^{-1}$
D	Noise strength	1.0×10^{-4}

Tab. 1: The parameters in the Lang-Kobayashi equation and their values used in the numerical experiments.

3 Correlated noise

Next, we study the robustness of RBGs using chaotic laser to the temporal correlation of noise sequence. As mentioned in Sec. 2, we use the Ornstein- Uhlenbeck (OU) process $\xi(t)$ governed by

$$\frac{d\xi}{dt} = -\gamma\xi + \sqrt{2\gamma D}\zeta, \qquad (2)$$

where ζ is the white Gaussian process, i.e. $\langle \zeta(t) \rangle = 0$, $\langle \zeta(t) \zeta(s) \rangle = \delta(t-s)$. Then, the OU process has following properties [8]: $\langle \xi(t) \rangle = 0$, $\langle \xi(t)\xi(s) \rangle = De^{-\gamma|t-s|}$. *D* is fixed as shown in the Tab.1, and the correlation time $T_{\gamma} := 1/\gamma$ is a control parameter.

To measure the unpredictability of the laser chaos, we define a correlation coefficient of the amplitude of the electric fields E(t). Here, we write the laser state and the noise state as (x, ξ) , and their time evolutions as

$$(x(T),\xi(T)) = \varphi_{\gamma,i}^T(x(0),\xi(0)),$$
(3)

where $\varphi_{\gamma,i}^T$ is a time evolution operator defined by the evolution equations (1), (2) with the parameter γ . The subscript *i* represents the

index of the noise realization, i.e. the different indices mean the different noise realizations, which cause the different time evolutions though the initial conditions are same; $\varphi_{\gamma,1}^T(x(0),\xi(0)) \neq \varphi_{\gamma,2}^T(x(0),\xi(0))$. Using these notation, we define the correlation coefficient as

$$C(T_{\gamma}, T_s) := \frac{\left\langle \tilde{E}\left(\varphi_{\gamma,1}^{T_s}(x,\xi)\right) \tilde{E}\left(\varphi_{\gamma,2}^{T_s}(x,\xi)\right) \right\rangle}{\operatorname{Var}(\tilde{E})}$$
(4)

where \tilde{E} is a fluctuation part of E; $\tilde{E}(x) = E(x) - \langle E \rangle$, and T_s is the RBG sampling time. The correlation coefficient $C(T_{\gamma}, T_s)$ evaluates how fast the correlation vanishes by the difference of the noise realization only. $C(T_{\gamma}, T_s)$ can be used as an indicator of the unpredictability of the RBG, i.e. $C(T_{\gamma}, T_s) = 0$ indicates that the RBG is unpredictable.

We examine the parameter dependence of the correlation coefficient $C(T_{\gamma}, T_s)$ as shown in Fig.1. The darker area corresponds to the lower correlation $C(T_{\gamma}, T_s) \simeq 0$, and the lighter area corresponds to the higher correlation $C(T_{\gamma}, T_s) \simeq 1$. Let us consider the functional relation $T_s = f(T_{\gamma})$ defined by the boarder between the area $C(T_{\gamma}, T_s) > 0$ and the area $C(T_{\gamma}, T_s) = 0$. The light blue curve in the figure is defined by $C(T_{\gamma}, T_s) = 0.1$ as a reference. The results show that the longer the noise correlation time T_{γ} is, the longer the required sampling interval T_s is. Interestingly, in the long correlation time region $(T_{\gamma} \gg 1)$, the required sampling interval depends on the noise correlation time T_{γ} logarithmically as $T_s \propto \log T_{\gamma}$.

As a reference, in the case of the bistable RBG, the required sampling interval is linearly proportional to the correlation time as $T_s \propto T_{\gamma}$. Thus, as we increase the noise correlation time T_{γ} , the sampling interval T_s in the case of the chaos laser gets longer with a slower speed than that in the case of the bistable case. In this sense, the laser chaos RBG is robust to the noise correlation, and in particular more robust than the bistable RBG.

4 Conclusion

We study the noise-robustness of an RBG using a chaotic laser modeled by the Lang-Kobayashi equation, in particular the robustness to the temporal correlation of the noise. It is found that the RBG by the chaos laser is robust in the sense that the required sampling interval depends on the noise correlation time T_{γ} logarithmically as $T_s \propto \log T_{\gamma}$ in the long correlation time region $(T_{\gamma} \gg 1)$, which is more robust than the bistable RBG case $(T_s \propto T_{\gamma} \text{ for all } T_{\gamma})$.



Fig. 1: The correlation coefficient $C(T_{\gamma}, T_s)$ for temporally correlated noise. The light blue curve is defined by $C(T_{\gamma}, T_s) = 0.1$. The white broken line represents $T_s \propto 0.5 \log T_{\gamma}$ as a reference of the discussion in the appendix A.

Appendix A Why $T_s \propto \log T_\gamma \ (T_\gamma \gg 1)$?

Let us consider an equation of motion with noise $dx/dt = F(x) + \xi_x$ and $dy/dt = F(y) + \xi_y$. Initially, we suppose $\delta(0) = \Delta(0) = 0$, where $\delta(t) = y(t) - x(t)$ and $\Delta(t) = \xi_y(t) - \xi_x(t)$. An error vector δ is governed by a variational equation $d\delta(t)/dt = DF_x\delta(t) + \Delta(t)$, where DF_x is Jacobian matrix at x.

Initially, the error vector δ is governed by $d\delta(t)/dt \simeq \Delta(t)$. Considering $\xi_x(t), \xi_y(t)$ as the OU process (see (2)) and the evolution equation $d\delta(t)/dt = \Delta(t)$, we can obtain

$$\langle \Delta^2(t) \rangle = 2\langle \xi^2(t) \rangle = 2D(1 - e^{-2\gamma t})$$
(5)

$$\langle \delta^2(t) \rangle = \frac{4D}{\gamma} \left(t - \frac{2}{\gamma} (1 - e^{-\gamma t}) + \frac{1}{2\gamma} (1 - e^{-2\gamma t}) \right). \tag{6}$$

Here we study the case of $\gamma \ll 1$ $(T_{\gamma} \gg 1)$ and t = O(1) (or $t \ll 1$), thus, the variance mentioned above can be approximated by [8]

$$\langle \Delta^2(t) \rangle = 4\gamma Dt \tag{7}$$

$$\langle \delta^2(t) \rangle = \frac{4\gamma D}{3} t^3. \tag{8}$$

We compare the term in the variation equation $d\delta(t)/dt = DF_x\delta(t) + \Delta(t)$, and we find that there is a γ independent transition time \tilde{t} as follows: the evolution of the error vector is dominated by the OU noise $d\delta(t)/dt \simeq \Delta(t)$ ($0 \le t \ll \tilde{t}$) and by the chaotic dynamics $d\delta(t)/dt \simeq DF_x\delta(t)$ ($t \gg \tilde{t}$). The transition time is $\tilde{t} = \sqrt{3}c$ (c = const.), which is given by $c\sqrt{\langle \delta^2(\tilde{t}) \rangle} = \sqrt{\langle \Delta^2(\tilde{t}) \rangle}$.

The time taken until a microscopic noise δ grows to be a macroscopic one A is

$$T := \tilde{t} + \frac{1}{\lambda} \ln\left(\frac{A}{\sqrt{4\gamma D/3} \ \tilde{t}^{3/2}}\right).$$
(9)

Here, we assume that the maximum Lyapunov exponent λ does not depend on the existence of the noise term. If $T_s \gg T$, there are no correlation between states x and y, i.e. $C \simeq 0$, and if $T_s \ll T$, the states x and y are correlated, i.e. C > 0. Therefore, $T_s = f(T_{\gamma})$ is given by

$$T_s = f(T_\gamma) = \tilde{t} + \frac{1}{\lambda} \ln\left(\frac{A}{\sqrt{4D/3} \ \tilde{t}^{3/2}}\right) + \frac{1}{2\lambda} \ln T_\gamma.$$
(10)

When the system is purely deterministic (no noise), the maximum Lyapunov exponent is calculated as $\lambda \sim 2.6$. Using this result, the slope of the function $T_s = f(T_{\gamma})$ at $T_{\gamma} \gg 1$ is $\frac{1}{2\lambda \log_{10} e} \sim 0.45$ from the above argument, which is near the slope in the Figure 2.

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