

## On non $\sigma$ -shortness of Axiom A posets with frame systems

Makoto Takahashi

Graduate School of Human Development and Environment  
Kobe University

### 1 Introduction

In [4], we introduced the notion of  $\sigma$ -shortness for Boolean algebras and partially ordered sets. We say that a subset  $D$  of a Boolean algebra  $\mathbb{B}$  is  $\sigma$ -short if every strictly descending sequence  $\{b_n\}$  has no positive lower bound in  $\mathbb{B}$ . A Boolean algebra  $\mathbb{B}$  is  $\sigma$ -short if it has a  $\sigma$ -short dense subset. Cohen algebras and measure algebras are typical examples of  $\sigma$ -short Boolean algebras. Cohen algebras satisfy more strong property. That is, those are strongly  $\sigma$ -short. We say that  $\mathbb{B}$  is strongly  $\sigma$ -short if it has a  $\wedge$ -closed  $\sigma$ -short dense subset.  $\sigma$ -short posets and strongly  $\sigma$ -short posets are similarly defined as Boolean algebras(see [4]). Strongly  $\sigma$ -short posets have a good characterization as follows.

**Theorem[2].** *A poset  $\mathbb{P} = (P, \leq)$  is strongly  $\sigma$ -short if and only if there exists a sequence  $\{X_n\}_{n \in \omega}$  of subsets of  $P$  which satisfies the following conditions:*

- (1)  $X_n$  is a pairwise incomparable subset of  $P$ .
- (2) If  $x \in X_n, y \in X_m$  and  $n < m$ , then  $y \not\leq x$ .
- (3)  $\{y \in X_m | y \geq x\}$  is finite for every  $m < n$  and  $x \in X_n$ .
- (4)  $\bigcup_{n \in \omega} X_n$  is a dense subset of  $P$ .

In [2], we left the characterization problem open for  $\sigma$ -short posets, that is, for every  $\sigma$ -short poset  $\mathbb{P} = (P, \leq)$ , does there exist a sequence  $\{X_n\}_{n \in \omega}$  of subsets of  $\mathbb{P}$  which satisfies the following conditions:

- (1)  $X_n$  is a pairwise incomparable subset of  $P$ .
- (2) If  $x \in X_n, y \in X_m$  and  $n < m$ , then  $y \not\leq x$ .
- (3)  $\bigcup_{n \in \omega} X_n$  is a dense subset of  $P$

Though this problem is still open, we gave a sufficient condition in [3] that above conditions are satisfied. And using this sufficient condition, we reported that some Axiom A posets, e.g., Sacks forcing, Mathias forcing are not  $\sigma$ -short. We also conjectured that non-ccc Axiom A posets are not  $\sigma$ -short. To concern this problem, in this paper we shall show that Axiom A posets with frame systems are not  $\sigma$ -short. Moreover, we shall show that Axiom A posets which satisfy Mildenerger's finiteness property with some additional conditions and Hechler forcing which adds a strictly increasing function from  $\omega$  to  $\omega$  are not  $\sigma$ -short.

## 2 Preliminaries

Let  $\mathbb{P} = (P, \leq)$  be a partially ordered set (poset). We say that  $p, q \in P$  are compatible ( $p \uparrow q$ ) if there exists an  $r$  such that  $r \leq p$  and  $r \leq q$ . If  $p$  and  $q$  are not compatible, then we say that they are incompatible ( $p \perp q$ ). A poset  $(P, \leq)$  is separative if for every  $p, q \in P$ ,  $p \not\leq q$  implies that there exists an  $r$  such that  $r \leq p$  and  $r \perp q$ . For a set  $X$ , we denote by  $|X|$  the cardinality of  $X$ . Let  $\sim$  be an equivalence relation on a set  $X$ . Then we denote the quotient of  $X$  by the equivalence relation  $\sim$  by  $X/\sim$ . In this paper, we assume that posets are non-atomic and separative.

A poset  $(P, \leq)$  satisfies Axiom A if there are partial orderings  $\{\leq_n\}_{n \in \omega}$  such that

(A1): If  $p \leq_0 q$  then  $p \leq q$ ;

(A2): If  $p \leq_{n+1} q$ , then  $p \leq_n q$ ;

(A3): If  $\{p_n\}_{n \in \omega}$  is a fusion sequence; i.e., if  $p_{n+1} \leq_n p_n$  for every  $n \in \omega$ , then there is  $q$  such that  $q \leq_n p_n$  for all  $n \in \omega$ ;

(A4): If  $p \in P$  and  $W$  is a partition of  $p$ , then for every  $n$  there is  $q \leq_n p$  such that  $q$  is compatible with at most countably many  $x \in W$ .

We say that a poset  $(P, \leq)$  with partial orderings  $\{\leq_n\}_{n \in \omega}$  is a fusion poset if it satisfies (A1),(A2),(A3). First of all, we consider the following condition (C1) for fusion posets.

(C1):  $\forall n \in \omega \forall p \in P \exists p^* \geq_n p \forall p' \geq_n p [p^* \geq_n p']$

For  $n \in \omega$  and  $p \in P$ , we denote  $p^*$  in (C1) by  $stem_n(p)$ . If a fusion poset  $P$  satisfies (C1), then the relation  $\sim_n$  on  $P$  defined by  $p \sim_n q \stackrel{\text{def}}{\iff} stem_n(p) = stem_n(q)$  is an equivalence relation on  $P$ . Using this equivalence relation, we consider conditions (C2) and (C3) as follows.

(C2):  $\forall n \in \omega [ |P/\sim_n| \leq \omega ]$

(C3):  $\forall n \in \omega \forall p, q \in P [ p \sim_n q \wedge p \geq q \Rightarrow p \geq_n q ]$

**Lemma 2.1.** *Suppose that a fusion poset  $P$  is  $\sigma$ -short and  $D$  is a  $\sigma$ -short dense subset of  $P$ . Then*

$$D_0 = \{d \in D \mid \exists m \in \omega \forall x \in P [d >_m x \Rightarrow x \notin D]\}$$

*is a dense subset of  $P$ .*

**Proof.** Suppose not. Then there exists  $d' \in D$  such that  $d \notin D_0$  for every  $d \leq d'$ . Hence it holds that  $\forall d \in D [d \leq d' \Rightarrow \forall m \in \omega \exists x \in D [d >_m x]]$ . Now we define a strictly decreasing fusion sequence  $\{p_n\}$  in  $D$  as follows. Let  $p_0 = d'$  and  $p_{n+1}$  be an element  $x \in D$  such that  $p_n >_n x$ . Since  $P$  satisfies the condition (A3) and  $D$  is dense, there exists  $q \in P$  and  $r \in D$  such that  $\forall n \in \omega [p_n \geq_n q \geq r]$ . This contradicts that  $D$  is  $\sigma$ -short.  $\square$

**Lemma 2.2.** *Suppose that a fusion poset  $P$  satisfies conditions (C1),(C2) and (C3). If  $P$  is  $\sigma$ -short, then there exists a family  $\{X_n\}_{n \in \omega}$  which satisfy the following three condition.*

(1)  $X_n$  is a pairwise incomparable subset of  $P$ .

(2) If  $x \in X_n, y \in X_m$  and  $n < m$ , then  $y \not\leq x$ .

(3)  $\bigcup_{n \in \omega} X_n$  is a dense subset of  $P$ .

**Proof.** Suppose that  $P$  is  $\sigma$ -short and  $D$  is a  $\sigma$ -short dense subset of  $P$ . Let  $D_0$  be the dense subset of  $P$  as in Lemma 2.1. Then for every  $d \in D_0$ , there exists  $m \in \omega$  such that  $\forall x \in P [d >_m x \Rightarrow x \notin D]$ . Let  $m_d$  be the minimum number of  $\{m \in \omega \mid \forall x \in P [d >_m x \Rightarrow x \notin D]\}$ . Then put  $X_d = \{d' \in D_0 \mid d \sim_{m_d} d', m_d = m_{d'}\}$  for every  $d \in D$ . Then  $X_d$  is a pairwise incomparable subset of  $P$ . It holds that  $X_d = X_{d'}$  if and only if  $d \sim_{m_d} d', m_d = m_{d'}$ , so that  $\{X_d \mid d \in D\}$  is at most countable by (C2). Let  $\{X'_{d_n} \mid n \in \omega\}$  be an enumeration of  $\{X_d \mid d \in D\}$ . We define  $\{X_{d_n} \mid n \in \omega\}$  inductively by  $X_n = X'_{d_n} \setminus \{d \in X'_{d_n} \mid \exists k < n \exists d' \in X_k [d' \leq d]\}$ . Then it holds the second condition. Since  $D_0 = \bigcup_{n \in \omega} X'_{d_n}$  is a dense subset of  $P$ ,  $\bigcup_{n \in \omega} X_n$  is also a dense subset of  $P$ .  $\square$

**Theorem 2.3.** *Suppose that a fusion poset  $P$  satisfies conditions (C1),(C2) and (C3). If  $P$  satisfies the following condition (C4), then  $P$  is not  $\sigma$ -short.*

(C4): *If  $p \in P$  and  $X$  is a pairwise incomparable subset of  $P$ , then for every  $n$  there is  $q \leq_n p$  such that  $r \not\leq q$  for all  $r \in X$ .*

**Proof.** Suppose that  $P$  is  $\sigma$ -short. Then, there exists a family  $\{X_n\}$  which satisfy the conditions as in Lemma 2.2. We define a fusion sequence  $\{p_n\}_{n \in \omega}$  inductively as follows. Put  $p_0 = p$ . Suppose that  $p_n$  is already defined. There exists  $q \leq_n p_n$  such that  $r \not\leq q$  for all  $r \in X_n$  by (C4). Let  $p_{n+1}$  be such an element  $q$ . Then  $\{p_n\}_{n \in \omega}$  is a fusion sequence, so that there exists a fusion  $p_\omega$  of  $\{p_n\}_{n \in \omega}$ . Since  $\bigcup_{n \in \omega} X_n$  is a dense subset of  $P$ , there exists  $n \in \omega$  and  $r \in X_n$  such that  $r \leq p_\omega$ . On the other hand, since  $p_\omega \leq p_{n+1}$ , we have  $r' \not\leq p_\omega$  for all  $r' \in X_n$  by virtue of the definition of  $p_{n+1}$ . This contradicts that  $r \in X_n$  and  $r \leq p_\omega$ .  $\square$

### 3 Frame system

**Definition 3.1.** Let  $(P, \leq, \{\leq_n\}_{n \in \omega})$  be a fusion poset which satisfies (C1), (C2) and (C3). And let  $f$  be a map from  $P \times \omega$  to  $\omega$ . We denote  $\{k \in \omega \mid 0 \leq k \leq f(p, n)\}$  by  $I_{p,n}$  and  $\{k \in \omega \mid 0 \leq k \leq f(\text{stem}_n(p), n)\}$  by  $I_{p,n}^*$ . We say that  $\{a_{p,n,k} \in P \mid n \in \omega, p \in P, 0 \leq k \leq f(p, n)\}$  is a frame system for  $P$  if it satisfies the following conditions.

$$(FS1): \forall n \in \omega \forall p, q \in P [p \sim_n q \Rightarrow f(p, n) = f(q, n)]$$

$$(FS2): \forall n \in \omega \forall p \in P [\{a_{p,n,k}\}_{0 \leq k \leq f(p,n)} \text{ is a partition of } p \text{ and} \\ \{a_{p,n+1,j}\}_{0 \leq j \leq f(p,n+1)} \text{ is a refinement of } \{a_{p,n,k}\}_{0 \leq k \leq f(p,n)}.]$$

$$(FS3): \forall n \in \omega \forall p, q \in P [p \geq_n q \Rightarrow \forall k \in I_{p,n} [a_{p,n,k} \geq_0 a_{q,n,k}]]$$

$$(FS4): \forall p, r \in P [p \geq r \Rightarrow \exists n \in \omega \exists k \in I_{p,n} [a_{p,n,k} \geq_0 r]]$$

$$(FS5): \forall n \in \omega \forall p, r \in P [p \geq r \Rightarrow \\ \exists q \leq_n p [q \geq r \wedge \forall r' \in P [q \geq r' \wedge r \sim_{p,n+1} r' \Rightarrow r \geq r']]]$$

$$(FS6): \forall n \in \omega \forall p, r \in P [p \geq r \Rightarrow \exists r' \in P [r > r' \wedge r \sim_{p,n+1} r']]$$

$$(FS7): \forall n \in \omega \forall p, r \in P [a_{p,n,k} \geq_0 r \Rightarrow a_{p,n,k} \sim_{p,n+1} r]$$

where  $r \sim_{p,n+1} r'$  is defined by  $r \uparrow a_{\text{stem}_n(p), n+1, j} \Leftrightarrow r' \uparrow a_{\text{stem}_n(p), n+1, j}$  for all  $j \in I_{p,n+1}^*$ .

Let  $n \in \omega, p, r \in P$  and  $p \geq r$ . Then by (FS5), we can find  $q \leq_n p$  such that  $q \geq r$  and  $\forall r' \in P [q \geq r' \wedge r \sim_{p,n+1} r' \Rightarrow r \geq r']$ . We denote such element  $q$  by  $p|r$  and call it the  $n$ -amalgamation of  $r$  into  $p$ .

**Example 3.2.** In the following examples, we consider a canonical enumeration of  $2^{<\omega}$  or  $\omega^{<\omega}$ . And, when we enumerate elements of a subset of those sets, we use this canonical enumeration. If  $t$  appears in an enumeration after  $s$ , then we denote it by  $s < t$ .

**Sacks forcing:**  $(P_S, \leq)$  is defined as follows.

$P_S = \{p \mid p \text{ is a perfect tree of } 2^{<\omega}\}$  and  $p \geq q$  iff  $p \supseteq q$ .

We define a partial order  $\leq_n$  by  $p \geq_n q \Leftrightarrow p \geq q$  and  $B_n(p) = B_n(q)$  where  $B_n(p)$  is a set of the  $(n+1)$ -st branching points of  $p$ . For  $p \in P_S$  and  $n \in \omega$ , put  $p^* = \{t \in 2^{<\omega} \mid \exists s \in B_n(p) [t \subseteq s \text{ or } s \subseteq t]\}$ . Then  $p^* \geq_n p$  and  $p' \geq_n p$  implies  $p^* \geq_n p'$ . Hence  $P_S$  satisfies (C1). It holds that  $p \sim_n q$  iff  $B_n(p) = B_n(q)$ . So  $P_S$  satisfies (C2) and (C3).

For  $p \in P_S$  and  $n \in \omega$ , let  $f(p, n) = 2^n - 1$  and  $B_n(p) = \{s_0, \dots, s_{2^n-1}\}$ .

Put  $a_{p,n,k} = p \upharpoonright s_k = \{t \in p \mid t \subseteq s_k \text{ or } s_k \subseteq t\}$ . Then  $\{a_{p,n,k} \in P_S \mid n \in \omega, p \in P_S, 0 \leq k \leq f(p, n)\}$  is a frame system for  $P_S$ .

**Prikry-Silver forcing:**  $(P_{PS}, \leq)$  is defined as follows.

$P_{PS} = \{p \mid p : \text{dom}(p) \rightarrow \{0, 1\}, \text{dom}(p) \text{ is a co-infinite subset of } \omega\}$  and  $p \geq q \Leftrightarrow p \subseteq q$ .

We define a partial order  $\leq_n$  by  $p \geq_n q$  iff  $p \geq q$  and  $[\omega \setminus \text{dom}(p)]_n = [\omega \setminus \text{dom}(q)]_n$  where  $[\omega \setminus \text{dom}(p)]_n$  is a set of the first  $n$  elements of  $\omega \setminus \text{dom}(p)$ . For  $p \in P_{PS}$  and  $n \in \omega$ , let  $k$  be an  $n$ -th element of  $\omega \setminus \text{dom}(p)$  and put  $\text{dom}(p^*) = \{m \in \text{dom}(p) \mid m < k\}$  and  $p^*(m) = p(m)$  for every  $m \in \text{dom}(p^*)$ . Then  $p^* \geq_n p$  and  $p' \geq_n p$  implies  $p^* \geq_n p'$ . Hence  $P_{PS}$  satisfies (C1). It holds that  $p \sim_n q$  iff  $[\omega \setminus \text{dom}(p)]_n = [\omega \setminus \text{dom}(q)]_n$  and  $p \upharpoonright \text{dom}(p) \cap [0, k] = q \upharpoonright \text{dom}(q) \cap [0, k]$ . So  $P_{PS}$  satisfies (C2) and (C3).

For  $p \in P_{PS}$  and  $n \in \omega$ , let  $f(p, n) = 2^n - 1$ ,  $[\omega \setminus \text{dom}(p)]_n = \{\ell_0, \dots, \ell_{n-1}\} (\ell_0 < \ell_1 < \dots < \ell_{n-1})$  and  $\{0, 1\}^n = \{s_0, \dots, s_{2^n-1}\}$ . Put  $a_{p,n,k} = p \cup \{\langle \ell_i, s_k(i) \rangle \mid 0 \leq i < n\}$ . Then  $\{a_{p,n,k} \in P_{PS} \mid n \in \omega, p \in P_{PS}, 0 \leq k \leq f(p, n)\}$  is a frame system for  $P_{PS}$ .

**Mathias forcing:**  $(P_M, \leq)$  is defined as follows.

$P_M = \{(s, S) \mid s \in \omega^{<\omega} \text{ is increasing, } S \text{ is an infinite subset of } \omega \setminus \max(s)\}$  and  $(s, S) \geq (t, T) \Leftrightarrow t \supseteq s, T \subseteq S$  and  $\text{range}(t) \setminus \text{range}(s) \subseteq S$ .

We define a partial order  $\leq_n$  by  $(s, S) \geq_n (t, T)$  iff  $(s, S) \geq (t, T)$ ,  $s = t$  and  $[S]_n = [T]_n$ . For  $p = (s, S) \in P_M$  and  $n \in \omega$ , put  $p^* = (s, \omega \setminus \max(s))$ . Then  $p^* \geq_n p$  and  $p' \geq_n p$  implies  $p^* \geq_n p'$ . Hence  $P_M$  satisfies (C1). It holds that  $(s, S) \sim_n (t, T)$  iff  $s = t$  and  $[S]_n = [T]_n$ . So  $P_M$  satisfies (C2) and (C3).

For  $p = (s, S) \in P_M$  and  $n \in \omega$ , let  $f(p, n) = 2^n - 1$  and  $\mathcal{P}([S]_n) = \{\tau \in \omega^{<\omega} \mid \tau \text{ is increasing, } \text{range}(\tau) \subseteq [S]_n = \{\tau_0, \dots, \tau_{2^n-1}\}\}$ . Put  $a_{p,n,k} = (s \hat{\ } \tau_k, S \setminus [S]_n)$ . Then  $\{a_{p,n,k} \in P_M \mid n \in \omega, p \in P_M, 0 \leq k \leq f(p, n)\}$  is a frame system for  $P_M$ .

**Laver forcing:**  $(P_L, \leq)$  is defined as follows.

$P_L = \{p \mid p \text{ is a tree of } \omega^{<\omega} \text{ which has a stem } s \text{ such that } \forall t \supseteq s [S(t) = \{k \in \omega \mid t \hat{\ } k \in p\} \text{ is infinite}]\}$  and  $p \geq q \Leftrightarrow p \supseteq q$ .

For  $p \in P_L$ , let  $s_0^p = \text{stem}(p)$ ,  $s_1^p, \dots, s_m^p, \dots$  be an enumeration of  $\{t \in p \mid t \supseteq \text{stem}(p)\}$ . We define a partial order  $\leq_n$  by  $p \geq_n q$  iff  $p \geq q$  and  $s_i^p = s_i^q$  for all  $i = 0, \dots, n$ . For  $p \in P_L$  and  $n \in \omega$ ,  $p^* = \{t \in p \mid t \subseteq s_0^p\} \cup \{s_1^p, \dots, s_n^p\} \cup \{t \in$

$\omega^{<\omega} \mid t$  appears in an enumeration of  $\omega^{<\omega}$  after  $s_n^p$ . Then  $p^* \geq_n p$  and  $p' \geq_n p$  implies  $p^* \geq_n p'$ . Hence  $P_L$  satisfies (C1). It holds that  $p \sim_n q$  iff  $s_i^p = s_i^q$  for all  $i = 0, \dots, n$ . So  $P_L$  satisfies (C2) and (C3).

For  $p \in P_L$  and  $n \in \omega$ , let  $f(p, n) = n$  and  $K = \{s_0^p, s_1^p, \dots, s_n^p\}$ . If  $s_k^p$  is a  $\subseteq$ -maximal node among  $K$ , then put  $a_{p,n,k} = \{t \in p \mid t \subseteq s_k^p \text{ or } t \supseteq s_k^p\}$ . Otherwise, put  $a_{p,n,k} = \{t \in p \mid t \subseteq s_k^p \text{ or } [t \supseteq s_k^p \text{ and } \forall j > k [s_j^p \not\subseteq t]]\}$ . Then  $\{a_{p,n,k} \in P_L \mid n \in \omega, p \in P_L, 0 \leq k \leq f(p, n)\}$  is a frame system for  $P_L$ .

**Lemma 3.3.** *Let  $(P, \leq, \{\leq_n\}_{n \in \omega})$  be a fusion poset with a frame system which satisfies (C1), (C2) and (C3). If  $n \in \omega, p, r \in P$  and  $r \leq_0 a_{p,n,k}$ , then we have  $a_{p|r,n,k} = r$ .*

**Proof.** Suppose that  $n \in \omega, p, r \in P$  and  $r \leq_0 a_{p,n,k}$ . Let  $q = p|r$ . Then we have

$$(*) \quad \forall r' \in P [q \geq r' \wedge r \sim_{p,n+1} r' \Rightarrow r \geq r']$$

Since  $\{a_{p,n,k}\}_{0 \leq k \leq f(p,n)}$  is a partition of  $p$  and  $r \leq a_{p,n,k}$ ,  $r$  is not compatible with  $a_{p,n,j}$  for all  $j \neq k$ . By virtue of (FS3), we have  $a_{p,n,j} \geq a_{q,n,j}$ . So  $r$  is not compatible with  $a_{q,n,j}$  for all  $j \neq k$ . Since  $q = p|r \geq r$ , we have  $a_{q,n,k} \geq r$ . On the other hand, we have  $a_{p,n,k} \geq_0 a_{q,n,k}$  by (FS3) and  $a_{p,n,k} \geq_0 r$  by assumption, so that we have  $r \sim_0 a_{q,n,k}$ . Hence by virtue of (FS3),  $a_{q,n,k} \geq_0 r$ . Therefore  $a_{q,n,k} \sim_{q,n+1} r$  by (FS7). Since  $p \geq_n q$ , we have  $a_{q,n,k} \sim_{p,n+1} r$ . So we have  $r \geq a_{q,n,k}$  by (\*). Thus  $a_{q,n,k} = a_{p|r,n,k} = r$ .  $\square$

**Lemma 3.4.** *Let  $(P, \leq, \{\leq_n\}_{n \in \omega})$  be a fusion poset with a frame system which satisfies (C1), (C2) and (C3). Suppose that  $W$  is a partition of  $P$  and  $p \in P$ . Then there exists  $q \leq_0 p$  such that  $q$  is compatible with at most countably many  $r \in W$ .*

**Proof.** Let  $\{a_{p,n,k}\}$  be a frame system for  $P$ . We construct a fusion sequence  $\{p_n\}_{n \in \omega}$  and a sequence of at most countable sets  $\{A_n\}_{n \in \omega}$  inductively as follows. Let  $p_0 = p$  and  $A_0 = \emptyset$ . Given  $p_n$  and  $A_n$ , we shall define  $\{q_n^k\}_{0 \leq k \leq f(p,n)}$  and  $\{A_n^k\}_{0 \leq k \leq f(p,n)}$  as follows. Let  $q_n^0 = p_n, A_n^0 = A_n$ . Suppose that we already have  $q_n^k$  and  $A_n^k$ . If there exists  $p'$  and  $r \in W$  such that  $a_{q_n^k,n,k} \geq_0 p'$  and  $r \geq p'$ , then we put  $q_n^{k+1} = q_n^k | p'$  and  $A_n^{k+1} = A_n^k \cup \{r\}$ . Otherwise let  $q_n^{k+1} = q_n^k$  and  $A_n^{k+1} = A_n^k$ . Finally, we put  $p_{n+1} = q_n^{f(p,n)+1}$  and  $A_{n+1} = A_n^{f(p,n)+1}$ . Since  $p_n \geq_n p_{n+1}$ ,  $\{p_n\}_{n \in \omega}$  is a fusion sequence. Thus,  $\{p_n\}_{n \in \omega}$  has a fusion  $p_\omega$  by (A3).  $p_\omega \leq_n p_n$  for all  $n \in \omega$ . Let  $A = \bigcup_{n=0}^{\infty} A_n$ .  $A$  is at most countable by the construction of  $A_n$ . We shall show that  $p_\omega$  is compatible with at most countably many  $r \in W$ . Since  $A$  is at most countable and  $W$  is a partition of  $P$ , it suffices to show that for all  $q \leq p_\omega$  there exists  $r \leq q$  such that  $r \in W \cap A$ . Let  $q \leq p_\omega$ . Since  $W$  is a partition of  $P$ , we can find  $q'$  and  $r \in W$  such that  $q' \leq q$  and  $q' \leq r$ . Since  $q' \leq q \leq p_\omega$ ,  $q' \leq_0 a_{p_\omega,n,k}$  for some  $n, k \in \omega$  by (FS4). Then we have  $q' \leq_0 a_{p_\omega,n,k} \leq_0 a_{q_n^k,n,k}$  by (FS3). Hence by the construction of  $q_n^k$ , and  $A_n^k$ ,  $q_n^{k+1} = q_n^k | q'$  and  $A_n^{k+1} = A_n^k \cup \{r\}$  for some  $q'$

and  $r^* \in W$  such that  $a_{q_n^k, n, k} \geq_0 q^*$  and  $r \geq q^*$ . Then we have  $r^* \in W \cap A$ . By Lemma 3.3,  $a_{q_n^k | q^*, n, k} = q^*$ , so that  $q' \leq_0 a_{p_\omega, n, k} \leq_0 a_{q_n^{k+1}, n, k} = a_{q_n^k | q^*, n, k} = q^* \leq r^*$ . Hence  $r$  and  $r^*$  are compatible. Hence we have  $r = r^* \in W \cap A$ .  $\square$

**Lemma 3.5.** *If  $(P, \leq, \{\leq_n\}_{n \in \omega})$  is a fusion poset with a frame system which satisfies (C1), (C2) and (C3), then  $(P, \leq, \{\leq_n\}_{n \in \omega})$  satisfies (A4).*

**Proof.** Let  $\{a_{p, n, k}\}$  be a frame system for  $P$ ,  $W$  be a partition of  $P$  and  $p \in P$ . We shall show that there exists  $q \leq_n p$  such that  $q$  is compatible with at most countably many  $r \in W$ . We construct a sequence  $\{q_k\}_{0 \leq k \leq f(p, n)+1}$  inductively such that  $q_{k+1} \leq_n q_k$  for all  $k$ . Let  $q_0 = p$ . Suppose that we already have  $q_k$ . By virtue of Lemma 3.4, there exists  $p_k \leq_0 a_{q_k, n, k}$  such that  $p_k$  is compatible with at most countably many  $r \in W$ . Then put  $q_{k+1} = q_k | p_k$ .  $a_{q_{k+1}, n, k} = p_k$  by Lemma 3.3. Finally we put  $q = q_{f(p, n)+1}$ . Then we have  $q \leq_n p$  and  $a_{q, n, k} \leq a_{q_{k+1}, n, k} = p_k$  for all  $k$ . If  $r \in W$  is compatible with  $q$ , then  $r$  is compatible with  $a_{q, n, k}$  for some  $k$ , so that  $r$  is compatible with  $p_k$  for some  $k$ . Since  $p_k$  is compatible with at most countable many  $r \in W$ ,  $q$  is also compatible with at most countable many  $r \in W$ .  $\square$

**Lemma 3.6.** *If  $(P, \leq, \{\leq_n\}_{n \in \omega})$  is a fusion poset with a frame system which satisfies (C1), (C2) and (C3), then  $(P, \leq, \{\leq_n\}_{n \in \omega})$  satisfies (C4).*

**Proof.** Let  $\{a_{p, n, k}\}$  be a frame system for  $P$ ,  $X$  be a pairwise incomparable subset of  $P$  and  $p \in P$ . We shall show that there exists  $q \leq_n p$  such that  $r \not\leq q$  for all  $r \in X$ . If there exists no  $r \in X$  such that  $r \leq p$ , then we put  $q = p$ . So we assume that there exists  $r \in X$  such that  $r \leq p$ . Let  $\ell = f(\text{stem}_n(p), n+1)$  and  $\mathcal{P}(I_{p, n+1}^*) = \{t_1, \dots, t_{2^{\ell+1}}\}$ . We construct a  $\{q_k\}_{0 \leq k \leq 2^{\ell+1}+1}$  inductively such that  $q_{k+1} \leq_n q_k$  for all  $k$ . Put  $q_0 = p$ . Suppose that we already have  $q_k$ . In the following, we denote  $\{j \mid r \uparrow a_{\text{stem}_n(p), n+1, j}\}$  by  $C(r)$ . If there exists  $r \in X$  such that  $r \leq q_k$  and  $C(r) = t_k$ . We pick such an element  $r$  and take  $\tilde{r} < r$  such that  $r \sim_{p, n+1} \tilde{r}$  by (FS6). Then put  $q_{k+1} = q_k | \tilde{r}$ . If there exists no  $r \in X$  such that  $r \leq q_k$  and  $C(r) = t_k$ , then put  $q_{k+1} = q_k$ . Finally we put  $q = q_{2^{\ell+1}+1}$ . By virtue of the definition, we have  $q \leq_n p$ . So we shall show that  $q \not\leq r$  for all  $r \in X$ . Suppose that  $q \geq r$  for some  $r \in X$ . Put  $t = C(r)$ . Then  $t = t_k$  for some  $k$ . Thus we have  $q_k \geq q \geq r$  and  $C(r) = t_k$ . So, by the definition of the sequence  $\{p_k\}$ , we have defined  $q_{k+1} = q_k | \tilde{r}$  where  $\tilde{r} < r^*$ ,  $\tilde{r} \sim_{p, n+1} r^*$  and  $C(r^*) = t_k$  for some  $r^* \in X$ . Then  $C(\tilde{r}) = C(r^*) = t_k = C(r)$ . Since  $q_k | \tilde{r} = q_{k+1} \geq q \geq r$ ,  $\tilde{r} \geq r$  by (FS5). Hence we have  $r^* > \tilde{r} \geq r$  and  $r^*, r \in X$ . This contradicts that  $X$  is a pairwise incomparable subset of  $P$ .  $\square$

By virtue of Theorem 2.3 and Theorem 3.6, we have

**Theorem 3.7.** *If  $(P, \leq, \{\leq_n\}_{n \in \omega})$  is a fusion poset with a frame system which satisfies (C1), (C2) and (C3), then  $(P, \leq, \{\leq_n\}_{n \in \omega})$  is not  $\sigma$ -short.*

## 4 Mildenberger's finiteness property

In [1], Mildenberger defined the finiteness property for Axiom A posets. It is defined as follows.

**Definition 4.1.** *An Axiom A poset  $(P, \leq, \{\leq_n\}_{n \in \omega})$  whose elements are subsets of  $2^{<\omega}$  or of  $\omega^{<\omega}$  has the finiteness property iff*

- (1)  $p \geq q$  implies  $p \supseteq q$ ,
- (2) there is a function  $f : P \times \omega \longrightarrow \omega$  such that for every  $n, p, q$ ,

$$p \geq_n q \text{ iff } p \geq q \text{ and } q \cap f(p, n)^{f(p, n)} = p \cap f(p, n)^{f(p, n)}.$$

In the case of  $2^{<\omega}$ , we can write  $2^{f(p, n)}$  instead of  $f(p, n)^{f(p, n)}$ .

We assume that elements of  $P$  are trees. We say that  $P$  has the uniform finiteness property if it has the finiteness property and for every  $n \in \omega, p, q \in P$ ,  $p \geq_n q$  implies  $f(p, n) = f(q, n)$ . For  $p \in P$ ,  $s \in p$  is called the stem of  $p$  if (i): for every  $t \in p$ ,  $s \subseteq t$  or  $t \subseteq s$ , and (ii):  $p$  is a branching point, i.e.,  $s$  has at least two successors in  $p$ . We denote the stem of  $p$  as  $st(p)$ . If  $\sigma$  is a finite subtree of  $p$ , we denote it by  $\sigma \in p$ . We say that  $t \in \sigma$  is a  $\sigma$ -branching point of  $p$  if there exists  $k \in \omega$  such that  $t \hat{\ } \langle k \rangle \in p$  and  $t \hat{\ } \langle k \rangle \notin \sigma$ . We denote the set of  $\sigma$ -branching points of  $p$  by  $\sigma^b$ . Let  $\sigma_p^n = \{t \in \omega^{<\omega} \mid \exists s \in p \cap f(p, n)^{f(p, n)} [t \subseteq s]\}$ . Then every element of  $p \cap f(p, n)^{f(p, n)}$  is a  $\sigma_p^n$ -branching point of  $p$ . Let  $p \geq r$  and  $t \in \sigma^b$ . Then we say that  $t$  is a  $r$ - $\sigma$ -branching point of  $p$  if there exists  $s \in r$  such that  $t \subsetneq s$  and  $\forall k \in \omega [t \hat{\ } \langle k \rangle \subseteq s \Rightarrow t \hat{\ } \langle k \rangle \notin \sigma]$ . We denote the set of  $r$ - $\sigma$ -branching points of  $p$  by  $\sigma^{b, r}$ . For  $p \geq r, r'$  and  $\sigma \in p$ , we define  $r \approx_\sigma r'$  if and only if  $r \cap \sigma = r' \cap \sigma$  and  $\sigma^{b, r} = \sigma^{b, r'}$ .

We say that  $P$  has enough elements if  $P$  satisfies the following

- (1)  $I = 2^{<\omega}$  or  $\omega^{<\omega} \in P$ ,
- (2) for every  $r \in P$ , there exists  $r' \in P$  such that  $r > r'$  and  $st(r) = st(r')$ ,
- (3) for every  $p \in P$ ,

$$p^* = I \setminus \{t \in I \mid t \not\subseteq p, \exists s \in (f(p, n)^{f(p, n)} \setminus p) [s \subseteq t \text{ or } t \subseteq s]\} \in P,$$

- (4) for every  $p \in P$  and  $s \in p$ ,

$$p \upharpoonright s = \{t \in p \mid t \subseteq s \text{ or } s \subseteq t\} \in P,$$

- (5) for every  $p \in P$  and  $r \leq p$ ,

$$p \upharpoonright r = r \cup \{t \in p \mid t \not\subseteq st(r) \text{ and } st(r) \not\subseteq t\} \in P.$$



**Lemma 4.2.** *Let  $(P, \leq, \{\leq_n\}_{n \in \omega})$  be an Axiom A poset with uniform finiteness property which has enough elements. Then for every  $n \in \omega, p \in P$  and  $p \geq r$ , there exists  $r' < r$  such that  $r \approx_{\sigma_p^n} r'$ .*

Proof: If  $r \cap f(p, n)^{f(p, n)} = \emptyset$ , then pick any  $r'$  such that  $r > r'$ . Otherwise, let  $s \in r \cap f(p, n)^{f(p, n)}$ . Pick  $r_0$  such that  $r \upharpoonright s > r_0$  and  $st(r \upharpoonright s) = st(r_0)$  and let  $r' = r \upharpoonright r_0$ . Then we have  $r \approx_{\sigma_p^n} r'$ .

**Theorem 4.3.** *Let  $(P, \leq, \{\leq_n\}_{n \in \omega})$  be an Axiom A poset with uniform finiteness property which has enough elements. Then we have*

(1)  $P$  satisfies (C1), (C2) and (C3).

(2) If  $(P, \leq, \{\leq_n\}_{n \in \omega})$  satisfies the following strong amalgamation property (AP), then  $P$  is not  $\sigma$ -short.

$$(AP) : \forall n \in \omega \forall p \in P \forall r \in P [p \geq r \Rightarrow \\ \exists q \leq_n p [q \geq r \wedge \forall r' \in P [q \geq r' \wedge r \approx_{\sigma_p^n} r' \Rightarrow r \geq r']]]$$

Proof. (1): Since  $P$  has enough elements,  $P$  satisfies (C1). Then  $p \sim_n q$  if and only if  $f(p, n) = f(q, n)$  and  $p \cap f(p, n)^{f(p, n)} = q \cap f(q, n)^{f(q, n)}$  by (C1) and the finiteness property. So it is easy to show that  $P$  satisfies (C2) and (C3).

(2): Suppose that  $P$  satisfies (AP). By virtue of (1),  $P$  satisfies (C1), (C2) and (C3). Hence by Theorem 2.3, it is sufficient to show that  $P$  satisfies (C4). At first, we shall show that the following claim

**Claim 4.4.** *If  $p \in P$  and  $X$  is a pairwise incomparable subset of  $P$  with same stem, then for every  $n$  there is  $q \leq_n p$  such that  $r \not\leq q$  for all  $r \in X$ .*

Proof: Let  $p \in P, n \in \omega$  and  $\forall r \in X [st(r) = t^*]$ . If  $p \not\leq r$  for all  $r \in X$ , then put  $q = p$ . We assume that  $p \geq r$  and  $r \in X$ . If  $st(r) \notin \sigma_p$ , then put  $q = p \upharpoonright r'$  for some  $r'$  such that  $r > r'$  and  $st(r) = st(r')$ . Then  $q \leq_n p$  and  $q \not\leq r^*$  for all  $r^* \in X$ . So we assume that  $st(r) \in \sigma_p$ . Put  $K = \{(\rho, \tau) \mid \tau \subseteq \rho \subseteq \sigma_p\} = \{(\rho_1, \tau_1), \dots, (\rho_\ell, \tau_\ell)\}$ . We define inductively  $\{q_k\}_{0 \leq k \leq \ell+1}$  such that  $q_k \leq_n p$  as follows: Let  $q_0 = p$ . Suppose that  $q_k$  is already defined. If there exists  $r \in X$  such that  $q_k \geq r, \rho_k = r \cap f(p, n)^{f(p, n)}$  and  $\tau_k = \sigma^{b, r}$ , let  $r'$  be  $r > r'$  and  $r \approx_{\sigma_p^n} r'$ , and  $q_{k+1}$  be defined such that  $q_{k+1} \geq r' \wedge \forall r'' \in P [q_k \geq r'' \wedge r' \approx_{\sigma_p^n} r'' \Rightarrow r' \geq r'']$  by (AP). Otherwise,  $q_{k+1} = q_k$ . Finally, put  $q = q_{\ell+1}$ . We shall show that  $q \not\leq r$  for all  $r \in X$ . Suppose that  $q \geq r$  for some  $r \in X$ . Put  $\rho = r \cap f(p, n)^{f(p, n)}$  and  $\tau = (\sigma_p^n)^{b, r}$ . Then there exists  $(\rho_k, \tau_k) = (\rho, \tau)$  for some  $k$ . Since  $q_k \geq q \geq r, \rho_k = r \cap f(p, n)^{f(p, n)}$  and  $\tau_k = \sigma^{b, r}$ ,  $q_{k+1}$  is defined from  $r^*$  and  $r'$  such that  $\rho_k = r^* \cap f(p, n)^{f(p, n)}, \tau_k = \sigma^{b, r^*}, r^* > r', r^* \approx_{\sigma_p^n} r', q_k \geq r'$  and  $\forall r'' \in P [q_{k+1} \geq r'' \wedge r' \approx_{\sigma_p^n} r'' \Rightarrow r' \geq r'']$ . Since  $q_{k+1} \geq q \geq r$  and

$r \approx_{\sigma_p} r^* \approx_{\sigma_p} r'$ , we have  $r^* > r' \geq r$ . This contradict that  $X$  is a pairwise incomparable subset of  $P$ . Hence  $q \not\geq r$  for all  $r \in X$ .  $\square$

By using Claim 4.4, we can easily show that  $P$  satisfies (C4), since  $P$  satisfies (A3) and  $X = \bigcup_{s \in \omega^{<\omega}} \{r \in X \mid st(r) = s\}$ .  $\square$

## 5 Hechler forcing

In this section we show that Hechler forcing which adds a strictly increasing function from  $\omega$  to  $\omega$  is not  $\sigma$ -short.

The Hechler forcing  $P$  is defined as follows.

$$(s, f) \in P \iff s \in \omega^{<\omega} \wedge f \in \omega^\omega \wedge s \subseteq f \wedge f \text{ strictly increasing}$$

$$(s, f) \leq (t, g) \iff s \supseteq t \wedge \forall n \in \omega [f(n) \geq g(n)]$$

To prove that  $P$  is not  $\sigma$ -short, we need the following lemma proved by Todorćević.

**Lemma 5.1** (Todorćević[5]). *Suppose  $\{a_\alpha \mid \alpha < \theta\} \subseteq \omega^\omega$  is  $<^*$ -increasing and  $<^*$ -unbounded in  $\omega^\omega$  and that each  $a_\alpha$  is an increasing function. Then there exists  $\alpha < \beta < \theta$  such that  $a_\alpha \leq a_\beta$ .*

**Theorem 5.2.** *If  $P$  is  $\sigma$ -short, then  $(\omega^\omega, \leq)$  is  $\sigma$ -short.*

Proof: Let  $D$  be a  $\sigma$ -short dense subset of  $P$ . For  $(s, f) \in D$ , put  $f_s(0) = |s|$ ,  $f_s(n) = |s| + 1 + f(n-1)$ . Since  $f$  is strictly increasing,  $f_s$  is also strictly increasing. Then put  $D_0 = \{f_s \mid (s, f) \in D\}$ . We shall show that  $D_0$  is a dense  $\sigma$ -short subset of  $(\omega^\omega, \leq)$ . Let  $g \in \omega^\omega$ . W.l.o.g we may assume that  $g$  is strictly increasing. Put  $g^*(n) = g(n) + g(n+1)$ . Then  $g^*$  is strictly increasing. Put  $t = g^* \upharpoonright g^*(0)$ . Since  $D$  is dense in  $P$ , there exists  $(s, f) \in D$  such that  $(t, g^*) \geq (s, f)$ . Then  $f_s(0) = |s| \geq |t| \geq g(0)$  and  $f_s(n) = |s| + 1 + f(n-1) \geq g^*(n-1) = g(n) + g(n-1) \geq g(n)$ . So we have  $f_s \geq g$ . Hence  $D_0$  is a dense subset of  $(\omega^\omega, \leq)$ . We shall show that  $D_0$  is  $\sigma$ -short. Suppose that  $\{f_{s_n}^n\}$  is strictly increasing sequence in  $D_0$ . We show that  $\{f_{s_n}^n(i)\}$  is unbounded for some  $i$ . If  $\lim_{n \rightarrow \infty} |s_n| = \infty$ , then  $\{f_{s_n}^n(0)\}$  is unbounded in  $\omega$ . So w.l.o.g we assume that  $|s_n| = k$  for all  $n \in \omega$ . If  $\{s_n(i)\}$  is unbounded for some  $i < k$ , then  $\{f_{s_n}^n(i+1)\}$  is unbounded in  $\omega$ . Hence we assume that  $\{s_n(i)\}$  is bounded in  $\omega$  for all  $i < k$ . Then there exists  $s$  such that  $\{s_n \mid s = s_n\}$  is infinite. W.l.o.g we assume that  $s = s_n$  for all  $n \in \omega$ . Since  $f^n(i) = f^n(i+1) - |s_n| - 1 = f^n(i+1) - |s| - 1$ , we have  $(s, f^1) \geq (s, f^2) \geq \dots$ . Since  $(s, f^i) \in D$  and  $D$  is  $\sigma$ -short,  $\{f_{s_n}^n(i)\}$  is unbounded for some  $i$ .  $\square$

Put  $D_1 = \{f \in D_0 \mid \exists n \forall g \in \omega^\omega [f \upharpoonright n = g \upharpoonright n \wedge f \not\leq g \implies g \notin D_0]\}$ .

**Claim 5.3.**  $D_1$  is a dominating family of  $(\omega^\omega, \leq)$ .

Proof. Suppose not. Then there exists  $f \in \omega^\omega$  such that  $g \notin D_1$  for every  $f \leq g$ . That is, it holds that for every  $g \geq f$

$$\forall n \exists h \in \omega^\omega [g \upharpoonright n = h \upharpoonright n \wedge g \not\leq h \wedge h \in D_0]$$

Let  $g_0 \in D_0$  be such that  $f \not\leq g_0$ . Then we have  $g_0 \notin D_1$ . Hence there exists  $g_1 \in D_0$  such that  $g_1 \upharpoonright 1 = g_0 \upharpoonright 1 \wedge g_0 \not\leq g_1$ . Since  $f \not\leq g_0 \not\leq g_1$ , we have  $g_1 \notin D_1$ . So Hence there exists  $g_2 \in D_0$  such that  $g_2 \upharpoonright 1 = g_1 \upharpoonright 1 \wedge g_1 \not\leq g_2$ . Continuing this construction, we have  $\{g_n\}$  such that  $\forall n \in \omega [g_n \upharpoonright n + 1 = g_{n+1} \upharpoonright n + 1 \wedge g_n \not\leq g_{n+1}]$ . There exists  $g_\omega$  such that  $g_n \leq g_\omega$  for all  $n \in \omega$ . Since  $D_0$  is dense, there exists  $h \in D_0$  such that  $g_\omega \leq h$ . But this contradicts that  $D_0$  is  $\sigma$ -short.  $\square$

For  $n \in \omega, t \in \omega^{<\omega}$ , put

$$D_t^n = \{f \in D_1 \mid t = f \upharpoonright n \wedge \forall g \in \omega^\omega [t = g \upharpoonright n \wedge f \not\leq g \implies g \notin D_0]\}.$$

Since  $D_1 = \bigcup_{n \in \omega} \bigcup_{t \in \omega^{<\omega}} D_t^n$  and  $D_1$  is a dominating family of  $(\omega^\omega, \leq)$ ,  $D_t^n$  is a dominating family of  $(\omega^\omega, \leq^*)$  for some  $n \in \omega$  and  $t \in \omega^{<\omega}$ . Let  $D_t^n$  be such a dominating family.

**Claim 5.4.** *Elements of  $D_t^n$  are mutually incomparable.*

Proof. Suppose that  $f, g \in D_t^n$  and  $f \neq g$ . Since  $t = f \upharpoonright n = g \upharpoonright n$  and  $f, g \in D_0$ , we have  $f \not\leq g$  and  $g \not\leq f$ .  $\square$

On the other hand, every dominating family of  $(\omega^\omega, \leq^*)$  has a  $<^*$ -increasing and  $<^*$ -unbounded subset, so that  $D_t^n$  has comparable different elements by Lemma 5.1. This contradict to Claim 5.4.  $\square$

## References

- [1] H. Mildenberger, *The club principle and the distributivity number*, Journal of Symbolic Logic, Vol. 76 No.1,2011, pp. 34-46
- [2] M. Takahashi, *On Strongly  $\sigma$ -Short Boolean Algebras*, Proceedings of General Topology Symposium held in Kobe, 2002, pp 74-79
- [3] M. Takahashi, *On non  $\sigma$ -short Axiom A posets (in Japanese)*, Abstracts of MSJ Spring Meeting 2011.
- [4] M. Takahashi and Y. Yoshinobu,  *$\sigma$ -short Boolean algebras*, Mathematical Logic Quarterly, Vol. 49 No. 6, 2003, pp 543-549
- [5] S. Todorečević, *Remarks on cellularity in products*, Compositio Mathematica, tome 57, No. 3, 1986, pp 357-372

Graduate School of Human Development and Environment  
Kobe University  
Tsurukabuto, Nada, Kobe 657-8501  
JAPAN  
E-mail address: [makoto@kobe-u.ac.jp](mailto:makoto@kobe-u.ac.jp)

神戸大学・人間発達環境学研究科 高橋 真