

# On the domain of a Schrödinger operator with complex potential – Old and New –

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The aim of this talk is to review and compare the spectral properties of (the closed extension of)  $-\Delta + U$  ( $U \geq 0$ ) and  $-\Delta + iV$  in  $L^2(\mathbb{R}^d)$  for  $C^\infty$  potentials  $U$  or  $V$  with polynomial behavior.

The case with magnetic field is also considered. More precisely, we would like to compare the criteria for:

- essential selfadjointness (**esa**) or maximal accretivity (**maxacc**)
- Compactness of the resolvent.
- Maximal inequalities,

for these operators.

By  $L^2$ -maximal inequalities, we mean the existence of  $C > 0$  s. t.

$$\|u\|_{H^2}^2 + \|Uu\|_{L^2}^2 \leq C (\|(-\Delta + U)u\|_{L^2}^2 + \|u\|_{L^2}^2), \quad \forall u \in C_0^\infty(\mathbb{R}^d), \quad (0.1)$$

or

$$\|u\|_{H^2}^2 + \|Vu\|_{L^2}^2 \leq C (\|(-\Delta + iV)u\|_{L^2}^2 + \|u\|_{L^2}^2), \quad \forall u \in C_0^\infty(\mathbb{R}^d). \quad (0.2)$$

We will also discuss the magnetic case:

$$P_{\mathbf{A},V} = -\Delta_{\mathbf{A}} + W := \sum_{j=1}^d (D_{x_j} - A_j(x))^2 + W(x),$$

(with  $W = U + iV$ ) and the notion of maximal regularity is expressed in terms of the magnetic Sobolev spaces:

$$\begin{aligned} & \| (D - \mathbf{A})u \|_{L^2(\mathbb{R}^d, \mathbb{C}^d)}^2 \\ & + \sum_{j,\ell} \| (D_j - A_j)(D_\ell - A_\ell)u \|_{L^2(\mathbb{R}^d)}^2 \\ & + \| |W|u \|_{L^2(\mathbb{R}^d)}^2 \\ & \leq C \left( \| P_{\mathbf{A},W}u \|_{L^2(\mathbb{R}^d)}^2 + \|u\|_{L^2(\mathbb{R}^d)}^2 \right), \end{aligned} \quad (0.3)$$

The question of analyzing  $-\Delta + iV$  or more generally  $P_{\mathbf{A},iV} := -\Delta_{\mathbf{A}} + iV$  appears in many situations:

- Time dependent Ginzburg-Landau theory leads for example to the spectral analysis of

$$D_x^2 + (D_y - \frac{x^2}{2})^2 + iy$$

Here  $\text{curl } \mathbf{A} = x$  vanishes along a line.

- Control theory
- Bloch-Torrey (complex Airy) equation

$$-\Delta + ix$$

- Spectral analysis of the complex harmonic oscillator.

Moreover, in some of the applications,  $V$  does not satisfy necessarily a sign condition  $V \leq 0$  as for dissipative systems.

After reviewing all the main results devoted to this question in the selfadjoint case, we will show that similar results can be proved in the case of a complex potential. These recent results have been obtained in collaboration with Y. Almog and J. Nourrigat.

Below, we give a selected non exhaustive bibliography.

## References

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