

# A method for computing generic L $\hat{e}$ numbers associated with non-isolated hypersurface singularities

By

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## Abstract

L $\hat{e}$  cycles and L $\hat{e}$  numbers introduced by D. Massey are considered in the context of symbolic computation. A method for computing generic L $\hat{e}$  numbers is proposed. Keys of the proposed method are the use of parametric saturations in polynomial rings and of parametric local cohomology systems.

## § 1. Introduction

In 1991, D. Massey studied non-isolated hypersurface singularities and introduced the concept of L $\hat{e}$  cycles and that of L $\hat{e}$  numbers ([8], [9]). The L $\hat{e}$  numbers are generalization of the Milnor number. D. Massey showed, among other things, in particular that the alternating sum of L $\hat{e}$  numbers is equal to the reduced Euler characteristic of the Milnor fibre. He also gave in [8], [9], a method for computing L $\hat{e}$  cycles and L $\hat{e}$  numbers. However, as L $\hat{e}$  numbers depend on the choice of coordinate systems used in computation, they are not invariants of singularities. In contrast, generic L $\hat{e}$  numbers are complex analytic invariants of singularities (remark 9.1 in [10]). A problem comes from fact that no effective way for computing generic L $\hat{e}$  numbers is known.

In a series of papers, by using the language of derived category and the theory of perverse sheaf and micro-support, D. Massey has developed and generalised the theory of L $\hat{e}$  cycles and L $\hat{e}$  numbers in more general context. Nowadays, L $\hat{e}$  cycles and L $\hat{e}$  numbers are extensively studied by several authors ([1], [2], [4], [6]). Note in particular, as T. Gaffney pointed out, that L $\hat{e}$  cycles and generic L $\hat{e}$  numbers are closely related with holonomic D-modules associated with hypersurface singularities ([3], [10]) It is therefore desirable to establish an effective method for computing generic L $\hat{e}$  numbers.

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We propose in this paper an effective method for computing generic L\^e numbers. The main idea of our approach is the use of a family of coordinate systems. Key tools are parametric Gr\^obner systems [13], [19] and parametric local cohomology systems [15]. We show that these two tools allow us to compute generic L\^e numbers without choosing a generic coordinate system.

§ 2. Polar variety and L\^e cycle

In this section, we recall some basics on polar varieties and L\^e cycles.

Let  $X$  be an open neighbourhood of the origin  $\mathcal{O}$  in  $\mathbb{C}^{n+1}$ . Let  $h$  be a holomorphic function defined on  $X$ ,  $S$  the hypersurface  $S = \{x \in X \mid h(x) = 0\}$  defined by  $h$ . Let  $\Sigma_h$  denote the singular set of  $S$  :

$$\Sigma_h = \{x \in S \mid h(x) = \frac{\partial h}{\partial x_0}(x) = \frac{\partial h}{\partial x_1}(x) = \dots = \frac{\partial h}{\partial x_n}(x) = 0\}.$$

Let  $s$  be the dimension at  $\mathcal{O}$  of the singular set  $\Sigma_h$ .

Now let us briefly recall a method given by D. Massey for computing L\^e cycles and L\^e numbers. Suppose that a system of coordinates  $z = (z_1, z_1, \dots, z_n)$  is given. Assume that it is generic enough.

**Remark** D. Massey introduced in [8] several notion of genericity. We refer the reader to [8], [9] for details.

For  $s < k \leq n$ , set

$$J_{h,z}^{(k)} = \left( \frac{\partial h}{\partial z_k}, \frac{\partial h}{\partial z_{k+1}}, \dots, \frac{\partial h}{\partial z_n} \right), \quad I_{\Gamma_{h,z}}^{(k)} = J_{h,z}^{(k)} \subset \mathcal{O}_X$$

$$Z_{h,z}^{(k)} = V(J_{h,z}^{(k)}), \quad \Gamma_{h,z}^{(k)} = Z_{h,z}^{(k)}.$$

For  $k = s$ , set

$$J_{h,z}^{(s)} = \left( \frac{\partial h}{\partial z_s}, I_{\Gamma_{h,z}}^{(s+1)} \right), \quad I_{\Gamma_{h,z}}^{(s)} = J_{h,z}^{(s)} : I_{\Sigma_h}^\infty, \text{ (saturation)}$$

$$Z_{h,z}^{(s)} = V(J_{h,z}^{(s)}), \quad \Gamma_{h,z}^{(s)} = V(I_{\Gamma_{h,z}}^{(s)})$$

and

$$I_{h,z}^{(s)} = J_{h,z}(s) : (I_{\Gamma_{h,z}}^{(s)})^\infty, \quad \Lambda_{h,z}^{(s)} = V(I_{h,z}^{(s)}).$$

For  $0 < k < s$ , set

$$J_{h,z}^{(k)} = \left( \frac{\partial h}{\partial z_k}, I_{\Gamma_{h,z}}^{(k+1)} \right), \quad I_{\Gamma_{h,z}}^{(k)} = J_{h,z}^{(k)} : I_{\Sigma_h}^\infty,$$

$$Z_{h,z}^{(k)} = V(J_{h,z}^{(k)}), \quad \Gamma_{h,z}^{(k)} = V(I_{\Gamma_{h,z}}^{(k)})$$

and

$$I_{h,z}^{(k)} = J_{h,z}(k) : (I_{\Gamma_{h,z}}^{(k)})^\infty, \quad \Lambda_{h,z}^{(k)} = V(I_{h,z}^{(k)}).$$

For  $k = 0$ , set

$$J_{h,z}^{(0)} = \left(\frac{\partial h}{\partial z_0}, I_{\Gamma_{h,z}}^{(1)}\right), \quad Z_{h,z}^{(0)} = V(J_{h,z}^{(0)}),$$

and

$$I_{h,z}^{(0)} = J_{h,z}(0), \quad \Lambda_{h,z}^{(0)} = V(I_{h,z}^{(0)}).$$

$\Gamma_{h,z}^{(k)}$  and  $\Lambda_{h,z}^{(k)}$  are called polar variety and Lê cycles (or Lê variety) respectively.

Under the genericity condition, we have

**Proposition 2.1.** ([8], [9])

- (i)  $\dim \Lambda_{h,z}^{(k)} = k$
- (ii)  $\Gamma_{h,z}^{(k+1)} = \cup_{i \leq k} \Lambda_{h,z}^{(i)}$
- (iii)  $\Sigma_h = \cup_{k \leq s} \Lambda_{h,z}^{(k)}$

The intersection numbers at the origin  $\mathcal{O}$

$$\gamma_{h,z}^{(k)} = (V(z_0, z_1, \dots, z_{k-1}) \cdot \Gamma_{h,z}^{(k)})_{\mathcal{O}}, \quad \lambda_{h,z}^{(k)} = (V(z_0, z_1, \dots, z_{k-1}) \cdot \Lambda_{h,z}^{(k)})_{\mathcal{O}},$$

are called polar multiplicity and Lê number respectively.

Note that if we define

$$\zeta_{h,z}^{(k)} = (V(z_0, z_1, \dots, z_{k-1}) \cdot Z_{h,z}^{(k)})_{\mathcal{O}}$$

then we have

**Proposition 2.2.** ([10])

- (i)  $\zeta_{h,z}^{(k)} = \gamma_{h,z}^{(k)} + \lambda_{h,z}^{(k)}, \quad 1 \leq k$
- (ii)  $\zeta_{h,z}^{(0)} = \lambda_{h,z}^{(0)}$

The result above will be used in the next section for computing generic Lê numbers.

The following example is taken from a paper of A. Zaharia [20].

**Example 2.3.**

Let  $h(x_1, x_2, y_1, y_2) = y_1^2(y_1 + x_1^3 + x_2^2) + y_2^2$  and set  $S = \{x \in \mathbb{C}^4 \mid h(z) = 0\}$ , where  $x = (x_1, x_2, y_1, y_2)$ . The singular locus  $\Sigma_{h,x}$  of the hypersurface  $S$  is

$$\Sigma_{h,x} = \{(x_1, x_2, 0, 0) \mid x_1, x_2 \in \mathbb{C}\} \cong \mathbb{C}^2 \subset \mathbb{C}^4.$$

The dimension  $s$  of  $\Sigma_{h,x}$  is equal to 2.

$$J_{h,x}^{(3)} = \left( \frac{\partial h}{\partial y_2} \right) = (y_2), \quad Z_{h,x}^{(3)} = V(J_{h,x}^{(3)}),$$

$$I_{\Gamma_{h,x}}^{(3)} = (y_2), \quad \Gamma_{h,x}^{(3)} = \{(x_1, x_2, y_1, 0) \mid x_1, x_2, y_1 \in \mathbb{C}\}.$$

Since

$$J_{h,x}^{(2)} = \left( \frac{\partial h}{\partial y_1}, y_2 \right) = (2(x_1^3 + x_2^2)y_1 + 3y_1^2, y_2),$$

we have

$$I_{\Gamma_{h,x}}^{(2)} = J_{h,x}^{(2)} : I_{\Sigma}^{\infty} = (2(x_1^3 + x_2^2) + 3y_1, y_2), \quad I_{h,x}^{(2)} = (y_1, y_2),$$

and

$$\Gamma_{h,x}^{(2)} = \{(x_1, x_2, y_1, 0) \mid 2(x_1^3 + x_2^2) + 3y_1 = 0\}, \quad \Lambda_{h,x}^{(2)} = \{(x_1, x_2, 0, 0) \mid x_1, x_2 \in \mathbb{C}\}.$$

From

$$(x_1, x_2, I_{\Gamma_{h,x}}^{(2)}) = (x_1, x_2, y_1, y_2), \quad (x_1, x_2, I_{h,x}^{(2)}) = (x_1, x_2, y_1, y_2),$$

the polar multiplicity  $\gamma_{h,x}^{(2)}$  and the Lê number  $\lambda_{h,x}^{(2)}$  are

$$\gamma_{h,x}^{(2)} = \dim_{\mathbb{C}}(\mathcal{O}_X / (x_1, x_2, y_1, y_2)) = 1, \quad \lambda_{h,x}^{(2)} = \dim_{\mathbb{C}}(\mathcal{O}_X / (x_1, x_2, y_1, y_2)) = 1.$$

$$\text{As } J_{h,x}^{(1)} = \left( \frac{\partial h}{\partial x_2}, I_{\Gamma_{h,x}}^{(2)} \right) = (x_2 y_1^2, 2(x_1^3 + x_2^2) + 3y_1, y_2),$$

$$I_{\Gamma_{h,x}}^{(1)} = J_{h,x}^{(1)} : I_{\Sigma}^{\infty} = (2x_1^3 + 3y_1, x_2, y_2), \quad I_{h,x}^{(1)} = J_{h,x}^{(1)} : (I_{\Gamma_{h,x}}^{(1)})^{\infty} = (2x_1^3 + 2x_2^2 + 3y_1, y_1^2, y_2).$$

From

$$(x_1, x_2, 2x_1^3 + 3y_1, y_2) = (x_1, x_2, y_1, y_2), \quad (x_1, 2x_1^3 + x_2^2 + 3y_1, y_1^2, y_2) = (x_1, 2x_2^2 + 3y_1, y_1^2, y_2),$$

we have

$$\gamma_{h,x}^{(1)} = \dim_{\mathbb{C}}(\mathcal{O}_X / (x_1, x_2, y_1, y_2)) = 1, \quad \lambda_{h,x}^{(1)} = \dim_{\mathbb{C}}(\mathcal{O}_X / (x_1, 2x_2^2 + 3y_1, y_1^2, y_2)) = 4.$$

$$\Gamma_{h,x}^{(1)} = \{(x_1, 0, y_1, 0) \mid 2x_1^3 + 3y_1 = 0\}, \quad \Lambda_{h,x}^{(1)} = \{(x_1, x_2, 0, 0) \mid x_1^3 + x_2^2 = 0\}.$$

Finally,  $J_{h,x}^{(0)} = \left( \frac{\partial h}{\partial x_1}, x_2, 2x_1^3 + 3y_1, y_2 \right) = (x_1^2 y_1^2, x_2, 2x_1^3 + 3y_1, y_2)$  and  $I_{h,x}^{(0)} = J_{h,x}^{(0)}$ ,

we have

$$\lambda_{h,x}^{(0)} = 8$$

by direct computation.

Lê numbers  $\lambda_{h,x}^{(2)}, \lambda_{h,x}^{(1)}, \lambda_{h,x}^{(0)}$  are 1, 4, 8. Note that since  $\zeta_{h,x}^{(2)}, \zeta_{h,x}^{(1)}$  and  $\zeta_{h,x}^{(0)}$  are equal to 2.5 and 8, it follows from  $(\gamma_{h,x}^{(2)}, \gamma_{h,x}^{(1)}) = (1, 1)$  that  $(\lambda_{h,x}^{(2)}, \lambda_{h,x}^{(1)}, \lambda_{h,x}^{(0)}) = (1, 4, 8)$  immediately. Note also, as a set we have  $\Lambda_{h,x}^{(1)} = \Gamma_{h,x}^{(2)} \cap \Sigma_h$ .

For a relation with holonomic D-modules associated with b-functions, we refer the readers to [18].

### § 3. algorithm

We give an outline of an algorithm for computing generic Lê numbers. The main idea of the proposed method is the use of a family of linear change of coordinate systems. Key tools utilized to realise the idea above are parametric Gröbner systems [13], [14] and parametric local cohomology systems [15], [17], [19].

For a given system of coordinates,  $x = (x_0, x_1, \dots, x_n)$  in  $\mathbb{C}^{n+1}$ , we set  $z = (z_0, z_1, \dots, z_n)$  by

$$\begin{aligned} x_0 &= z_0 + t_{0,1}z_1 + t_{0,2}z_2 + \dots + t_{0,n}z_n \\ x_1 &= z_1 + t_{1,2}z_2 + t_{1,3}z_3 + \dots + t_{1,n}z_n \\ \dots &= \dots \\ \dots &= \dots \\ x_n &= z_n \end{aligned}$$

where  $t_{i,j}$  are parameters.

#### Algorithm

**input**  $h(x)$  : polynomial

**output**  $(\lambda^{(s)}, \lambda^{(s-1)}, \dots, \lambda^{(1)}, \lambda^{(0)})$  : generic Lê numbers

step1. compute the radical of the Jacobi ideal  $I_{\Sigma, x} = \sqrt{(\frac{\partial h}{\partial x_0}, \frac{\partial h}{\partial x_1}, \dots, \frac{\partial h}{\partial x_n})}$

step2. compute the dimension  $s$  at  $\mathcal{O}$  of the singular set  $\Sigma$ .

step3.  $I_{\Sigma}$  : rewrite  $I_{\Sigma, x}$  in terms of variable  $z$ .

step4. set  $J_h^{(s+1)} = (\frac{\partial h}{\partial z_{s+1}}, \frac{\partial h}{\partial z_{s+2}}, \dots, \frac{\partial h}{\partial z_n})$ ,  $I_{\Gamma_h}^{(s+1)} = J_h^{(s+1)}$

step5. for  $k = s$  to 1,

$$J_h^{(k)} = (\frac{\partial h}{\partial z_k}, I_{\Gamma_h^{(k+1)}}), I_{\Gamma_h}^{(k)} = J_h^{(k)} : I_{\Sigma}^{\infty} \text{ (saturation)}$$

$$\zeta^k = (V(z_0, z_1, \dots, z_{k-1}) \cdot Z_h^{(k)})_{\mathcal{O}}, \gamma^{(k)} = (V(z_0, z_1, \dots, z_{k-1}) \cdot \Gamma_h^{(k)})_{\mathcal{O}},$$

where

$$Z_h^{(k)} = V(J_h^{(k)}), \Gamma_h^{(k)} = V(I_{\Gamma_h}^{(k)}).$$

$$\lambda^{(k)} = \zeta^{(k)} - \gamma^{(k)}, |\Lambda^{(k)}| = \Gamma_h^{(k)} \cap \Sigma$$

step6, set  $J_h^{(0)} = (\frac{\partial h}{\partial z_0}, I_{\Gamma_h}^{(1)})$ ,

compute  $\lambda^{(0)} = \text{multiplicity}_{\mathcal{O}}(J_j^{(0)})$ ,  $|\Lambda^{(0)}|$

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