

Remark on skew m -complex symmetric operators

by

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Abstract

In this paper we study skew m -complex symmetric operators. In particular, we prove that if $T \in \mathcal{L}(\mathcal{H})$ is a skew m -complex symmetric operator with a conjugation C , then e^{itT} , e^{-itT} , and e^{-itT^*} are (m, C) -isometric for every $t \in \mathbb{R}$. Moreover, we investigate some conditions for skew m -complex symmetric operators to be skew $(m - 1)$ -complex symmetric.

1 Introduction

The results in this paper will be appeared in other journals. Let $\mathcal{L}(\mathcal{H})$ be the algebra of all bounded linear operators on a separable complex Hilbert space \mathcal{H} .

Definition 1.1 An operator C is said to be a conjugation on \mathcal{H} if the following conditions hold:

- (i) C is antilinear; $C(ax + by) = \bar{a}Cx + \bar{b}Cy$ for all $a, b \in \mathbb{C}$ and $x, y \in \mathcal{H}$,
- (ii) C is isometric; $\langle Cx, Cy \rangle = \langle y, x \rangle$ for all $x, y \in \mathcal{H}$, and
- (iii) C is involutive; $C^2 = I$.

Moreover, if C is a conjugation on \mathcal{H} , then $\|C\| = 1$, $(CTC)^* = CT^*C$ and $(CTC)^k = CT^kC$ for every positive integer k . For any conjugation C , there is an orthonormal basis $\{e_n\}_{n=0}^\infty$ for \mathcal{H} such that $Ce_n = e_n$ for all n (see [11] for more details). We first consider the following examples for conjugations.

Example 1.2 Let's define an operator C as follows:

- (i) $C(x_1, x_2, x_3, \dots, x_n) = (\bar{x}_1, \bar{x}_2, \bar{x}_3, \dots, \bar{x}_n)$ on \mathbb{C}^n .
- (ii) $C(x_1, x_2, x_3, \dots, x_n) = (\bar{x}_n, \bar{x}_{n-1}, \bar{x}_{n-2}, \dots, \bar{x}_1)$ on \mathbb{C}^n .
- (iii) $[Cf](x) = \overline{f(x)}$ on $L^2(\mathcal{X}, \mu)$.
- (iv) $[Cf](x) = \overline{f(1-x)}$ on $L^2([0, 1])$.
- (v) $[Cf](x) = \overline{f(-x)}$ on $L^2(\mathbb{R}^n)$.
- (vi) $Cf(z) = \overline{zf(z)u(z)} \in \mathcal{K}_u^2$ for all $f \in \mathcal{K}_u^2$ where u is inner function and $\mathcal{K}_u^2 = H^2 \ominus uH^2$ is Model space.

Then each C in (i)-(vi) is a conjugation.

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In 1970, J. W. Helton [15] initiated the study of operators $T \in \mathcal{L}(\mathcal{H})$ which satisfy an identity of the form;

$$\sum_{j=0}^m (-1)^{m-j} \binom{m}{j} T^{*j} T^{m-j} = 0. \quad (1)$$

Using the identity (1) and a conjugation operator, we define skew m -complex symmetric operators as follows; an operator $T \in \mathcal{L}(\mathcal{H})$ is said to be a *skew m -complex symmetric operator* if there exists some conjugation C such that

$$\sum_{j=0}^m \binom{m}{j} T^{*j} C T^{m-j} C = 0$$

for some positive integer m . In this case, we say that T is skew m -complex symmetric with conjugation C . In particular, if $m = 1$, then T is said to be *skew complex symmetric*, i.e., $T = -CT^*C$. Set $\Gamma_m(T; C) := \sum_{j=0}^m \binom{m}{j} T^{*j} C T^{m-j} C$. Then T is a skew m -complex symmetric operator with conjugation C if and only if $\Gamma_m(T; C) = 0$. Note that

$$T^* \Gamma_m(T; C) + \Gamma_m(T; C)(CTC) = \Gamma_{m+1}(T; C). \quad (2)$$

From (2), if T is skew m -complex symmetric with conjugation C , then T is skew n -complex symmetric with conjugation C for $n \geq m$. In general, skew m -complex symmetric operators are not skew $(m - 1)$ -complex symmetric.

Example 1.3 Let $Cx = \begin{pmatrix} \bar{x}_2 \\ \bar{x}_1 \end{pmatrix}$ for $x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$ and $T = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ on \mathbb{C}^2 . Then $T^* = CTC = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ and so $CT^2C + 2T^*CTC + T^{*2} = 0$. But, $CTC + T^* = 2 \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \neq 0$. Hence T is a skew 2-complex symmetric operator which is not skew complex symmetric (see [3]).

In 1995, Agler and Stankus ([1]) studied the following operator. For a fixed $m \in \mathbb{N}$, an operator $T \in \mathcal{L}(\mathcal{H})$ is said to be an *m -isometric operator* if it satisfies an identity;

$$\sum_{j=0}^m (-1)^j \binom{m}{j} T^{*m-j} T^{m-j} = 0. \quad (3)$$

Using the identity (3) and a conjugation C , the authors of [9] define the following operator; An operator $T \in \mathcal{L}(\mathcal{H})$ is said to be an *(m, C) -isometric operator* if there exists some conjugation C such that

$$\sum_{j=0}^m (-1)^j \binom{m}{j} T^{*m-j} C T^{m-j} C = 0 \quad (4)$$

for some $m \in \mathbb{N}$. In particular, if $T = CTC$, then T is an m -isometric operator. Put $\Lambda_m(T) := \sum_{j=0}^m (-1)^j \binom{m}{j} T^{*m-j} C T^{m-j} C$. Thus T is an (m, C) -isometric operator if and only if $\Lambda_m(T) = 0$. Note that

$$T^* \Lambda_m(T)(CTC) - \Lambda_m(T) = \Lambda_{m+1}(T). \quad (5)$$

From (5), if $\Lambda_m(T) = 0$, then $\Lambda_n(T) = 0$ for all $n \geq m$. Moreover, T is an (m, C) -isometry if and only if CTC is an (m, C) -isometry (see [9]).

Next, we provide several examples of (m, C) -isometric operators with a conjugation C .

Example 1.4 ([9]) Let C be the canonical conjugation on \mathcal{H} given by

$$C\left(\sum_{n=0}^{\infty} x_n e_n\right) = \sum_{n=0}^{\infty} \overline{x_n} e_n$$

where $\{e_n\}$ is an orthonormal basis of \mathcal{H} with $Ce_n = e_n$ for all n . Assume that W is the weighted shift given by $We_n = \alpha_n e_{n+1}$ where $\alpha_n = \sqrt{\frac{n+\alpha}{n+1}}$ for $\alpha > 0$. If $\alpha = 1$, then $W = S$ is the unilateral shift. Hence S is $(1, C)$ -isometry. If $\alpha = 2$, then, since $W = CWC$, it holds that

$$I - 2W^*CWC + W^{*2}CW^2C = 0.$$

Therefore, W is an $(2, C)$ -isometric operator which is called the Dirichlet shift. On the other hand, if $\alpha = m$, then, since $W = CWC$, it holds that

$$\sum_{j=0}^m (-1)^j \binom{m}{j} W^{*m-j} C W^{m-j} C = 0.$$

So, W is an (m, C) -isometric operator.

Example 1.5 ([9]) Let C be a conjugation defined by $Cf(z) = \overline{f(\overline{z})}$ and let $\{e_n\}_{n=0}^{\infty}$ be an orthonormal basis of H^2 . Set $\mathcal{C} = C \oplus C$. Then \mathcal{C} is clearly a conjugation on $H^2 \oplus H^2$. Assume that

$$T = \begin{pmatrix} S & e_0 \otimes e_0 \\ 0 & I \end{pmatrix} \in \mathcal{L}(H^2 \oplus H^2)$$

where S is the unilateral shift on H^2 . Then

$$\begin{aligned} \Lambda_2(T) &= T^*(T^*CTC - I)CTC - (T^*CTC - I) \\ &= \begin{pmatrix} 0 & 0 \\ 0 & e_0 \otimes e_0 \end{pmatrix} - \begin{pmatrix} 0 & 0 \\ 0 & e_0 \otimes e_0 \end{pmatrix} = 0. \end{aligned}$$

Hence T is an $(2, \mathcal{C})$ -isometric operator. If $R = S + e_0 \otimes e_0$, then

$$CRC = CSC + C(e_0 \otimes e_0)C = S + e_0 \otimes e_0.$$

Since $S^*e_0 = 0$, it follows that $R^*CRC = (S^* + e_0 \otimes e_0)(S + e_0 \otimes e_0) = I + e_0 \otimes e_0$ and so

$$\begin{aligned} \Lambda_2(R) &= R^*(R^*CRC - I)CRC - (R^*CRC - I) \\ &= (S^* + e_0 \otimes e_0)(e_0 \otimes e_0)(S + e_0 \otimes e_0) - e_0 \otimes e_0 = 0. \end{aligned}$$

Therefore, R is an $(2, C)$ -isometric operator.

2 (m, C) -isometric operators

In this section, we state properties of (m, C) -isometric operators which are the known results in [9].

Theorem 2.1 *Let $T \in \mathcal{L}(\mathcal{H})$ and let C be a conjugation on \mathcal{H} . Then the following statements hold.*

- (i) *If T is an invertible, then T is an (m, C) -isometric operator if and only if T^{-1} is an (m, C) -isometry.*
- (ii) *If T is an (m, C) -isometric operator with the conjugation C and T is complex symmetric, i.e., $T = CT^*C$, then T is an algebraic operator of order at most $2m$.* (iii) *If $\{T_k\}$ is a sequence of (m, C) -isometric operators with conjugation C such that $\lim_{k \rightarrow \infty} \|T_k - T\| = 0$, then T is also an (m, C) -isometric operator.*
- (iv) *If T is an (m, C) -isometric operator, then T^n is also an (m, C) -isometric operator for any $n \in \mathbb{N}$.*

If $T \in \mathcal{L}(\mathcal{H})$, we write $\sigma(T)$, $\sigma_p(T)$ and $\sigma_a(T)$ for the spectrum, the point spectrum and the approximate point spectrum of T , respectively.

Lemma 2.2 *Let $T \in \mathcal{L}(\mathcal{H})$ be an (m, C) -isometric operator where C is a conjugation on \mathcal{H} . Then $0 \notin \sigma_a(T)$.*

We observe from Lemma that both $\text{ran}(T)$ and $\ker(T)$ are closed complemented subspaces. If $\text{ran}(T) = \mathcal{H}$, then T is invertible. Otherwise, $\text{ran}(T)$ is a nontrivial invariant subspace of T . Hence the representation of T with respect to the Hilbert space decomposition $\text{ran}(T) \oplus \ker(T^*) = \mathcal{H}$ is the upper triangular matrices

$$\begin{pmatrix} T_1 & T_2 \\ 0 & 0 \end{pmatrix} : \text{ran}(T) \oplus \ker(T^*) \rightarrow \text{ran}(T) \oplus \ker(T^*)$$

where $T_1 = T|_{\text{ran}(T)}$, and T_2 is an operator mapping $\ker(T^*)$ into $\text{ran}(T)$ and $\ker(T^*)$, respectively.

Theorem 2.3 *Let $T \in \mathcal{L}(\mathcal{H})$ be an (m, C) -isometric operator where C is a conjugation on \mathcal{H} . If $\lambda \in \sigma_a(T)$, then $\frac{1}{\lambda} \in \sigma_a(T^*)$. In particular, if λ is an eigenvalue of T , then $\frac{1}{\lambda}$ is an eigenvalue of T^* .*

Theorem 2.4 *Let $T \in \mathcal{L}(\mathcal{H})$ be an (m, C) -isometric operator where C is a conjugation on \mathcal{H} . Let $\lambda, \mu \in \mathbb{C}$ with $\lambda\mu \neq 1$. If $\{x_n\}$ and $\{y_n\}$ are sequences of unit vectors such that $\lim_{n \rightarrow \infty} (T - \lambda)x_n = 0$ and $\lim_{n \rightarrow \infty} (T - \mu)y_n = 0$, then $\lim_{n \rightarrow \infty} \langle Cx_n, y_n \rangle = 0$. In particular, if $(T - \lambda)x = 0$ and $(T - \mu)y = 0$, then $\langle Cx, y \rangle = 0$.*

Corollary 2.5 *Let C be a conjugation on \mathcal{H} . If $T \in \mathcal{L}(\mathcal{H})$ is an (m, C) -isometric operator with a conjugation C , then $\ker(T - \lambda) \subseteq C \ker((T^* - \frac{1}{\lambda})^m)$.*

3 Skew m -complex symmetric operators

In this section, we study properties of skew m -complex symmetric operators. In [7], if T is an m -complex symmetric operator, then T^n is also m -complex symmetric for some n . Unlike an m -complex symmetric operator (see [7] and [9]), the power of a skew m -complex symmetric operator is not skew m -complex symmetric.

Example 3.1 If $T = \begin{pmatrix} 1 & a & 0 \\ 0 & 0 & a \\ 0 & 0 & -1 \end{pmatrix}$ for $a \in \mathbb{C}$, then T is skew complex symmetry with the conjugation $C(z_1, z_2, z_3) = (-\bar{z}_3, \bar{z}_2, -\bar{z}_1)$ from [18]. A simple calculation shows that

$$T^2 = \begin{pmatrix} 1 & a & a^2 \\ 0 & 0 & -a \\ 0 & 0 & 1 \end{pmatrix} \text{ and } -CT^2C = \begin{pmatrix} -1 & 0 & 0 \\ -a & 0 & 0 \\ -a^2 & a & -1 \end{pmatrix}.$$

Hence T^2 is not skew complex symmetric with the conjugation C .

Example 3.2 Let C be a conjugation given by $C(z_1, z_2, z_3) = (\bar{z}_3, \bar{z}_2, \bar{z}_1)$ on \mathbb{C}^3 . If $T = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{pmatrix}$ on \mathbb{C}^3 , then $T^* \neq CTC = \begin{pmatrix} 0 & 0 & 0 \\ 2 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$ and $T^{*2} = CT^2C = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 2 & 0 & 0 \end{pmatrix}$. Hence T^2 is a 1-complex symmetric operator but T is not a 1-complex symmetric operator with conjugation C .

Now we will introduce exponential operators $T := e^{-iA}$ which act on a wave function to move it in time and space (see [1]). Note that T is a function of an operator $f(A)$ which is defined its expansion in a Taylor series

$$T = \exp(-iA) = \sum_{n=0}^{\infty} \frac{(-iA)^n}{n!} = 1 - iA + \frac{(-iA)^2}{2!} + \dots.$$

The most common one is the time-propagator or time-evolution operator U which is the Hamiltonian function and propagates the wave function forward in time;

$$U = \exp\left(\frac{-iHt}{h}\right) = 1 + \frac{-iHt}{h} + \frac{1}{2!}\left(\frac{-iHt}{h}\right)^2 + \dots.$$

For an operator $T \in \mathcal{L}(\mathcal{H})$, if $t \in \mathbb{R}$, then

$$e^{itT} = I + itT + \frac{(it)^2}{2!}T^2 + \frac{(it)^3}{3!}T^3 + \dots. \quad (6)$$

Theorem 3.3 If $T \in \mathcal{L}(\mathcal{H})$ is a skew m -complex symmetric operator with a conjugation C , then e^{itT} , e^{-itT} , and e^{-itT^*} are (m, C) -isometric for every $t \in \mathbb{R}$.

In general, the converse of the previous theorem may not hold. But, if e^{itT} is $(1, C)$ -isometric operator and T is a skew 2-complex symmetric operator with the conjugation C , then T is a skew complex symmetric operator.

Corollary 3.4 *Let $T \in \mathcal{L}(\mathcal{H})$. Then the following statements hold:*

- (i) *Assume that T is skew m -complex symmetric with a conjugation C . If $\lambda \in \sigma_a(e^{itT})$, then $\frac{1}{\lambda} \in \sigma_a(e^{-itT^*})$. In particular, if $\lambda \in \sigma_p(e^{itT})$, then $\frac{1}{\lambda} \in \sigma_p(e^{-itT^*})$.*
- (ii) *If T is skew m -complex symmetric with a conjugation C , then e^{itnT} is an (m, C) -isometric operator for any $n \in \mathbb{N}$.*
- (iii) *Let $\{T_k\}$ be a sequence of skew m -complex symmetric operators with a conjugation C such that $\lim_{k \rightarrow \infty} \|e^{iT_k} - e^{iT}\| = 0$. Then e^{iT} is an (m, C) -isometric operator.*

Recall that

$$\cos(tT) = \frac{e^{itT} + e^{-itT}}{2} \quad \text{and} \quad \sin(tT) = \frac{e^{itT} - e^{-itT}}{2i}$$

for every $t \in \mathbb{R}$.

Corollary 3.5 *Let $T \in \mathcal{L}(\mathcal{H})$ be skew complex symmetric with a conjugation C and let $t \in \mathbb{R}$. Then the following statements hold.*

- (i) *$\cos(tT)$ is a $(1, C)$ -isometric operator if and only if $\cos(2tT^*) = I$.*
- (ii) *$\sin(tT)$ is a $(1, C)$ -isometric operator if and only if $\cos(2tT^*) = -I$.*

A closed subspace $\mathcal{M} \subset \mathcal{H}$ is *invariant* for T if $T\mathcal{M} \subset \mathcal{M}$.

Corollary 3.6 *If $T \in \mathcal{L}(\mathcal{H})$ is skew m -complex symmetric and complex symmetric with a conjugation C , i.e., $T^* = CTC$, then the following statements hold:*

- (i) *e^{itT} is an algebraic operator of order at most $2m$.*
- (ii) *$C \ker(\Gamma_{m-1}(e^{itT}; C))$ is invariant for e^{itT} .*

Corollary 3.7 *If $T \in \mathcal{L}(\mathcal{H})$ is skew m -complex symmetric and complex symmetric with a conjugation C , then the following statements hold.*

- (i) *e^{itT} is unitarily equivalent to a finite operator matrix of the form:*

$$\begin{pmatrix} \alpha_1 & A_{12} & \cdots & \cdots & \cdots & A_{1,2m} \\ 0 & \alpha_2 & A_{23} & \cdots & \cdots & A_{2,2m} \\ 0 & 0 & \alpha_3 & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & \cdots & \ddots & A_{2m-1,2m} \\ 0 & 0 & \cdots & \cdots & \cdots & \alpha_{2m} \end{pmatrix}$$

where α_j are the roots of the polynomial $p(z)$ of degree at most $2m$.

- (ii) *The dimension of $\bigvee_{k=0}^{\infty} \{(e^{itT})^k x\}$ is less than or equals to $2m$.*

It is known from [15] that if T is m -symmetric and m is even, then T is $(m-1)$ -symmetric. In 2012, M. Chō, S. Ōta, K. Tanahashi, and A. Uchiyama proved that if T is an invertible m -isometric operator and m is even, then T is an $(m-1)$ -isometric operator (see [6] for more details). In view of these results, we will consider the following question; *if $T \in \mathcal{L}(\mathcal{H})$ is skew m -complex symmetric with a conjugation C and m is even, is it skew $(m-1)$ -complex symmetric?* In the next theorem, we give a partial solution for the previous question.

Theorem 3.8 *Let $T \in \mathcal{L}(\mathcal{H})$ and let C be a conjugation on \mathcal{H} . Suppose that $\Lambda_{m-1}(e^{itT}; C)$ and $((e^{itT})^*)^{m-1}\Lambda_{m-1}(e^{-itT}; C)C(e^{itT})^{m-1}C$ are nonnegative. If T is a skew m -complex symmetric operator with the conjugation C where m is even, then T is skew $(m-1)$ -complex symmetric and e^{itT} is an $(m-1, C)$ -isometric operator for all $t \in \mathbb{R}$.*

Corollary 3.9 *If $T \in \mathcal{L}(\mathcal{H})$ is skew m -complex symmetric with a conjugation C , m is even, and $[T, C] = 0$, then T is skew $(m-1)$ -complex symmetric.*

4 On an operator T commuting with CTC

In this section, we focus on an operator T commuting with CTC . Given $T \in \mathcal{L}(\mathcal{H})$ and a conjugation C on \mathcal{H} , let

$$\mathcal{C}_C(T) := \{S \in \mathcal{L}(\mathcal{H}) \mid [CTC, S] = 0\}$$

where $[R, S] := RS - SR$. In this section, we study the case when

$$T \in \mathcal{C}_C(T), \quad \text{that is, } [CTC, T] = 0.$$

We observe that $\mathcal{C}_C(T)$ need not contain complex symmetric operators.

Example 4.1 Let $\mathcal{H} = \ell^2$, let $\{e_n\}$ be an orthonormal basis of \mathcal{H} and let $C : \mathcal{H} \rightarrow \mathcal{H}$ be the conjugation given by $C(\sum_{n=0}^{\infty} x_n e_n) = \sum_{n=0}^{\infty} \overline{x_n} e_n$ where $\{x_n\}$ is a sequence in \mathbb{C} with $\sum_{n=0}^{\infty} |x_n|^2 < \infty$ and $Ce_n = e_n$ for all n . If $W \in \mathcal{L}(\mathcal{H})$ is the weighted shift given by $We_n = \alpha_n e_{n+1}$ for all $n \geq 1$, then it is easy to compute $WCWCe_n = CWCWe_n$ for all n . Hence $W \in \mathcal{C}_C(W)$. In particular, if $\alpha_n = 1$ for all n , then $W = S$ is the unilateral shift and so $S \in \mathcal{C}_C(S)$. However, S is not complex symmetric.

Recall that an operator $T \in \mathcal{L}(\mathcal{H})$ is said to be *normal* if $T^*T = TT^*$ and *binormal* if T^*T and TT^* commute where T^* is the adjoint of T . Note that every normal operator is binormal.

Example 4.2 Let $\mathcal{H} = \mathbb{C}^2$ and let C be a conjugation on \mathcal{H} given by $C(x, y) = (\overline{y}, \overline{x})$. Assume that $R = \begin{pmatrix} i & 1 \\ 1 & -i \end{pmatrix}$ on \mathcal{H} . Then $CRC = \begin{pmatrix} i & 1 \\ 1 & -i \end{pmatrix} = R$. Hence $R \in \mathcal{C}_C(R)$. However, R is not normal, but binormal.

Example 4.3 Let C and J be conjugations on \mathcal{H} . Assume that $T = \begin{pmatrix} 0 & CJ \\ I & 0 \end{pmatrix}$ and $\mathcal{J} = \begin{pmatrix} 0 & J \\ J & 0 \end{pmatrix}$ on $\mathcal{H} \oplus \mathcal{H}$. Then $\mathcal{J}T\mathcal{J}T = T\mathcal{J}T\mathcal{J} = \begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix}$. Hence $T \in \mathcal{C}_{\mathcal{J}}(T)$ is normal.

In the next example, we know that there exists T such that $T \notin \mathcal{C}_C(T)$, in general.

Example 4.4 Let $\mathcal{H} = \mathbb{C}^n$ and $C(z_1, z_2, z_3, \dots, z_n) = (\overline{z_n}, \dots, \overline{z_3}, \overline{z_2}, \overline{z_1})$. If

$$T = \begin{pmatrix} 0 & \lambda_1 & 0 & \dots & 0 \\ 0 & 0 & \lambda_2 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \ddots & \dots & 0 \\ \vdots & \vdots & \cdot & 0 & \ddots & 0 \\ \cdot & \cdot & \cdot & \cdot & 0 & \lambda_{n-1} \\ 0 & 0 & \cdot & \cdot & \dots & 0 \end{pmatrix} \quad \text{and} \quad e_1 = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ \vdots \\ \vdots \\ 0 \end{pmatrix}$$

for all $\lambda_j \neq 0$, then $0 = (CTC)Te_1 \neq T(CTC)e_1 = \lambda_1 \cdot \overline{\lambda_{n-1}} \cdot e_1$. Hence $T \notin \mathcal{C}_C(T)$. But, it is clear that T is binormal.

Theorem 4.5 *If $T \in \mathcal{L}(\mathcal{H})$ is a normal operator, then $T \in \mathcal{C}_C(T)$ for some conjugation C .*

Note that every normal operator is complex symmetric (see [11]).

Proposition 4.6 *Let $T \in \mathcal{C}_C(T)$ for some conjugation C . Then the following statements hold.*

- (i) $T^* \in \mathcal{C}_C(T^*)$.
- (ii) $p(T) \in \mathcal{C}_C(p(T))$ for every polynomial p .
- (iii) If T is invertible, then $T^{-1} \in \mathcal{C}_C(T^{-1})$.
- (iv) If $X \in \mathcal{L}(\mathcal{H})$ is invertible with $[X, C] = 0$, then $X^{-1}TX \in \mathcal{C}_C(X^{-1}TX)$.
- (v) If $R \in \mathcal{L}(\mathcal{H})$ is unitarily equivalent to T , i.e., $R = UTU^*$, then $R \in \mathcal{C}_D(R)$ for a conjugation $D = UCU^*$.
- (vi) $[T^m, CT^nC] = 0$ for all $n, m \in \mathbb{N}$.
- (vii) The class of operators which satisfy $T \in \mathcal{C}_C(T)$ is norm closed.

Proposition 4.7 *Let C, C_1, C_2 be conjugations on \mathcal{H} . Then the following statements hold.*

- (i) If $T_i \in \mathcal{L}(\mathcal{H}_i)$ be such that $T_i \in \mathcal{C}(T_i)$ for conjugations C_i with $i = 1, 2$, respectively, then $T_1 \oplus T_2 \in \mathcal{C}_{C_1 \oplus C_2}(T_1 \oplus T_2)$ for a conjugation $C_1 \oplus C_2$.
- (ii) Let $T \in \mathcal{C}_C(T)$ and $S \in \mathcal{C}_C(S)$. If $[T, S] = 0$ and $[CTC, S] = 0$, then $T+S \in \mathcal{C}_C(T+S)$ and $TS \in \mathcal{C}_C(TS)$ for a conjugation C .
- (iii) If $T \in \mathcal{C}_{C_1}(T)$ and $S \in \mathcal{C}_{C_2}(S)$ for conjugations C_1 and C_2 , respectively, then $T \otimes S \in \mathcal{C}_{C_1 \otimes C_2}(T \otimes S)$ for a conjugation $C_1 \otimes C_2$.

In [11], if T is complex symmetric, then ReT and ImT are complex symmetric.

Proposition 4.8 *Let $T \in \mathcal{C}_C(T)$. Then the following statements hold:*

- (i) *Let $R = \frac{T + CTC}{2}$ and $S = \frac{T - CTC}{2i}$. Then R and S belong to $\mathcal{C}_C(T)$ such that $T = R + iS$ and $[R, S] = 0$, $[R, C] = 0$, and $[S, C] = 0$ hold.*
(ii) *If T is normal, then $Re T \in \mathcal{C}_C(Re T)$ and $Im T \in \mathcal{C}_C(Im T)$.*

Lemma 4.9 ([17]) *Let $T \in \mathcal{L}(\mathcal{H})$ and let C be a conjugation on \mathcal{H} . Then $\sigma(CTC) = \sigma(T)^*$ and $\sigma_a(CTC) = \sigma_a(T)^*$.*

Therefore, if T satisfies $[T, C] = 0$, then $\sigma(T) = \sigma(T)^*$, that is, $\sigma(T)$ is a symmetric set with the real line. For a commuting pair $(T, S) \in \mathcal{L}(\mathcal{H})^2$, $\sigma_T(T, S)$ and $\sigma_{ja}(T, S)$ denote the *Taylor spectrum* and the *joint approximate point spectrum* of (T, S) , respectively (see [2] and [19] for more details).

Corollary 4.10 *Let $T \in \mathcal{C}_C(T)$. Then there exist commuting operators R and S such that the following statements hold:*

- (i) *$T = R + iS$ and (T, R, S) is a commuting 3-tuple.*
(ii) *$\sigma(R)$ and $\sigma(S)$ are symmetric sets with the real line.*
(iii) *If $\lambda \in \sigma(T)$, then there exist $\alpha \in \sigma(R)$ and $\beta \in \sigma(S)$ such that $\lambda = \alpha + i\beta$.*
(iv) *If $\alpha \in \sigma(R)$, then there exist $\lambda \in \sigma(T)$ and $\beta \in \sigma(S)$ such that $\lambda = \alpha + i\beta$.*
(v) *If $\beta \in \sigma(S)$, then there exist $\lambda \in \sigma(T)$ and $\alpha \in \sigma(R)$ such that $\lambda = \alpha + i\beta$.*

Remark that the statements (iii), (iv) and (v) hold for the approximate point spectra $\sigma_a(T), \sigma_a(R)$ and $\sigma_a(S)$. Please see [2] for the spectral mapping theorem for the joint approximate point spectrum.

For an operator $T \in \mathcal{L}(\mathcal{H})$ and a conjugation C , we define the operator $\alpha_m(T; C)$ by

$$\alpha_m(T; C) = \sum_{j=0}^m (-1)^j \binom{m}{j} CT^{m-j}C \cdot T^j.$$

An operator $T \in \mathcal{L}(\mathcal{H})$ is said to be an $[m, C]$ -symmetric operator if $\alpha_m(T; C) = 0$ (see [5]).

Theorem 4.11 *If $T \in \mathcal{C}_C(T)$ is an $[m, C]$ -symmetric operator, then $CTC - T$ is m -nilpotent, i.e., $(CTC - T)^m = 0$.*

Corollary 4.12 *If $T \in \mathcal{C}_C(T)$ is an $[m, C]$ -symmetric operator, then*

$$\sigma_T(CTC, T) = \{(\lambda, \lambda) : \lambda \in \sigma(T)\}.$$

In this case, it holds $\sigma(CTC) = \sigma(T) = \sigma(T)^$. Moreover, it holds $\sigma_{ja}(CTC, T) = \{(\lambda, \lambda) : \lambda \in \sigma_a(T)\}$.*

For an operator $T \in \mathcal{L}(\mathcal{H})$, T is said to be *normaloid* if $r(T) = \|T\|$, where $r(T)$ is the spectral radius of T .

Corollary 4.13 *Let $T \in \mathcal{C}_C(T)$ be an $[m, C]$ -symmetric operator. If $CTC - T$ is normaloid, then $CTC - T = 0$.*

For an operator $T \in \mathcal{L}(\mathcal{H})$ and a conjugation C , we define the operator $\lambda_m(T; C)$ by

$$\lambda_m(T; C) = \sum_{j=0}^m (-1)^j \binom{m}{j} CT^{m-j}C \cdot T^{m-j}.$$

An operator $T \in \mathcal{L}(\mathcal{H})$ is said to be an $[m, C]$ -isometric operator if $\lambda_m(T; C) = 0$. See [4] for properties of $[m, C]$ -isometric operators.

Theorem 4.14 *If $T \in \mathcal{C}_C(T)$ is an $[m, C]$ -isometric operator, then $CTCT - I$ is m -nilpotent, i.e., $(CTCT - I)^m = 0$.*

Corollary 4.15 *If $T \in \mathcal{C}_C(T)$ is an $[m, C]$ -isometric operator, then $\sigma_T(CTC, T) = \{(\frac{1}{\lambda}, \lambda) : \lambda \in \sigma(T)\}$. In this case, it holds $\sigma(CTC) = \{\frac{1}{\lambda} : \lambda \in \sigma(T)\}$. Moreover, it holds $\sigma_{ja}(CTC, T) = \{(\frac{1}{\lambda}, \lambda) : \lambda \in \sigma_a(T)\}$.*

Theorem 4.16 *Let $T \in \mathcal{L}(\mathcal{H})$ be complex symmetric with a conjugation C . Suppose that $T = U|T|$ is the polar decomposition of T where $U = CJ$ and J is a partial conjugation supported on $\overline{\text{ran}(|T|)}$, which commutes with $|T|$. Then the following statements are equivalent.*

- (i) T is binormal.
- (ii) $|T| \in \mathcal{C}_C(|T|)$.
- (iii) $[|\tilde{T}^D|, |T|] = 0$ where $\tilde{T}^D := |T|U$ is the Duggal transform of T .

Corollary 4.17 *Let $T \in \mathcal{L}(\mathcal{H})$ be such that T^2 is normal. Then $|T| \in \mathcal{C}_C(|T|)$.*

Example 4.18 Let $T = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$ on \mathbb{C}^2 . Then T is complex symmetric with the conjugation C defined by $C(z_1, z_2) = (\bar{z}_2, \bar{z}_1)$ for $z_1, z_2 \in \mathbb{C}$. Since $|T| = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & 3 \end{pmatrix}$, it follows that

$$C|T|C|T| = \begin{pmatrix} 2 & 3 \\ 1 & 2 \end{pmatrix} \text{ and } |T|C|T|C = \begin{pmatrix} 2 & 1 \\ 3 & 2 \end{pmatrix}.$$

Hence T is not binormal by Theorem 4.16.

Example 4.19 Let $\mathcal{H} = \ell^2$ and let C be the canonical conjugation given by $C(\sum_{n=0}^{\infty} x_n e_n) = \sum_{n=0}^{\infty} \overline{x_n} e_n$ with $Ce_n = e_n$ for all n . Assume that $T = \begin{pmatrix} S^* & I \\ 0 & S \end{pmatrix}$ on $\mathcal{H} \oplus \mathcal{H}$, where $S \in \mathcal{L}(\mathcal{H})$ is the unilateral shift. Then S and S^* commute with the conjugation C . Denote the conjugation \mathcal{C} given by $\mathcal{C} = \begin{pmatrix} 0 & C \\ C & 0 \end{pmatrix}$. Then we obtain that

$$CT^* - T\mathcal{C} = \begin{pmatrix} C & CS^* \\ CS & 0 \end{pmatrix} - \begin{pmatrix} C & S^*C \\ SC & 0 \end{pmatrix} = 0.$$

Hence T is a complex symmetric operator (cf.[14]). Moreover, since $T = \begin{pmatrix} S^* & I \\ 0 & S \end{pmatrix}$, it follows that $T^*T = \begin{pmatrix} SS^* & S \\ S^* & 2I \end{pmatrix}$ and $TT^* = \begin{pmatrix} 2I & S^* \\ S & SS^* \end{pmatrix}$. So, we have $TT^*T^*T = \begin{pmatrix} 2SS^* + S^{*2} & 2S + 2S^* \\ S^2S^* + SS^{*2} & S^2 + 2SS^* \end{pmatrix}$ and $T^*TTT^* = \begin{pmatrix} S^2 + 2SS^* & SS^{*2} + S^2S^* \\ 2S + 2S^* & S^{*2} + 2SS^* \end{pmatrix}$. Hence T is not binormal. On the other hand, if S is the unilateral shift on \mathcal{H} , then $T = S^* \oplus S$ is binormal and complex symmetric.

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