

COMMENTS ON “A COMBINATORIAL VERSION  
OF THE GROTHENDIECK CONJECTURE”

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(1.) In the final sentence of Definition 1.1, (ii), the phrase “rank  $\geq 2$ ” should read “rank  $> 2$ ”.

(2.) In Example 2.5, the set of primes  $\Sigma$  should be assumed to be *nonempty*.

(3.) In the *second sentence* of Proposition 1.2, the phrase “a vertex  $v_i$  (respectively, an edge  $e_i$ ) of  $\Pi_{\mathcal{G}}$ ” should read “a vertex  $v_i$  (respectively, an edge  $e_i$ ) of  $\mathbb{G}$ ”.

(4.) The arguments applied in Definition 1.4, (v), (vi), and Remarks 1.4.2, 1.4.3, and 1.4.4 to prove Theorem 1.6 are formulated in a somewhat confusing way and should be modified as follows:

(i) First of all, we remark that throughout the paper, as well as in the following discussion, a “Galois” finite étale covering is to be understood as being *connected*.

(ii) In the *second sentence* of Definition 1.4, (v), the *cuspidal* and *nodal* cases of the notion of a *purely totally ramified* covering are in fact *unnecessary* and *may be deleted*. Also, the terminology introduced in Definition 1.4, (vi), concerning finite étale coverings that *descend* is *unnecessary* and *may be deleted*.

(iii) The text of Remark 1.4.2 should be replaced by the following text:

Let  $\mathcal{G}' \rightarrow \mathcal{G}$  be a Galois finite étale covering of degree a *positive power of*  $l$ , where  $\mathcal{G}$  is of pro- $\Sigma$  PSC-type,  $\Sigma = \{l\}$ . Then one verifies immediately that, if we assume further that the covering  $\mathcal{G}' \rightarrow \mathcal{G}$  is *cyclic*, then  $\mathcal{G}' \rightarrow \mathcal{G}$  is *cuspidally totally ramified* if and only if the inequality

$$\underline{r}(\mathcal{G}') < l \cdot \underline{r}(\mathcal{G})$$

— where we write  $\mathcal{G}' \rightarrow \mathcal{G}'' \rightarrow \mathcal{G}$  for the *unique* [up to isomorphism] *factorization* of the finite étale covering  $\mathcal{G}' \rightarrow \mathcal{G}$  as a composite of finite étale coverings such that  $\mathcal{G}'' \rightarrow \mathcal{G}$  is of degree  $l$  — is satisfied. Suppose further that  $\mathcal{G}' \rightarrow \mathcal{G}$  is a [not necessarily cyclic!]  $\Pi_{\mathcal{G}}^{\text{unr}}$ -covering [so  $\underline{r}(\mathcal{G}') =$

$\deg(\mathcal{G}'/\mathcal{G}) \cdot \underline{n}(\mathcal{G})]$ . Then one verifies immediately that  $\mathcal{G}' \rightarrow \mathcal{G}$  is *vertically purely totally ramified* if and only if the equality

$$\underline{i}(\mathcal{G}') = \deg(\mathcal{G}'/\mathcal{G}) \cdot (\underline{i}(\mathcal{G}) - 1) + 1$$

is satisfied. Also, we observe that this last inequality is equivalent to the following equality involving the expression “ $\underline{i}(\dots) - \underline{n}(\dots)$ ” [cf. Remark 1.1.3]:

$$\underline{i}(\mathcal{G}') - \underline{n}(\mathcal{G}') = \deg(\mathcal{G}'/\mathcal{G}) \cdot (\underline{i}(\mathcal{G}) - \underline{n}(\mathcal{G}) - 1) + 1$$

(iv) The text of Remark 1.4.3 should be replaced by the following text:

Suppose that  $\mathcal{G}$  is of pro- $\Sigma$  PSC-type,  $\Sigma = \{l\}$ . Then one verifies immediately that the *cuspidal edge-like subgroups* of  $\Pi_{\mathcal{G}}$  may be *characterized* as the *maximal* [cf. Proposition 1.2, (i)] closed subgroups  $A \subseteq \Pi_{\mathcal{G}}$  isomorphic to  $\mathbb{Z}_l$  which satisfy the following *condition*:

for every characteristic open subgroup  $\Pi_{\mathcal{G}'} \subseteq \Pi_{\mathcal{G}}$ , if we write  $\mathcal{G}' \rightarrow \mathcal{G}'' \rightarrow \mathcal{G}$  for the finite étale coverings corresponding to  $\Pi_{\mathcal{G}'} \subseteq \Pi_{\mathcal{G}''} \stackrel{\text{def}}{=} A \cdot \Pi_{\mathcal{G}'} \subseteq \Pi_{\mathcal{G}}$ , then the *cyclic* finite étale covering  $\mathcal{G}' \rightarrow \mathcal{G}''$  is *cuspidally totally ramified*.

[Indeed, the *necessity* of this *characterization* is immediate from the definitions; the *sufficiency* of this *characterization* follows by observing that since the set of cusps of a finite étale covering of  $\mathcal{G}$  is always *finite*, the above *condition* implies that there exists a *compatible system of cusps* of the various  $\mathcal{G}'$  that arise, each of which is *stabilized* by the action of  $A$ .] On the other hand, in order to characterize the *unramified vertical subgroups* of  $\Pi_{\mathcal{G}}^{\text{unr}}$ , it suffices — by considering *stabilizers* of *vertices* of underlying semi-graphs of finite étale  $\Pi_{\mathcal{G}}^{\text{unr}}$ -coverings of  $\mathcal{G}$  — to give a *functorial characterization* of the *set of vertices* of  $\mathcal{G}$  [i.e., which may also be applied to finite étale  $\Pi_{\mathcal{G}}^{\text{unr}}$ -coverings of  $\mathcal{G}$ ]. This may be done, for *sturdy*  $\mathcal{G}$ , as follows. Write  $M_{\mathcal{G}}^{\text{unr}}$  for the *abelianization* of  $\Pi_{\mathcal{G}}^{\text{unr}}$ . For each vertex  $v$  of the underlying semi-graph  $\mathbb{G}$  of  $\mathcal{G}$ , write  $M_{\mathcal{G}}^{\text{unr}}[v] \subseteq M_{\mathcal{G}}^{\text{unr}}$  for the image of the  $\Pi_{\mathcal{G}}^{\text{unr}}$ -conjugacy class of unramified vertical subgroups of  $\Pi_{\mathcal{G}}^{\text{unr}}$  associated to  $v$ . Then one verifies immediately, by *constructing suitable abelian*  $\Pi_{\mathcal{G}}^{\text{unr}}$ -coverings of  $\mathcal{G}$  via suitable *gluing* operations [i.e., as in the proof of Proposition 1.2], that the inclusions  $M_{\mathcal{G}}^{\text{unr}}[v] \subseteq M_{\mathcal{G}}^{\text{unr}}$  determine a *split injection*

$$\bigoplus_v M_{\mathcal{G}}^{\text{unr}}[v] \hookrightarrow M_{\mathcal{G}}^{\text{unr}}$$

[where  $v$  ranges over the vertices of  $\mathbb{G}$ ], whose image we denote by  $M_{\mathcal{G}}^{\text{unr-vert}} \subseteq M_{\mathcal{G}}^{\text{unr}}$ . Now we consider *elementary abelian quotients*

$$\phi : M_{\mathcal{G}}^{\text{unr}} \twoheadrightarrow Q$$

— i.e., where  $Q$  is an *elementary abelian group*. We *identify* such quotients whenever their *kernels coincide* and *order* such quotients by means of the

relation of “*domination*” [i.e., inclusion of kernels]. Then one verifies immediately that such a quotient  $\phi : M_{\mathcal{G}}^{\text{unr}} \rightarrow Q$  corresponds to a *vertically purely totally ramified* covering of  $\mathcal{G}$  if and only if there exists a vertex  $v$  of  $\mathbb{G}$  such that  $\phi(M_{\mathcal{G}}^{\text{unr}}[v]) = Q$ ,  $\phi(M_{\mathcal{G}}^{\text{unr}}[v']) = 0$  for all vertices  $v' \neq v$  of  $\mathbb{G}$ . In particular, one concludes immediately that

the elementary abelian quotients  $\phi : M_{\mathcal{G}}^{\text{unr}} \rightarrow Q$  whose restriction to  $M_{\mathcal{G}}^{\text{unr-vert}}$  surjects onto  $Q$  and has the same kernel as the quotient

$$M_{\mathcal{G}}^{\text{unr-vert}} \twoheadrightarrow M_{\mathcal{G}}^{\text{unr}}[v] \twoheadrightarrow M_{\mathcal{G}}^{\text{unr}}[v] \otimes \mathbb{F}_l$$

— where the first “ $\twoheadrightarrow$ ” is the natural projection; the second “ $\twoheadrightarrow$ ” is given by reduction modulo  $l$  — may be characterized as the *maximal quotients* [i.e., relative to the relation of domination] among those elementary abelian quotients of  $M_{\mathcal{G}}^{\text{unr}}$  that correspond to *vertically purely totally ramified* coverings of  $\mathcal{G}$ .

Thus, since  $\mathcal{G}$  is *sturdy*, the *set of vertices* of  $\mathcal{G}$  may be characterized as the *set of [nontrivial!] quotients*  $M_{\mathcal{G}}^{\text{unr-vert}} \twoheadrightarrow M_{\mathcal{G}}^{\text{unr}}[v] \otimes \mathbb{F}_l$ .

(v) The text of Remark 1.4.4 should be replaced by the following text:

Suppose that  $\mathcal{G}$  is of pro- $\Sigma$  PSC-type, where  $\Sigma = \{l\}$ , and that  $\mathcal{G}$  is *noncuspidal*. Then, in the spirit of the *cuspidal* portion of Remark 1.4.3, we observe the following: One verifies immediately that the *nodal edge-like subgroups* of  $\Pi_{\mathcal{G}}$  may be *characterized* as the *maximal* [cf. Proposition 1.2, (i)] closed subgroups  $A \subseteq \Pi_{\mathcal{G}}$  isomorphic to  $\mathbb{Z}_l$  which satisfy the following *condition*:

for every characteristic open subgroup  $\Pi_{\mathcal{G}'} \subseteq \Pi_{\mathcal{G}}$ , if we write  $\mathcal{G}' \rightarrow \mathcal{G}'' \rightarrow \mathcal{G}$  for the finite étale coverings corresponding to  $\Pi_{\mathcal{G}'} \subseteq \Pi_{\mathcal{G}''} \stackrel{\text{def}}{=} A \cdot \Pi_{\mathcal{G}'} \subseteq \Pi_{\mathcal{G}}$ , then the *cyclic* finite étale covering  $\mathcal{G}' \rightarrow \mathcal{G}''$  is *nodally totally ramified*.

Here, we note further that [one verifies immediately that] the finite étale covering  $\mathcal{G}' \rightarrow \mathcal{G}''$  is *nodally totally ramified* if and only if it is *module-wise nodal*.

(vi) The text of the *second paragraph* of the proof of Theorem 1.6 should be replaced by the following text [which may be thought as being appended to the end of the *first paragraph* of the proof of Theorem 1.6]:

Then the fact that  $\alpha$  is *group-theoretically cuspidal* follows formally from the characterization of *cuspidal edge-like subgroups* given in Remark 1.4.3 and the characterization of *cuspidally totally ramified* cyclic finite étale coverings given in Remark 1.4.2.

(vii) The text of the *final paragraph* of the proof of Theorem 1.6 should be replaced by the following text [which may be thought of as a sort of “*easy version*”

of the argument given in the proof of the implication “(iii)  $\implies$  (i)” of [CbTpII], Proposition 1.5]:

Finally, we consider assertion (iii). *Sufficiency* is immediate. On the other hand, *necessity* follows formally from the characterization of *unramified verticial subgroups* given in Remark 1.4.3 and the characterization of *verticially purely totally ramified* cyclic finite étale coverings given in Remark 1.4.2.

### Bibliography

- [CbTpII] Y. Hoshi, S. Mochizuki, *Topics Surrounding the Combinatorial Anabelian Geometry of Hyperbolic Curves II: Tripods and Combinatorial Cuspidalization*, RIMS Preprint **1762** (November 2012).