

Donaldson invariants of toric surfaces via instanton counting

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joint work with Lothar Göttsche and Kota Yoshioka

(work in progress)

Mathematical Aspects of String Theory

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References for instanton counting

- Nekrasov : hep-th/0206161

Instanton counting conjecture was proposed

- Nekrasov + Okounkov : hep-th/0306238
- N + Yoshioka : math.AG/0306198, math.AG/0311058

Solved affirmatively by two groups independently

- Braverman : math.AG/0401409
(affine) Whittaker modules
- and many others

Aim of this talk

Find the relation between

Nekrasov's partition function $Z(\varepsilon_1, \varepsilon_2, \vec{a}; \Lambda)$

(= equivariant Donaldson invariants for \mathbb{R}^4)

\longleftrightarrow usual Donaldson invariants for a cpt 4-mfd X

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Answer in Physics

Donaldson inv. $\xrightarrow[t \rightarrow \infty]{(X, tg)}$ Seiberg-Witten inv. + local contrib.

Nekrasov part. func. Z

wall-crossing formula

$$Z = \exp\left(\frac{F_0}{\varepsilon_1 \varepsilon_2} + \dots\right) \downarrow$$

\uparrow regularization
of the integral

Seiberg-Witten prep. F_0

$\xrightarrow[u\text{-plane integral}]{\text{Moore-Witten}}$

Donaldson inv. for $b_+ = 1$

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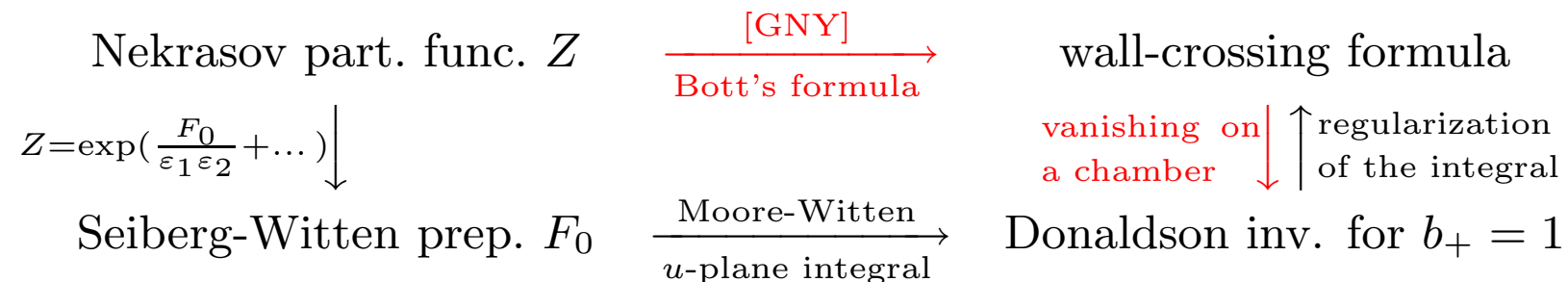
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Answer in Physics / Answer by [GNY]

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Nekrasov Yes, we can calculate ! Integrate over \vec{a} .

GKN No ! The fixed points are not *isolated* in general.

- Nekrasov must choose the contour of the integral....
- The wall-crossing formula is enough, as we have a vanishing theorem on a certain chamber.

NB. For \mathbb{P}^2 ($r = 2$), there is no wall and a direct computation is possible. \implies nontrivial identity on Z

Quick Review of Donaldson invariants

- (X, g) : cpt, oriented, simply-conn., Riem. 4-mfd
- $P \rightarrow X$: $U(2)$ - (or $SO(3)$ -)principal bundle
- $c_1 = c_1(P)$, $c_2 = c_2(P)$: Chern classes
- $M = M_g(c_1, c_2)$: moduli of instantons
(Uhlenbeck cptfication)
- $\mathcal{E} \rightarrow X \times M$: universal bundle
- $\mu(\bullet) = (c_2(\mathcal{E}) - \frac{1}{4}c_1(\mathcal{E})^2)/\bullet : H_*(X) \rightarrow H^*(M)$

Let

$$\Phi_{c_1, c_2}^g(x) \stackrel{\text{def.}}{=} \int_M \exp(\mu(\alpha) + x\mu(p)), \quad \alpha \in H_2(X), p \in H_0(X)$$

- $b_2^+ > 1 \implies$ independent of g

- $b_2^+ = 1 \implies$ depend on g , but only on

$$\omega(g) \in H^2(X)^+ / \mathbb{R}_{>0} = \{\omega \in H^2(X) \mid \omega^2 > 0\} / \mathbb{R}_{>0} = \mathcal{H} \sqcup (-\mathcal{H})$$

- where $\omega(g)$: self-dual harmonic form with $\|\omega(g)\| = 1$
unique up to sign (\longleftrightarrow orientation of M)

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1994 Donaldson invariants are determined by Seiberg-Witten invariants, which are much easier to calculate !

Wall crossing formula

- $W^\xi = \{\omega \in H^2(X)^+ \mid \xi \cdot \omega = 0\}$: wall defined by $\xi \in \frac{1}{2}H^2(X, \mathbb{Z})$
- $\xi = c_1(L) - \frac{1}{2}c_1 \longrightarrow$ a **reducible** (i.e., U(1)-) instanton L
 \implies a singularity of $M = M_{c_1, c_2}$
- $[L] \in M \implies \xi - \frac{1}{2}c_1 \in H^2(X, \mathbb{Z}), 4c_2 - c_1^2 + 3 \leq \xi^2 < 0$
 \implies # of walls are locally finite
- Φ_{c_1, c_2}^g is constant when $\omega(g)$ moves in a chamber \mathcal{C} : a connected component of $H^2(X)^+ \setminus \bigcup W^\xi$

Theorem (Kotschick-Morgan '94). $\exists \delta_{c_2}^\xi$ s.t.

$$\Phi_{c_1, c_2}^{g_1} - \Phi_{c_1, c_2}^{g_2} = 1^{C^2/8} \sum_{\xi} (-1)^{(\xi - C/2)C} \delta_{c_2}^\xi$$

Kotschick-Morgan conjecture : $\delta_{c_2}^\xi |_{\text{Sym } H_2(X)}$ is

- a polynomial in ξ and the intersection form Q_X
- with coeff's depend only on ξ , c_2 , homotopy type of X

Remark. If $c_1 \neq 0$ (2), \exists chamber \mathcal{C} s.t. $\Phi_{c_1, c_2}^{\mathcal{C}} \equiv 0$.

If $c_1 \equiv 0$, \exists a similar result (Göttsche-Zagier)

1995 Göttsche computed $\delta^\xi = \sum_{c_2} \delta_{c_2}^\xi$ explicitly in terms of **modular forms**, assuming KM conj.

1997 Moore-Witten : Derive Göttsche's formula from the ***u*-plane integral**

Our goal today :

δ^ξ can be expressed via Nekrasov's partition function

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Our goal today :

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In fact, we only need F_0 (genus 0, Seiberg-Witten prepotential) and A, B (genus 1) parts. This reminds us Moore-Witten's computation. (**NB. We must keep $\varepsilon_1, \varepsilon_2$ independent.**)

Higher terms do *not* contribute to Donaldson invariants.

But

GNY's approach naturally *defines*

- local wall-crossing density s.t.
 - expressed in terms of the curvature
 - its integral over X gives the wall-crossing formula (toric case)

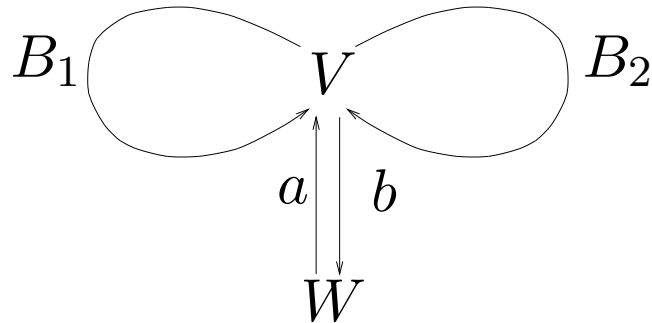
cf. local Atiyah-Singer index theorem via the heat equation approach

Problem. Justify the ‘local density’ via Donaldson invariants for *families*.

Framed moduli spaces of instantons on \mathbb{R}^4

- $n \in \mathbb{Z}_{\geq 0}$, $r \in \mathbb{Z}_{>0}$. ($r = 2$ later)
- $M(n, r)$, $M_0(n, r)$: Gieseker/Uhlenbeck (partial) compactification of framed moduli spaces of $SU(r)$ -instantons on \mathbb{R}^4
- These are quiver varieties for the Jordan quiver
 - $M(n, r)$: nonsingular hyperKähler manifold of dim. $4nr$ (a holomorphic symplectic manifold)
 - $M_0(n, r)$: affine algebraic variety
 - $\pi : M(n, r) \rightarrow M_0(n, r)$: projective morphism (resolution of singularities)

- V, W : cpx vector spaces with $\dim V = n, \dim W = r$
- $\mathbb{M}(n, r) = \text{End } V \oplus \text{End } V \oplus \text{Hom}(W, V) \oplus \text{Hom}(V, W)$
- $\mu: \mathbb{M}(n, r) \rightarrow \text{End}(V); \mu(B_1, B_2, a, b) = [B_1, B_2] + ab$



- $M_0(n, r) = \mu^{-1}(0) // \text{GL}(V)$ (affine GIT quotient)
- $M(n, r) = \mu^{-1}(0)^{\text{stable}} / \text{GL}(V)$
- stable $\stackrel{\text{def.}}{\iff} \exists S \subsetneq V$ with $B_\alpha(S) \subset S, \text{Im } a \subset S$

Example $r = 1$: Hilbert scheme of points

Theorem. $M(n, 1) = \text{Hilb}^n(\mathbb{A}^2)$, $M_0(n, 1) = S^n(\mathbb{A}^2)$

$\text{Hilb}^n(\mathbb{A}^2)$: Hilbert scheme of n points in the affine plane \mathbb{A}^2

$S^n(\mathbb{A}^2)$: symmetric product (unordered n points with mult.)

Sketch of Proof

- $\text{Hilb}^n(\mathbb{A}^2) = \{I \subset \mathbb{C}[x, y] \mid \dim \mathbb{C}[x, y]/I = n\}$
- Set $V = \mathbb{C}[x, y]/I$
 $B_1, B_2 = \times x, \times y, a(1) = 1 \pmod I, b = 0$
- $S^n(\mathbb{A}^2) \rightarrow M_0(n, 1)$ is induced by $\mathbb{A}^{2n} \rightarrow \mathbb{M}(n, 1)$:
 $(B_1, B_2, a, b) = (\text{diag}(x_1, \dots, x_n), \text{diag}(y_1, \dots, y_n), 0, 0)$

Torus action and equivariant homology group

- $T = T^{r-1}$: maximal torus in $SL(W)$
- $\tilde{T} = \mathbb{C}^* \times \mathbb{C}^* \times T \curvearrowright M(n, r), M_0(n, r)$: torus action
 $(B_1, B_2, a, b) \longmapsto (t_1 B_1, t_2 B_2, a e^{-1}, t_1 t_2 e b)$
 $(t_1, t_2) \in \mathbb{C}^* \times \mathbb{C}^*, e \in T$
- $H_*^{\tilde{T}}(M(r, n)), H_*^{\tilde{T}}(M_0(r, n))$: equivariant (Borel-Moore) homology groups
- modules over S : symmetric power of
 $\text{Lie}(\tilde{T})^* = \mathbb{C}[\varepsilon_1, \varepsilon_2, a_\alpha] = H_{\tilde{T}}^*(\text{pt}) \left(\sum a_\alpha = 0 \right)$
- $[M(r, n)], [M_0(r, n)]$: fundamental classes
- \mathfrak{S} : quotient field of S

Theorem (Localization). *Let ι_0 be the inclusion of the fixed point set $M_0(n, r)^{\tilde{T}}$ in $M_0(n, r)$. Then*

$$H_*^{\tilde{T}}(M_0(n, r)) \otimes_S \mathfrak{S} \xleftarrow[\cong]{\iota_{0*}} H_*^{\tilde{T}}(M_0(n, r)^{\tilde{T}}) \otimes_S \mathfrak{S}.$$

The same holds for $\iota: M(n, r)^{\tilde{T}} \hookrightarrow M(n, r)$.

Observation. $M_0(n, r)^{\tilde{T}} = \{0\}$, so RHS = \mathfrak{S} .

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Define

$$\begin{aligned} Z^{\text{inst}}(\varepsilon_1, \varepsilon_2, \vec{a}; \Lambda) &= \sum_{n=0}^{\infty} \Lambda^{2nr} (\iota_{0*})^{-1} [M_0(n, r)] \\ &= \sum_{n=0}^{\infty} \Lambda^{2nr} (\iota_{0*})^{-1} \pi_* [M(n, r)] \end{aligned}$$

(instanton part of Nekrasov's partition function)

- On the other hand, $M(n, r)^{\tilde{T}}$ is a **finite** set, parametrized by r -tuple of Young diagrams $\{\vec{Y} = (Y_1, \dots, Y_r)\}$ (explained below)

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\implies

$$\begin{array}{ccc}
 [M(n, r)] \in H_*^{\tilde{T}}(M(n, r)) \otimes_{\mathcal{S}} \mathcal{S} & \xrightarrow[\iota_*^{-1}]{\cong} & \bigoplus_{\vec{Y}} \mathcal{S} \\
 \pi_* \downarrow & & \downarrow \Sigma_{\vec{Y}} \\
 [M_0(n, r)] \in H_*^{\tilde{T}}(M_0(n, r)) \otimes_{\mathcal{S}} \mathcal{S} & \xrightarrow[\iota_{0*}^{-1}]{\cong} & \mathcal{S}
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 \end{array}$$

As $M(n, r)$ is smooth, we have an explicit formula:

$$(\iota_*)^{-1} [M(n, r)] = \bigoplus_{\vec{Y}} \frac{1}{\text{Euler}(T_{\vec{Y}})}$$

where

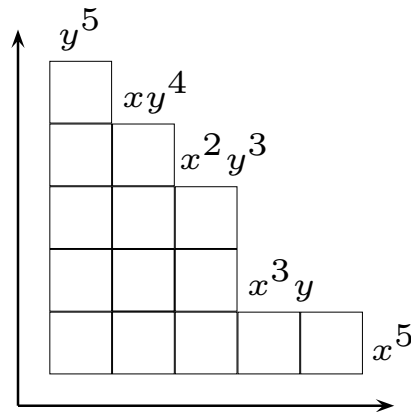
- $\text{Euler}(T_{\vec{Y}})$: equivariant Euler class of $T_{\vec{Y}} \in H_{\tilde{T}}^*(\{\vec{Y}\})$

Fixed point set $M(n, r)^{\tilde{T}}$

- $[B_1, B_2, a, b] \in M(n, r)$ is fixed by the first factor $T = T^{r-1}$
 \iff a direct sum of $M(n_\alpha, 1)$ ($\sum n_\alpha = n$)
($\because W$ decomposes into 1-dim rep's of T)

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 \iff a direct sum of $M(n_\alpha, 1)$ ($\sum n_\alpha = n$)
 $(\because W$ decomposes into 1-dim rep's of $T)$
- $M(n_\alpha, 1) = \text{Hilb}^{n_\alpha}(\mathbb{A}^2) \ni I_\alpha$ is fixed by $\mathbb{C}^* \times \mathbb{C}^*$
 $\iff I_\alpha$ is generated by monomials in x, y
 $\iff I_\alpha$ corresponds to a Young diagram Y_α

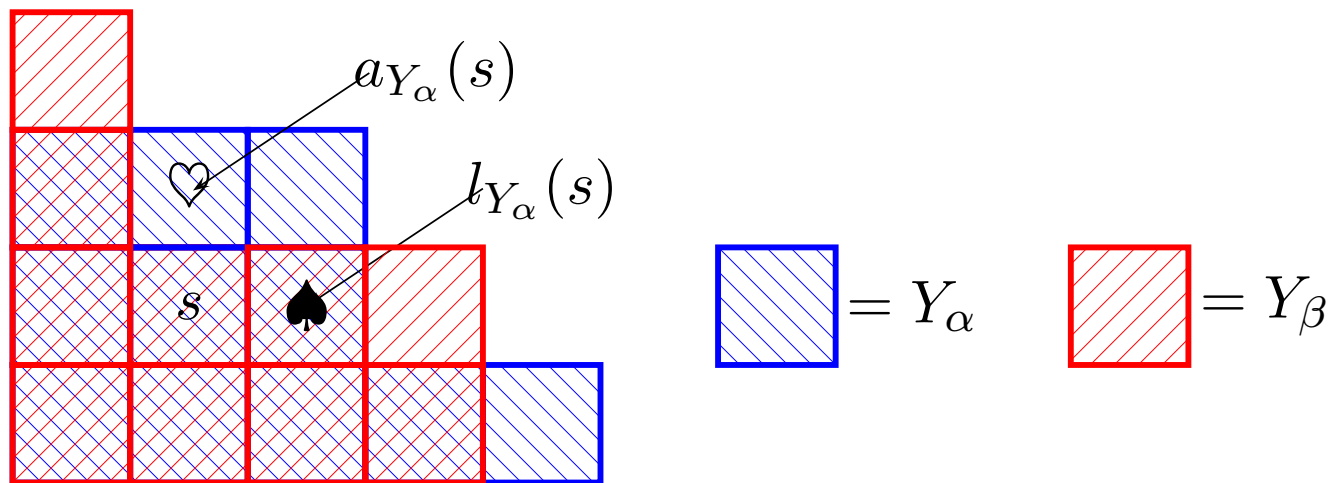


- $M(n, r)^{\tilde{T}} \cong \{\vec{Y} = (Y_1, \dots, Y_r) \mid \sum |Y_\alpha| = n\}$
- formula for the equivariant Euler class of the tangent space:

Euler ($T_{\vec{Y}}$)

$$= \prod_{\alpha, \beta} \prod_{s \in Y_\alpha} (-l_{Y_\beta}(s)\varepsilon_1 + (1 + a_{Y_\alpha}(s))\varepsilon_2 + a_\beta - a_\alpha) \\ \times \prod_{t \in Y_\beta} ((1 + l_{Y_\alpha}(t))\varepsilon_1 - a_{Y_\beta}(t)\varepsilon_2 + a_\beta - a_\alpha)$$

where



Example

Let $r = 1$. Put $\varepsilon_1 = -\varepsilon_2$. We have

$$(\iota_{0*})^{-1}[M_0(n, 1)] = \sum_{|Y|=n} \left(-\frac{1}{\varepsilon_1}\right)^{2|Y|} \prod_{s \in Y} \frac{1}{h(s)^2}.$$

The hook length formula says

$$\prod_{s \in Y} \frac{1}{h(s)} = \frac{\dim R_Y}{n!},$$

where R_Y is the irreducible representation of S_n associated with Y . Note

$$\sum_{|Y|=n} \dim R_Y^2 = n!$$

Therefore

$$(\iota_{0*})^{-1}[M_0(n, 1)] = \frac{1}{n!} \left(-\frac{1}{\varepsilon_1^2}\right)^n.$$

This can be proven directly by Bott's formula for **orbifolds**.

Perturbation Part

$$\gamma_{\varepsilon_1, \varepsilon_2}(x; \Lambda) \stackrel{\text{def.}}{=} \frac{d}{ds} \Big|_{s=0} \frac{\Lambda^s}{\Gamma(s)} \int_0^\infty \frac{dt}{t} t^s \frac{e^{-tx}}{(e^{\varepsilon_1 t} - 1)(e^{\varepsilon_2 t} - 1)}.$$

$$Z^{\text{pert}}(\varepsilon_1, \varepsilon_2, \vec{a}; \Lambda) \stackrel{\text{def.}}{=} \exp \left(- \sum_{\alpha \neq \beta} \tilde{\gamma}_{\varepsilon_1, \varepsilon_2}(a_\alpha - a_\beta; \Lambda) \right)$$

Define the full partition function by

$$Z(\varepsilon_1, \varepsilon_2, \vec{a}; \Lambda) \stackrel{\text{def.}}{=} Z^{\text{pert}}(\varepsilon_1, \varepsilon_2, \vec{a}; \Lambda) Z^{\text{inst}}(\varepsilon_1, \varepsilon_2, \vec{a}; \Lambda).$$

Main Result

- X : projective toric surface
- $X^{\mathbb{C}^* \times \mathbb{C}^*} = \{p_i\}$: fixed point set
- (x_i, y_i) : toric coordinate around p_i
- $w(x_i), w(y_i)$: weights of the $\mathbb{C}^* \times \mathbb{C}^*$ -action
(linear functions in $\varepsilon_1, \varepsilon_2$)
- $\iota_{p_i} : \{p_i\} \rightarrow X$: inclusion
- $\alpha, p \in H_*^{\mathbb{C}^* \times \mathbb{C}^*}(X), \xi \in H_{\mathbb{C}^* \times \mathbb{C}^*}^2(X)$: equivariant lifts of the previous $\alpha, p \in H_*(X), \xi \in H^2(X)$.

The wall crossing term δ_ξ has a natural equivariant lift, as moduli spaces have $\mathbb{C}^* \times \mathbb{C}^*$ -action and the universal bundle is equivariant. (**equivariant Donaldson invariants**)

Theorem. The *equivariant* wall-crossing term is given by

$$\begin{aligned} \delta_{\xi} (\exp(\alpha + px)) &= \operatorname{res}_{t=0} \left[\frac{dt}{t^2} (-1)^{\chi(L)} \right. \\ &\times \exp \left(\frac{1}{24} \left(\langle \alpha, c_1(X)^2 + c_2(X) \rangle + x \langle p, c_1^2(X) + c_2(X) \rangle \right) \right) \\ &\times \prod_i Z(w(x_i), w(y_i), -\frac{1}{2} \left(\frac{1}{t} - \iota_{p_i}^* \xi \right) \Lambda \exp(\frac{1}{4} \iota_{p_i}^* (\alpha + px))) \left. \right] \end{aligned}$$

‘Almost’ immediate from Ellingsrud-Göttsche’s result:

wall-crossing formula in terms of Hilbert schemes

Recover Göttsche's formula

Expand as

$$\varepsilon_1 \varepsilon_2 \log Z(\varepsilon_1, \varepsilon_2, \vec{a}; \mathfrak{q})$$

$$= F_0 + (\varepsilon_1 + \varepsilon_2)H + \varepsilon_1 \varepsilon_2 A + \frac{\varepsilon_1^2 + \varepsilon_2^2}{3} B + \dots$$

Recover Göttsche's formula

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$$\varepsilon_1 \varepsilon_2 \log Z(\varepsilon_1, \varepsilon_2, \vec{a}; \mathfrak{q}) \\ = F_0 + (\varepsilon_1 + \varepsilon_2)H + \varepsilon_1 \varepsilon_2 A + \frac{\varepsilon_1^2 + \varepsilon_2^2}{3} B + \dots$$

- (1) no pole
- (2) constant term $F_0 =$ **Seiberg-Witten prepotential**
- (3) H comes only from the perturbation part
- (4) A, B (genus 1 part) can be written in terms of SW curves
($r = 2$)

(1), (2) = Nekrasov's conjecture : [NY, NO]

(3), (4) : [NY]

Plug the expansion into the equivariant wall-crossing term:

$$\prod_i Z(w(x_i), w(y_i), -\frac{1}{2}(\frac{1}{t} - \iota_{p_i}^*(\xi)); \Lambda \exp(\frac{1}{4}\iota_{p_i}^*(\alpha + xp)))$$

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& \prod_i Z(w(x_i), w(y_i), -\frac{1}{2}(\frac{1}{t} - \iota_{p_i}^*(\xi)); \Lambda \exp(\frac{1}{4}\iota_{p_i}^*(\alpha + xp))) \\
&= \exp \left[\sum_i \frac{1}{w(x_i)w(y_i)} \left(F_0 + \frac{\partial F_0}{\partial a} \frac{\iota_{p_i}^*(\xi)}{2} + \frac{\partial F_0}{\partial \log \Lambda} \frac{\iota_{p_i}^*(\alpha + px)}{4} \right. \right. \\
&\quad + \frac{\partial^2 F_0}{(\partial a)^2} \frac{\iota_{p_i}^*(\xi)^2}{8} + \frac{\partial^2 F_0}{\partial a \partial \log \Lambda} \frac{\iota_{p_i}^*(\xi)\iota_{p_i}^*(\alpha + px)}{8} + \frac{\partial^2 F_0}{(\partial \log \Lambda)^2} \frac{\iota_{p_i}^*(\alpha + px)^2}{16} \\
&\quad + (w(x_i) + w(y_i)) \left(H + \frac{\partial H}{\partial a} \frac{\iota_{p_i}^*(\xi)}{2} \right) \\
&\quad \left. \left. + w(x_i)w(y_i)A + \frac{w(x_i)^2 + w(y_i)^2}{3}B + \dots \right) \right]
\end{aligned}$$

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 \end{aligned}$$

Apply Bott's formula for X to this !

Plug the expansion into the equivariant wall-crossing term:

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&= \exp \left[\sum_i \frac{1}{w(x_i)w(y_i)} \left(F_0 + \frac{\partial F_0}{\partial a} \frac{\iota_{p_i}^*(\xi)}{2} + \frac{\partial F_0}{\partial \log \Lambda} \frac{\iota_{p_i}^*(\alpha + px)}{4} \right. \right. \\
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&\quad + (w(x_i) + w(y_i)) \left(H + \frac{\partial H}{\partial a} \frac{\iota_{p_i}^*(\xi)}{2} \right) \\
&\quad \left. \left. + w(x_i)w(y_i)A + \frac{w(x_i)^2 + w(y_i)^2}{3}B + \dots \right) \right] \quad \text{Apply Bott's formula for } X \text{ to this !} \\
&= \exp \left[\frac{\partial F_0}{\partial \log \Lambda} \frac{x}{4} + \frac{\partial^2 F_0}{\partial a^2} \int_X \frac{\xi^2}{8} + \frac{\partial^2 F_0}{\partial a \partial \log \Lambda} \int_X \frac{\xi(\alpha + px)}{8} \right. \\
&\quad \left. + \frac{\partial^2 F_0}{(\partial \log \Lambda)^2} \int_X \frac{(\alpha + px)^2}{16} + \frac{\partial H}{\partial a} \int_X \frac{c_1(X)\xi}{8} + A\chi + B\sigma + \dots \right]
\end{aligned}$$

Seiberg-Witten geometry

A family of curves (*Seiberg-Witten curves*) parametrized by $\vec{u} = (u_2, \dots, u_r)$:

$$C_{\vec{u}} : y^2 = P(z)^2 - 4\Lambda^{2r}, \quad P(z) = z^r + u_2 z^{r-2} + \dots + u_r.$$

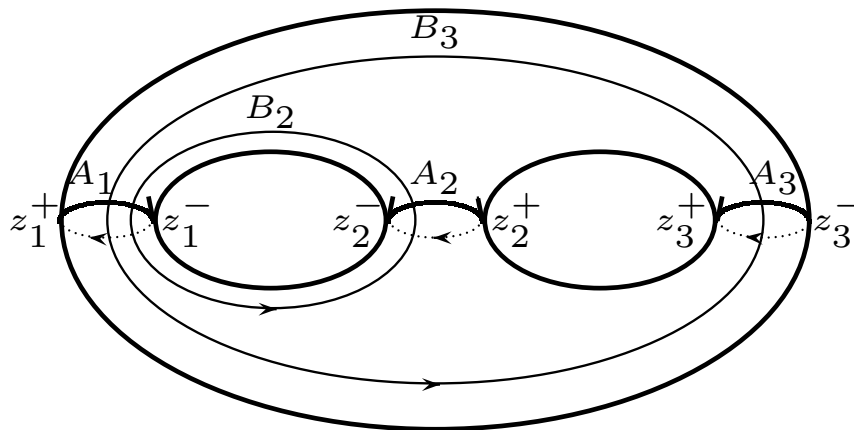
$C_{\vec{u}} \ni (y, z) \mapsto z \in \mathbb{P}^1$ gives a structure of hyperelliptic curves.

The hyperelliptic involution ι is given by $\iota(y, z) = (-y, z)$.

Define the *Seiberg-Witten differential* (multivalued) by

$$dS = -\frac{1}{2\pi} \frac{zP'(z)dz}{y}.$$

Find branched points z_α^\pm near z_α (roots of $P(z) = 0$) (Λ small).
 Choose cycles A_α, B_α ($\alpha = 2, \dots, r$) as



Put

$$a_\alpha = \int_{A_\alpha} dS, \quad a_\beta^D = 2\pi\sqrt{-1} \int_{B_\beta} dS$$

Then

$$\exists F_0 : \quad a_\beta^D = -\frac{\partial F_0}{\partial a_\beta}$$

Suppose $r = 2$. Let τ be the period of the Seiberg-Witten (elliptic curve).

$$\frac{1}{4} \frac{\partial F_0}{\partial \log \Lambda} = -u, \quad \frac{1}{4} \frac{\partial^2 F_0}{\partial \log \Lambda \partial a} = -\frac{\partial u}{\partial a}$$

$$\frac{1}{16} \frac{\partial^2 F_0}{(\partial \log \Lambda)^2} = \frac{1}{24} \left(\frac{du}{da} \right)^2 E_2(\tau) - \frac{1}{6} u \quad (\text{contact term})$$

$$\frac{\partial^2 F_0}{\partial a^2} = -2\pi \sqrt{-1} \tau$$

$$A = \frac{1}{2} \log \left(\frac{\sqrt{-1} du}{\Lambda da} \right), \quad B = \frac{1}{8} \log \left(\frac{4(u^2 - 4\Lambda^4)}{\Lambda^4} \right).$$