Towards a Semantic Characterization of Cut Elimination

Kazushige Terui National Institute of Informatics (Joint work with Agata Ciabattoni, TU Wien)

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- Our approach: purely algebraic (phase semantic)
 - 1. Consider some general class of sequent calculi. Some enjoy cut-elimination, others do not.
 - 2. Give algebraic criteria for such a sequent calculus to admit cut-elimination.
- Our program:
 - 1. Structural rules
 - 2. Logical connectives
 - 3. Classical sequent calculi
 - 4. Modalities
 - 5. Quantifiers/fixpoints of types

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 By-product: completion of structural rules.
 Any set of structural rules can be converted into another set which admits cut-elimination.

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Also give a characterization of axiom expansion. When structural rules satisfy propagation,

axiom expansion \iff opposite of coherence

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- Weakening and Contraction pass it:

 $\forall x \in \mathbf{M}. \ \{x\}^{\perp \perp} \multimap \{x \cdot x\}^{\perp \perp} \implies \forall X: \text{ fact } (X \multimap X \otimes X).$

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- How is it possible to relate such a semantic criterion to syntactic cut elimination?

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 - A degenerate version of Tait-Girard's reducibility argument.
 - A powerful technique to prove cut elimination for various logics.
- Girard's test, when suitably modified, gives a sufficient condition for the applicability of Okada's argument.

Non-Commutative Intuitionistic Linear Logic

- **Formulas:** $A \& B, A \oplus B, A \otimes B, A \multimap B, B \hookrightarrow A, !A, \top, 0, 1.$
- **Sequents:** $\Gamma \Rightarrow Z$ (Γ : sequence of formulas)
- \blacksquare Use X, Y, Z, \ldots as metavariables to be replaced by formulas.
- (Selected) inference rules:

$$\frac{\Gamma \Rightarrow X \quad \Delta_1, X, \Delta_2 \Rightarrow Z}{\Delta_1, \Gamma, \Delta_2 \Rightarrow C} \quad Cut \qquad \frac{X \Rightarrow X}{X \Rightarrow X} \quad Identity \quad \frac{\Gamma \Rightarrow X \quad \Delta \Rightarrow Y}{\Gamma, \Delta \Rightarrow X \otimes Y} \otimes Identity$$

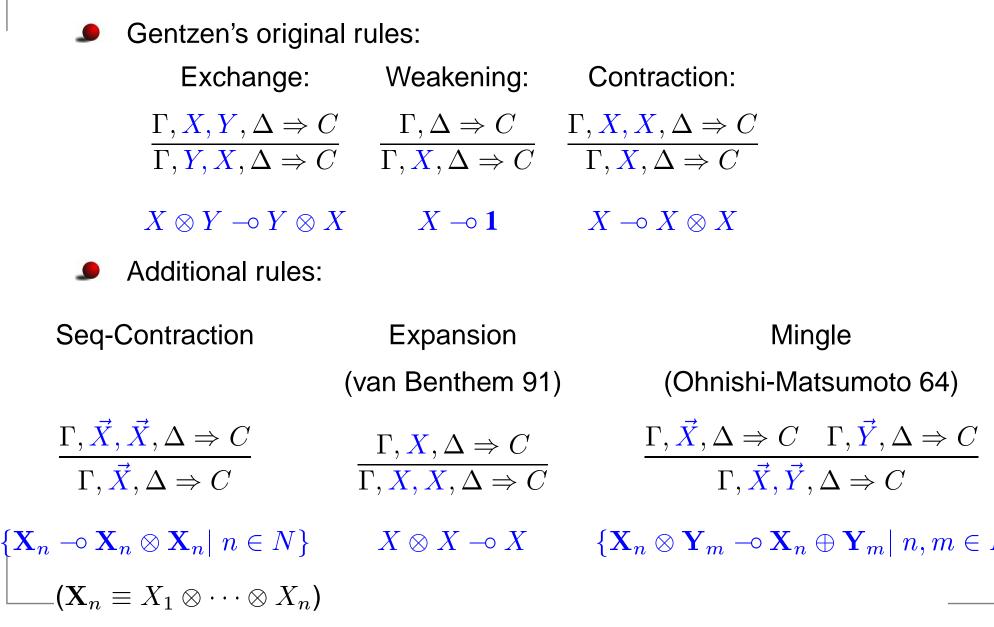
$$\frac{\Gamma \Rightarrow X \quad \Delta_1, Y, \Delta_2 \Rightarrow Z}{\Delta_1, \Gamma, X \multimap Y, \Delta_2 \Rightarrow Z} \quad \neg ol \qquad \frac{X, \Gamma \Rightarrow Y}{\Gamma \Rightarrow X \multimap Y} \quad \neg or \quad \frac{\Gamma_1, X, Y, \Gamma_2 \Rightarrow Z}{\Gamma_1, X \otimes Y, \Gamma_2 \Rightarrow Z} \otimes Identity$$

$$\frac{\Gamma_1, X, \Gamma_2 \Rightarrow Z \quad \Gamma_1, Y, \Gamma_2 \Rightarrow Z}{\Gamma_1, X \oplus Y, \Gamma_2 \Rightarrow Z} \oplus l \quad \frac{\Gamma \Rightarrow X}{\Gamma \Rightarrow X \oplus Y} \oplus r_1 \qquad \frac{\Gamma \Rightarrow Y}{\Gamma \Rightarrow X \oplus Y} \oplus r_2$$

Basic facts

- \square Γ ⇒ $A \multimap B$ is provable iff $A, Γ \Rightarrow B$ is provable.
- $\bigoplus \Phi, \Gamma \Rightarrow B$ is provable iff $A, \Gamma \Rightarrow B$ is provable for every $A \in \Phi$.

Structural rules: example



A structural rule is a scheme of the form:

$$\frac{\Gamma, \vec{X}_1, \Delta \Rightarrow C \quad \cdots \quad \Gamma, \vec{X}_n, \Delta \Rightarrow C}{\Gamma, \vec{X}_0, \Delta \Rightarrow C} R$$

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such that $\{\vec{X}_1, ..., \vec{X}_n\} \subseteq \{\vec{X}_0\}$ (*).

• Axiom representation: $\hat{R} \equiv \bigotimes \vec{X}_0 \multimap (\bigotimes \vec{X}_1) \oplus \cdots \oplus (\bigotimes \vec{X}_n).$

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- ▶ When $A_1, A_2, \ldots \in \Phi$, $R[A_1/X_1, A_2/X_2, \ldots]$ is called an Φ -instance.

Contraction alone (without Exchange) does not admit cut elimination:

| | $\overline{A \otimes B \Rightarrow A \otimes B} \overline{A \otimes B \Rightarrow A \otimes}$ | B |
|--|--|--------------------|
| $\overline{A \Rightarrow A} \overline{B \Rightarrow B}$ | $\overline{A\otimes B,A\otimes B} \Rightarrow (A\otimes B)\otimes (A\otimes$ | B) |
| $A, B \Rightarrow A \otimes B$ | $A \otimes B \Rightarrow (A \otimes B) \otimes (A \otimes B)$ | $\frac{-}{-}$ Cntr |
| A, B | $\Rightarrow (A \otimes B) \otimes (A \otimes B)$ | Cut |

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| $A \Rightarrow A$ | $B \Rightarrow B$ | $\overline{A \Rightarrow A}$ | $B \Rightarrow B$ | 2 |
|--------------------|-----------------------------|------------------------------|-------------------|-------------|
| $A, B \Rightarrow$ | $A \otimes B$ | $A, B \Rightarrow$ | $A\otimes B$ | - |
| A, B, A, | $B \Rightarrow (A$ | $\otimes B) \otimes ($ | $A\otimes B)$ | ი ეე |
| A, B | $\Rightarrow (A \otimes A)$ | $B)\otimes (A \Diamond$ | $\otimes B)$ | ??? |

When Contraction is generalized to

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● Contraction X → X ⊗ X and Seq-Contraction
{X_n → X_n ⊗ X_n | n ∈ N} are equivalent with respect to provability
in NCILL.

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- Contraction X → X ⊗ X and Seq-Contraction
 ${X_n → X_n ⊗ X_n | n ∈ N}$ are equivalent with respect to provability
 in NCILL.
- Is it possible to describe the difference between them without using the word 'cut elimination'?

Seq-Contraction propagates from atomic instances to &-instances:

$$\mathbf{X}_n \multimap \mathbf{X}_n \otimes \mathbf{X}_n[\alpha_1 \otimes \beta_1 / X_1, \dots, \alpha_n \otimes \beta_n / X_n]$$

is derivable in NCILL from

$$\mathbf{X}_{2n} \multimap \mathbf{X}_{2n} \otimes \mathbf{X}_{2n} [\alpha_1/X_1, \beta_1/X_2, \dots, \alpha_n/X_{2n-1}, \beta_n/X_{2n}]$$

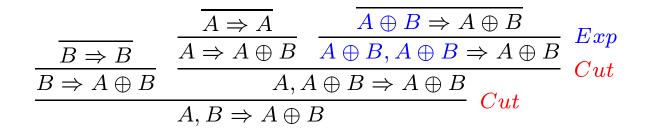
On the other hand, Contraction does not propagate from atomic instances to ⊗-instances:

$$X \multimap X \otimes X[\alpha \otimes \beta / X]$$

is not derivable from

 $X \multimap X \otimes X[\alpha/X], \quad X \multimap X \otimes X[\beta/X],$ etc.

Expansion does not admit cut elimination:



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| $\overline{A \Rightarrow A}$ | $\overline{B \Rightarrow B}$ | |
|---------------------------------------|---------------------------------------|-----|
| $\overline{A \Rightarrow A \oplus B}$ | $\overline{B} \Rightarrow A \oplus B$ | ??? |
| $\overline{\qquad} A, B \Rightarrow$ | 111 | |

When Expansion is replaced with Mingle:

$$\frac{\Gamma, \vec{X}, \Delta \Rightarrow C \quad \Gamma, \vec{Y}, \Delta \Rightarrow C}{\Gamma, \vec{X}, \vec{Y}, \Delta \Rightarrow C} \quad Min$$

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Expansion $X \otimes X \multimap X$ and Mingle $\{\mathbf{X}_n \otimes \mathbf{Y}_m \multimap \mathbf{X}_n \oplus \mathbf{Y}_m | n, m \in N\}$ are equivalent with respect to provability in NCILL.

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Mingle propagates from atomic instances to \oplus -instances: Eg, $X \otimes Y \multimap X \oplus Y [\alpha_1 \oplus \alpha_2 / X, \beta_1 \oplus \beta_2 / Y]$ $\equiv (\alpha_1 \oplus \alpha_2) \otimes (\beta_1 \oplus \beta_2) \multimap (\alpha_1 \oplus \alpha_2) \oplus (\beta_1 \oplus \beta_2)$ is derivable in NCILL from

■ Mingle propagates from atomic instances to ⊕-instances: Eg,

 $\begin{aligned} X \otimes Y \multimap X \oplus Y[\alpha_1 \oplus \alpha_2/X, \beta_1 \oplus \beta_2/Y] \\ \equiv (\alpha_1 \oplus \alpha_2) \otimes (\beta_1 \oplus \beta_2) \multimap (\alpha_1 \oplus \alpha_2) \oplus (\beta_1 \oplus \beta_2) \end{aligned}$ is derivable in NCILL from

$$\begin{split} X \otimes Y &\multimap X \oplus Y[\alpha_i/X, \beta_j/Y], \quad \text{for } i, j \in \{1, 2\} \\ \equiv \alpha_i \otimes \beta_j &\multimap \alpha_i \oplus \beta_j, \quad \text{for } i, j \in \{1, 2\} \end{split}$$

■ Mingle propagates from atomic instances to ⊕-instances: Eg,

 $X \otimes Y \multimap X \oplus Y[\alpha_1 \oplus \alpha_2/X, \beta_1 \oplus \beta_2/Y]$

 $\equiv (\alpha_1 \oplus \alpha_2) \otimes (\beta_1 \oplus \beta_2) \multimap (\alpha_1 \oplus \alpha_2) \oplus (\beta_1 \oplus \beta_2)$

is derivable in NCILL from

 $X \otimes Y \multimap X \oplus Y[\alpha_i/X, \beta_j/Y], \text{ for } i, j \in \{1, 2\}$

 $\equiv \alpha_i \otimes \beta_j \multimap \alpha_i \oplus \beta_j, \quad \text{for } i, j \in \{1, 2\}$

whereas Expansion does not propagate from atomic instances to ⊕-instances:

$$X \otimes X \multimap X[\alpha_1 \oplus \alpha_2/X]$$

is not derivable from

$$X \otimes X \multimap X[\alpha_1/X], \quad X \otimes X \multimap X[\alpha_2/X], \quad etc.$$

Syntactic propagation property

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Syntactic propagation property

- A set R of structural rules satisfies the syntactic propagation property if ⊗-instances and ⊕-instances are derivable from atomic instances.
- Proposition: If NCILL+ \mathcal{R} enjoys cut elimination, then \mathcal{R} satisfies the syntactic propagation property.

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- **Solution** Formulas with implications restricted to $\alpha \multimap D =$ "real statements"

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- R (all instances) = "ideal (abstract) reasoning method"
- \checkmark \mathcal{R}_{atom} (atomic instances) = "real (concrete) reasoning method"
- **Solution** Formulas with implications restricted to $\alpha \rightarrow D =$ "real statements"
- **Fact 1**: Every \oplus -instance (and \otimes -instance) of \hat{R} is equivalent (in NCILL) to a real statement C.

$$\hat{R}[\alpha_i \oplus \beta_i / X_i] \equiv \bigotimes \vec{X}_0 \multimap (\bigotimes \vec{X}_1) \oplus \cdots \oplus (\bigotimes \vec{X}_n)[\alpha_i \oplus \beta_i / X_i]$$

$$\alpha \otimes \beta \multimap C \Leftrightarrow \alpha \multimap \beta \multimap C$$

$$\alpha \oplus \beta \multimap C \Leftrightarrow (\alpha \multimap C) \& (\beta \multimap C)$$

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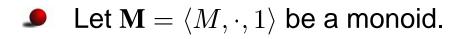
Intermezzo

We have shown

Cut Elimination \implies Syntactic Propagation

We next show

Syntactic Propagation \implies Semantic Propagation



- Let $\mathbf{M} = \langle M, \cdot, 1 \rangle$ be a monoid.
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• For any $X, Y \in \mathcal{CL}_{\mathbf{P}}$,

 $\begin{array}{lcl} X \And Y &=& X \cap Y, \\ X \oplus Y &=& C(X \cup Y), \\ X \otimes Y &=& C(X \bullet Y) = C(\{x \cdot y \mid x \in X, y \in Y\}), \\ X \multimap Y &=& \{y \mid \forall x \in X, x \cdot y \in Y\}, \\ Y \multimap -X &=& \{y \mid \forall x \in X, y \cdot x \in Y\}. \end{array}$



 $A valuation f : Var \longrightarrow \mathcal{CL}_{\mathbf{P}}.$

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- An \mathcal{R} -phase structure is a phase structure in which all axiom representations $\hat{R} \in \widehat{\mathcal{R}}$ are valid.
- Soundness: Any formula provable in NCILL+ \mathcal{R} is valid in all \mathcal{R} -phase structures.

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Key property of phase structures

Lemma: In every phase structure,

$$\mathcal{CL}_{\mathbf{P}} = \bigoplus \bigotimes_{fin} \mathcal{ATOM}_{\mathbf{P}},$$

i.e., any $X \in \mathcal{CL}_{\mathbf{P}}$ can be decomposed as:

$$X = \bigoplus_{x \in X} C(\{x\})$$
$$= \bigoplus_{x \in X} \bigotimes_{x = a_1 \cdots a_n} C(\{a_i\})$$

Intermezzo

We have shown

 $\begin{array}{rcl} \mbox{Cut Elimination} & \Longrightarrow & \mbox{Syntactic Propagation} \\ & \implies & \mbox{Semantic Propagation} \end{array}$

We finally show

Semantic Propagation \implies Cut Elimination

by employing Okada's construction of phase structures for cut elimination.

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- The operator $Cl: \wp(\mathcal{F}^*) \longrightarrow \wp(\mathcal{F}^*)$ defined by:

$$\begin{split} \llbracket \Gamma_\Delta \Rightarrow C \rrbracket &= \{\Sigma \mid \Gamma, \Sigma, \Delta \Rightarrow C \text{ is cut-free provable in NCILL} + \mathcal{R} \}, \\ \mathcal{BASE} &= \{\llbracket \Gamma_\Delta \Rightarrow C \rrbracket \mid \Gamma, \Delta, C \text{ arbitrary} \}, \\ Y \in \mathcal{CL}_{\mathbf{P}} &\Leftrightarrow Y = \bigcap_{i \in \Lambda} \llbracket \Gamma_i_\Delta_i \Rightarrow C_i \rrbracket \\ Cl(X) &= \text{ the minimal closed set that includes } X \end{split}$$

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In particular, $\mathcal{ATOM} = \{Cl(\{A\}) \mid A \text{ is a formula}\}$

Meaning of closure operator:

$$\begin{split} &\Sigma \in Cl(\{\Lambda\}) \Longleftrightarrow \\ & \text{Whenever } \Gamma, \Lambda, \Delta \Rightarrow C \text{ is cut-free derivable, so is} \\ & \Gamma, \Sigma, \Delta \Rightarrow C. \end{split}$$

Idea of semantic cut-elimination

Without structural rules:

"Real" elements: $\mathcal{BASE} : [\Gamma_\Delta \Rightarrow C]$

codify cut-free provability in NCILL

↓ add

"ideal" elements: $\mathcal{CL}_{\mathbf{P}} : \bigcap_{i \in I} \llbracket \Gamma_i \Delta_i \Rightarrow C_i \rrbracket$ model of NCILL with cuts

 $\begin{array}{ccc} \mathsf{NCILL} \vdash A & \stackrel{\mathsf{Soundness}}{\Longrightarrow} & \mathbf{P} \models A \\ & \stackrel{\mathsf{Okada's Lemma}}{\Longrightarrow} & \text{``P} \models_{base} A \text{''} \\ & \implies & \mathsf{Cut-free \ NCILL} \vdash A \end{array}$

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Idea of semantic cut-elimination

Solution With arbitrary structural rules \mathcal{R} :

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 $\begin{array}{ccc} \mathsf{NCILL} \vdash A & \stackrel{\mathsf{Soundness ???}}{\Longrightarrow} & \mathbf{P} \models A \\ & & \mathsf{Okada's Lemma} & & & \\ & & & \Rightarrow & & \mathsf{Cut-free \ NCILL} \vdash A \end{array}$

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Semantic cut-elimination

• Lemma: Any $\hat{R} \in \widehat{\mathcal{R}}$ is atomically valid in $\mathbf{P} = (\mathcal{F}^*, C)$.

$$\frac{\Gamma, \vec{X}_1, \Delta \Rightarrow C \quad \cdots \quad \Gamma, \vec{X}_n, \Delta \Rightarrow C}{\Gamma, \vec{X}_0, \Delta \Rightarrow C} R$$

- Corollary: If \mathcal{R} satisfies the semantic propagation property, then P is an \mathcal{R} -phase structure.
- Proposition: If \mathcal{R} satisfies the semantic propagation property, then NCILL+ \mathcal{R} enjoys cut elimination.

Theorem: Let \mathcal{R} be a set of structural rules. Then the following are equivalent:

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- Corollary: If NCILL+ \mathcal{R}_1 and NCILL+ \mathcal{R}_2 admit cut-elimination, then so does NCILL+ $(\mathcal{R}_1 \cup \mathcal{R}_2)$.

Substitution of sequences yields ⊗-syntactic propagation:

$$\frac{\Gamma, X, X, \Delta \Rightarrow C}{\Gamma, X, \Delta \Rightarrow C} (Con) \implies \frac{\Gamma, \vec{X}, \vec{X}, \Delta \Rightarrow C}{\Gamma, \vec{X}, \Delta \Rightarrow C} (Seq - Con)$$

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Linearization of lower sequent yields

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Remark on Part I

Solution We only considered uniform structural rules (that work in all contexts Γ, Δ, C):

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Such a non-uniform rule can be handled in the framework of prephase structures (Ciabattoni-Terui 05).

Part II: Motivating Example (3)

Consider a new "connective" $A \sqcap B$ (tend) defined by:

$$\frac{\Sigma \Rightarrow A \quad \Sigma \Rightarrow B}{\Sigma \Rightarrow A \sqcap B} \ (\sqcap, r) \qquad \qquad \frac{\Gamma, A, B, \Delta \Rightarrow C}{\Gamma, A \sqcap B, \Delta \Rightarrow C} \ (\sqcap, l)$$

$$\frac{\underline{\Sigma \Rightarrow A \quad \underline{\Sigma \Rightarrow B}}}{\underline{\Sigma \Rightarrow A \sqcap B}} \xrightarrow{\Gamma, A, B, \Delta \Rightarrow C}{\Gamma, A \sqcap B, \Delta \Rightarrow C} \iff \frac{\underline{\Sigma \Rightarrow A} \quad \underline{\Sigma \Rightarrow B} \quad \Gamma, A, B, \Delta \Rightarrow C}{\Gamma, A, \Sigma, \Delta \Rightarrow C} \xrightarrow{\Gamma, \Sigma, \Sigma, \Delta \Rightarrow C} (Cut)$$

$$\frac{\frac{\alpha \Rightarrow \alpha}{\alpha, \beta \Rightarrow \alpha} (???)}{-\frac{\alpha, \beta \Rightarrow \alpha \sqcap \beta}{\alpha \sqcap \beta \Rightarrow \alpha \sqcap \beta}} (???)$$

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Without any structural rules, it does not satisfy (reductive) cut-elimination nor axiom expansion:

$$\frac{\begin{array}{c} \vdots \\ \Sigma \Rightarrow A \\ \hline \Sigma \Rightarrow A \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}}{\Gamma, \Sigma, \Delta \Rightarrow C} \xrightarrow{\left(\begin{array}{c} \vdots \\ \Gamma, A, B, \Delta \Rightarrow C \\ \hline \Gamma, A, B, \Delta \Rightarrow C \end{array}} \xrightarrow{\left(\begin{array}{c} \vdots \\ \Sigma \Rightarrow A \\ \hline \Gamma, A, B, \Delta \Rightarrow C \end{array}} \xrightarrow{\left(\begin{array}{c} \Sigma \Rightarrow A \\ \hline \Gamma, A, \Sigma, \Delta \Rightarrow C \end{array}} \xrightarrow{\left(\begin{array}{c} \vdots \\ \Gamma, A, B, \Delta \Rightarrow C \end{array}} \xrightarrow{\left(\begin{array}{c} \Sigma \Rightarrow A \\ \hline \Gamma, A, \Sigma, \Delta \Rightarrow C \end{array}} \xrightarrow{\left(\begin{array}{c} \Sigma \Rightarrow A \\ \hline \Gamma, \Sigma, \Sigma, \Delta \Rightarrow C \end{array}} \xrightarrow{\left(\begin{array}{c} Cut \end{array}\right)} \xrightarrow{\left(\begin{array}{c} \Sigma \Rightarrow A \\ \hline \Gamma, \Sigma, \Sigma, \Delta \Rightarrow C \end{array}} \xrightarrow{\left(\begin{array}{c} Cut \\ \Gamma, \Sigma, \Delta \Rightarrow C \end{array}\right)} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}\right)} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}\right)} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}\right)} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}\right)} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}\right)} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}\right)} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}\right)} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}\right)} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Delta \Rightarrow C \end{array}\right)} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Sigma, \Delta \Rightarrow C \end{array}\right)} \xrightarrow{\left(\begin{array}{c} Cut \\ \hline \Gamma, \Sigma, \Sigma, \Delta \Rightarrow C \end{array}\right)}$$

$$\frac{\frac{\alpha \Rightarrow \alpha}{\alpha, \beta \Rightarrow \alpha} (???) \quad \frac{\beta \Rightarrow \beta}{\alpha, \beta \Rightarrow \beta}}{\frac{\alpha, \beta \Rightarrow \alpha \sqcap \beta}{\alpha \sqcap \beta \Rightarrow \alpha \sqcap \beta}} (???)$$

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- Rules determine the meaning!

■ Let us associate two interpretations to \sqcap in a phase structure **P**: for $X, Y \in C\mathcal{L}_{\mathbf{P}}$,

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If **P** satisfies $X \multimap X \otimes X$, then

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A natural conjecture

Let L be an (intuitionistic, propositional) sequent calculus having a logical connective * and "good" (i.e. propagating) structural rules R.

 \mathcal{L} admits reductive cut-elimination $\iff \star^r(\vec{X}) \subseteq \star^l(\vec{X})$

in every \mathcal{R} -phase structure

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- If axiom expansion holds, $\star^l(\vec{X}) \Rightarrow \star^r(\vec{X})$ is derived from atomic axioms $X_i \Rightarrow X_i$.
- Thus by soundness, $\star^l(\vec{X}) \subseteq \star^r(\vec{X})$.

Basic notions

- **Propositional variables:** $\alpha, \beta, \gamma, \ldots$
- **Logical connectives (of suitable arity):** $\star_1, \star_2, \star_3, \ldots$
- Formulae: propositional variables or $\star(A_1, \ldots, A_m)$ with A_1, \ldots, A_m formulae.
- (Single-conclusion) sequents: $\Gamma \Rightarrow \Delta$ with $|\Delta| \le 1$.

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with $\{\Upsilon_1, \ldots, \Upsilon_n\} \subseteq \{\Theta_l, \Theta_r, \vec{X}\}, \{\Psi_1, \ldots, \Psi_n\} \subseteq \{\Xi, \vec{X}\}, \{\Theta_l, \Theta_r\} \cap \{\Xi\} = \emptyset.$

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• $\{\Theta_l, \Theta_r\}$ (left context variables), $\{\Xi\}$ (right context variables), $\{\vec{X}\}$ (active variables) are mutually disjoint.

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3. Cut between two principal formulae of logical rules:

$$\frac{\cdots \quad \Sigma' \Rightarrow \Lambda' \quad \cdots}{\frac{\Sigma \Rightarrow \star(\vec{A})}{\Gamma, \Sigma, \Delta \Rightarrow C}} \quad \frac{(\star, r)_k}{\Gamma, \star(\vec{A}), \Delta \Rightarrow C} \quad (\star, l)_j$$

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We want to concentrate on 3. Hence we only consider those logical rules which allow shifting-up of type-2 cuts.

Example

 \square is defined by a countable set of left and right logical rules:

$$\frac{\Theta_i \Rightarrow X \quad \Theta_i \Rightarrow Y}{\Theta_i \Rightarrow X \sqcap Y} \ (\sqcap, r)_i \qquad \frac{\Theta_j, X, Y, \Theta_k \Rightarrow \Xi}{\Theta_j, X \sqcap Y, \Theta_k \Rightarrow \Xi} \ (\sqcap, l)_{jk}$$

for all $i, j, k \in N$. Here

$$\Theta_i \equiv Z_1, \ldots, Z_i$$

(sequence of *i* distinct metavariables.)

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2. Cut between a nonlogical axiom and the principal formula of a logical rule:

$$\frac{\frac{1}{\Sigma \Rightarrow \star(\vec{A})} \quad (Ax) \quad \frac{\cdots \quad \Gamma' \Rightarrow C' \quad \cdots}{\Gamma, \star(\vec{A}), \Delta \Rightarrow C}}{\Gamma, \Sigma, \Delta \Rightarrow C} \quad (\star, l)$$

 \mathcal{L} admits reductive cut-elimination if

$$\begin{array}{cccc} \Sigma_1 \Rightarrow \Pi_1 & \cdots & \Sigma_n \Rightarrow \Pi_n & & \Sigma_1 \Rightarrow \Pi_1 & \cdots & \Sigma_n \Rightarrow \Pi_n \\ \vdots & \text{with reducible cuts} & & & \vdots & \text{without reducible cuts} \\ \Gamma \Rightarrow \Delta & & \Gamma \Rightarrow \Delta \end{array}$$

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- Reductive cut-elimination \implies ordinary cut-elimination.

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- Note: the existence of a full-valuation is not guaranteed yet!

Coherence and Rigidity

• A logical connective \star is coherent in \mathcal{L} (with structural rules \mathcal{R}) if

$$\star^{r}_{\mathbf{P}}(\vec{X}) \subseteq \star^{l}_{\mathbf{P}}(\vec{X})$$

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- Coherence guarantees the existence of a valuation.
- If rigidity holds in addition, then the valuation is uniquely determined by the values of atomic formulae.

Reductive cut-elimination \Longrightarrow **Coherence**

Proposition: If a simple sequent calculus \mathcal{L} admits reductive cut-elimination, then all logical connectives are coherent in \mathcal{L} .

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- Proposition: If a simple sequent calculus L admits reductive cut-elimination, then all logical connectives are coherent in L.

• Thus
$$\star^r_{\mathbf{P}}(ec{X}) \subseteq \star^l_{\mathbf{P}}(ec{X})$$
 iff

 $\Theta_i \subseteq \Xi_j$

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Hence $\star^r_{\mathbf{P}}(\vec{X}) \subseteq \star^l_{\mathbf{P}}(\vec{X}).$

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$$\begin{array}{rcl} X_1 \Rightarrow X_1 & \cdots & X_n \Rightarrow X_n \\ \vdots & & \vdots \\ \star(\vec{X}) \Rightarrow \star(\vec{X}) \end{array}$$

Semantic reading

$$\begin{array}{c} X_1 \subseteq X_1 & \cdots & X_n \subseteq X_n \\ & \vdots & \text{Str. rules + } (\star, l) + (\star, r) \\ & \star^l_{\mathbf{P}}(\vec{X}) \subseteq \star^r_{\mathbf{P}}(\vec{X}) \end{array}$$

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Solution Key point: since there is no cut, one can interpret $\star(\vec{X})$ differently, depending on whether it appears on the left or right.

Intermezzo

We have seen:

Reductive cut-elimination \implies Coherence

Axiom expansion \implies Rigidity

We must show:

- Coherence + Propagation \implies Reductive cut-elimination Rigidity + Propagation \implies Axiom expansion
- In the sequel, we show (non-reductive) cut-elimination instead of reductive cut-elimination.

Syntactic phase structure

- **Fix a simple sequent calculus** \mathcal{L} . As before:
- The operator $C : \wp(\mathcal{F}^*) \longrightarrow \wp(\mathcal{F}^*)$ defined by:

 $\llbracket \Gamma _ \Delta \Rightarrow C \rrbracket = \{ \Sigma \mid \Gamma, \Sigma, \Delta \Rightarrow C \text{ is cut-free provable in } \mathcal{L} \}, \\ Y \in \mathcal{CL}_{\mathbf{P}} \quad \Leftrightarrow \quad Y = \bigcap_{i \in \Lambda} \llbracket \Gamma_i _ \Delta_i \Rightarrow C_i \rrbracket$

Split Okada's Lemma

• Okada's Lemma $A \in f(A) \subseteq \llbracket A \rrbracket$ splits into two.

Split Okada's Lemma

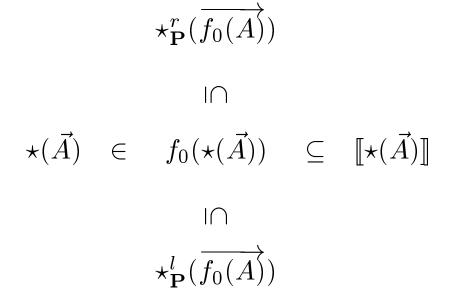
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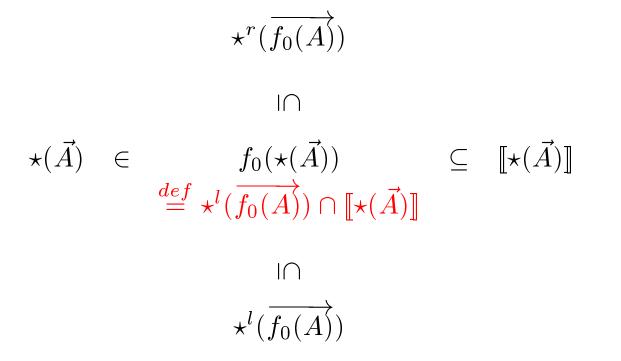
Coherence recovers One Okada

Lemma: If all the logical connectives in \mathcal{L} are coherent, then there is a valuation f_0 such that for any $\star(\vec{A})$ we have:



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- Proposition: If all structural rules in L satisfies the propagation property and all logical connectives are coherent in L, then L admits cut-elimination.
- To show reductive cut-elimination, more delicate argument is needed...

Rigidity + Propagation ==> Axiom expansion

Proposition: If a logical connective * is rigid in L (and all structural rules satisfy the propagation property), then * admits axiom expansion.

Rigidity + Propagation \Longrightarrow **Axiom expansion**

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Split Okada's Lemma still holds. Together with rigidity,

$$\star(\vec{\alpha}) \stackrel{\mathsf{Okada}^{l}}{\in} \star^{l}_{\mathbf{P}}(\overrightarrow{\llbracket X \rrbracket}) \stackrel{\mathsf{Rigidity}}{\subseteq} \star^{r}_{\mathbf{P}}(\overrightarrow{\llbracket X \rrbracket}) \stackrel{\mathsf{Okada}^{r}}{\subseteq} \llbracket \star(\overrightarrow{X}) \rrbracket.$$

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■ I.e., $\star(\vec{X}) \Rightarrow \star(\vec{X})$ is cut-free derivable from atomic axioms.

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If \mathcal{L}_1 and \mathcal{L}_2 admit cut-elimination, then so does $\mathcal{L}_1 \cup \mathcal{L}_2$.

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Everything so far works fine (assuming cyclicity). But LK does not satisfy reductive cut-elimination!

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$$\frac{\Rightarrow A, B, B}{\Rightarrow A, B} (Contr) \xrightarrow{\Rightarrow B^{\perp}, B^{\perp}, C} (Contr)$$
$$\Rightarrow A, C (Cut)$$

Then what's the point of characterizing reductive cut-elimination?