

Étale theta functions and mono-theta environments II

Arata Minamide

RIMS, Kyoto University

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Introduction

In IUT, we consider the following setting [k is an MLF; $q \in \mathcal{O}_k \setminus \mathcal{O}_k^\times$]:

$$\dagger \left(\begin{array}{l} \text{a mathematical setting} \\ \text{arising from sch-theory}/k \end{array} \right) \xrightarrow{\text{link}} \ddagger \left(\begin{array}{l} \text{a mathematical setting} \\ \text{arising from sch-theory}/k \end{array} \right)$$

where the **link** is **not** arising from **sch-/ring- theory** like a “frobenius” $q \mapsto q^N$ ($q \neq 0, N > 1$). To relate “ \dagger -tools” to “ \ddagger -tools”, we use

- a **coric object** “=” an object arising from a “math. setting/ k ” which we can “share” via the link

Introduction

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$$\begin{array}{ccc} \dagger \left(\begin{array}{c} \text{“tools” which we need to} \\ \text{compute “deg}(\mathcal{L})\text{”} \end{array} \right) & & \ddagger \left(\begin{array}{c} \text{“tools” which we need to} \\ \text{compute “deg}(\mathcal{L})\text{”} \end{array} \right) \\ \uparrow \text{\scriptsize } \dagger \exists \text{: functorial algorithm} & & \uparrow \text{\scriptsize } \ddagger \exists \text{: functorial algorithm} \\ \dagger \left(\begin{array}{c} \text{a mathematical setting} \\ \text{arising from sch-theory}/k \end{array} \right) & \xrightarrow{\text{link}} & \ddagger \left(\begin{array}{c} \text{a mathematical setting} \\ \text{arising from sch-theory}/k \end{array} \right) \end{array}$$

where the **link** is **not** arising from **sch-/ring- theory** like a “frobenius” $q \mapsto q^N$ ($q \neq 0$, $N > 1$). To relate “ \dagger -tools” to “ \ddagger -tools”, we use

- a **coric object** “=” an object arising from a “math. setting/ k ” which we can “share” via the link

For instance, since the action $G_k \curvearrowright \mathcal{O}_k \ni q, q^N$ is trivial,

$$G_k$$

may be regarded as a coric object. Then, for instance, can the pair

$$(G_k \curvearrowright \mathcal{O}_k^{\triangleright} \stackrel{\text{def}}{=} \mathcal{O}_{\bar{k}} \setminus \{0\}) \quad [\mathcal{O}_k^{\triangleright} : \text{a multiplicative monoid}]$$

be regarded as a coric object? The answer is “no”. In fact,

$$\begin{aligned} & (\dagger G_k \curvearrowright \dagger \mathcal{O}_k^{\triangleright}) \xrightarrow{\sim} (\ddagger G_k \curvearrowright \ddagger \mathcal{O}_k^{\triangleright}) \\ \implies & \dagger \mathcal{O}_k^{\triangleright} \xrightarrow{\sim} \ddagger \mathcal{O}_k^{\triangleright} \\ \implies & \dagger \mathbb{N} \xrightarrow{\sim} \dagger \mathcal{O}_k^{\triangleright} / \dagger \mathcal{O}_k^{\times} \xrightarrow{\sim} \ddagger \mathcal{O}_k^{\triangleright} / \ddagger \mathcal{O}_k^{\times} \xrightarrow{\sim} \ddagger \mathbb{N} ; 1 \mapsto 1 \end{aligned}$$

hence, we can not consider a link such as $q \mapsto q^N$. In IUT, we often use

$$(G_k \curvearrowright \mathcal{O}_{\bar{k}}^{\times \mu} \stackrel{\text{def}}{=} \mathcal{O}_{\bar{k}}^{\times} / (\mathcal{O}_{\bar{k}}^{\times})_{\text{tor}})$$

as a coric object.

- an example of “tools” ... a **cyclotomic rigidity isomorphism**

Let $(G \curvearrowright M) \cong (G_k \curvearrowright \mathcal{O}_k^\triangleright)$. Write $\Lambda(M) \stackrel{\text{def}}{=} \varprojlim_n (M_{\text{tor}}[n])$.

Note: We can reconstruct a monoid $\mathcal{O}_k^\triangleright(G) \cong \mathcal{O}_k^\triangleright$ from G .

Write $\Lambda(G) \stackrel{\text{def}}{=} \varprojlim_n (\mathcal{O}_k^\triangleright(G)_{\text{tor}}[n])$.

Theorem (Cyc. Rig. Isom. via Local Class Field Theory)

\exists **functorial algorithm**

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$$(G \curvearrowright M) \longmapsto \text{a natural isom. } \Lambda(M) \xrightarrow{\sim} \Lambda(G)$$

But, at the moment, since $G_k \curvearrowright \mathcal{O}_k^\triangleright$ may not be a coric object, this cyclotomic rigidity isomorphism [via LCT] is not “good”.

\implies We want another version of cyclotomic rigidity isomorphism.

Cyclotomic Rigidity of a Mono-theta Env.

\mathbb{M}^Θ : a mod N mono-theta environment, i.e., a triple

$$(\Pi_{\mathbb{M}^\Theta}, \mathcal{D}_{\Pi_{\mathbb{M}^\Theta}}, s_{\Pi_{\mathbb{M}^\Theta}}^\Theta) \cong (\Pi_{\underline{Y}}^{\text{tp}}[\underline{\mu}_N], \mathcal{D}_{\underline{Y}}, \{\gamma \cdot \text{Im}(s_{\underline{Y}}^\Theta) \cdot \gamma^{-1}\}_{\gamma \in \underline{\mu}_N})$$

[where $\underline{\mu}_N \stackrel{\text{def}}{=} \mu_N(\bar{k})$, $\Pi_{\underline{Y}}^{\text{tp}}[\underline{\mu}_N] \stackrel{\text{def}}{=} \Pi_{\underline{Y}}^{\text{tp}} \times_{G_k} (\underline{\mu}_N \rtimes G_k)$]

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$$\mathbb{M}^\Theta \longmapsto \Pi_{\underline{\mu}}(\mathbb{M}^\Theta) \subseteq \Pi_{\mathbb{M}^\Theta}$$

for constructing the subgp corresp. to the subgp $\underline{\mu}_N \subseteq \Pi_{\underline{Y}}^{\text{tp}}[\underline{\mu}_N]$.

Definition

We refer to $\Pi_{\underline{\mu}}(\mathbb{M}^\Theta)$ as the exterior cyclotome assoc. to \mathbb{M}^Θ .

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Theorem (Cyclotomic Rigidity — cf. [EtTh], Cor 2.19, (i))

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for constructing a natural isom. corresp. to a natural isom.

$$(l \cdot \Delta_\Theta) \otimes_{\mathbb{Z}} \mathbb{Z}/N\mathbb{Z} \xrightarrow{\sim} \mu_N(\bar{k})$$

arising from scheme theory.

Constant Multiple Rigidity of a Mono-theta Env.

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$$M^\Theta \longmapsto (\mathcal{O}_k^\times \cdot \underline{\underline{\eta}}^{\Theta, l, \mathbb{Z} \times \mu_2})(M^\Theta) \subseteq H^1(\Pi_{\underline{Y}}(M^\Theta), (l \cdot \Delta_\Theta)(M^\Theta))$$

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for constructing a subset of H^1 corresp. to $\mathcal{O}_k^\times \cdot \underline{\ddot{\eta}}^{\Theta, l \cdot \mathbb{Z} \times \mu_2}$, i.e.,

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Now we want to reduce the indeterminacy from \mathcal{O}_k^\times to μ_l .

To reduce the indet., we use a theta function of standard type $\ddot{\Theta}_{\text{st}}$.

(Review of $\ddot{\Theta}_{\text{st}}$)

For an evaluation pt $\xi_0 \in \ddot{Y}(k)$ labeled by $0 \in \underline{\mathbb{Z}}$, write

$$\ddot{\Theta}_{\text{st}} \stackrel{\text{def}}{=} \ddot{\Theta}(\xi_0)^{-1} \cdot \ddot{\Theta}.$$

In particular, we have

$$\ddot{\Theta}_{\text{st}}^{\frac{1}{l}}(\xi_0) \in \mu_{2l}.$$

More precisely, by substituting ξ_0 , we can see, up to μ_{2l} -multiple, whether or not an $\in \mathcal{O}_k^\times \cdot \ddot{\Theta}^{\frac{1}{l}}$ coincides with $\ddot{\Theta}_{\text{st}}^{\frac{1}{l}}$.

[Note: $\mu_{2l} \cong \mu_2 \times \mu_l \cong \text{Gal}(\ddot{Y}^{\log}/Y^{\log}) \times \text{Gal}(\underline{\ddot{Y}}^{\log}/\ddot{Y}^{\log})$]

Note: gp-theoretic interpretation of “substituting ξ_0 ”

= considering the image via $H^1(\Pi_{\underline{Y}}, l \cdot \Delta_\Theta) \xrightarrow{\text{res.}} H^1(D, l \cdot \Delta_\Theta)$

$D \subseteq \Pi_{\underline{Y}}^{\text{tp}}$: the **decomposition group** of ξ_0 [well-defined up to conj.]

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for constructing the subgp of $\Pi_{\underline{\underline{Y}}}(M^\Theta)$ corr. to the decomp. gp of ξ_0 .

we have:

Theorem (Constant Multiple Rigidity — cf. [EtTh], Cor 2.19, (iii))

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Discrete Rigidity of a Mono-theta Env.

By means of the natural surjections $\mu_{M'} \rightarrow \mu_M$ [where $M|M'$], we can define a natural proj. system

$$\cdots \rightarrow \mathbb{M}_{M'} \rightarrow \mathbb{M}_M \rightarrow \cdots$$

of model mono-theta env.

Theorem (Discrete Rigidity — cf. [EtTh], Cor 2.19, (ii))

\forall proj. system

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of mono-theta env. is isomorphic to the above natural one.

Consequences of the Three Rigidities

$\mathbb{M}_*^\Theta = \{\mathbb{M}_N^\Theta\}_N$: a proj. system of mono-theta environments

By **discrete rigidity**, such a proj. system is uniquely determined, up to isom.

$$\Pi_{\underline{X}}(\mathbb{M}_*^\Theta) \stackrel{\text{def}}{=} \varprojlim (\cdots \xrightarrow{\sim} \Pi_{\underline{X}}(\mathbb{M}_{M'}^\Theta) \xrightarrow{\sim} \Pi_{\underline{X}}(\mathbb{M}_M^\Theta) \xrightarrow{\sim} \cdots)$$

Also, we have:

- $\Pi_{\underline{Y}}(\mathbb{M}_*^\Theta) \subseteq \Pi_{\underline{X}}(\mathbb{M}_*^\Theta)$: an open subgrp corresp. to $\Pi_{\underline{Y}}^{\text{tp}}$
- $(l \cdot \Delta_\Theta)(\mathbb{M}_*^\Theta)$: a subquot. of $\Pi_{\underline{X}}(\mathbb{M}_*^\Theta)$ corresp. to $l \cdot \Delta_\Theta$.

$$\Pi_{\underline{\mu}}(\mathbb{M}_*^\Theta) \stackrel{\text{def}}{=} \varprojlim (\cdots \rightarrow \Pi_{\underline{\mu}}(\mathbb{M}_{M'}^\Theta) \rightarrow \Pi_{\underline{\mu}}(\mathbb{M}_M^\Theta) \rightarrow \cdots)$$

By **cyclotomic rigidity**, we have a natural isom. between “ $\widehat{\mathbb{Z}}(1)$ ”

$$(l \cdot \Delta_{\Theta})(\mathbb{M}_*^{\Theta}) \xrightarrow{\sim} \Pi_{\mu}(\mathbb{M}_*^{\Theta}).$$

In particular, by **constant multiple rigidity**, we conclude:

Theorem (Exterior Cyclotome Ver. of $\underline{\theta}(\mathbb{M}^{\Theta})$ — cf. [IUTchII], Prop 1.5)

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for constructing an exterior cyclotome version of $\underline{\theta}(M^{\Theta})$.

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Write

$$\infty \underline{\theta}_{\text{env}}(\mathbb{M}_*^{\Theta}) \subseteq \varinjlim_{J \subseteq \Pi_{\underline{\mathbb{Y}}}(\mathbb{M}_*^{\Theta}): \text{fin index, open}} H^1(\Pi_{\underline{\mathbb{Y}}}(\mathbb{M}_*^{\Theta})|_J, \Pi_{\mu}(\mathbb{M}_*^{\Theta}))$$

for the subset $\{ \eta \in \varinjlim_J H^1 \mid n \cdot \eta \in \underline{\theta}_{\text{env}}(\mathbb{M}_*^{\Theta}) \text{ for } \exists n \geq 1 \}$.

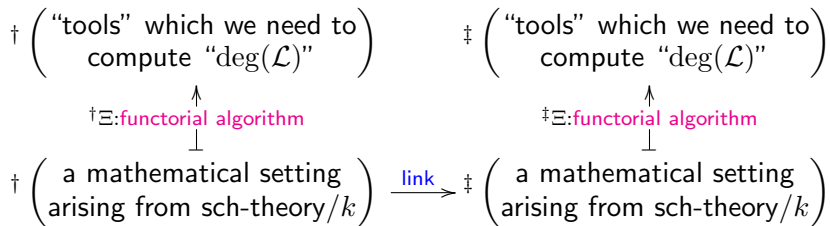
Rough Sketch of the Multiradiality

Consider the following situation:

$$\dagger \left(\begin{array}{l} \text{a mathematical setting} \\ \text{arising from sch-theory}/k \end{array} \right) \xrightarrow{\text{link}} \ddagger \left(\begin{array}{l} \text{a mathematical setting} \\ \text{arising from sch-theory}/k \end{array} \right)$$

Rough Sketch of the Multiradiality

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$$\begin{array}{ccc}
 \dagger \left(\begin{array}{c} \text{“tools” which we need to} \\ \text{compute “deg}(\mathcal{L})\text{”} \end{array} \right) & & \ddagger \left(\begin{array}{c} \text{“tools” which we need to} \\ \text{compute “deg}(\mathcal{L})\text{”} \end{array} \right) \\
 \uparrow \text{\scriptsize †}\Xi\text{:functorial algorithm} & & \uparrow \text{\scriptsize ‡}\Xi\text{:functorial algorithm} \\
 \perp & & \perp \\
 \dagger \left(\begin{array}{c} \text{a mathematical setting} \\ \text{arising from sch-theory}/k \end{array} \right) & \xrightarrow{\text{link}} & \ddagger \left(\begin{array}{c} \text{a mathematical setting} \\ \text{arising from sch-theory}/k \end{array} \right)
 \end{array}$$

- If the **link** is “isom.” arising from **sch-/ring- theory**, then by the functoriality of the algorithms, we have:

$$\dagger \left(\begin{array}{c} \text{“tools” which we need to} \\ \text{compute “deg}(\mathcal{L})\text{”} \end{array} \right) \xrightarrow{\sim} \ddagger \left(\begin{array}{c} \text{“tools” which we need to} \\ \text{compute “deg}(\mathcal{L})\text{”} \end{array} \right)$$

- In IUT, consider the link [e.g., a “frobenius” $q \mapsto q^N$] **not** arising from **sch-/ring- theory**, so, a priori:

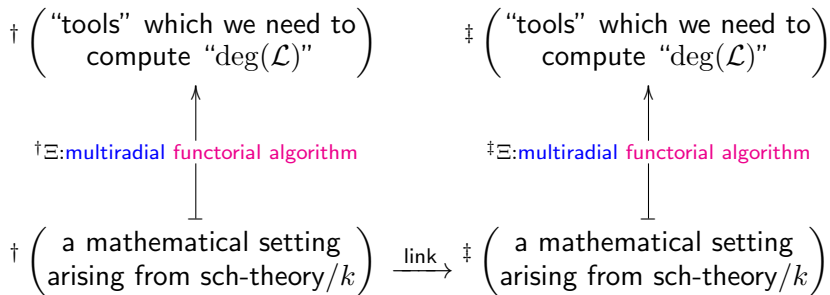
$$\dagger \left(\begin{array}{c} \text{“tools” which we need to} \\ \text{compute “deg}(\mathcal{L})\text{”} \end{array} \right) \overset{\text{relation?}}{\longleftrightarrow} \ddagger \left(\begin{array}{c} \text{“tools” which we need to} \\ \text{compute “deg}(\mathcal{L})\text{”} \end{array} \right)$$

Now suppose that:

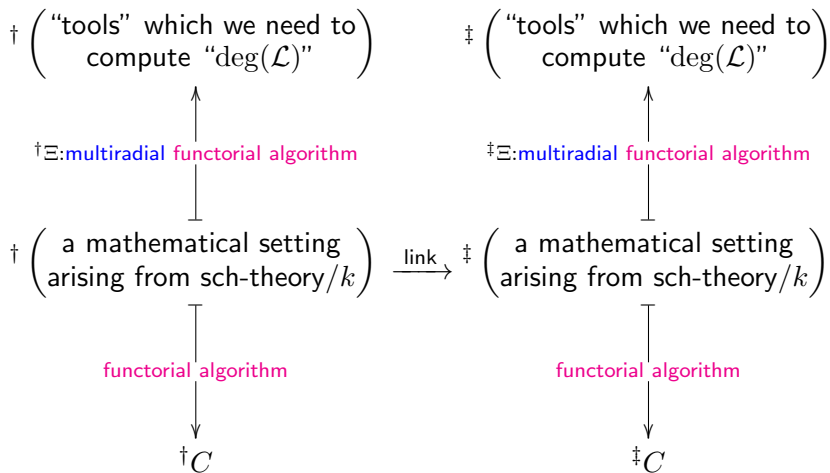
For $\square \in \{\dagger, \ddagger\}$, \exists **functorial algorithm**

$$\square \left(\begin{array}{c} \text{a mathematical setting} \\ \text{arising from sch-theory}/k \end{array} \right) \longmapsto \begin{array}{c} \text{a } \square\text{-coric object } \square C \\ \text{[e.g., a top. gp } \square G \cong G_k \end{array}$$

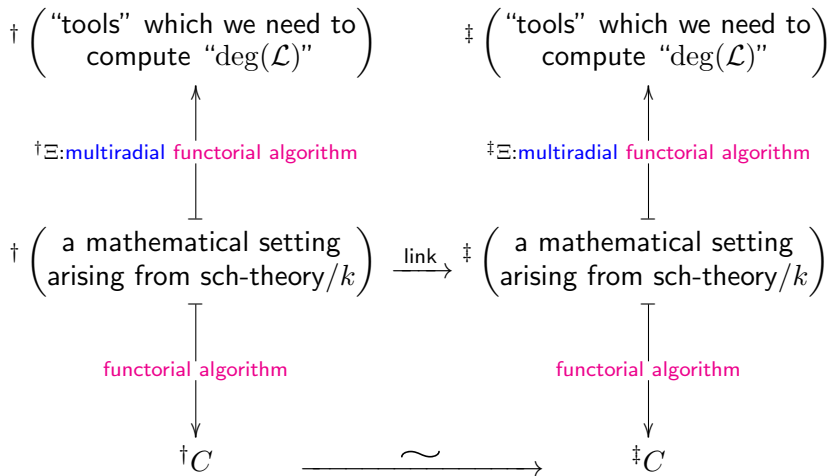
Then the **multiradiality** of the functorial algorithms $\dagger \Xi$, $\ddagger \Xi$ implies:



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$$\begin{array}{ccc}
 \dagger \left(\begin{array}{c} \text{“tools” which we need to} \\ \text{compute “deg}(\mathcal{L})\text{”} \end{array} \right) & \xrightarrow{\exists \sim} & \ddagger \left(\begin{array}{c} \text{“tools” which we need to} \\ \text{compute “deg}(\mathcal{L})\text{”} \end{array} \right) \\
 \uparrow & & \uparrow \\
 \dagger \Xi: \text{multiradial functorial algorithm} & & \ddagger \Xi: \text{multiradial functorial algorithm} \\
 \updownarrow & & \updownarrow \\
 \dagger \left(\begin{array}{c} \text{a mathematical setting} \\ \text{arising from sch-theory}/k \end{array} \right) & \xrightarrow{\text{link}} & \ddagger \left(\begin{array}{c} \text{a mathematical setting} \\ \text{arising from sch-theory}/k \end{array} \right) \\
 \downarrow & & \downarrow \\
 \text{functorial algorithm} & & \text{functorial algorithm} \\
 \downarrow & & \downarrow \\
 \dagger C & \xrightarrow{\sim} & \ddagger C
 \end{array}$$

Radial Environment

Definition

We refer to a triple $(\mathcal{R}, \mathcal{C}, \Phi : \mathcal{R} \rightarrow \mathcal{C})$ consisting of

- a category \mathcal{R} — whose objects is a specific collection of **radial data**, and each of whose morphism is an isomorphism
- a category \mathcal{C} — whose objects is a specific collection of **coric data**, and each of whose morphism is an isomorphism
- a functor Φ — an **essentially surj.** functor, which is a “functorial algorithm” whose “input data” is $\in \mathcal{R}$ and whose “output data” is $\in \mathcal{C}$

as a **radial environment**. If Φ is **full**, then we say that the radial env. is **multiradial**. We refer to a radial env. which is not multiradial as **uniradial**.

Example (a multiradial environment)

- \mathcal{R} — **Obj**: a triple (Π, G, α) consist. of
 - Π : a topological gp $\cong \Pi_{\underline{X}}^{\text{tp}}$;
 - G : a topological gp $\cong G_k$;
 - $\alpha : \Pi/\Delta \xrightarrow{\sim} G$: the **full poly-isomorphism**, i.e., the collection of all isomorphisms, where we write $\Delta \subseteq \Pi$ for the **gp-theoretic** subgroup corresp. to $\Delta_{\underline{X}}^{\text{tp}}$.

Hom: a pair of isom. of top. gps $\Pi \xrightarrow{\sim} \Pi^*, G \xrightarrow{\sim} G^*$.

- \mathcal{C} — **Obj**: a top. gp $\cong G_k$ **Hom**: an isom. of top. gps $G \xrightarrow{\sim} G^*$
- Φ — the functor $\mathcal{R} \rightarrow \mathcal{C}$ defined to be $(\Pi, G, \alpha) \mapsto G$

Multiradial Algorithm

Definition

$(\mathcal{R}, \mathcal{C}, \Phi)$: a radial environment

Suppose: we have a commutative diagram

$$\begin{array}{ccc} \mathcal{R} & \xrightarrow{\Xi} & \mathcal{F} \\ \Phi \downarrow & \swarrow & \\ \mathcal{C} & & \end{array}$$

where \mathcal{F} is a category, and all arrows are functors. We say that the functor [i.e., “functorial algorithm”] Ξ is **multiradial** if $(\mathcal{R}, \mathcal{C}, \Phi)$ is multiradial.

Note: If we have a **multiradial** env. $(\mathcal{R}, \mathcal{C}, \Phi)$ and a diagram

$$\begin{array}{ccc} \mathcal{R} & \xrightarrow{\Xi} & \mathcal{F} \\ \Phi \downarrow & & \\ \mathcal{C} & & \end{array}$$

then we can always get a **multiradial** functor as follows:

Let $\mathcal{R}^\blacklozenge$ be the category whose object is a pair $(R, \Xi(R))$ and whose morphism is a pair $(f : R \xrightarrow{\sim} R^*, \Xi(f) : \Xi(R) \xrightarrow{\sim} \Xi(R^*))$. Then the functor $\Psi_{\mathcal{R}} : \mathcal{R} \rightarrow \mathcal{R}^\blacklozenge; R \mapsto (R, \Xi(R))$ satisfies the comm. diag.

$$\begin{array}{ccc} \mathcal{R} & \xrightarrow{\Psi_{\mathcal{R}}} & \mathcal{R}^\blacklozenge & \ni & (R, \Xi(R)) \\ \Phi \downarrow & & \downarrow & & \downarrow \\ \mathcal{C} & \xleftarrow{\Phi} & \mathcal{R} & \ni & R \end{array}$$

so $\Psi_{\mathcal{R}}$ is a **multiradial** functor.

Multiradial Algorithm Related to Étale Theta Functions

Define a **multiradial** environment $(\mathcal{R}, \mathcal{C}, \Phi)$ as follows:

- \mathcal{R} — **Obj**: $(\Pi \curvearrowright \Pi_{\mu}(\mathbb{M}_{*}^{\Theta}(\Pi)) \otimes \mathbb{Q}/\mathbb{Z}, G \curvearrowright \mathcal{O}^{\times\mu}(G), \alpha_{\mu, \times\mu})$
 - $\Pi \cong \Pi_{\underline{X}}^{\text{tp}}$,
 - $G \cong G_k$ ($\Rightarrow (G \curvearrowright \mathcal{O}^{\times\mu}(G)) \cong (G_k \curvearrowright \mathcal{O}_{\bar{k}}^{\times\mu})$),
 - $\alpha_{\mu, \times\mu}$ is the pair of the full-poly isomorphism $\Pi/\Delta \xrightarrow{\sim} G$ and $\Pi_{\mu}(\mathbb{M}_{*}^{\Theta}(\Pi)) \otimes \mathbb{Q}/\mathbb{Z} \xrightarrow{\text{zero}} \mathcal{O}^{\times\mu}(G)$

Hom: $(\Pi \curvearrowright \Pi_{\mu}(\mathbb{M}_{*}^{\Theta}(\Pi)) \otimes \mathbb{Q}/\mathbb{Z}) \xrightarrow{\sim} (\Pi^{*} \curvearrowright \Pi_{\mu}(\mathbb{M}_{*}^{\Theta}(\Pi^{*})) \otimes \mathbb{Q}/\mathbb{Z})$:
the isom. induced by $\Pi \xrightarrow{\sim} \Pi^{*}$; $(G \curvearrowright \mathcal{O}^{\times\mu}(G)) \xrightarrow{\sim} (G^{*} \curvearrowright \mathcal{O}^{\times\mu}(G^{*}))$:
an “**Ism**”-multiple of the isom. induced by $G \xrightarrow{\sim} G^{*}$.

- \mathcal{C} — **Obj**: $(G \curvearrowright \mathcal{O}^{\times\mu}(G))$ **Hom**: the same as in \mathcal{R}
- Φ — the functor $\mathcal{R} \rightarrow \mathcal{C}$ defined by “forgetting”.

Theorem (A Multiradial Algorithm Related to Étale Theta Functions
 — cf. [IUTchII], Cor 1.12)

$(\mathcal{R}, \mathcal{C}, \Phi)$: the **multiradial** env. defined at the previous page

Then the functorial algorithm

$$\Pi \longmapsto \infty_{\text{env}}^{\theta}(\mathbb{M}_*^{\Theta}(\Pi)) \left[\subseteq \varinjlim_J H^1(\Pi_{\underline{Y}}(\mathbb{M}_*^{\Theta}(\Pi))|_J, \Pi_{\mu}(\mathbb{M}_*^{\Theta}(\Pi))) \right]$$

determines a functor

$$\Xi : \mathcal{R} \longrightarrow \mathcal{F}$$

where \mathcal{F} is the category whose objects are $\{\infty_{\text{env}}^{\theta}(\mathbb{M}_*^{\Theta}(\Pi))\}$.

In particular, the functor

$$\Psi_{\mathcal{R}} : \mathcal{R} \longrightarrow \mathcal{R}^{\blacklozenge}$$

[where $\mathcal{R}^{\blacklozenge}$ denotes the “graph of Ξ ”] is **multiradial**.